

# **Risk compensation? – The relationship between helmet use and cycling speed under naturalistic conditions**

Katja Schleinitz<sup>1</sup>, Tibor Petzoldt<sup>2</sup>, & Tina Gehlert<sup>3</sup>

<sup>1</sup> Technische Universität Chemnitz, Chemnitz, Germany

<sup>2</sup> Technische Universität Dresden, Dresden, Germany

<sup>3</sup> German Insurers Accident Research (UDV), Berlin, Germany

Author note

Correspondence concerning this article should be addressed to Katja Schleinitz

Cognitive and Engineering Psychology,

Technische Universität Chemnitz, 09107 Chemnitz, Germany

Contact: [katja.schleinitz@psychologie.tu-chemnitz.de](mailto:katja.schleinitz@psychologie.tu-chemnitz.de)<sup>1</sup>

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<sup>1</sup> Correct at the time of publication, but no longer valid. Dr. Schleinitz can be contacted under [katja.schleinitz@argetp21.de](mailto:katja.schleinitz@argetp21.de)

20 **ABSTRACT**

21 *Introduction:* An argument against mandatory helmet use is based on the idea of risk compensation, which  
22 means that cyclists might ride faster when wearing a helmet (Lardelli-Claret et al., 2003). However,  
23 questionnaire and experimental studies were unable to find evidence for this assumption (Fyhri et al.,  
24 2012; Fyhri & Philipps, 2013). Simultaneously, other factors with a potential role in helmet use and  
25 cycling speed, such as trip length and rider characteristics have been neglected in such considerations. The  
26 goal of the analysis presented in this paper was therefore to investigate the relationship between helmet  
27 use and cycling speed under naturalistic conditions while taking characteristics of cyclists and bicycles  
28 into account.

29 *Method:* As part of a naturalistic cycling study, we equipped the bicycles of conventional and e-bike riders  
30 with data acquisition systems to record speed and trip distance. It included two cameras (one for the face  
31 of the participant, another one for the forward scenery). For the analysis presented in this paper, we used  
32 the data of 76 participants (28 conventional bicycles, 48 e-bikes).

33 *Results:* In total, participants used their helmet for 56% of all trips. Helmets were used more frequently for  
34 longer trips. A linear mixed model, in which trip length, helmet use, bicycle type, age and gender were  
35 used as predictors showed that helmet use did not play a significant role for cycling speed. Instead, all  
36 other factors that were analysed, with the exception of gender, had a significant relationship to cycling  
37 speed.

38 *Discussion:* The assumption of risk compensation as a result of the use of a helmet could not be  
39 confirmed. Instead, the findings seem to support the suggestion that cyclists who undertake trips at  
40 potentially higher speed levels are aware of their increased risk, and actively try to reduce it through the  
41 use of a helmet.

42

43 **Keywords:** naturalistic cycling study, trip length, electric bicycles, bicycle helmet, age

44

45 **INTRODUCTION**

46 Habitual helmet use is still the exception, rather than the rule, among cyclists. In 2015, only 18% of  
47 observed German cyclists wore a helmet (von Below, 2016), a proportion that constitutes only a slight  
48 increase compared to previous years (von Below, 2013; Wandtner, 2014, 2015). At the same time,  
49 available research unambiguously points to the fact that cycling helmets can reduce crash severity (Olivier  
50 & Creighton, 2017; Orsi, Ferraro, Montomoli, Otte, & Morandi, 2014; Rivara, Thompson, Patterson, &  
51 Thompson, 1998). Amoros, Chiron, Martin, Thelot and Laumon (2012) found that helmets generally  
52 reduce the risk of head injuries, and in particular protect against the most serious of such injuries. Data  
53 from the US show a decrease in the number of fatalities and severe head injuries among cyclists after the  
54 introduction of mandatory helmet use in the city of Seattle (Kett, Rivara, Gomez, Kirk, & Yantsides,  
55 2016). Nevertheless, making helmet use mandatory through corresponding legislation is highly  
56 controversial, since the positive effects of helmets (such as the reduction of head injuries in the event of a  
57 crash) could be offset by additional negative effects. It has been argued that bicycle helmet laws might act  
58 as a deterrent to potential cyclists, reduce the amount of cycling and as a consequence eliminate the  
59 positive effects on health (Sieg, 2016). In a frequently cited (however heavily criticised – Olivier &  
60 Walter, 2013) study, Walker (2007) found that drivers might modify their passing distance dependent on a  
61 cyclist's usage of a helmet, with closer passing of those who wore helmets.

62 In addition, it has also been argued that cyclists themselves might change their cycling behaviour as a  
63 result of wearing a helmet. More precisely, it has been suggested that, based on the idea of risk  
64 compensation, cyclists might adapt their behaviour in accordance to the perceived risk in a given situation,  
65 a risk that would be perceived as being reduced because of wearing a helmet (Adams & Hillman, 2001;  
66 Hagel & Barry Pless, 2006). As a consequence, cyclists who wear a helmet might, e.g., cycle faster, and  
67 hence objectively increase their risk of being involved in a crash (Lardelli-Claret et al., 2003). Evidence  
68 for this suggestion, however, is hard to find. In Norway, about 1,500 cyclists were asked about helmet use,  
69 risk perception and cycling at high speed (Fyhri, Bjornskau, & Backer-Grondahl, 2012). The findings

70 indicate that the intention / expectation of riding fast is the reason for helmet use, and not the other way  
71 around. According to the authors, faster cyclists appear to be aware of their increased risk, and actively try  
72 to reduce it through helmet use. At the same time, the participants' self-reported information provided no  
73 evidence for a relationship between helmet use and crash involvement. Similarly, experimental studies  
74 have, so far, failed to provide evidence for cyclist risk compensation. Fyhri and Phillips (2013)  
75 manipulated helmet use among routine helmet users as well as cyclists who reported to never wear a  
76 helmet. In one of the experimental blocks, participants were required to wear a helmet, in a second block,  
77 they rode without one. The results show that routine helmet users cycled slower when not wearing a  
78 helmet compared to the condition in which they wore a helmet (i.e., they slowed down when their usual  
79 protection was removed). However, there was no comparable effect for non-helmet users, i.e., they did not  
80 increase their speed once they wore a helmet. The authors concluded that requiring riders to use a helmet  
81 would not lead to increased speed.

82 One major criticism of such experimental approaches is the issue of validity. It might be argued that any  
83 long-term behavioural adaptation to a cycling helmet cannot be induced in or inferred from an experiment.  
84 Likewise, the role of other relevant factors for both helmet use and speed is not fully clear, and often  
85 cannot be tested in an experimental environment. For example, it is known that helmet use is somewhat  
86 correlated with trip length. In interviews and questionnaires cyclists stated that they do not use a helmet  
87 for short trips (Kakefuda, Stallones, & Gibbs, 2009; Lajunen, 2016; Teschke et al., 2012). Lajunen (2016)  
88 claimed that an anticipated short trip length is a central barrier for helmet use. At the same time, trip  
89 length is correlated with trip purpose (e.g., minor errands vs. commute vs. recreational weekend ride), and,  
90 as a consequence, not independent of cycling context (e.g., type of road, frequency of intersections,  
91 presence of other road users), factors that all might impact on cycling speed. It is also known that the  
92 willingness to use a helmet is related to the gender and age of the cyclist (Fischer et al., 2012; Ritter &  
93 Vance, 2011), two factors that are associated with speed and trip length as well. Women, on average, ride  
94 at slower speed than men, and their trips are shorter too (Petzoldt, Schleinitz, Heilmann, & Gehlert, 2017;

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95 Scaramuzza, Uhr, & Niemann, 2015). Similarly, older riders are, on average, slower than younger riders,  
96 and complete shorter trips as well (Scaramuzza et al., 2015; Schleinitz, Petzoldt, Franke-Bartholdt, Krems,  
97 & Gehlert, 2017; Vlakveld et al., 2015). Finally, the increased popularity of electric bicycles is a factor to  
98 consider, given that several studies have shown that the mean speeds as well as trip distances of e-bike  
99 riders differ considerably from those of users of conventional bicycles (Jellinek, Hildebrandt,  
100 Pfaffenbichler, & Lemmerer, 2013; Schleinitz et al., 2017).

101 To address the described issues, long-term observations of bicyclists under naturalistic conditions are  
102 required. Such naturalistic cycling studies (Dozza, Piccinini, & Werneke, 2016; Johnson, Charlton, Oxley,  
103 & Newstead, 2010) help to paint a realistic picture of cyclists' behaviour, including aspects such as helmet  
104 use, speed or trip length, and allow for the investigation of the effect of each of these factors, as well as  
105 the interactions between them. The goal of this study was therefore to make use of such data, and assess  
106 the relationship between helmet use and cycling speed under naturalistic conditions while taking  
107 characteristics of the riders and bicycles into account. The analysis presented is based on data collected in  
108 a naturalistic cycling study, in which more than 2,300 hours of cycling had been recorded (Schleinitz et  
109 al., 2014).

## 110 **METHOD**

### 111 *Participants*

112 Participants were recruited through flyers in cycling shops and ads in newspapers. We selected our  
113 participants out of about 180 applicants based on criteria such as gender, age, what type of bicycle they  
114 own, or how often they ride. The participants were supposed to ride either a conventional bicycle or one of  
115 two e-bike types (pedelec and S-pedelec<sup>2</sup>), and cycle at least three times a week only in Chemnitz or the

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<sup>2</sup> In Germany, we distinguish between pedelecs and S-pedelecs. Pedelecs support pedalling up to 25 km/h (250W), are legally treated as conventional bicycles and constitute 99% of e-bikes sold (Zweirad-Industrie-Verband, 2017). S-pedelecs support pedalling up to 45 km/h (500W), and are legally categorised as powered two wheelers, i.e. the rider needs to be in possession of a moped driving licence, and is required to wear a helmet (Lawinger & Bastian, 2013). Similar categorisations (often with consequences for licensing, insurance etc.) exist in most European countries (Jellinek et al., 2013).

116 surrounding areas. In addition, the e-bike riders were required to have at least three months of experience  
 117 with their bicycle. In total, 90 participants in three age brackets ( $\leq 40$  years; 41 - 64 years;  $\geq 65$  years)  
 118 were selected (31 cyclists, 49 pedelec riders, 10 S-pedelec riders). The S-pedelec group was not included  
 119 in the analysis reported in this paper, as S-pedelec riders have to use their helmets mandatory. Their  
 120 motives to use a helmet are very different from those of conventional cyclists and pedelec riders. Out of  
 121 the remaining 80 datasets, we had to remove the data of four participants because of technical issues (no  
 122 face video available, i.e. no information on helmet use), which resulted in a final dataset of 76 participants  
 123 (32 female, 44 male). Twenty-eight of them rode a conventional bicycle (11 female, 17 male) and 48 a  
 124 pedelec (21 female, 27 male). The cyclists were, on average, 53 years old ( $SD = 17.2$ ,  $Min = 16$ ,  $Max =$   
 125  $75$ ), the e-bike riders 54 years ( $SD = 16.6$ ,  $Min = 24$ ,  $Max = 74$ ). Table 1 shows the distribution of  
 126 participants across age groups and bicycle types. According to the screening questionnaire, participants  
 127 used their bicycle on 5 days a week on average. All participants received monetary compensation of 100€  
 128 for their cooperation.

Table 1. *Number of participants per age group and bicycle type (N = 76).*

Age groups	<u>Cyclists</u>			<u>Pedelec riders</u>		
	<i>N</i>	<i>M age</i>	<i>SD age</i>	<i>N</i>	<i>M age</i>	<i>SD age</i>
$\leq 40$ years	8	30.8	7.1	15	33.3	6.6
41 - 64 years	9	52.4	8.5	14	54.1	7.2
$\geq 65$ years	11	69.5	3.2	19	70.4	3.2
Total	28	52.9	17.2	48	54.0	16.6

129  $N$  = Number of participants,  $M$  = mean,  $SD$  = standard deviation.

130  
 131 *Data acquisition system*  
 132 The participants' bicycles / pedelecs were equipped with a data acquisition system (DAS), which included  
 133 wheel sensors (2 Hz) to record speed and distance, as well as two cameras (Type ACME FlyCamOne eco

134 V2), a GPS sensor and a battery. One camera recorded the face of the participant (see Figure 1) and the  
135 other one the forward scenery (both cameras: 30 Hz with a resolution of 720x480 pixels). The DAS was  
136 mounted on the handlebar of the bicycle, and could be activated with the help of a single flip switch.

137



138

*Figure 1.* Example of video footage.

139 *Procedure*

140 During the installation of the DAS, the participants filled in the pre-study questionnaire, which asked  
141 about aspects such as their cycling behaviour or the purpose of their usual cycling trips. For data  
142 acquisition, participants were instructed to use their bicycle or pedelec as they normally would, which also  
143 included the (non)usage of a helmet. They were asked to record each trip they made. For each participant,  
144 data was collected over a period of four weeks. During the period of data acquisition, trained technicians  
145 carried out maintenance procedures (DAS repairs and exchange of storage media) whenever needed. After  
146 four weeks, the DAS was dismantled and the participants filled in the post-study questionnaire, which  
147 included questions about the handling of the DAS (e.g., if they had always activated the system and if not,  
148 why). The answers imply that only a few trips might have been lost. The reasons usually provided for not  
149 activating the system were “forgotten” or “battery dead”, which imply that there would be no systematic  
150 pattern in these lost trips.

151 *Data analysis*

152 The videos of the riders' face were used to address the question of helmet use. For all videos, the start and  
153 the end of a trip were reviewed with respect to the rider's (non)usage of a helmet during this trip (see  
154 Figure 1). No case was identified in which the rider removed the helmet during the trip (i.e., no difference  
155 in usage between start and end), so that all trips could be clearly coded as either "with helmet" or "without  
156 helmet". This information was then linked to information on trip length, cycling speed and demographic  
157 data in a database. Cycling speed was analysed excluding all situations in which the bicycle was stationary  
158 (speed = 0 km/h). Nonparametric tests were used to test for statistical significance, since data was not  
159 normally distributed.

160 Data on cycling speed was analysed using a linear mixed effect model (LMM). In the model, trip length,  
161 age (as continuous variables) and helmet use (codes: 0 = no helmet, 1 = helmet), gender (0 = female, 1 =  
162 male), and bicycle type (0 = bicycle, 1 = pedelec) as dichotomous variables as well as interactions  
163 between helmet use and the other factors were included. Cycling speed was the dependent variable<sup>3</sup>. To  
164 control for participants' influence, a LMM with a random effect for participants and fixed effects for all  
165 other predictors was specified using package "lme4" (Bates, Maechler, Bolker & Walker, 2015) in R 3.5.0  
166 (R Core Team, 2018). To further analyse significant interactions (two were found, which both happened to  
167 include helmet use as one of the factors), they were broken down by calculating separate LMM for trips  
168 without helmet and trips with helmet (see Field, Miles, & Field, 2012). The model included the same  
169 predictors as the main model with the exception of helmet use. For the model fit, pseudo  $R^2_m$  and pseudo  
170  $R^2_c$  were specified. Pseudo  $R^2_m$  describes the variance explained by fixed factors and pseudo  $R^2_c$  represent  
171 the variance explained by the whole model including random factors (intercept).

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<sup>3</sup> In the description of the results of such models, phrases like "significant effect of a on b" are commonly used (and also are used in the results section of this article). Such a phrasing is supposed to point out effect in a statistical sense, however should not be interpreted as an implication of actual causality.



173 **RESULTS**

174 In total, the participants recorded nearly 12,700 kilometres of cycling. The data of 3,416 trips could be  
175 included in the analysis. The first part of the reported analysis provides mostly descriptive results on  
176 helmet use and trip length, and how they relate to the participant factors of age, gender and bicycle type.  
177 In the second part, the results of the main analysis on cycling speed are reported, in which helmet use, trip  
178 length, age, gender, and bicycle type are all treated as (potentially) relevant influencing factors.

179 *Helmet use*

180 Among the analysed trips, we found 1,902 trips with helmet and 1,514 trips without helmet, which  
181 corresponds to a helmet usage rate of 56%. Figure 2 shows the usage rate for each participating rider.  
182 Around 35% of our conventional cyclists more or less never wore a helmet, while this was the case for  
183 only 20% of the pedelec riders. About half of the conventional cyclists used a helmet occasionally.  
184 Among the pedelec riders, the share was somewhat lower with about 37%. Only about 15% of the cyclists  
185 wore a helmet on almost every ride, compared to nearly half of the pedelec riders. In general, pedelec  
186 riders used a helmet more often (66%) than riders of conventional bicycles (42%), a difference that was  
187 found to be statistically significant ( $U = 445.5, p = .013$ , data analysed on subject level).

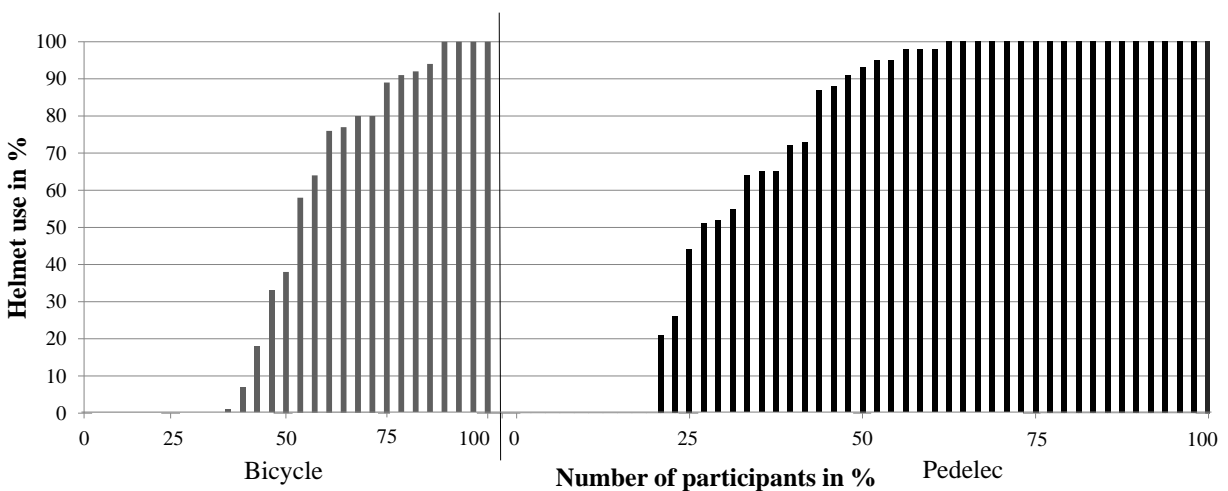


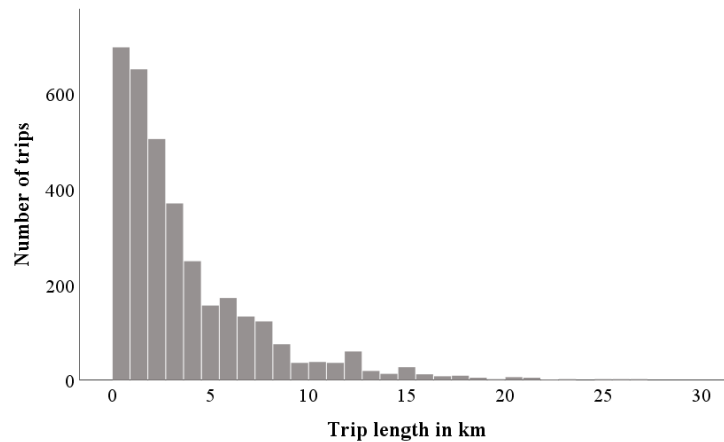
Figure 2. Percentage of trips with helmet (in %) separated by type of bike. Each bar represents one rider (N = 76).

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Although our participants 65 years and older showed a slightly higher helmet usage rate (61%) than the participants 41 to 64 years (52%) and the participants 40 years and younger (52%), there was no statistically relevant difference between the three age groups ( $H = 0.260$ ;  $p = .878$ ). There was no difference in helmet use between male and female participants (both 58%,  $U = 708.0$ ,  $p = .966$ ).

188 *Trip Length*

189 Mean trip length across all trips was 3.7 km ( $Mdn = 2.4$  km,  $SD = 3.8$  km). Most of the trips were between  
190 1 and 5 km long (see Figure 3), while only a small portion was longer than 15 km.



191

*Figure 3.* Histogram of trip length (an outlier trip of 40.9 km length is not depicted,  $n = 3,416$ ).

192 Overall, trips in which participants wore a helmet were longer than trips without helmet see Table 2). A  
193 visualisation of this relationship (for occasional helmet users) can be found in the Appendix. In addition,  
194 we found that, on average, the trips of pedelec riders were longer compared to the trips of conventional  
195 cyclists. With regard to age, the longest trips were completed by riders between 41 and 64 years of age,  
196 whereas the younger riders completed the shortest distances. Likewise, we found a small difference with  
197 regard to gender, as trips completed by male riders appeared to be slightly longer than those of female  
198 cyclists.

199

200

Table 2. *Descriptive results for the influencing factors on trip length in km (N = 76, 3,471 trips).*

		<i>N</i>	<i>M</i>	<i>SD</i>	<i>95% CI</i>
<b>Helmet use</b>	with helmet	1903	4.5	4.4	4.3, 4.7
	without helmet	1514	2.7	2.6	2.6, 2.8
<b>Bicycle type</b>	bicycle	1498	3.2	3.6	3.0, 3.4
	pedelec	1919	4.1	3.9	3.9, 4.3
<b>Age group</b>	≤ 40 years	1042	3.3	3.1	3.2, 3.5
	41-64 years	912	4.0	4.1	3.7, 4.2
	≥ 65 years	1463	3.8	4.0	3.6, 4.0
<b>Gender</b>	male	1906	4.1	4.2	4.0, 4.3
	female	1511	3.2	3.1	3.0, 3.3

201

202 *Speed*

203 Similar to the descriptive analysis of trip length, Table 3 gives an overview of mean speed for the different

204 factor levels of helmet use and bicycle type, as well as age and gender of the rider. Speed was slightly

205 higher in trips in which participants wore a helmet compared to trips without helmet. In addition, we

206 found that trips by pedelec were slightly faster than trips with a conventional bicycle. Mean speed in trips

207 completed by older riders was lower compared to the other two age groups. In addition, trips by female

208 riders were slower than those of male ones.

209

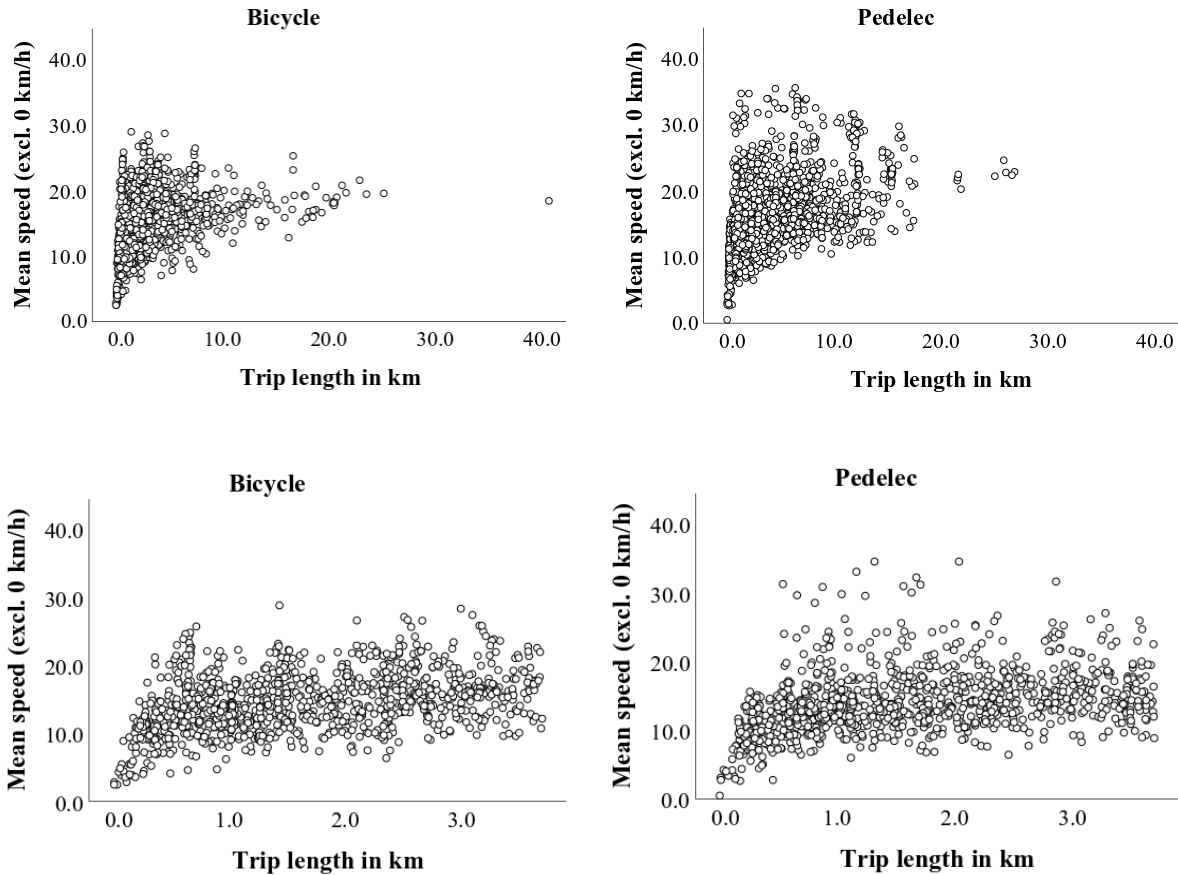
Table 3. *Descriptive results for the influencing factors on speed in km/h (excl. 0 km/h, N = 76, 3,471 trips).*

		<i>N</i>	<i>M</i>	<i>SD</i>	<i>95% CI</i>
<b>Helmet use</b>	with helmet	1903	16.1	5.0	15.9, 16.3
	without helmet	1514	15.0	4.6	14.8, 15.3
<b>Bicycle type</b>	bicycle	1498	15.2	4.2	15.0, 15.4
	pedelec	1919	15.9	5.2	15.7, 16.2
<b>Age group</b>	≤ 40 years	1042	18.3	5.6	17.9, 18.6
	41-64 years	912	15.6	5.8	15.3, 15.9
	≥ 65 years	1463	13.7	3.4	13.6, 13.9
<b>Gender</b>	male	1906	16.6	5.2	16.4, 16.9
	female	1511	14.3	3.9	14.1, 14.5

210

211 Figure 4 shows the correlation between trip length and mean cycling speed for trips by bicycle and  
 212 pedelec. For cyclists as well as pedelec riders, longer trips went with higher mean speed, which is also  
 213 reflected in significant correlations between the two variables ( $r_{\text{bicycle}} = .301, p < .001$ ;  $r_{\text{pedelec}} = .483, p$   
 214  $< .001$ ). What is visible from the figure is that up to a certain trip length, the relationship between trip  
 215 length and mean speed is nearly linear, while beyond that point, increases in mean speed are minimal. The  
 216 patterns for trips by cyclists and pedelec riders are highly similar, except that certain levels of mean speed  
 217 were only reached by pedelec riders.

218



219

220

Figure 4. Top: correlation between mean speed per trip (excl. 0 km/h) and trip length for all trips by bicycle (left,  $n = 1,498$ ) and pedelec (right,  $n = 1,919$ ); bottom: the same correlation plotted only for trips by bicycle (left,  $n = 1,095$ ) and pedelec (right,  $n = 1,148$ ) shorter than the mean trip length (3.7 km).

221

222 To take the effects of all the recorded factors on speed into account, a LMM was applied to investigate the  
223 relationship between helmet use, trip length, age (as continuous variable), gender, and bicycle type as  
224 predictors and cycling speed as the predicted variable (see Table 4). The fixed effects of the LMM explain  
225 39.3% of the variance (pseudo  $R^2_m = .393$ ), whereas the whole model inclusive random effect explains a  
226 considerable larger amount of variance with 66.7% (pseudo  $R^2_c = .667$ ). The model shows a significant  
227 age effect on cycling speed, with older riders cycling slower than younger ones. Trip length was found to  
228 be a significant predictor as well, with longer trips being accompanied by higher speed. Not surprisingly,

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229 also bicycle type had a significant effect, with pedelec riders being faster than riders of conventional  
230 bicycles. Helmet use and gender, however, had no statistically relevant predictive value for mean trip  
231 speed.

232 In addition to the described main effects, we found two significant interactions (helmet use \* trip length  
233 and helmet use \*gender). These interactions were broken down by conducting two separate LMM, one for  
234 trips with helmet und one for trips without helmet. The analysis for trip length and helmet use showed  
235 that, while trip length predicted speed for both trips with and without helmet (higher speed with longer  
236 trips), the effect was more pronounced for trips without helmet (with helmet:  $b = 0.383$ ,  $t(1,901.2) =$   
237  $21.04$ ,  $p < .001$ ; without helmet:  $b = 0.604$ ,  $t(1,494.6) = 19.07$ ,  $p < .001$ ). For the significant interaction of  
238 helmet and gender, the two separate models revealed that gender had only predictive value when trips  
239 were completed without helmet (men without helmet rode faster as women;  $b = 2.129$ ,  $t(57.0) = 3.00$ ,  $p$   
240  $= .004$ ), whereas there was no such effect for trips with helmet ( $b = 1.105$ ,  $t(44.3) = 1.41$ ,  $p = .165$ ).

241

Table 4. *Results of the linear mixed model for age, trip length, gender, bicycle type and helmet use with a random effect for participants (intercept, N = 76, 3,417 trips).*

<b>Predictor</b>	<b><i>b</i></b>	<b><i>SE b</i></b>	<b>CI 95%</b>	<b><i>p</i></b>
Intercept	18.740	1.202	16.440 – 21.197	< .001
Age	-0.136	0.019	-0.175 – -0.098	< .001
Trip length	0.590	0.031	0.528 – 0.651	< .001
Gender	1.294	0.659	0.019 – 2.588	.052
Bicycle type	1.972	0.677	0.609 – 3.329	.004
Helmet use	1.240	0.688	-0.092 – 2.597	.072
Helmet * age	-0.006	0.011	-0.027 – 0.016	.541
Helmet * trip length	-0.201	0.035	-0.272 – -0.132	< .001
Helmet * gender	1.048	0.377	0.297 – 1.766	.006
Helmet * bicycle type	-0.643	0.378	-1.395 – 0.073	.088

242

243

244 **DISCUSSION**

245 The main goal of this study was to investigate the relationship between helmet use and cycling speed  
 246 under naturalistic conditions while taking characteristics of cyclists and bicycle as well as trip length into  
 247 account. The analysis of the data did not find a significant relationship between helmet use and cycling  
 248 speed. Based on this result, the assumption that helmet use would result in some form of risk  
 249 compensation could not be supported, which is in line with findings of Fyhri and Phillips (2013). Instead,  
 250 rider related factors, such as rider’s age or bicycle type were found to be significant predictors of cycling  
 251 speed (while gender was not). Corresponding to results of other studies, older riders travelled slower than

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252 younger ones (Jellinek et al., 2013) and pedelec riders travelled faster than cyclists (Huertas-Leyva,  
253 Dozza & Baldazini, 2018; Vlakveld et al., 2015).  
254 Most instructive, however, was the role of trip length in our analyses. In line with previous findings, there  
255 was a clear relationship between trip length and helmet use (Kakefuda et al., 2009), as, on average,  
256 cycling trips for which riders wore a helmet were longer compared to those without. At the same time, we  
257 found a clear correlation between trip length and cycling speed, as longer trips went with higher speeds.  
258 Confirming this impression, the LMM showed that trip length was a significant predictor of cycling speed.  
259 Altogether, this strongly suggests that investigations of helmet use and cycling speed in the field have to  
260 take trip length into consideration, as it is likely that any correlation between helmet use and cycling speed  
261 is actually the result of a relationship between trip length and speed, with helmet use only being a side  
262 effect.

263 It should be acknowledged, however, that the significant role of trip length itself might actually be the  
264 result of a variety of underlying factors. For example, trip length must be assumed to be highly correlated  
265 with trip purpose, which, in turn, has an impact on the environmental characteristics under which such a  
266 trip takes place. Cyclists are more likely to use a helmet for longer sports and recreational trips instead for  
267 commuting or trips for errands (Jürgensohn, Schwarz, Kretschmer, Heß, & Platho, 2017; Teschke et al.,  
268 2012). During recreational trips, cyclists are more likely to cover the surrounding areas of the cities, where  
269 they can travel with higher speeds, both because of specific infrastructure characteristics (e.g. fewer  
270 intersections) and reduced surrounding traffic (which has been found to slow cyclists down, Bernardi &  
271 Rupi, 2015). Consequently, the identification of trip purposes, and the detailed annotation of the cycling  
272 environment during the trip might prove valuable for answering more specific research questions, and is  
273 something that should be pursued in future studies. A time series annotation of characteristics of the  
274 surroundings during the ride, such as road type, weather conditions, road surface quality, surrounding  
275 traffic etc. can help clarify speed choice on a micro level (however, is beyond the scope of the analysis  
276 reported in this paper). Trip purpose might, to some degree, also be inferred from the review of video



277 material (with a considerable degree of error to be expected), but will ultimately remain unknown to the  
278 outside observer. Trip diaries that require riders to report aspects such as the reason for a certain trip can  
279 be a useful addition to such an investigation, if a sufficient level of data quality can be assured. However,  
280 it should be taken care that this requirement does not interfere too much with the general idea of the  
281 naturalistic approach, which is to observe unobtrusively.

282 The principal limitations of the naturalistic approach should be acknowledged as well. An issue that is  
283 especially critical in naturalistic cycling (compared to naturalistic driving) is the role of the geographic  
284 location of the study. Even within one and the same country, cycling behaviour (especially speed,  
285 distances) might differ considerably dependent on whether the surrounding are, e.g., rather flat or quite  
286 mountainous, which somewhat limits the generalisability of the findings. Furthermore, even within one  
287 and the same environment, control over geographical characteristics, e.g., gradient of the road, or  
288 environmental conditions, e.g., traffic density or weather, is practically impossible, although such factors  
289 undeniably could impact on rider's speed independent from the factors considered in this analysis. Even  
290 though we made an effort to mitigate these influences through the large number of trips and the  
291 considerable number of participants that went into this analysis, they cannot be completely avoided. This  
292 lack of control over potential confounding variables makes inferences regarding actual causality  
293 impossible. While the naturalistic approach can absolutely help generate reasonable hypotheses that might  
294 be tested further under more controlled conditions, it should again be pointed out that the results of our  
295 investigation merely show statistical relationships, and it is not intended to imply that any of the predictor  
296 variables is actually the root cause for any measured variation in cycling speed.

297 It also should be noted that our participants' helmet usage rate of nearly 60% was clearly higher than what  
298 has been reported from other observations in Germany (von Below, 2016; Wandtner, 2015). One potential  
299 explanation might be found in the subject sample for this investigation. It can be assumed that the reliance  
300 on volunteer participants has resulted in a rather safety conscious sample, as a high cycling frequency and  
301 an interest in safety related issues might certainly be prevalent in those willing to participate. This might

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302 also explain why, contrary to previous investigations of German cyclists (Ritter & Vance, 2011; von  
303 Below, 2016), we did not find differences in helmet usage rates between age groups or male and female  
304 riders (although it should be pointed out that in general, reports on the effects of age and gender on helmet  
305 use are inconsistent, (see, e.g. Fischer et al., 2012; Fyhri et al., 2012; Lajunen, 2016).

306

## 307 **CONCLUSION**

308 This study has used naturalistic cycling data to investigate the relationship between helmet use and cycling  
309 speed while taking characteristics of cyclists and bicycle as well as trip length into account. It had been  
310 suggested previously that helmet use would result in some form of risk compensation that might manifest  
311 itself in an increase of cycling speed (Messiah, Constant, Contrand, Felonneau, & Lagarde, 2012; criticised  
312 by Olivier, Esmailikia, & Grzebieta, 2018), a suggestion that was not supported by our results. Given that  
313 the potential for behavioural adaptation on behalf of the cyclist is one argument that has repeatedly been  
314 provided by opponents of mandatory helmet use (see Olivier et al., 2018; Robinson, 2006, 2007), this finding  
315 is highly relevant. While the results are far from proof that there would be no behavioural adaptation at all,  
316 they at least clarify that it is unlikely that riders would simply increase speed once they wear a helmet.  
317 Insofar, the results might help re-focus the search for indicators of behavioural adaptation. While quite a  
318 few authors argue (convincingly) about behavioural adaptation in an abstract form, basing their arguments  
319 mainly on theoretical considerations (e.g., Adams & Hillman), the actual behavioural change that is  
320 supposed to occur remains mostly in the dark. Our data provide some empirical indication that a change in  
321 riding speed is probably not what we should be looking for. So, maybe, it is more worthwhile to instead  
322 look into other aspects of cycling behaviour, such as risky manoeuvres (which, of course, requires a good  
323 definition of “risky”, which might be rather difficult) or violations, to uncover risk compensation.

324

325 It should of course be noted that even a general absence of behavioural adaptation on behalf of the cyclists  
326 would not mean that a requirement to wear a helmet when cycling would be without negative consequences.

327 The issue of behavioural adaptation on behalf of the drivers of motorised vehicles, just as the potentially

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328 deterring impact of mandatory helmet use, are still powerful (and reasonable) arguments. Instead, our results  
329 should be viewed only as a minor, but relevant contribution to the discussion, which, to a considerable  
330 degree, has been based on assumptions which not always have been grounded in empirical data.

331

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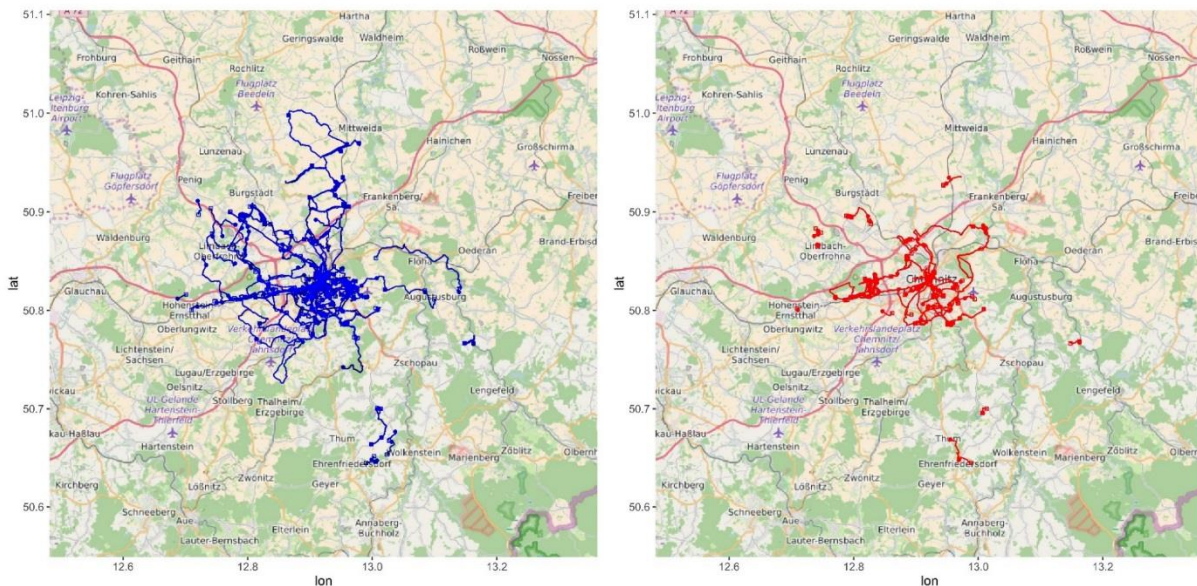
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## APPENDIX

446 To illustrate the relationship between helmet use and trip length, we attempted to map and visualise our  
447 participants' individual trips made with and without helmet (Figure 5). We limited this visualisation to  
448 "occasional helmet users", which were observed riding both with and without helmet in the video material.  
449 We included all of those for which we had a sufficient number (min. five) of usable GPS tracks ( $n = 18$ ).  
450 The visualisation was carried out using R (R Core Team, 2018) in combination with Open Street Maps  
451 (openstreetmap.org). Each trip was mapped on a map of the city of Chemnitz and the surrounding areas  
452 (where the study took place). Trips made wearing a helmet (left, in blue) are visibly longer than the trips  
453 without helmet (right, in red). They also more often seem to include the surroundings of the city (centred  
454 in both maps) as compared to trips without helmet, which seem to concentrate mostly inside the city (note,  
455 however, the overall different numbers of cases with and without helmet).



457 *Figure 5.* Illustration of trips of occasional helmet users. Left: trips with helmet in blue ( $n = 399$ ); right:  
458 trips without helmet in red ( $n = 140$ ), Source: Open Street Maps Maps (openstreetmap.org).