

Doctoral Degree Regulations

as of June 17, 2025

Based on §§ 41, 93 para. 1 no. 2 and § 14 para. 4 sentence 1 of the Saxon Higher Education Act (*Sächsisches Hochschulgesetz/SächsHSG*) of May 31, 2023 (SächsGVBl. p.329), last amended by Article 2 of the Act of January 31, 2024 (SächsGVBl. p. 83), the Faculty Board of the Faculty of Architecture and Landscape of Dresden University of Technology has issued the following Doctoral Degree Regulations as statutes.

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§ 1

Scope of application

These regulations govern the implementation of doctoral procedures at the Faculty of Architecture and Landscape.

§ 2

Academic degree

(1) The Faculty of Architecture and Landscape may grant the following academic titles on behalf of TUD Dresden University of Technology as a result of a doctoral procedure:

Doctor of Engineering Science (Dr.-Ing.) and
Doktor der Ingenieurwissenschaften (Dr.-Ing.)
und
Doctor of Philosophy (Dr. phil.)
Doktor der Philosophie (Dr. phil.).

The academic degree to be awarded is determined by the Doctoral Committee on the basis of the application documents submitted as part of the decision on acceptance as a doctoral candidate in accordance with § 8. It is based on the course of study and the degree completed, as well as the subject area of the dissertation.

(2) Following a resolution of the Faculty Board, TUD Dresden University of Technology may also award the following academic degrees:

Doktorin der Ingenieurwissenschaften ehrenhalber
or
Doctor of Engineering honoris causa (Dr.-Ing. e. h.) and
Doktorin der Philosophie ehrenhalber
or
Doctor of Philosophy honoris causa (Dr. phil. e. h.).

§ 3

Doctoral studies

(1) Doctoral Studies serve both as proof of a candidate's particular proficiency to conduct independent academic work as well as proof of the candidate's academic education beyond general study objectives in the area of Architecture or Landscape Architecture.

(2) This proof shall be provided, except in the case of an honorary doctorate in accordance with § 18, through the dissertation in accordance with §10 and the oral component of the doctoral degree in accordance with § 11.

(3) Doctoral studies shall be possible in the subject areas represented by university lecturers of the faculty. Cooperation with other faculties at TUD Dresden University of Technology shall be possible.

§ 4

Doctoral committees

(1) The committee responsible for doctorates is the Faculty Board. For this purpose, it forms a Doctoral Committee as a permanent faculty committee. It consists of four university lecturers from the faculty, who generally hold a doctorate, and one Research Associate from the faculty, who generally also holds a doctorate. The members of the Doctoral Committee are appointed by the Faculty Board for a term of three years. They shall elect a Chair and a deputy Chair from among their number. The Chair shall be responsible for the administration of the Doctoral Committee, unless the committee as a whole is expressly responsible in accordance with these Regulations. Reappointment shall be possible.

(2) After the opening of a specific doctoral procedure, the Doctoral Committee appoints a Doctoral Commission for the tasks assigned to it according to these Regulations, and also appoints the Chair and assessors. The Doctoral Commission consists of at least five members, which must include the assessors. The Chair of the Doctoral Commission must be a university lecturer from the faculty; § 10 para. 5 applies to the assessors. Additional members of the Doctoral Commission are typically university lecturers from TUD Dresden University of Technology. The appointment of Extraordinary Professors, Honorary Professors, provided they have faculty membership rights, habilitated faculty staff, TUD Young Investigators, university lecturers from other faculties, and qualified academics shall be possible in exceptional cases, particularly if this is necessary for the doctoral thesis topic or an existing research or university cooperation. When collaborative doctoral procedures are conducted with a university of applied sciences, one member of the Doctoral Commission must be a university lecturer from the respective university of applied sciences. If a doctoral candidate applies for the academic degree of Doctor of Philosophy (Dr. phil.), one member of the Doctoral Committee must be a member of the Faculty of Arts, Humanities and Social Science of TUD Dresden University of Technology.

(3) The meetings of the Doctoral Committee and the Doctoral Commission are not public. Its members are bound by confidentiality. In order for the Doctoral Committee and the Doctoral Commission to pass a resolution, the Chair must be present. The provisions of the Saxon Higher Education Act and the stipulations of the Rules and Principles of Procedure for University Committees at TU Dresden as amended, shall apply to the quorum. Minutes must be kept of the deliberations and resolutions for doctoral matters. These must contain the place, beginning and end of the meeting, the number of members present, the agenda items discussed, the motions, the voting and election results and the wording of the resolutions. The transcript shall be signed by the Chair and the person keeping the minutes.

(4) The Faculty Board shall appoint a doctoral representative who shall be responsible for organizing examinations in accordance with these Regulations and for maintaining the list of doctoral candidates and doctoral records.

(5) Professors co-opted pursuant to § 92 para. 3 Saxon Higher Education Act participate in the doctoral procedure on an equal footing with university professors. The regulations of the Fundamental Principles of TUD Dresden University of Technology apply to the co-option.

§ 5

General procedural regulations and appeal proceedings

(1) The decisions of the committees responsible for the doctoral procedure will be shared with the candidates in writing. The Chair of the responsible committee announces decisions that adversely affect candidates by notification that is open to appeal, which shall be substantiated and contain legal instructions on how to appeal.

(2) There is a formal procedure for appealing against decisions which are considered administrative acts during the doctoral examination procedure. The Faculty Board is the appeal authority. The appeal must be lodged with the Chair of the Faculty Board. Decisions in the doctoral procedure which are considered administrative acts include in particular:

1. Non-admission to doctoral studies and refusal as a doctoral candidate as well as revocation of admission as a doctoral candidate,
3. Non-commencement of the doctoral procedure,
4. Non-acceptance of the dissertation,
5. The assessment of doctoral work,
6. Non-admission to repeat doctoral work,
7. Inconclusive termination (discontinuation) of the doctoral procedure and
8. Non-awarding of the academic title.

(3) Upon written request, candidates will be granted access to their doctoral records after completion of the doctoral procedure.

§ 6

Admission to doctoral studies

(1) Individuals shall be admitted to doctoral procedures if they:

1. have a *Diplom*, Master's or *Magister* degree from a university or the State Examination in:
 - a) a degree program relevant to the field of doctoral study with a minimum overall grade of "good," or
 - b) a degree program relevant to the field of doctoral study with a minimum overall grade of "satisfactory" and has passed the aptitude assessment in accordance with § 7 para. 1, or
 - c) have completed a non-doctoral degree program with at least an overall grade of "good" and have passed the aptitude assessment in accordance with § 7 para. 1; and
2. fulfill the personal requirements for holding the academic degree, and
3. have not already failed a doctoral procedure twice or are not currently in a pending doctoral procedure, and
4. have submitted an application for acceptance as a doctoral candidate with all the required documents in accordance with § 8.

(2) Applicants who have obtained a Bachelor's degree from a state-recognized university with an overall grade of "very good" and are among the top four

percent of their graduating class and have passed the aptitude test in accordance with § 7 para. 2 may also be admitted to the doctoral procedure. Para. 1 numbers 2 to 4 shall apply accordingly.

(3) TUD Dresden University of Technology and universities of applied science work together in collaborative doctoral procedures by supervising the doctoral work together.

(4) Individuals will not be admitted to doctoral studies if they:

1. do not fulfill the prerequisites stipulated in para. 1 or para. 2,
2. hire or have hired the services of intermediaries for the purposes of identifying doctorate opportunities in exchange for money,
3. have paid for services in relation to the doctoral procedure or its preparation, or made use of free services which contradict the meaning and purpose of the examination procedure,
4. perform or have performed paid services in relation to the doctoral procedure and its preparation which contradict the meaning and purpose of the examination procedure.

(5) The Doctoral Committee makes a decision on the recognition of the equivalence of foreign examinations and degrees, taking Agreements of Equivalence into account. In cases of doubt the opinion of the Saxon State Ministry responsible for universities must be obtained. In cases where they have been authorized to hold an academic degree acquired abroad in the form of a German degree entitling them to a doctorate, this degree is to be recognized as equivalent.

(6) The admission decision is made on the basis of the decision on acceptance as a doctoral candidate in accordance with § 8.

§ 7

Aptitude assessment

(1) Insofar as a positive aptitude assessment is required for admission to doctoral studies in accordance with § 6 para. 1 of these Regulations, at least two freely selectable advanced modules relevant to doctoral studies from the *Diplom* degree program in Architecture (with a total of 20 credit points) or at least two specialization projects relevant to the doctoral program from the Master's degree program in Landscape Architecture (with a total of 20 credit points) must be completed with a grade of at least "good." The relevant study documents in their currently valid version shall apply.

(2) If admission to doctoral studies shall be granted in accordance with § 6 para. 2 of these Regulations, the required positive assessment of aptitude must be based on freely selectable modules or advanced modules from the *Diplom* degree program in Architecture with a total of 100 credit points and at least an overall grade of "good" or freely selectable modules or advanced modules from the Master's degree program in Landscape Architecture with a total of 100 credit points and at least an overall grade of "good" must be obtained. The relevant study documents in their currently valid version shall apply.

§ 8

Acceptance as a doctoral candidate

(1) Individuals who meet the admission requirements in accordance with § 6 and intend to complete their doctorate at the Faculty of Architecture and Landscape must apply for

The acceptance as a doctoral candidate before or at the latest upon commencement of their doctoral procedure. The application for acceptance is the expression of the applicant's intention to complete a doctorate at the faculty.

(2) The application must be submitted via the Doctoral Management System used by TUD Dresden University of Technology and sent to the Chair of the Doctoral Committee in writing. The following must be submitted together with the application:

1. an exposé of the proposed dissertation topic, 2. a copy of the supervision agreement in accordance with paragraph 3,
3. proof of the admission requirements pursuant to § 6,
4. A curriculum vitae in tabular form signed by the applicant, detailing their academic career, including copies of certificates for any additional studies or examinations already completed; in case of doubt, the originals or officially certified copies must be provided.
5. a corresponding application, if the dissertation is to be written in a language other than German or English,
6. a written statement on any previous inconclusive doctoral procedures,
7. a written declaration that the applicant accepts these Doctoral Degree Regulations and the "Statutes for Ensuring Good Scientific Practice, Avoiding Scientific Misconduct and Handling Violations" applicable at TUD Dresden University of Technology,
8. a written declaration that a certificate of good conduct (*Führungszeugnis*) according to § 30 para. 5 Federal Central Register Act (*Bundeszentralregistergesetz - BZRG*), which is to be submitted to the faculty, has been applied for at the registration office within three months prior to submitting the application, and
9. a written statement regarding the desired academic degree in accordance with § 2 para. 1.

(3) Supervision of the doctoral candidate is conducted in accordance with § 4 para. 1 to 5 of the "Statutes for Ensuring Good Scientific Practice, Avoiding Scientific Misconduct and Handling Violations" applicable at TUD Dresden University of Technology, as amended. According to these statutes, supervision is to be organized as follows:

1. In addition to the primary supervisor, at least one other experienced scientist must be included as a member of a supervisory team. Both should be university lecturers in the Faculty of Architecture and Landscape, and one must be a university lecturer in the Faculty of Architecture and Landscape. The supervisory team may also include a scientist with qualifications equivalent to a habilitation or a TUD Young Investigator. In addition, other experts may be involved in an advisory capacity. In the case of a cooperative procedure, a professor from the relevant university of applied sciences shall also be responsible for supervision.
2. The supervisory teams meet with the doctoral candidate at least once a year to discuss the work progress and make recommendations.
3. In order to create a transparent relationship between the supervision team and the doctoral candidate in terms of content and time, and to ensure that the doctoral project can be completed to a high standard within an appropriate period of time, a Supervision Agreement must be concluded at the start of the doctoral project (comparison Annex 1). Supervision Agreements take at least the following aspects into account:
 - a) participants (the doctoral candidate, supervisors, mentor [if applicable], and other participants),
 - b) information pertaining to the dissertation project and subject of the dissertation paper (the title, if required already available),
 - c) a structured timeline and work plan or its further development,

- d) provisions for regular discussions on the status and progress of the dissertation project,
 - e) accompanying qualifications to support both academic independence and career advancement at an early stage,
 - f) provisions on the work conditions for the doctoral candidate (e.g. as place of work, access to resources, integration into a research group, research alliance or graduate program),
 - g) mutual commitment to comply with the principles of good scientific practice,
 - h) provisions on conduct in cases of conflict,
 - i) particular measures or provisions on balancing work and family life.
4. The completion of the doctorate within a reasonable period of time is to be encouraged by the supervisors.

(4) The Doctoral Committee decides to accept or to reject doctoral candidates on the basis of the documents named in para. 2. Acceptance is rejected if the subject-specific admission requirements named in § 6 are not fulfilled. Acceptance is also rejected if the applicant does not meet the personal requirements for the holding of an academic title. The decision must also be made taking the certificate of good conduct (*Führungszeugnis*) in accordance with para. 2 no. 8 into consideration. The decision to accept an applicant may be combined with the imposition of conditions, such as supplementary course work or additional examinations to be taken as part of the doctoral studies. If accepted, the candidate will be added to the list of doctoral candidates maintained by the faculty. A legal relationship shall be established between the faculty and the candidate, associated with the status of a doctoral candidate.

(5) Acceptance as a doctoral candidate can be revoked if the progress of the dissertation or the results available up to that point do not give reason to expect successful completion of the doctoral process. The main supervisor must submit a written statement for this purpose. Before the acceptance is revoked, the doctoral candidate must be heard. The decision is made by the Doctoral Committee, but not before three years have elapsed. After being accepted, doctoral candidates shall also be able to notify the Chair of the Doctoral Committee in writing that they no longer wish to complete their doctorate. All of the cases mentioned above end the legal relationship with the faculty and result in the doctoral procedure being terminated without a grade. The doctoral candidate is then struck from the list of doctoral candidates.

(6) Acceptance as a doctoral candidate is an obligatory condition for the initiation of the doctoral procedure.

(7) In principle, supervision must be ensured by the remaining members of the supervisory team in the event of the absence or withdrawal of a supervisory member. A new primary supervisor shall be appointed for the remainder of the doctoral procedure. The Doctoral Committee shall ensure continued supervision within the bounds of what is reasonable and possible; decisions regarding the supervisory relationship shall be made by the Doctoral Committee.

§ 9

Initiating the doctoral procedure

(1) The doctoral procedure is initiated upon formal application by the doctoral candidate. The application to initiate doctoral studies shall be submitted via the Doctoral Management System used by

TUD Dresden University of Technology and addressed in writing to the Chair of the faculty's Doctoral Committee. The following documents must be included:

1. a curriculum vitae in tabular form, hand-signed by the applicant presenting the candidate's academic career,
2. a copy of the confirmation of acceptance as a doctoral candidate in accordance with § 8 and proof of fulfillment of any conditions specified therein; in case of doubt, the original document must be submitted,
3. five bound copies of the dissertation, including a one-page summary, usually in German or English, as well as in electronic form.
4. a list of the doctoral candidate's academic publications,
5. the written declaration provided in the Doctoral Management System in accordance with the sample attached in Appendix 2,
6. proof of participation in a training course either online or on-site on good scientific practice, amounting to at least four teaching units, and
7. a written declaration that a certificate of good conduct (*Führungszeugnis*) according to § 30 para. 5 Federal Central Register Act (*Bundeszentralregistergesetz*), which is to be submitted to the faculty, has been requested from the relevant registration authority within the last three months.

Suggestions for the assessors can also be attached with the application, although there is no entitlement for these to be considered. Documents that were required for acceptance as doctoral candidate and do not require any changes can be accepted as valid.

(2) Withdrawal of the application to initiate the doctoral procedure is permitted, as long as the procedure has not yet been initiated. In this case, the application shall be deemed as not submitted. If the doctoral candidate indicates that they no longer wish to continue with the doctoral procedure after its initiation, this will result in the termination of the doctoral procedure and it will be considered an inconclusive doctoral procedure. In both cases, a bound copy and an electronic copy of the dissertation, as well as any reviews that have already been prepared, shall remain on the doctoral record.

(3) As a rule, the Doctoral Committee makes the decision on initiating the doctoral procedure within two months. Initiation must be rejected if the fulfillment of the conditions imposed upon acceptance have not been proven. The initiation of the doctoral procedure must also be rejected if the doctoral candidate does not meet the personal requirements to hold an academic title any longer. The decision must also be made taking the certificate of good conduct (*Führungszeugnis*) in accordance with para. 1 no. 7 into consideration. The initiation of the doctoral procedure must also be rejected if there are any grounds that would lead to the withdrawal of the academic title. If the doctoral procedure is not initiated for reasons stipulated in sentences 3 to 5, § 15 shall apply. Upon initiating the doctoral procedure, the Doctoral Committee shall appoint the assessors in accordance with § 10 para. 5 as well as the Doctoral Commission. The notification informing the doctoral candidate on the initiation of the doctoral procedure simultaneously provides information on the composition of the Doctoral Commission and the assessors.

(4) The Chair of the Doctoral Committee shall assign the doctoral procedure after initiation to the Doctoral Commission, who implement it.

§ 10

Dissertation

(1) The dissertation serves as proof of the capability to produce independent academic work. It shall make a substantial contribution to research in the field of Architecture or Landscape Architecture and must contain new scientific findings.

(2) The dissertation is typically a work that is individually completed. It can also result from joint research. An academic paper by multiple authors may be accepted as a dissertation in exceptional cases insofar as the individual portion of the doctoral candidates can be clearly marked as such, is distinguishable, and can be evaluated on its own. The characteristics of an author are defined in § 8 of the "Statutes for Ensuring Good Scientific Practice, Preventing Scientific Misconduct, and Dealing with Violations" applicable at TUD Dresden University of Technology, in the currently valid version.

(3) The dissertation and the summary in accordance with § 9 para. 1 no. 3 shall generally be written in German or English. The Doctoral Committee shall decide on exceptions, provided that this was requested at the time of the application for admission as a doctoral candidate. In the case of approval for submission in another language, an additional summary in German or English must be included with the dissertation. The source material used for the preparation as well as other tools, in particular software that can generate texts, charts or images, are listed in full and with specific references to the relevant passages in the dissertation. Works that have previously served as examined or graduation assignments may not be submitted as dissertations. The preliminary publication of partial results of the dissertation requires the written consent of the primary supervisor from the supervisory team as well as the Doctoral Committee.

(4) When submitting a dissertation, a declaration in accordance with Appendix 2 shall be submitted, stating that the rules of good scientific practice were observed, that the work was written independently, and that no sources or aids other than those indicated were used. At the same time, doctoral candidates shall declare that they will comply with data protection regulations and will only publish personal data of third parties without their consent if this does not conflict with the overriding interests of the person(s) concerned that are worthy of protection.

(5) The dissertation shall be evaluated by least three assessors who are qualified to review the scientific topics addressed in the dissertation. One assessor must be a professor appointed in accordance with § 61 or § 63 SächsHSFG at TUD Dresden University of Technology. Other assessors may be professors at universities of applied sciences or junior professors, TUD Young Investigators, extraordinary professors, honorary professors with membership rights, or individuals who can demonstrate achievements equivalent to a habilitation. The dissertation must be evaluated by at least one assessor who works full-time outside of TUD Dresden University of Technology, who was not involved in supervising the content of the dissertation, who does not work at the same institute as the primary supervisor, who was not in a position of authority or under the direction of the doctoral candidate, and who did not publish any joint publications with the doctoral candidate during the specified period. In a collaborative procedure, one assessor must be a university lecturer at the relevant faculty of the respective university of

applied sciences. A person who is the Chair of the Doctoral Commission may not be appointed as an assessor.

(6) The assessors recommend to the Doctoral Commission in personal and independent assessments whether the work should be accepted or rejected as a dissertation. If they recommend acceptance, the assessors must give the dissertation the following ratings:

summa cum laude	= excellent
	= a performance exceptionally good
magna cum laude	= very good
	= a performance especially deserving of recognition
cum laude	= good
	= a performance exceeding the average
rite	= satisfactory
	= a performance that meets average requirements

For a more differentiated assessment, alone the intermediate grades 1.5 (magna cum laude) and 2.5 (cum laude) can be awarded. If the acceptance of the dissertation is rejected, it must be marked as

non sufficit	= not sufficient
	= an unusable performance

The reviews should also contain statements regarding compliance with the "Statutes for Ensuring Good Scientific Practice, Avoiding Scientific Misconduct and Handling Violations" as amended, and, for experimental or empirical portions of the dissertation, statements about the acquisition and quality of the data.

(7) As a rule, the reviews must be written in German and should be received by the Chair of the Doctoral Commission within three months. If there is an undue delay in issuing the review despite repeated reminders, the Doctoral Committee may countermand the defaulting assessor and appoint a new one.

(8) The submitted dissertation may be screened, in particular using plagiarism detection software, for potential text passages that have been borrowed but not cited or for other non-cited sources. The review of the dissertation is carried out either on a random-sample basis, for specific reasons, or in full.

1. As part of the random screening, at least one in five dissertations submitted at the faculty should be screened with the aid of plagiarism detection software between the time of submission and the completion of the doctoral procedure. The dissertations to be screened are kept anonymous and chosen at random. If a joint Doctoral Examinations Body exists, the screening shall be conducted by the joint Doctoral Examinations Body at the School level using plagiarism detection software. If there is no joint Doctoral Examinations Body at the School level, the Doctoral Examinations Office at the faculty level will be charged with completing the screening using plagiarism detection software. The Doctoral Examinations Body or the Doctoral Examinations Office shall inform the Doctoral Commission of the results of the screening. The Chair of the Doctoral Commission shall commission at least one assessor to be appointed with the evaluation or scientific appraisal of the results of the plagiarism detection software screening. This assessor can call on the help of other assessors pursuant to para. 5 to review these results if deemed necessary. The Doctoral Commission must be informed of the result of the screening. If there are signs of violations against good scientific practice, the Chair of the Doctoral Commission will inform the Chair of the Doctoral Committee of the Faculty. If, during the review, there is reasonable suspicion of a violation

- of good scientific practice, the Review Board for Scientific Misconduct must also be involved.
2. If people involved in the doctoral procedure such as assessors harbor doubts that the dissertation was written in keeping with academic integrity, the dissertation must be screened using plagiarism detection software. The results of the plagiarism software check must be evaluated or scientifically evaluated by at least one assessor. If deemed necessary, this assessor may involve additional assessors in the evaluation in accordance with paragraph 5. The Doctoral Commission must be informed of the result of the screening. If there are signs of violations against good scientific practice, the Chair of the Doctoral Commission will inform the Chair of the Doctoral Committee of the Faculty. If justified suspicion of a violation against good scientific practice arises within the scope of the screening for plagiarism, the Office for Good Scientific Practice must also be involved.
 3. Doctoral candidates whose dissertations are submitted to a screening must be informed thereof.
 4. The provisions of data protection law must be observed. In cases where plagiarism detection software is used, personal data (e.g. cover page) is not provided for the technical screening unless the data is required for reviewing compliance with the stipulations of academic integrity.
 5. In cases of suspicion of scientific misconduct, the "Statutes for Ensuring Good Scientific Practice, Avoiding Scientific Misconduct and Handling Violations" shall apply as amended for the following proceedings.

(9) If one of the reviews recommends that the dissertation be returned to the doctoral candidate for amendments or reworking, the Doctoral Commission will make the final decision on the matter. If the Doctoral Commission cannot reach an agreement, it will consult another assessor, who will be appointed by the Doctoral Committee at the recommendation of the Doctoral Commission. The Doctoral Commission may set an appropriate deadline of up to six months for resubmitting the edited dissertation. Resubmission of a returned dissertation is only possible once. For a resubmitted dissertation, new assessments or additions to the existing assessments must be requested from the assessors.

(10) Once all assessments have been submitted, the dissertation will be made available in the Dean's Office of the faculty for a period of two weeks and its availability will be announced. University lecturers and habilitated members of the faculty have the right to inspect the dissertation and the reviews without seeing the proposed ratings and to submit their personal vote for or against acceptance of the dissertation in writing to the Dean or the of the Doctoral Commission within the display period, stating their reasons. The vote must be justified in writing within a further two weeks. The members of the Faculty Board, and the members of the Doctoral Commission and the Doctoral Committee, also are entitled to inspect the evaluation proposals in the expert opinions.

(11) After the expiry of the display period, or if a vote is submitted after the expiry of the justification period, the Doctoral Commission shall decide on the acceptance or rejection of the dissertation on the basis of the expert opinions and the votes received. In the case of acceptance, the Doctoral Commission shall at the same time decide on the final grade of the dissertation using the ratings specified in para. 6. The grade "summa cum laude" may only be awarded if at least one assessor has graded the dissertation "summa cum laude" and no assessor has graded the dissertation lower than "magna cum laude. If the dissertation is rejected and thus

assessed as "non sufficit" (not sufficient), the doctoral procedure will be terminated; § 12 para.1 applies. One printed copy and the electronic copy of the rejected dissertation will remain in the doctoral record together with the reviews.

§ 11 Defense

(1) If the dissertation is accepted, the doctoral candidate must present the findings in a public lecture and defend these findings in a subsequent academic discussion by responding to questions from the audience (defense). The presentation should not exceed 30 minutes, and the subsequent debate should last at least 45 minutes. The defense as a whole should not exceed two hours.

2) Once the dissertation is accepted, the date and time of the defense of the dissertation shall be set by the Chair of the Doctoral Commission, who shall send the doctoral candidate a written invitation. The invitation must be sent two weeks in advance. At the same time, the doctoral candidate shall be given the opportunity to inspect the complete reviews without the suggested ratings. In addition, the Chair of the Doctoral Commission invites the members of the Doctoral Commission and publicly announces the date of the defense.

(3) The defense shall be led by the Chair of the Doctoral Commission. It shall typically be held in German. In exceptional cases, it may be held in another language upon the decision of the Doctoral Committee if the doctoral candidate, in agreement with the Doctoral Commission, has submitted a formal request to the Chair of the Doctoral Committee in due time. All attendees may ask questions during the academic discussion. The Chair of the Doctoral Commission may reject questions that are unrelated to the doctoral candidate's specialization in the field of Architecture or Landscape Architecture or the academic subject of the dissertation.

(4) Immediately after the defense, the Doctoral Commission decides whether the doctoral candidate passed the defense and assesses it with the ratings specified in § 10 para. 6. If the defense was not passed, it shall be assessed as "non sufficit" (not sufficient); § 12 para. 2 shall apply.

(5) If the dissertation and the defense have been passed, the Doctoral Commission determines the overall rating for the doctoral procedure. For this purpose, it uses the ratings specified in § 10 para. 6. When determining the overall rating, the result of the dissertation shall take precedence. If the dissertation or thesis defense was graded at least "summa cum laude" and none of the grades were lower than "magna cum laude," and if the doctoral candidate has demonstrated excellent academic performance in his or her work, then the overall grade of

summa cum laude	= excellent
	= an exceptionally good performance

may be awarded. The doctoral candidate shall be informed of the overall grade immediately after the defense. The public shall be informed of the successful conclusion.

(6) Following the determination of the overall grade in accordance with paragraph 5, the Doctoral Committee shall simultaneously decide on editorial requirements for publication and the preparation of the mandatory copies prior to printing.

(7) The main course of the defense shall be recorded by a minute-taker appointed by the Chair of the Doctoral Committee. The minutes shall be signed by the minute-taker and the Chair of the Doctoral Commission and included in the doctoral record.

§ 12

Repeating failed doctoral work

(1) After completion of the doctoral procedure in accordance with § 10 para. 10 sentence 11 as a result of the rejection of the dissertation, the doctoral candidate may take another attempt at obtaining a doctorate. The candidate must wait a year to submit a new application to initiate the doctoral procedure pursuant to § 9. Another dissertation or a fundamentally revised version of the first dissertation on the same topic must be submitted along with the application. If the doctoral procedure is initiated, the same Doctoral Commission as was involved in the first attempt shall be reappointed. If the doctoral candidate also fails the second attempt, further attempts to obtain a doctorate shall not be permissible at the same faculty.

(2) If the doctoral candidates do not pass their defense, the defense can be repeated in the same doctoral procedure within one year upon formal request. The application may be submitted after three months' time at the earliest. If the repeated attempt is not passed or conducted on time, the doctoral procedure will be unsuccessful concluded.

§ 13

Publication of the dissertation

(1) The dissertation shall be published and made available to the scientific community in an appropriate manner. For this purpose, the accepted and evaluated dissertation shall be made available to the Saxon State and University Library (SLUB) by means of reproduction and free transfer of the form and number of mandatory copies specified in sentence 3. The SLUB shall take the number of copies it requires and make the remaining copies available to the faculty. The publication of the Dissertation can be fulfilled by:

1. the provision of ten printed copies to the SLUB, or
2. the provision of five printed copies from a commercial publisher to the SLUB, whereby the print run must be at least 200 copies as a monograph, or
3. the provision of five printed copies and an electronic version to the SLUB in accordance with the SLUB guidelines.

(2) The dissertation shall be published in accordance with paragraph 1 in the version approved by the Doctoral Committee, taking into account any editorial requirements pursuant to § 11 paragraph 6. Compliance with the editorial requirements shall be confirmed in writing by the primary supervisor to the Doctoral Committee prior to printing.

3) Publication in accordance with paragraph 1 shall be accomplished within twelve months of the date of the defense. Publisher's copies in accordance with paragraph 1, sentence 3, number 2 shall be delivered within three years of passing the defense. Should the set deadline be missed due to negligence,

all rights acquired through achievements in the doctoral program shall expire and the doctoral program shall be terminated without the award of the academic title.

(4) The Chair of the Doctoral Committee may grant an extension of up to one year if there are exceptional circumstances that require special justification. Should the deadline be culpably missed, all rights acquired through achievements in the doctoral procedure will be voided and the procedure will be terminated without the conferment of an academic degree. The Chair of the Doctoral Committee shall inform the doctoral candidate of this in writing in accordance with § 5 para. 1. A repeated application is not permitted.

(5) The provision of an embargo, in which the dissertation may not be published due to agreements the doctoral candidate has made with third parties, must be formally requested in ensure, before writing from the Doctoral Committee using the template in Annex 3 of these Regulations. The request has at include a justification for the embargo. The request must be signed by the doctoral candidates and their primary supervisor. An embargo of up to one year may be requested, with the possibility of extension for up to a maximum of one additional year. The Doctoral Committee's decision shall be shared in writing. If the Doctoral Committee grants its approval using the template in Annex 4, this approval shall be submitted to the SLUB along with the obligatory copies of their dissertation. The obligation to provide copies of the work is thus fulfilled.

§ 14

Conclusion of the doctoral procedure

(1) The Chair of the Doctoral Commission recommends to the Doctoral Committee the award of the academic title in accordance with § 2 para. 1 The Doctoral Committee shall arrange for the doctoral certificate to be issued and the list of doctoral candidates to be updated.

(2) The doctoral certificate contains the last name, first name, previous academic degrees, date and place of birth of the doctoral candidate, the title of the dissertation, as well as the subject area, the academic title to be awarded and the overall rating. It is issued with the date of the defense and bears the signature of the Rector and the Dean of the faculty as well as the seal of TUD Dresden University of Technology.

(3) The Dean of the Faculty shall present the doctoral certificate in a form that is appropriate to the occasion as soon as the Doctoral Committee has received confirmation that the obligatory copies have been delivered, taking into account any editorial requirements that may have been imposed, pursuant to § 13. The doctoral procedure shall thus be concluded. The faculty as a whole shall be informed of the conclusion of the procedure.

(4) Upon the conclusion of the doctoral procedure, the doctoral candidate may bear the title awarded to them with their degree certificate.

(5) The Doctoral Committee may, upon request and only in particularly justified exceptional cases, grant revocable permission in advance to use the academic degree to be conferred with the addition "designatus" (des.) if a legally binding publishing contract for a publication in accordance with § 13 para. 1 no. 2 is submitted. The authorization shall be reviewed annually upon publication and revoked

if facts become known that, due to the fault of the doctoral candidate, publication has not been carried out or has not not been carried out after four years. § 16 shall apply accordingly.

§ 15

Discontinuation of the doctoral procedure

(1) The doctoral procedure may be discontinued at any time without a grade being issued a result if facts come to light that exclude the conferment of an academic degree. This applies in particular to fraudulent forms of proof that the admission requirements have been met, doctoral work completed, or other circumstances affecting the personal prerequisites of the candidate which would lead to an academic title. If the doctoral procedure is terminated without a grade being issued, all legal entitlements and claims that the candidate had in the doctoral procedure up to that point expire. The doctoral candidate is then struck from the list of doctoral candidates. The decision regarding termination is made by the Doctoral Committee at its own discretion.

(2) The doctoral candidate shall be consulted before the doctoral procedure is inconclusively discontinued. In the event of suspected scientific misconduct, the regulations stipulated in the "Statutes for Ensuring Good Scientific Practice, Avoiding Scientific Misconduct and Handling Violations" as amended at TUD Dresden University of Technology apply to the procedure.

§ 16

Protection periods

(1) Upon request, the periods of protection in accordance with § 3 of the Act on the Protection of Mothers at Work, in Training and at University (*Mutterschutzgesetz* - MuSchG) as amended must be taken into account. The request must include the necessary evidence. The maternity protection periods interrupt all time periods with deadlines set out in these Doctoral Degree Regulations.

By the same token, the periods of parental leave in accordance with § 15 paras. 1 to 3 of the Federal Parental Allowance and Parental Leave Act (*Bundeselterngeld- und Elternzeitgesetz* - BEEG) as amended must be taken into account upon request. The doctoral candidate must inform the Doctoral Committee in writing of the period of parental leave they wish to take at least four weeks before the date from which they wish to take parental leave, enclosing the necessary evidence. The Doctoral Committee shall check whether the legal requirements that would entitle employees to parental leave are met, and inform the doctoral candidate of the result and, if applicable, the newly set examination deadlines or other deadlines.

(3) The use of protective provisions for the care of a close relative within the meaning of § 7 para. 3 of the Care Leave Act (*Pflegezeitgesetz* – *PflegeZG*) of May 28, 2008 (BGBl. I p. 874, 896) in the currently applicable version, who is in need of care within the meaning of §§ 14 and 15 of the Eleventh Book of the Social Code (SGB XI) of May 26, 1994 (BGBl. I p. 1014, 1015) in the currently applicable version, is permitted.

§ 17

Compensation for disadvantages

(1) If a doctoral candidate can credibly demonstrate that, due to a disability or a long-term serious or chronic illness, they are unable to complete doctoral examinations in whole or in part under the intended conditions or to take them within the deadlines specified in these regulations, the Doctoral Committee will take appropriate measures to compensate for disadvantages with regard to the dissertation, and the Doctoral Commission with regard to oral examinations, upon written request. The doctoral candidate must explain the reasons for the requested compensation for disadvantages. Suitable evidence may be requested to substantiate the request, in justified cases of doubt an official medical certificate. The request should be submitted, at the latest, with the application for the opening of the doctoral procedure. The doctoral candidate must be informed of the decision in writing.

(2) Prior to the decision of the Doctoral Committee pursuant to paragraph 1, in disputed cases and with the consent of the doctoral candidate, the Commissioners for Students with Disabilities and Chronic Illnesses may be consulted in accordance with § 56 para. 10 SächsHSG.

§ 18

Revoking the academic title

(1) The academic title shall be revoked if the candidate was not truthful when providing proof of the admission requirements or when carrying out the required doctoral work, or if facts come to light that would prevent the awarding of such a title. The Doctoral Committee makes this decision.

(2) If, after the awarding of the academic title, it comes to light that the requirements for admission to the doctoral studies were not fulfilled without the candidate intending to deceive, this mistake can be remedied by passing the doctoral work.

(3) In the event of suspected scientific misconduct, the regulations stipulated in the "Statutes for Ensuring Good Scientific Practice, Avoiding Scientific Misconduct and Handling Violations" as amended at TUD Dresden University of Technology apply to the procedure.

§ 19

Structured doctoral programs and joint binational doctoral procedures

(1) The doctoral studies can also be completed as part of a structured doctoral program or a joint binational doctoral procedure, insofar as the Faculty of Architecture and Landscape Engineering or individual university lecturers from the Faculty are involved. It must be ensured that the doctoral candidates acquire the qualifications required by these Doctoral Degree Regulations and can provide proof thereof. In case of doubt, the Doctoral Committee shall decide whether this equivalence exists.

2) The details of both doctoral procedures referred to in paragraph 1 shall be regulated contractually on a case-by-case basis or in a framework agreement. The agreements are to be concluded by

the Deans or, on the part of the cooperation partners, also by the heads of comparable organizational units. The agreements can only supplement these Doctoral Degree Regulations. Deviations are not permitted. In case of doubt, the Doctoral Committee shall decide whether there is at least equivalence.

(3) The cooperation partner shall receive a copy of the doctoral record upon request. The degree certificate must include a reference to the binational doctoral program.

§ 20

Honorary doctorate

(1) The honorary academic degrees Doctor of Engineering (Dr.-Ing. h.c.) or Doctor of Philosophy (Dr. phil. h.c.), in accordance with § 2 para. 2, may be awarded to individuals who have made outstanding contributions to science, technology, culture, and the arts in the field of architecture or landscape architecture, and who are also especially connected to the Faculty. The person to be honored may not be primarily employed at TUD Dresden University of Technology.

(2) A formal request for the awarding Honorary Doctorate can be submitted to the Faculty Board by at least two professors at the faculty with sufficient reasoning. A Doctoral Committee appointed by the Faculty Council appoints a Doctoral Commission, to which the applicants do not belong, which examines the merits of the person to be honored, obtains at least three expert opinions from university professors, and submits a proposal for a decision to the Faculty Board.

(3) The application and the three expert opinions shall be made available for inspection by the members of the Faculty Board, the university lecturers, and the habilitated research associates of the faculty at the Dean's Office of the faculty for a period of two weeks. They have the right to submit a statement within the display period.

(4) The Faculty Board shall decide on the request in a secret vote. University lecturers from the faculty who are not members of the Faculty Board may also participate with voting rights.

(5) The decision of the Faculty Board about the awarding of an honorary academic degree must be approved by the Senate.

(6) The awarding of an honorary academic degree must be carried out in the form of a certificate signed by the Rector and the Dean which suits the occasion. The reasons and merits must be briefly summarized on the certificate. The Rector shall award the honorary degree. The Rector may delegate this right to the Dean of the faculty.

(7) The Saxon State Ministry responsible for universities must be notified of the awarding of an honorary academic degree.

§ 21

50th Doctorate anniversary

The Faculty may honor the 50th anniversary of the awarding of an academic degree if this appears appropriate in view of special academic merits or a particularly close link between the person to be honored and the Faculty or Dresden University of Technology as a whole. The responsible faculty is free to select the occasion and form of honor. The Faculty Board shall make this decision.

§ 22

Transitional provisions

(1) All doctoral projects commencing after the entry into force of this amendment shall be conducted on the basis of these regulations. Decisions on acceptance as a doctoral candidate that were already made before these regulations came into force shall remain valid; these regulations shall apply beyond that. Doctoral procedures already underway at the time of entry into force of these regulations shall be completed on the basis of the provisions stipulated by the Faculty of Architecture on in the Doctoral Regulations dated November 23, 2014 (Official Announcements of TU Dresden No. 8/2014 of December 19, 2014, p. 7), last amended by the statutes of September 3, 2016 (Official Announcements of TU Dresden No. 13/2016 of September 16, 2016, p. 266).

(2) For doctoral projects already in progress at the time of entry into force of these regulations, in which a decision has already been made on the admission of doctoral candidates, the Doctoral Committee may decide, up to three months after entry into force of these regulations and only in conjunction with the application to commence the doctoral procedure, to continue the doctoral project on the basis of the provisions of the Doctoral Regulations of the Faculty of Architecture dated November 23, 2014. (Official Announcements of TU Dresden No. 8/2014 of December 19, 2014, p. 7), as last amended by the Statutes of September 3, 2016 (Official Announcements of TU Dresden No. 13/2016 of September 16, 2016, p. 266).

§ 23

Expiry

The entry into force of these regulations simultaneously mean that the Doctoral Regulations of the Faculty of Architecture dated November 23, 2014 (Official Announcements of TU Dresden No. 8/2014 of December 19, 2014, p. 7), last amended by the statutes of September 03, 2016 (Official Announcements of TU Dresden No. 13/2016 of September 16, 2016, p. 266), hereby lapse.

§ 24

Entry into force

These regulations come into force on the day after publication in the official announcements of TU Dresden.

Issued based on the resolution of the Faculty Board of the Faculty of Architecture and Landscape as of April 16, 2025, and the approval of the University Executive Board as of April 29, 2025.

Dresden, June 17, 2025

The Rector
of TUD Dresden University of Technology

Prof. Ursula Staudinger

Annex 1

(ref. § 8 para. 3 no. 3)

Note on supervision agreements

1. The Graduate Academy provides a sample supervision agreement for doctoral procedures in its latest version and in the form of a fillable document. The supervision agreement template can be found and filled out at:

<https://tu-dresden.de/ga/ressourcen/dateien/mitgliedschaft/mitgliedschaftsdokumente/Betreuungsvereinbarung.pdf?lang=de>

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2. In the case of doctoral studies completed in cooperation with companies (industry cooperations), the use of the related annex to the supervision agreement is also recommended. The agreement supplements the supervision agreement and contributes to mutual certainty of action. A related template can also be accessed from the Graduate Academy website:

https://tu-dresden.de/ga/ressourcen/dateien/mitgliedschaft/mitgliedschaftsdokumente/BV_Anlage_Industriepromotion_Formular_EN.pdf

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Annex 2

(ref. § 9 para. 1 no. 5 and § 10 para. 4)

Declarations on the commencement of the procedure

I hereby declare that I have complied with the rules of good scientific practice, that I have written this dissertation independently and without the inadmissible help from third parties or the use of any tools beyond the ones specified. Any ideas taken directly or indirectly from outside sources are indicated as such. The source material used for the preparation as well as other tools, in particular software that can generate texts, charts or images, are listed in full and with specific references to the relevant passages in the dissertation.

In selecting and evaluating the material and producing the manuscript, I received support from the following persons:

No other persons were involved in the intellectual production of this work. Specifically, I have not made use of any help from commercial doctoral advisors. No third parties have received direct or indirect monetary payments for work having to do with the content of the submitted dissertation. The work has not been submitted to any examination body in this country or any other in the same or a similar form, nor has it been published.

Place, date

Signature of the doctoral candidate

Annex 3
(ref. § 13 para. 5)
Request for a dissertation embargo note

To
Faculty of Architecture and
Landscape
Doctoral Committee

Contact details of the doctoral candidate

Last name	First name
Date of birth	City and country of birth
Home address - Street and house number	Home address - Zip code and city
Telephone number	Email address

I will inform the faculty if my contact information changes prior to the publication of the dissertation.

Dissertation

Dissertation title

I hereby request

☐ The first embargo of the publication of my dissertation for one year from submission of the obligatory copies to the SLUB, until _____

☐ The final extension of the embargo by one year until _____

Justification for the application:

--

I hereby declare my consent to the dissertation being automatically published upon the lapse of the deadline.

Place, date

Signature of the doctoral candidate

The above request has been approved by the primary supervisor.

Last name, first name and, if available, stamp
of the primary supervisor for the
dissertation in block letters

Signature of the primary supervisor

Annex 4

(ref. § 13 para. 5)

Approval of the request for a dissertation embargo note

(Must be submitted along with the submission of the written copies and the electronic version of the dissertation to the SLUB.)

The Doctoral Committee of the Faculty of Architecture and Landscape approves the request dated *month day year* by *name of the doctoral candidate*:

_____.

The publication embargo is approved until *Month Day Year*.

Once the embargo lapses, the dissertation will be released for publication.

Date

Signature and stamp of the
Chair of the Doctoral Committee