

**Information sheet for the cumulative dissertation
according to § 10 para. 3 of the
Doctoral Regulations of the Faculty of Business and Economics
(PromO2010)**

As of: 30th August 2018

1) Legal Basis

§ 40 para. 6 Act on the Autonomy of Institutions of Higher Education in the Free State of Saxony (SächsHSFG): "A doctoral thesis must be submitted as an independently written scientific work that further develops the field of science (dissertation). It must also be submitted in electronic form. The doctoral degree is awarded based on the dissertation, which is publicly defended. The dissertation must be published. It is evaluated by at least two reviewers. See also §10 para. 3 and 5 PromO 2018.

2) Tasks of the reviewers

The reviewers check whether the prerequisites of the doctoral regulations for a cumulative dissertation are fulfilled. In particular, the following five prerequisites must be fulfilled:

1. **At least three** specialist articles must be submitted (§ 10 para. 3 sentence 2 PromO2018).
2. The articles submitted must be **thematically related** (§ 10 para. 3 sentence 2 PromO2018).
3. At least one of the specialist articles has **not** been produced in joint research (§ 10 para. 3 sentence 4 PromO2018).
4. In addition to the articles submitted, a **separate paper** has to be written by the doctoral candidate, which **describes** the **thematic context of the work** (§ 10 para. 3 sentence 3 PromO2018).
5. This separate paper sets out the applicant's **individual achievements** for joint research projects (§ 10 para. 3 sentence 4 PromO2018) - "the doctoral candidate must explain in writing the areas to which his or her authorship relates. As a rule, this explanation must be signed by all co-authors (§ 10 para. 3 sentences 5 and 6).

If a reviewer determines that at least one of these prerequisites is not fulfilled, the cumulative dissertation cannot be accepted. There will be no review of the content and the opening of the procedure will be revoked.

If the reviewer has established that the requirements of § 10 para. 3 PromO2018 for a cumulative dissertation have been fulfilled, the **assessment** is limited to the **individual doctoral achievement of the doctoral candidate**. The assessment should make clear whether and to what extent the dissertation "further develops the field of science" (§ 40 para. 6 sentence 1 SächsHSFG) and whether the requirements of § 10 para. 1 PromO2018 are fulfilled (proof of the ability of the doctoral candidate to carry out independent scientific work, contribution to research in the field of economics, new academic findings, methods and presentation meet scientific requirements).

3) Publication

The submitted specialist articles may be published in full or in part (§13 para. 3 PromO 2018). In addition, the publication obligation remains for the entire dissertation according to § 40 para. 6 sentence 4 SächsHSFG and § 13 PromO2018. The doctoral candidate must ensure that no rights transferred to a publisher, or the rights of a co-author to object, prevent the publication of the dissertation. In the case of electronic publication according to § 13 para. 1 no. 3 PromO2018 "the reference to the publicly accessible articles is sufficient for the parts of the dissertation already published. The printed copies must also contain the articles already published".