

Announcement of a Third-Party Funded Project of Technischen Universität Dresden - Not applicable for the Faculty of Medicine (MF) -

In accordance with the guidelines for the application and implementation of third-party funded projects at Technische Universität Dresden (Third-Party Funding Guidelines Point 2.1) from 20 August 1998/ taking effect on 25 August 1998 and with the separate accounting pursuant to EU regulations, to be submitted to the Dean/Director of the Central Unit and forwarded to the Chancellor of TU Dresden

| <u>Please Note:</u> | | Third-party funding announcement for Mac Users via VMware and for Windows as of Office 2010. Please use the drop-down lists (in red) and the text boxes. To insert a line break use Shift+Enter. | | | | | |
|---------------------|---|--|--|------------------------|----------------------|--|--|
| 1. | Classification in accordance with preliminary guidelines: PLEASE CHOOSE I <u>f commissioned research:</u> Is there any scientific knowledge gained from the project? Please give a short explanation: | | | | | | |
| 2. | | :h Focus: | Please C | Choose | | | |
| 3. | Researc | h Priority A | rea of TUD: | PLEASE CHOOSE | | | |
| 4. 4.1 | | | i nformation: ame, Name, Title | e): | Telephone/Fax/Email: | | |
| 4.2. | | st contact/ T ne, Name, Tit | | | Telephone/Fax/Email: | | |
| 4.3 | WBS ele | ment (if ava | lable, otherwise d | assigned by the adm | inistration): | | |
| 4.4 | Cost Cei | ntre: | | | | | |
| 4.5 | Project ⁻ | Title: | | | | | |
| 4.6 | Project / | Abbreviatior | / Acronym: | | | | |
| 4.7 | Applicat | ion Date <i>(foi</i> | mat (dd.mm.yyy | y) or using calendar): | 01/01/2018 | | |
| 4.8 | Project S | Status: | PLEASE CHOOSE | | | | |

- 4.9 Duration (format (dd.mm.yyyy) or using calendar): from PLEASE SPECIFY THE DURATION OF THE PROJECT UNTIL PLEASE SPECIFY THE DURATION OF THE PROJECT
- 4.10 Third-Party Sponsor: PLEASE CHOOSE <u>If client is not specified:</u> Please state the name of the client:



- 4.11 Project Sponsor (for public funded projects):
- 4.12 Funding Programme (for public funded projects):
- 4.13 Further Information (choose if applicable):

| Joint Project: | PLEASE CHOOSE | If yes, please give information about the |
|----------------|---------------|---|
| Partner: | | |
| | | |

Projects with SME participation (Company with max. 250 employees, max. 50M EUR turnover, annual balance max. 43M EUR) PLEASE CHOOSE

PLEASE CHOOSE

The project is subject to any confidentiality agreement.

Does the project have any link to military research (incl. dual-use in both civilian and military fields)? PLEASE CHOOSE

Is the application funded by the "Research Pool" or "Support-the-best"-Pool? PLEASE CHOOSE

 There are further sub-projects at TUD.
 PLEASE CHOOSE
 If yes, which? (Please list)

 If yes, is a cost centre for the project requested?
 PLEASE

CHOOSE

5 Securing the project

5.1 Planned third-party funds (EUR, net, without project or programme allowance)

| Year | | | | Total |
|------------------------------|--|--|--|-------|
| Third-party funds | | | | |
| Contracts with third-parties | | | | |
| Contribution of TUD | | | | |

5.2 If TUD contributions are necessary, they will be financed by (WBS element and/or cost centre):

5.3 Other Information (please choose):

| PLEASE CHOOSE | Consent to publishing in the section "Fokus Forschung" (Universitätsjournal). |
|---------------|---|
| Please Choose | Are the rooms for the extra personnel available with appropriate workplace equipment? If not , please attach a solution that has been approved by Directorate 4. |
| Please Choose | Does the implementation of the third-party funded project require site preparation (modifications, repairs, air conditioning etc.)? If yes , please attach the total costs and a statement from Directorate 4. |
| PLEASE CHOOSE | Does the realisation of the third-party funded project require the services of other TUD units (e.g. ZIH)? [If yes, please attach a statement from the other unit accepting the requested services. |



| PLEASE CHOOSE | Will subsequent costs (personnel costs, maintenance costs, repair costs, operating costs etc.) arise, which cannot be covered by the project funds? |
|---------------|---|
| | If yes, please attach information pertaining to cost type, cost amount, and confirmation of the cost bearer. |

PLEASE CHOOSE Does the service or employment relationship of the project leader with TU Dresden end within the duration of the project? If yes, please attach a statement regarding the assumption of project leadership and a declaration of consent from the Faculty.

Statement 6

The project leader is obliged to use the funds for the purpose determined by the sponsor/funder and to 6.1 manage the funds based on their conditions, unless there are legal provisions to the contrary.

6.2 If the sponsor requires

- the transfer of patentable/copyrightable results
- the transfer or proposal of an exclusive or non-exclusive right of use
- a share in the earnings of the results obtained
- compliance with confidentiality regulations

the project leader is obliged to

- transfer the corresponding results by submitting/organising an invention disclosure to Directorate 5, Unit 5.3 (under consideration of his/her rights according to § 41 No. 2 of the Act on Employees' Inventions (ArbnErfG))
- grant the corresponding rights of use
- provide notifications of the earnings generated and payment of the revenue share
- ensure that the independent inventors (e.g. students) are also contractually bound to this third-party funded project.

Please note: If the funding is approved under public law, the aforementioned obligations are generally imposed through ancillary provisions to the approval notice (e.g. ANBest of the BMBF). This does not absolve the project leader from ensuring that the employees and students are contractually bound to this project.

- 6.3 The project leader declares that the software developed in connection with the project is the property of TUD, unless otherwise stipulated in the contract. The project leader ensures that the employees and students are contractually bound and confirm this by providing their signature.
- 6.4 In the management of third-party funds, financial commitments may only be accepted within the limits of the funds available. The project leader ensures that the necessary third-party funds are liquid and available at the time of payment of any expenses due.
- 6.5 The research project is carried out at TUD as an official task and is financed entirely or partly by third-party funds.

The project leader declares that no ancillary agreements have been made with the client/funding party in any form whatsoever. All content is derived from the submitted draft contract, the third-party funding announcement and, if applicable, from the supplementary submitted documents.

The project leader declares that the documentation and information obligations, as well as the postcalculation for the indicated research project, are the responsibility of the project leader and not of Directorate 5, Unit for Research Funding.

DATE:

Date

Signature/electronic signature (PKI) of the project leader responsible

DATE Date

Signature/electronic signature (PKI) of the Director of the Central Unit or of the Institute

DATE: Date

Signature/electronic signature (PKI) of the Chairperson of the School or Dean