

Doctoral degree regulations

From April 8, 2025

Based on Sections 41, 93(1)(2) and Section 14(4)(1) of the Act on Universities in the Free State of Saxony (Sächsisches Hochschulgesetz / SächsHSG) of May 31, 2023 (SächsGVBl. p. 329), which was last amended by Article 2 of the Act of January 31, 2024 (SächsGVBl. p. 83), the Faculty Council of the Faculty of Education of Technische Universität Dresden has issued the following statutes.

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§ 1

Scope of application

These regulations govern the implementation of doctoral procedures at the Faculty of Education Sciences.

§ 2

Academic degrees

(1) The Faculty of Education awards the following academic degrees on behalf of the Technische Universität Dresden on the basis of a doctoral procedure

Doctor of Philosophy resp.

Doctor of Philosophy.

(2) By resolution of the Faculty Council, the Technische Universität Dresden also awards the following honorary academic degrees

Doctor of Philosophy honorary or

Honorary Doctor of Philosophy.

§ 3

Promotion

(1) The doctorate serves as proof of both the special aptitude for independent academic work and proof of an academic education in the field of educational science that goes beyond the general study objective.

(2) Except in the case of an honorary academic degree pursuant to § 20, proof is provided by the dissertation pursuant to § 10 and the oral doctoral performance pursuant to § 11.

§ 4

Doctoral committees

(1) The body responsible for doctorates is the Faculty Council. For this purpose, it forms a doctoral committee as a permanent body of the faculty. It consists of the Dean or a university lecturer nominated by the Dean as chairperson, at least three other university lecturers and two academic staff members of the Faculty who hold a doctorate. The Faculty Council appoints a deputy chairperson of the doctoral committee from among the professors on the doctoral committee. The members of the doctoral committee are appointed by the Faculty Council for a term of office of three years. Reappointment is possible.

(2) After opening the specific doctoral procedure, the Doctoral Committee appoints a Doctoral Committee for the tasks assigned to it in accordance with these regulations, appoints its chairperson and a deputy chairperson and appoints the assessors. The doctoral committee consists of at least five members, who must include the reviewers. The chairperson and deputy chairperson of the doctoral committee must be professors at the Faculty; Section 10 (6) applies to the reviewers. Other members include

As a rule, professors of the faculty are to be appointed as members of the doctoral committee. The appointment of adjunct professors and honorary professors, provided that they have membership rights in the faculty, doctoral employees of the faculty, TUD Young Investigators, non-faculty professors or qualified scientists is possible, especially if the topic makes it necessary. In the case of cooperative doctoral procedures with a university of applied sciences, one member of the doctoral committee must be a university lecturer at the relevant university of applied sciences.

(3) The meetings of the Doctoral Committee and the Doctoral Commission are not public and can take place entirely in person (physically on site), entirely via video conference or in mixed mode by holding a face-to-face meeting with participants via video conference. The members of both committees are obliged to maintain confidentiality. In order for the Doctoral Committee and the Doctoral Commission to be quorate, the presence of the chairperson or, in the case of a deputy, the presence of the deputy is required. The provisions of the Saxon Higher Education Act and the provisions of the Rules of Procedure and Procedural Principles for University Committees at TU Dresden, as amended, apply to the majority vote. Minutes must be kept of the deliberations and resolutions in doctoral matters.

(4) Professors co-opted in accordance with Section 92 (3) of the Saxon Higher Education Act participate in the doctoral procedure on an equal footing with professors at universities. The regulations of the basic regulations of Technische Universität Dresden apply to the co-option.

§ 5

General procedural rules and objection procedures

(1) Decisions of the responsible committees in the doctoral procedure are communicated to the respective candidates in writing. Incriminating decisions are communicated by the chairperson of the responsible committee by means of an appealable decision, which must be substantiated and contain information on legal remedies.

(2) A formal appeal procedure takes place against decisions in the doctoral procedure that have the quality of an administrative act. The appeal authority is the Faculty Council. Decisions in the doctoral procedure with administrative act quality are in particular

1. non-admission to doctoral studies and rejection of admission, and
2. the revocation of acceptance as a doctoral candidate,
3. the non-opening of the doctoral procedure,
4. the non-acceptance of the dissertation,
5. the assessment of the aptitude test and the doctoral performance,
6. non-admission to repeat doctoral examinations,
7. the unsuccessful termination (discontinuation) of the doctoral procedure and
8. the non-awarding of the doctoral degree.

(3) The respective candidates will be granted access to the doctoral file upon request after completion of the doctoral procedure.

§ 6
Admission to doctoral studies

(1) Admission to the doctoral procedure is granted to

1. a degree:
 - a) with a Diplom, Master's or Magister degree from a university or the state examination in a degree program relevant to the doctoral field with an overall grade of at least "good" or
 - b) with a Diplom, Master's or Magister degree from a university or the state examination and has passed the aptitude test in accordance with § 7;
2. the doctoral field is in an academic field represented in research and teaching by a professor of the faculty,
3. fulfills the personal requirements for holding the doctoral degree,
4. has not already unsuccessfully completed a doctoral procedure twice or who is not in a pending doctoral procedure and
5. has submitted an application for acceptance as a doctoral candidate with all necessary documents in accordance with § 8.

(2) Furthermore, anyone who has obtained a Bachelor's degree in a subject relevant to educational sciences with an overall grade of at least "very good" and has passed the aptitude assessment in accordance with Section 7 shall be admitted to the doctoral procedure. Paragraph 1 numbers 2 to 5 apply accordingly.

(3) The university and the universities of applied sciences work together in the cooperative doctoral procedure by jointly supervising the doctoral work.

(4) Admission to doctoral studies is not granted to

1. does not meet the requirements of paragraph 1 or paragraph 2,
2. has engaged or has engaged intermediaries for a fee for the purpose of identifying promotional opportunities,
3. pays fees in connection with the doctoral procedure and its preparation and makes use of services free of charge that contradict the purpose of an examination procedure or
4. provides or has provided paid services in connection with the doctoral procedure and its preparation that contradict the purpose of an examination procedure.

(5) The doctoral committee decides on the recognition of the equivalence of foreign examinations and degrees, taking into account equivalence agreements. In cases of doubt, an opinion must be obtained from the Saxon State Ministry responsible for universities. In cases where applicants have been approved to use an academic degree obtained abroad in the form of a German degree entitling them to a doctorate, this degree must be recognized as equivalent.

(6) The admission decision is made as part of the decision on acceptance in accordance with § 8.

§ 7

Suitability assessment

(1) Applicants pursuant to Section 6(1)(1)(b) and applicants pursuant to Section 6(2) must have their separate academic aptitude determined for admission to doctoral studies at the Faculty of Education.

(2) For admission to the aptitude assessment, applicants must provide proof that they have completed two semesters of academic study in a subject relevant to education. The doctoral committee decides on admission to the aptitude test.

(3) The aptitude assessment takes the form of a one-hour examination interview in which proof of prior education in accordance with § 6 must be provided. The examination interview is conducted by two professors from the Faculty of Education, one of whom must be a member of the doctoral committee. The professors are appointed by the doctoral committee. The examination interview must be recorded. The result of the examination interview will be communicated immediately afterwards.

§ 8

Acceptance as a doctoral candidate

(1) Anyone who fulfills the admission requirements according to § 6 and intends to do a doctorate at the Faculty of Education must apply for acceptance as a doctoral candidate before or at the latest upon commencement of the doctoral project. An application for acceptance as a doctoral candidate is an expression to the faculty of the intention to do a doctorate there.

(2) The application must be submitted via the doctoral candidate management system provided by the Technische Universität Dresden, printed out and signed by hand and sent to the chairperson of the doctoral committee. The following must be submitted with the application:

1. the planned topic of the dissertation as a ten-page synopsis
2. a copy of the supervision agreement in accordance with paragraph 3,
3. proof of the admission requirements in accordance with § 6 in officially certified form, as well as a translation by an officially appointed translation agency, if the documents cannot be submitted in German or English,
4. a curriculum vitae in tabular form with a description of the academic career, including copies of documentary evidence of additional studies or examinations already completed; in case of doubt, the doctoral committee may request submission in officially certified form,
5. a written declaration of any previous unsuccessful doctoral procedures,
6. a written declaration that these Doctoral Degree Regulations and the "Statutes for Safeguarding Good Scientific Practice, Preventing Scientific Misconduct and Dealing with Violations" in force at the Technische Universität Dresden are recognized,
7. a written declaration that a certificate of good conduct to be sent to the faculty has been applied for from the responsible registration authority in accordance with Section 30 (5) of the Federal Central Register Act (BZRG).

1. In addition to the main supervisor, at least one other experienced researcher must be part of the supervisory team. All supervisors in the supervisory team should be university lecturers. One of them may also be a scientist with equivalent habilitation qualifications, for example an adjunct professor or an honorary professor with associate rights, a private lecturer or a TUD Young Investigator. In addition, other experts can be involved in the supervision in an advisory capacity without having a formal role in the supervision team.
2. The supervisory teams meet with the doctoral candidates at least once a year to discuss the progress of their work and make recommendations.
3. In order to make the relationship between doctoral candidates and supervisors transparent in terms of content and time and to ensure that the doctoral project can be completed to a high standard within a reasonable period of time, a supervision agreement must be concluded with the doctoral candidates at the beginning of the doctoral project (see Annex 1). Supervision agreements take into account at least the following aspects:
 - a) Involved parties (the doctoral candidate, the supervisory team, the mentor if applicable and other involved parties),
 - b) Information on about the dissertation project and topic of the Dissertation work (working title if applicable),
 - c) structured time and work plan and its further development,
 - d) Regulations for regular discussion of the status and progress of the dissertation project,
 - e) accompanying qualifications to support early academic independence and career advancement,
 - f) Regulations on working conditions for doctoral candidates (e.g. workplace, access to resources, integration into a working group, a research network or a graduate program),
 - g) mutual commitment to compliance with the principles of good scientific practice,
 - h) Rules of conduct in the event of conflicts,
 - i) special measures or regulations to reconcile family and career.
4. The completion of the doctorate within a reasonable period of time is encouraged by the supervisors.

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(5) Acceptance as a doctoral candidate can be revoked if the status of the dissertation or the results available up to that point do not indicate that the doctoral procedure will be completed successfully. A written statement from the main supervisor must be submitted for this purpose. The supervisor must be consulted before the acceptance of the doctoral candidate is revoked. The decision is made by the doctoral committee, but not before three years have passed. Doctoral candidates can also notify the Dean of the Faculty in writing after their acceptance that they no longer wish to do a doctorate. All of the above cases terminate the doctoral relationship with the faculty and result in the doctoral procedure being terminated without result. The doctoral candidates are to be removed from the list of doctoral candidates.

(6) Acceptance as a doctoral candidate is a mandatory prerequisite for opening the doctoral procedure.

(7) If, after the person to be awarded the doctorate has been accepted, significant circumstances of the supervisory relationship have changed (disruption in the supervisory relationship), for example: breakdown of the relationship of trust, long-term absence or permanent loss of the main supervisor, the doctoral committee must be informed of this by the person to be awarded the doctorate and the supervision agreement must be adapted by the doctoral committee after determining a significant circumstance, at least to the extent that another experienced academic in the supervisory team is appointed as the main supervisor. Changes and amendments to the supervisory relationship require the approval of the doctoral committee.

§ 9

Opening of the doctoral procedure

(1) Doctoral procedures are opened upon formal application by the doctoral candidate. The application must be submitted via the doctoral candidate management system provided by the Technische Universität Dresden, printed out and signed by hand and sent to the chairperson of the faculty's doctoral committee. The application must be accompanied by

1. a curriculum vitae in tabular form with a description of your academic career,
2. a copy of the notification of acceptance as a doctoral candidate in accordance with § 8 and proof of fulfillment of any conditions imposed; in case of doubt, the original or an officially certified copy may be requested
3. the dissertation in three bound copies, usually in German or English, as well as in electronic form,
4. a list of the doctoral candidates' scientific publications,
5. the written declaration of the doctoral candidate according to the sample attached in Appendix 2,
6. proof of participation in a training course on good scientific practice of at least four teaching units and
7. a written declaration that a certificate of good conduct to be sent to the faculty has been requested from the responsible registration authority in accordance with § 30 (5) BZRG.

Proposals for the reviewers can also be attached to the application without any claim to consideration. Documents that were already part of the application for acceptance as a doctoral candidate and do not require any changes can be recognized as valid.

(2) The withdrawal of the application for the opening of a doctoral procedure by the doctoral candidate is permitted as long as it has not yet been opened. In this case, the application is deemed not to have been submitted. If notification is given that the doctoral procedure will not be continued,

after the doctoral examination procedure has been opened, this will result in the termination of the doctoral examination procedure and is considered an unsuccessful doctoral attempt. In this case, one bound copy and the electronic copy of the dissertation remain in the doctoral file. The remaining printed copies of the dissertation will be returned to the doctoral candidate.

(3) The doctoral committee decides on the opening of the doctoral procedure. The opening of the doctoral procedure must be rejected if the fulfillment of any conditions associated with acceptance as a doctoral candidate is not proven. The doctoral procedure must also be rejected if the personal requirements for holding a doctoral degree are no longer met. The decision shall also be made in consideration of the certificate of good conduct in accordance with paragraph 1 number 7. Finally, the opening of the doctoral examination procedure shall be rejected if there are reasons which would also lead to the withdrawal of the doctoral degree. If the doctoral procedure is not initiated for reasons in accordance with sentences 3 to 5, Section 15 shall apply. In this case, one bound copy and the electronic copy of the dissertation shall remain in the doctoral file. The remaining printed copies of the dissertation shall be returned to the doctoral candidate. When the doctoral procedure is opened, the Doctoral Committee appoints the examiners in accordance with Section 10 (6) and the Doctoral Committee. The notification of the opening of the doctoral procedure to the doctoral candidates shall also provide information on the composition of the doctoral committee and the reviewers.

(4) The Chair of the Doctoral Committee shall refer the doctoral procedure to the Doctoral Committee for its complete continuation after it has been opened.

§ 10 Dissertation

(1) The dissertation provides evidence of independent academic work. It should make a significant contribution to research in the field of educational science and must contain new scientific findings.

(2) The dissertation is usually a completed individual piece of work by the doctoral candidate. It may also be the result of joint research work. In exceptional cases, academic work written by several authors may be accepted as a dissertation, provided that the individual contribution of the doctoral candidate is clearly identifiable, distinguishable and assessable. Section 8 of the "Statutes for Safeguarding Good Scientific Practice, Avoiding Scientific Misconduct and Dealing with Violations" in force at the Technische Universität Dresden applies to the status of author.

(3) Notwithstanding paragraph 2, the dissertation may also be submitted as a series of previously published scientific articles (cumulative dissertation). Their thematic context must be presented in writing as part of a separate essay and, in conjunction with the submitted specialist articles, form the dissertation. Co-authors are also permitted for cumulative dissertations if the doctoral candidate is the majority author of the specialist articles and the individual doctoral work is clearly distinguishable and assessable. Paragraph 2 sentence 4 remains unaffected.

(4) As a rule, the dissertation should be written in German or English. The doctoral committee shall decide on exceptions, provided that this has been applied for before the doctoral procedure is initiated. The source material and other aids used in the preparation of the thesis must be listed in full. Work that has already been submitted to previous examinations

or graduation may not be used as a dissertation. The pre-publication of partial results of the dissertation requires the written consent of the main supervisor.

(5) Together with the dissertation, doctoral candidates must provide an assurance that the rules of good scientific practice have been observed, that the work has been written independently and that no sources and aids other than those specified have been used. At the same time, it is declared that the data protection regulations will be observed and that personal data of third parties will only be published without their consent if this is essential for the presentation of research results on events of contemporary history and does not conflict with overriding interests of the person or persons concerned that are worthy of protection.

(6) The dissertation is assessed by at least two reviewers, each of whom must have a habilitation or equivalent academic qualification. One reviewer must be a member of the Technische Universität Dresden. The dissertation must be assessed by at least one external reviewer who works full-time outside the Technische Universität Dresden, who was not involved in supervising the content of the dissertation and who does not work in the same institute as the main supervisor. A person who is the chairperson of the doctoral committee may not be appointed as a reviewer. When appointing a TUD Young Investigator or a junior professor as a reviewer, the dissertation must be evaluated by at least three reviewers, taking into account the above-mentioned requirements.

(7) The reviewers recommend the acceptance or rejection of the thesis as a dissertation to the doctoral committee in personal and independent reviews. If acceptance is recommended, the thesis is to be assessed by the reviewers with the following grades:

magna cum laude	= very good
	= an achievement that deserves special recognition
cum laude	= good
	= an above-average performance
rite	= satisfactory
	= a performance that meets the average requirements
If acceptance of the dissertation is rejected, it is marked non	
sufficit	= not sufficient
	= an unusable performance

to be evaluated. The reviews should also contain statements on compliance with the "Statutes for Safeguarding Good Scientific Practice, for Avoiding Scientific Misconduct and for Dealing with Violations" and, in the case of experimental or empirical parts of the dissertation, statements on the collection and quality of the data.

(8) The reviews should be received by the Chair of the Doctoral Committee within three months. If, despite repeated reminders, the preparation of the reviews is unduly delayed, the Doctoral Committee may revoke the appointment of the defaulting reviewer and appoint a new reviewer.

(9) The submitted dissertation can be checked, in particular with the help of plagiarism software, for possibly unmarked, copied text passages or other sources that are not cited. The check can be carried out on a random basis or on an ad hoc basis.

1. As part of the random check, at least every fifth dissertation of a faculty between submission of the dissertation and completion of the doctoral procedure is to be checked with the aid of plagiarism software. The dissertations to be checked are determined randomly and anonymously. If a joint doctoral office exists, the check is carried out by the joint doctoral office using plagiarism software at department level. If there is no joint doctoral office at departmental level, the examination is carried out using plagiarism software in the faculty's doctoral office. The doctoral office informs the doctoral committee of the examination result. The chairperson of the doctoral committee commissions at least one appointed reviewer to evaluate or scientifically assess the results of the plagiarism software check. This reviewer may, if he or she deems it necessary, involve other reviewers for the assessment in accordance with paragraph 5. The doctoral committee must be informed of the result of the review. If there are indications of violations of good academic practice, the Chair of the Doctoral Committee shall inform the Chair of the Faculty's Doctoral Committee. If there is a reasonable suspicion of a violation of good scientific practice during the review, the review body for good scientific practice must also be involved.
2. If persons involved in the doctoral procedure, such as reviewers, have doubts about the preparation of the dissertation in accordance with scientific honesty, the dissertation can be checked on an ad hoc basis with the aid of the plagiarism software. The review results of the plagiarism software require an evaluation or a scientific assessment by at least one reviewer. The reviewer may, if he or she deems it necessary, involve other reviewers in accordance with paragraph 5. The doctoral committee shall be informed of the result of the review. If there are indications of violations of good academic practice, the Chair of the Doctoral Committee shall inform the Chair of the Faculty's Doctoral Committee. If a justified suspicion of a violation of good scientific practice arises during the review, the review body for good scientific practice must also be involved.
3. The doctoral candidates whose dissertations are affected by a review will be informed.
4. Data protection regulations must be observed. When using plagiarism software, personal data (e.g. the cover page) is not provided during the technical review unless the data is required to check compliance with the requirements for academic honesty.
5. In cases of suspected scientific misconduct, the procedure is governed by the "Statutes for safeguarding good scientific practice, preventing scientific misconduct and dealing with violations" of Technische Universität Dresden.

(10) If a reviewer recommends that the dissertation be returned to the candidate for completion or revision, the doctoral committee shall decide on this. If the doctoral committee is unable to reach an agreement on this, it shall consult another reviewer, who shall be appointed by the doctoral committee at their suggestion. The doctoral committee may set a reasonable deadline of up to six months for resubmission of the revised dissertation. The resubmission of a returned dissertation is only possible once. For a resubmitted dissertation, the reviewers must request new reviews or additions to their existing reviews.

(11) Once all reviews have been received, the dissertation is displayed in the Dean's Office of the Faculty for a period of two weeks and the display is announced. Professors and habilitated professors of the Faculty have the right to inspect the dissertation and the reviews without the predicate proposals and to submit their personal vote for or against the acceptance of the dissertation to the Dean or the Chairperson of the Doctoral Committee in writing within the display period, stating their reasons. The members of the Faculty Council, like the members of the Doctoral Commission and the Doctoral Committee, are also entitled to inspect the predicate proposals. The candidate has the right to inspect the expert reports with the predicate proposal from the time they are displayed at the faculty.

(12) At the end of the evaluation period, the doctoral committee shall decide on the acceptance or rejection of the dissertation on the basis of the expert opinions and the votes received. In the event of acceptance, the doctoral committee shall also decide on the final assessment of the dissertation using the grades specified in paragraph 6. If the dissertation is rejected and thus assessed as "non sufficit" (not sufficient), the doctoral procedure shall be terminated; Section 12 (1) shall apply. One printed copy of the dissertation that was not accepted and the electronic copy shall remain in the doctoral file together with the reviews. The remaining printed copies must be returned.

§ 11

Defense

(1) If the dissertation is accepted, the results achieved with the dissertation must be presented in a public lecture and questions from the auditorium must be answered in a subsequent scientific discussion to defend the results (defense). The presentation should not exceed 30 minutes, the defense 90 minutes in total.

(2) The date for the defense is set by the chairperson of the doctoral committee after acceptance of the dissertation and the person to be awarded the doctorate is invited in writing. The notice period is two weeks. In addition, the chairperson of the doctoral committee shall invite the members of the doctoral committee and announce the date of the defense to the faculty.

(3) The defense is conducted by the chairperson of the doctoral committee. As a rule, it shall be conducted in German or English. In exceptional cases, this may be deviated from by decision of the doctoral committee if this has been requested in good time from the chairperson of the doctoral committee in agreement with the doctoral committee. All those present are entitled to ask questions in the academic discussion. The chairperson of the doctoral committee may reject questions that are not related to the doctoral candidate's specialization in the field of educational sciences or the academic subject of the dissertation.

(4) Immediately after the defense, the doctoral committee decides whether the defense has been passed and evaluates it with the grades specified in § 10 paragraph 7. If the defense was not passed, it shall be assessed as "non sufficit" (not sufficient); § 12 paragraph 2 shall apply.

(5) If the dissertation and the defense have been passed, the doctoral committee determines the overall grade for the doctoral procedure. The grades specified in Section 10 (7) shall be used. When determining the overall grade, the result of the dissertation shall take precedence. If the dissertation has been graded "magna cum laude" by all reviewers and the defense, the doctoral committee may also award the overall grade "magna cum laude" in cases where special justification is required.

"summa cum laude" = excellent

= an extraordinary achievement

awarded. Successful completion must be announced to the public.

(6) After determining the overall grade in accordance with paragraph 5, the doctoral committee shall also decide on editorial requirements for publication and, if applicable in accordance with § 13 paragraph 2 for the preparation of the deposit copies, prior to printing.

(7) The essential course of the defense shall be recorded by a minute-taker appointed by the Chair of the Doctoral Committee; the minutes shall be signed by the minute-taker and the Chair of the Doctoral Committee and included in the doctoral file .

§ 12

Repetition of failed doctoral examinations

(1) After termination of the doctoral procedure in accordance with § 10 paragraph 12 sentence 3 as a result of the rejection of the dissertation, a further doctoral attempt may be made. For this purpose, a new application to open the doctoral examination procedure in accordance with § 9 may be submitted after six months at the earliest. A different dissertation or a fundamentally revised version of the first dissertation on the same topic must be submitted with the application. If the doctoral procedure is opened, the doctoral committee that was already appointed in the first doctoral attempt shall be appointed. If the second doctoral procedure is also unsuccessful, further doctoral applications to the faculty are inadmissible.

(2) If the defense is not passed, the defense can be repeated once within one year upon request in the same doctoral procedure. The application can be submitted after one month at the earliest. If the repeat defense is not passed or not carried out within the deadline, the doctoral procedure is terminated.

§ 13

Publication of the dissertation

(1) The dissertation must be published. Doctoral candidates are obliged to make the accepted and approved dissertation accessible to the scientific public in an appropriate manner within a period of one year, taking into account any editorial conditions that may have been imposed.

(2) The obligation to publish can be fulfilled by:

1. proof of a digital publication on a library server of the Saxon State and University Library (SLUB) with the approval of the doctoral committee or
2. proof of a version distributed by a commercial publisher (in open access or in another digital format or with a circulation of at least

50 printed copies, on the back of the title page of which the declaration of conformity with the dissertation is shown, stating the title and the place and time of the defense) with the approval of the doctoral committee and the delivery of five printed copies to the SLUB or

3. in exceptional cases with special justification and with the prior approval of the doctoral committee, the submission of 10 bound copies in paper form to the SLUB.

(3) The fulfillment of any editorial requirements in accordance with § 11 paragraph 6 must be confirmed in writing by the main supervisor to the Doctoral Committee prior to publication in accordance with paragraph 2. Upon request, the Doctoral Committee may, in particularly justified cases, entrust another reviewer with the verification of the fulfillment of the amendment requirements. Without this declaration of confirmation, the publication cannot be recognized if the conditions for amendment have been met.

(4) In exceptional cases requiring special justification, the Chair of the Doctoral Committee may allow the submission deadline to be exceeded upon request. If the set deadline is culpably missed, all rights acquired through performance in the doctoral procedure shall expire and the procedure shall be terminated without the award of the academic degree. The Chair of the Doctoral Committee must inform the doctoral candidate of this in writing in accordance with Section 5 (1).

(5) The granting of an embargo period, until the expiry of which the dissertation may not be published due to agreements between the candidate and third parties, must be applied for in writing to the Doctoral Committee using the template in Annex 3 of these regulations. The application should contain a justification for the requested blocking. The application must also be signed by the main supervisor. A suspension period of up to one year can be applied for. In justified exceptional cases, an extension of the suspension period by a maximum of one further year may be applied for before the deadline expires. The decision of the Doctoral Committee will be communicated to the applicant in writing. If the doctoral committee grants approval using the sample attached as Annex 4, this is submitted to the SLUB by the doctoral candidate together with the deposit copies of the dissertations. This fulfills the obligation to submit the deposit copies to .

§ 14

Completion of the doctoral procedure

(1) The chairperson of the doctoral committee shall recommend to the doctoral committee the awarding of the academic doctoral degree in accordance with Section 2 (1) following a positive outcome of the doctoral procedure. The doctoral committee shall arrange for the doctoral certificate to be issued and the list of persons to be awarded the doctorate to be updated.

(2) The doctoral certificate contains the surname, first name, academic degree, date and place of birth of the person being awarded the doctorate, the title of the dissertation, the academic degree to be awarded and the overall grade. It is issued on the day of the defense and bears the signature of the rector and the dean of the faculty as well as the seal of the Technische Universität Dresden.

(3) The Dean of the Faculty shall present the certificate in a form appropriate to the occasion as soon as the Doctoral Committee has confirmed the submission of the deposit copies in accordance with § 13, taking into account any editorial requirements that may have been imposed. Thus

the doctoral procedure completed. The completion of the procedure is be announced to the faculty public.

(4) Upon completion of the doctoral procedure, the student is entitled to use the academic degree awarded with the certificate.

§ 15

Termination of the doctoral procedure

(1) The doctoral procedure may be terminated without result at any time after the decision on acceptance as a doctoral candidate if facts become known that preclude the award of the academic degree. This applies in particular to deception in the proof of admission requirements or doctoral achievements as well as to circumstances relating to the personal requirements of the person to be awarded the doctorate to hold the doctoral degree. If the doctoral procedure is terminated without result, all legal positions and claims that the person to be awarded the doctorate has acquired up to that point in the doctoral procedure expire. They will be removed from the list of doctoral candidates. The decision on termination is made by the Doctoral Committee at its own discretion.

(2) Before the doctoral procedure is terminated without result, the person to be awarded the doctorate must be heard. In cases of suspected scientific misconduct, the provisions of the "Statutes for Safeguarding Good Scientific Practice, for the Prevention of Scientific Misconduct and for Dealing with Violations" in force at the Technische Universität Dresden apply to the procedure.

§ 16

Protective provisions

(1) At the request of a doctoral candidate, the periods of protection in accordance with Section 3 of the German Maternity Protection Act (Mutterschutzgesetz - MuSchG), as amended, must be taken into account. The application to the doctoral committee must be accompanied by the necessary evidence. The maternity protection periods interrupt any time limit according to these doctoral degree regulations.

(2) The periods of parental leave in accordance with Section 15 (1) to (3) of the Act on Parental Allowance and Parental Leave (Bundeselterngeld- und Elternzeitgesetz - BEEG), as amended, must also be taken into account upon application. The doctoral candidate must inform the Doctoral Committee in writing of the period for which he or she wishes to take parental leave at least four weeks before the date from which he or she wishes to take parental leave, enclosing the necessary evidence. The doctoral committee must check whether the legal requirements are met that would trigger an entitlement to parental leave for employees and inform the person to be awarded the doctorate of the result and, if applicable, the newly set examination deadlines or other deadlines of these regulations.

(3) It is possible to make use of the protective provisions for the care of a close relative within the meaning of Section 7 (3) of the German Caregiver Leave Act (Pflegezeitgesetz - PflegeZG), as amended, who is in need of care within the meaning of Sections 14 and 15 of the Eleventh Book of the German Social Code (SGB XI), as amended.

§ 17

Compensation for disadvantages

(1) If a doctoral candidate can credibly demonstrate that, due to a disability or a long-term serious or chronic illness, he or she is unable to complete doctoral examinations in full or in part under the conditions stipulated or to take them within the deadlines specified in these regulations, the Doctoral Committee shall take appropriate measures to compensate for disadvantages with regard to the dissertation and the Doctoral Commission shall take appropriate measures to compensate for disadvantages with regard to oral examinations after the doctoral procedure has been opened at the written request of the doctoral candidate. The reasons for the requested compensation for disadvantages must be presented by the person to be awarded the doctorate. Suitable evidence may be requested to substantiate this, in justified cases of doubt an official medical certificate. The application should be submitted at the latest with the application to open the doctoral procedure. The person to be awarded the doctorate must be informed of the decision in writing.

(2) Prior to the decision of the Doctoral Committee or the Doctoral Commission in accordance with paragraph 1, the Representative for Students with Disabilities or Chronic Illness may be consulted in controversial cases and with the consent of the person to be awarded the doctorate .

§ 18

Withdrawal of the academic degree

(1) The conferral of the doctoral degree is to be revoked if there has been fraud in the proof of the admission requirements or in the completion of the doctoral work or if facts become known which would have precluded the conferral of the doctoral degree. The decision is made by the doctoral committee.

(2) If the subject-specific requirements for admission to the doctorate were not fulfilled without the person to be awarded the doctorate intending to deceive about this and this fact only becomes known after the academic degree has been awarded, this deficiency shall be remedied by passing the doctoral examinations.

(3) In cases of suspected scientific misconduct, the regulations of the "Statutes for Safeguarding Good Scientific Practice, for the Prevention of Scientific Misconduct and for Dealing with Violations" applicable at the Technische Universität Dresden apply to the procedure in the currently valid version.

§ 19

Structured doctoral programs and joint binational doctoral procedures

(1) In order to promote international cooperation, the Faculty of Education can carry out a joint binational doctoral procedure with foreign universities or comparable educational institutions that have the right to award doctorates. The doctorate can also take place within the framework of a structured doctoral program, provided that the Faculty of Education or individual members of its teaching staff are involved. It must be ensured that the candidates acquire and provide evidence of the qualifications required by these doctoral regulations. In case of doubt, the Doctoral Committee shall decide whether this equivalence exists.

(2) The details of the two doctoral procedures mentioned in paragraph 1 must be contractually defined for the individual case or in a framework agreement and concluded by the dean or, on the part of the cooperation partner, also by the heads of the comparable structural unit. The agreements may only contain additions to these doctoral degree regulations; deviating provisions are not permitted. In case of doubt, the Doctoral Committee shall decide whether there is at least an equivalence.

(3) A substantial part of the dissertation must be written at the Technische Universität Dresden.

(4) Each university or comparable educational institution shall appoint at least one assessor, but no more than two assessors, to assess the binational doctoral procedure referred to in paragraph 1.

(5) Upon request, the cooperation partner will receive a copy of the doctoral file.

§ 20

Academic honorary degree

(1) The awarding of an honorary academic degree in accordance with Section 2 (2) can honor individuals who have made special contributions to science, technology, culture and art in the field of educational science and who are also particularly associated with the faculty. The person to be honored may not work full-time at the Technische Universität Dresden.

(2) An application for the award of an honorary degree can be submitted to the Faculty Council by at least two professors of the faculty with sufficient justification. A doctoral committee to be appointed by the Faculty Council, of which the applicants are not members, examines the merits of the person to be honored, obtains at least two further expert opinions and submits a decision proposal to the Faculty Council.

(3) The Faculty Council decides on the application by secret ballot.

(4) The decision of the Faculty Council to award the honorary degree must be confirmed by the Senate.

(5) The awarding of the honorary degree is to be carried out by handing over a certificate signed by the Rector and the Dean in a dignified form appropriate to the occasion. The certificate must include a brief summary of the reasons and merits. The Rector shall confer the honorary degree. The Rector may delegate this right to the Dean of the Faculty.

(6) The awarding of the honorary degree must be reported to the Saxon State Ministry responsible for universities.

§ 21
Promotion anniversary

The faculty may honor the 50th anniversary of the conferral of the academic degree if this seems appropriate in view of the special academic merits, the particularly close connection of the person to be honored with the faculty or the Technische Universität Dresden as a whole. The choice of the occasion and the form of the honor is a matter for the responsible faculty. The decision is made by the Faculty Council.

§ 22
Transitional provisions

(1) All doctoral projects commencing after these regulations come into force must be carried out on the basis of these regulations. Decisions on acceptance in accordance with § 8 that were made before these regulations came into force shall remain valid; beyond that, these regulations shall apply. Doctoral procedures already opened at the time these regulations come into force will be carried out on the basis of the provisions of the doctoral regulations of the Faculty of Education dated November 24, 2010 (Official Notices of TU Dresden No. 7/2010 dated December 19, 2010, p. 17), last amended by the statutes dated October 10, 2015 (Official Announcements of TU Dresden No. 39/2015 dated October 28, 2015, p. 525).

(2) For doctoral projects already in progress at the time these regulations come into force, in which a positive decision has already been made on acceptance as a doctoral candidate in accordance with Section 8, the Doctoral Committee may decide up to six months after these regulations come into force and only together with the application to open the doctoral procedure to continue this doctoral procedure on the basis of the provisions of the Doctoral Regulations of the Faculty of Education dated 24 November 2010 (Official Announcements of TU Dresden No. 7/2010 of 19 December 2010, p. 1). November 2010 (Official Announcements of TU Dresden No. 7/2010 of December 19, 2010, p. 17) last amended by the statutes of October 10, 2015 (Official Announcements of TU Dresden No. 39/2015 of October 28, 2015, p. 525).

§ 23
Expiry

When these regulations come into force, the doctoral degree regulations of the Faculty of Education dated November 24, 2010 (Official Notices of TU Dresden No. 7/2010 dated December 19, 2010, p. 17) last amended by the regulations dated October 10, 2015 (Official Notices of TU Dresden No. 39/2015 dated October 28, 2015, p. 525) shall cease to apply.

§ 24
Entry into force

These regulations come into force one day after publication in the official announcements of TU Dresden.

Issued on the basis of the resolution of the Faculty Council of the Faculty of Education on February 26, 2025 and March 27, 2025 and the approval of the Rectorate on February 11, 2025.

Dresden, April 8, 2025

The Rector
of the Technical University of Dresden

Prof. Dr. M. Ursula Staudinger

Appendix 1:

Note on supervision agreements

1. The Graduate Academy provides a sample supervision agreement for the doctoral procedure in the current version and in the form of a fillable document. The sample supervision agreement can be downloaded at:

https://tu-dresden.de/ga/resources/files/membership/membership-documents/support_agreement.pdf?lang=en

can be viewed and used.

2. In the case of doctorates in cooperation with companies (industrial cooperation), it is also recommended that the relevant annex to the supervision agreement provided by the Graduate Academy be used. The agreement supplements the supervision agreement and contributes to mutual certainty. A sample agreement can also be downloaded from the website of the Graduate Academy

https://tu-dresden.de/ga/resources/files/membership/membership-documents/BV_Anlage_Industriepromotion_Formular.pdf?lang=en

can be called up.

Appendix 2:
Declarations on the opening of the doctoral procedure

I hereby declare that I have prepared this thesis without the unauthorized assistance of third parties and without the use of aids other than those specified; ideas taken directly or indirectly from external sources are identified as such. In the selection and evaluation of the material as well as in the production of the manuscript, I have received support from the following persons

No other persons were involved in the intellectual production of this thesis. In particular, I did not make use of the help of a commercial doctoral advisor. Third parties have neither directly nor indirectly received monetary benefits from me for work related to the content of the dissertation submitted. The thesis has not been submitted to another examination authority in Germany or abroad in the same or a similar form and has not yet been published.

Place, date

Signature of the person to be awarded the doctorate

Appendix 3:
Application for a blocking notice for the dissertation

To
Faculty of Education Doctoral
Committee

Contact details of the person doing the doctorate

Name	First name
Date of birth	Place and country of birth
Residential address - street and house number	Residential address - zip code and town
Phone number	E-mail address

If my contact details change before the dissertation is published, I will inform the faculty.

Dissertation

Title of the dissertation

I hereby apply for

- ☐ The first blocking of the publication of my dissertation for one year from submission of the deposit copies to the SLUB, until _____
- ☐ The latest extension of the lockdown by one year, until _____

Justification of the application:

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I agree that the dissertation will be published automatically after the deadline. The confirmation of publication of the dissertation on the publication server of the Technische Universität Dresden will be submitted by me to the doctoral office after expiry of the embargo period upon submission of the specimen copies to the SLUB.

Place, date

Signature of the person to be awarded the
doctorate

The above application has been agreed with the main supervisor.

Surname, first name of the main supervisor
of the dissertation in block letters

Signature and stamp of the
main supervisor

Appendix 4:**Approval of the application for an embargo on the dissertation**

The doctoral committee of the Faculty Educational Sciences agrees the application dated #xx. month xxxx# by (*name of the person to be awarded the doctorate*)

_____ to.

The blocking of the publication until #xx. month xxxx# is hereby approved. After the deadline, the dissertation will be released for publication.

Date

Signature and stamp of the
Chairman of the Doctoral Committee