

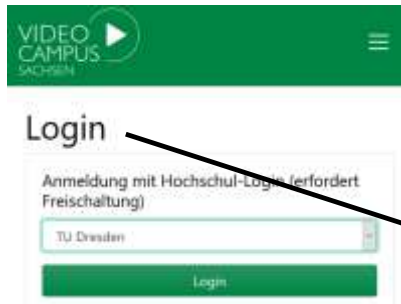
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Vacuum Technology WS 20/21 Virtually presented Lecture 6, Dec. 1'st, 2020

Prof. Dr. Johann W. Bartha

Inst. f. Halbleiter und Mikrosystemtechnik
Technische Universität Dresden

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0. Introduction

Air pressure as a force to the walls of an empty container

1. Gas kinetic

Pressure as momentum transfer, Mol & Molvolume, Pressure units Partial pressure, Boltzmann Velocity&Energy distribution,

2. Pressure Ranges

3. Vacuum technical terms

4. Vacuum generation

5. Pressure measurement

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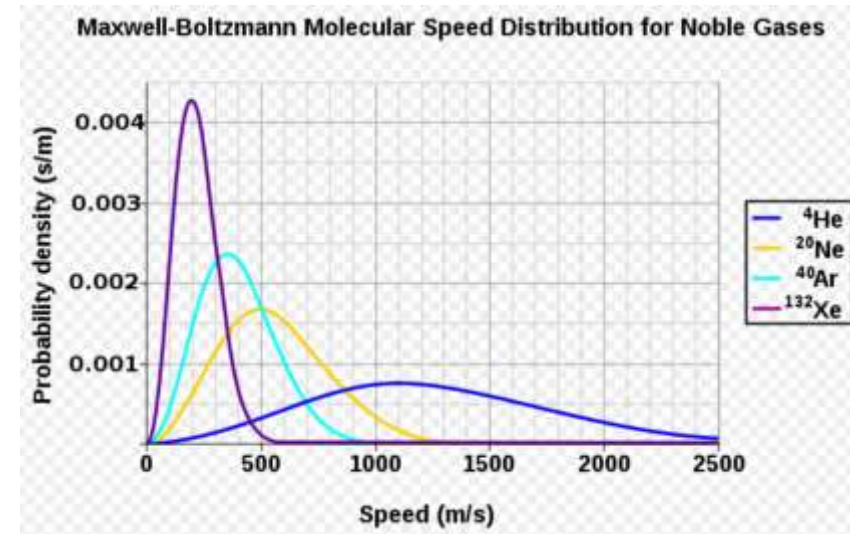
2. Pressure Ranges

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$$f(v) = \sqrt{\frac{2}{\pi}} \left(\frac{m}{kT}\right)^3 v^2 \exp\left(\frac{-mv^2}{2kT}\right)$$



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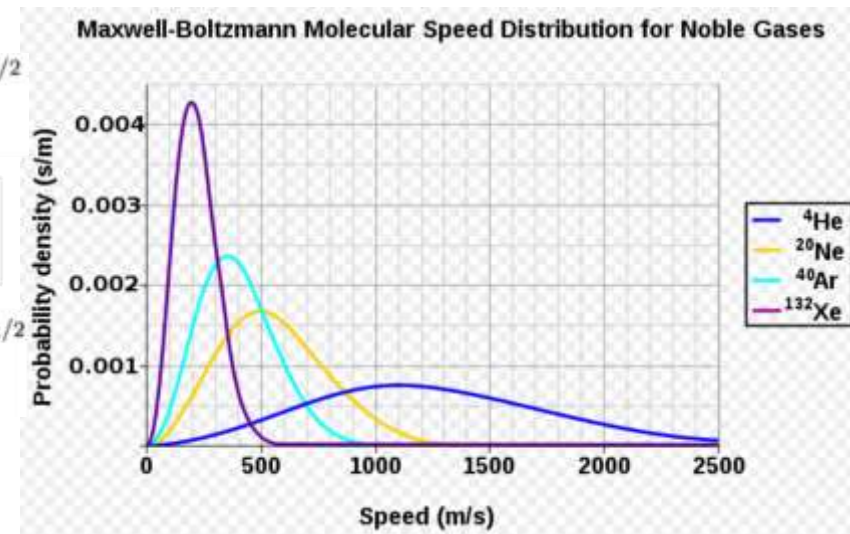
5. Pressure measurement

$$f(v) = \sqrt{\frac{2}{\pi}} \left(\frac{m}{kT}\right)^{3/2} v^2 \exp\left(\frac{-mv^2}{2kT}\right)$$

$$v_{\text{mp}} = \left(\frac{2kT}{m}\right)^{1/2}$$

$$\bar{v} = \left(\frac{8kT}{\pi m}\right)^{1/2}$$

$$v_{\text{rms}} = \left(\frac{3kT}{m}\right)^{1/2}$$



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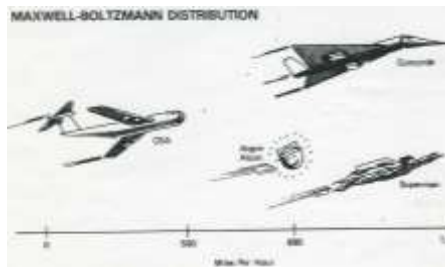
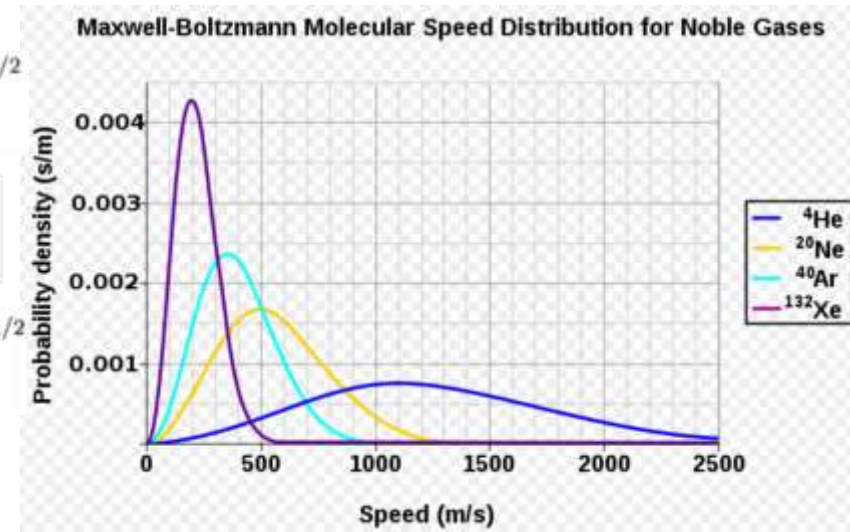
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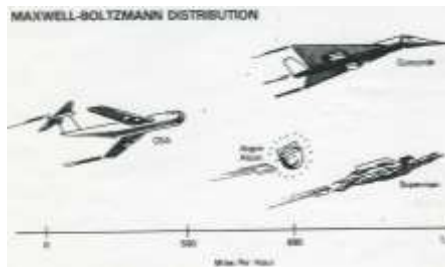
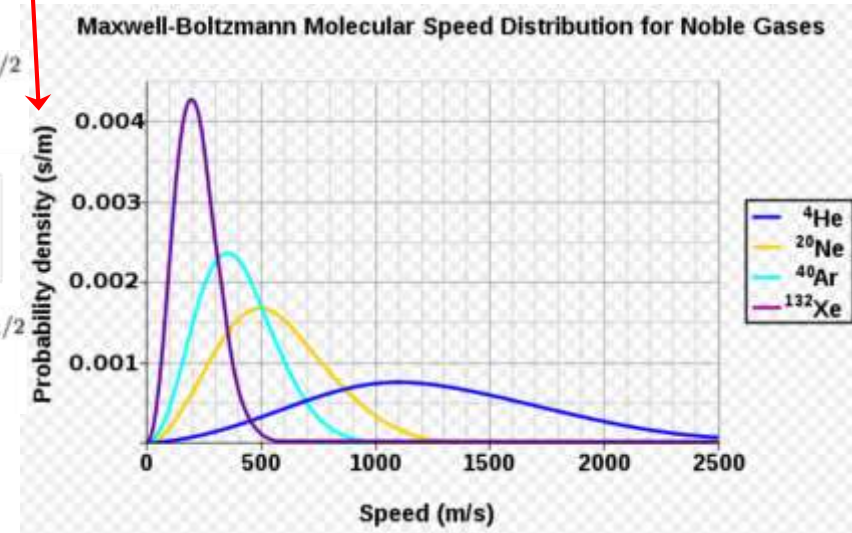
Unit!

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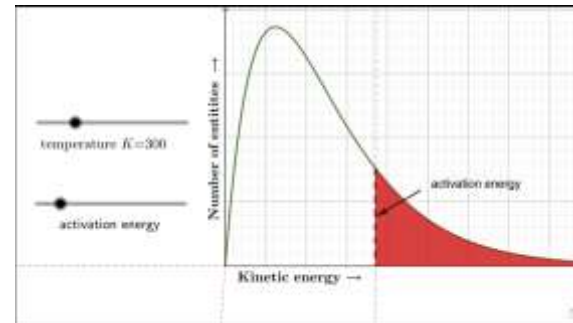
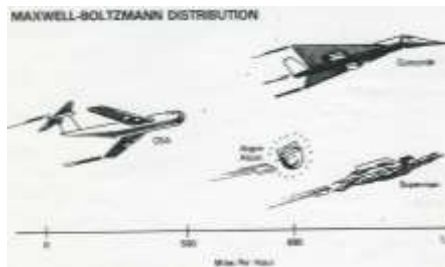
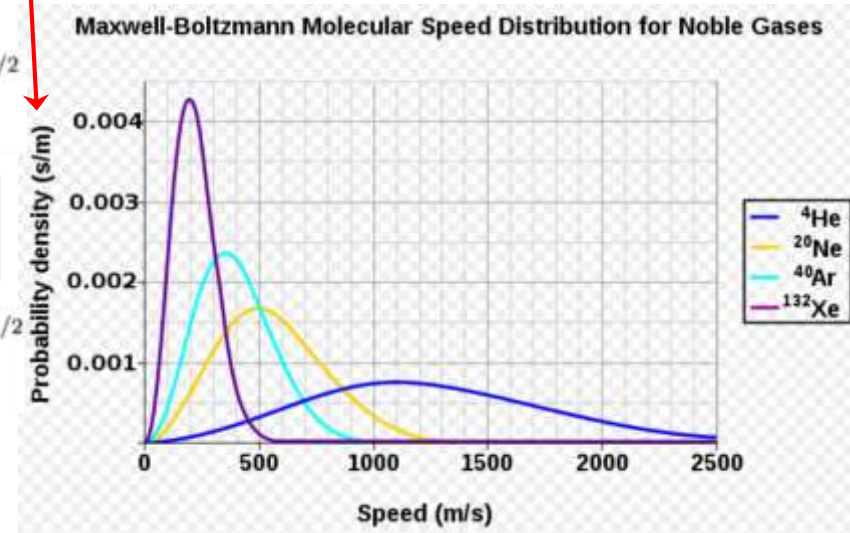
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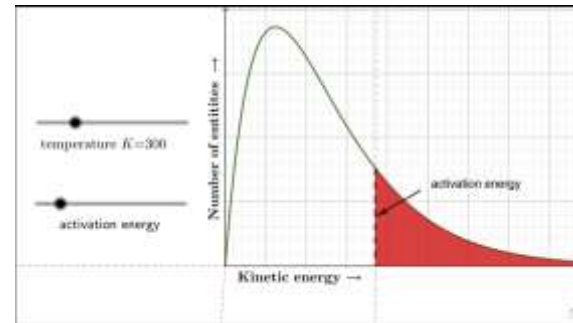
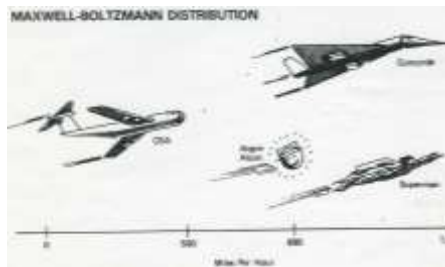
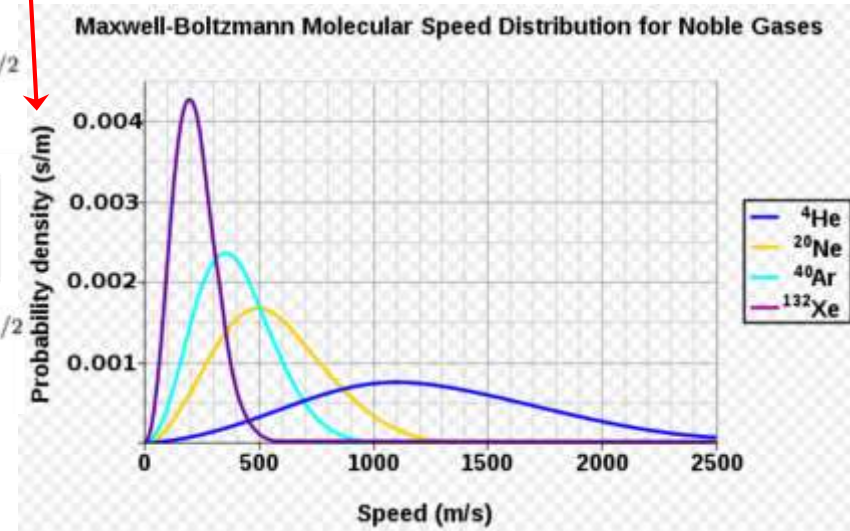
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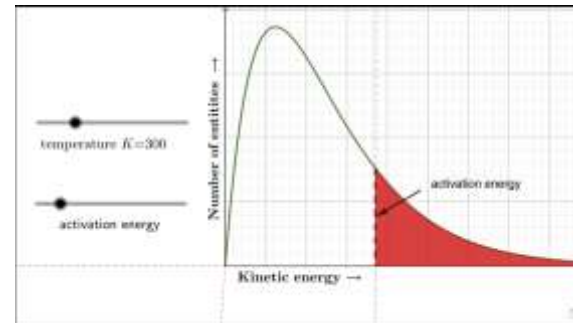
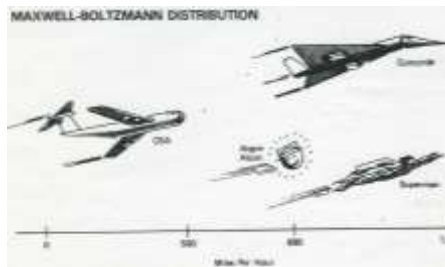
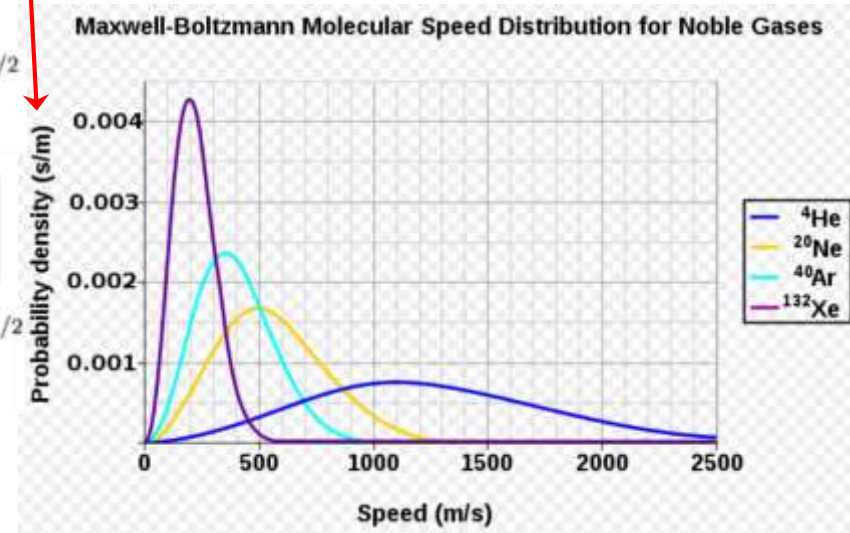
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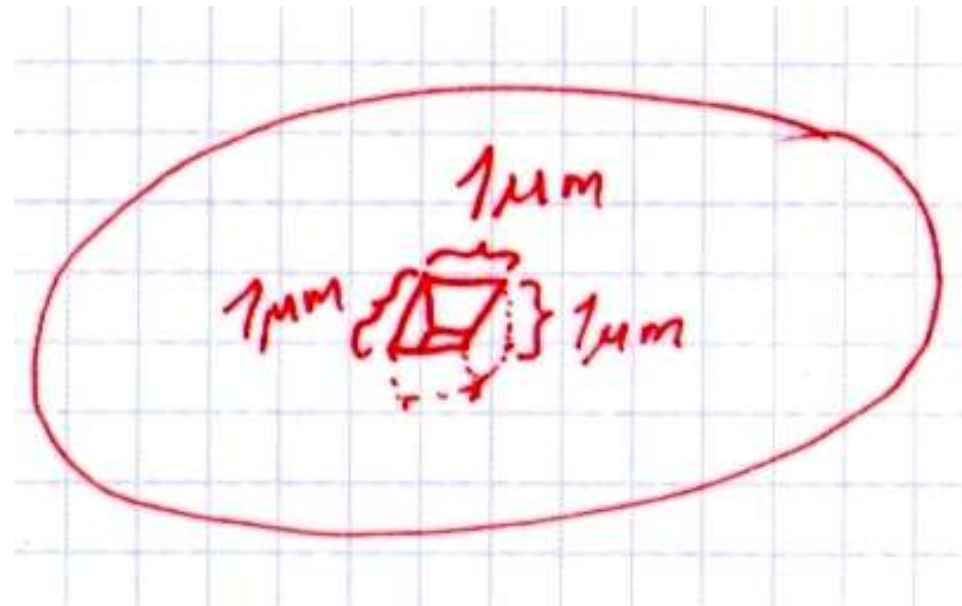
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Exercise:

Scenario: Vacuum based dry etching of a Si trench!

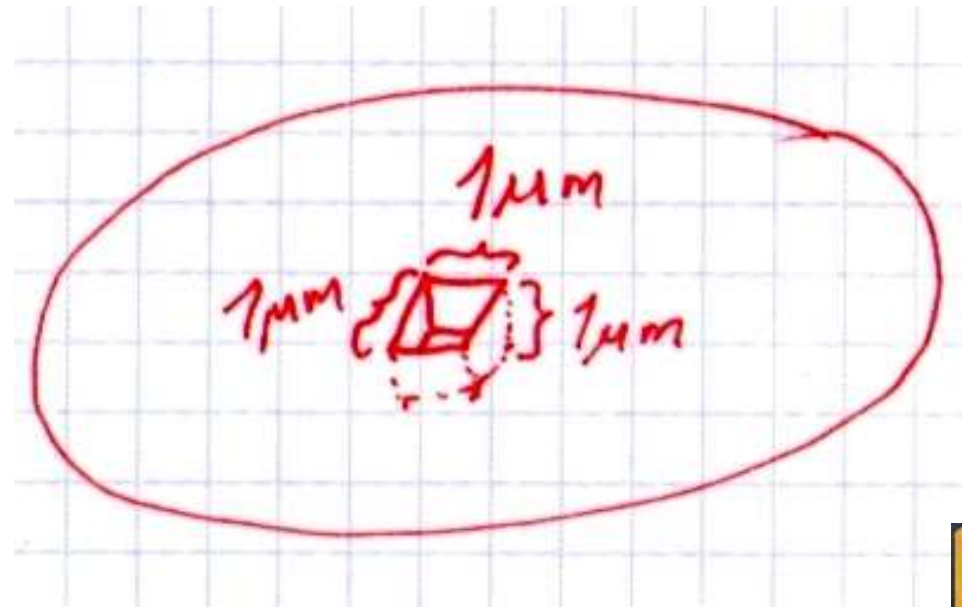
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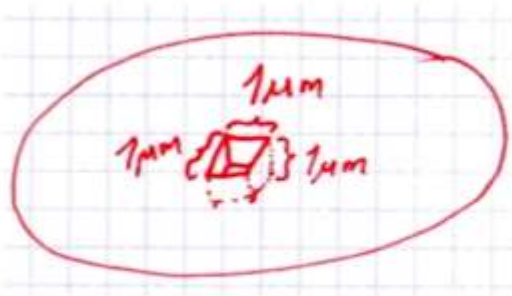
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$$P = n kT$$

$$n = P/kT = 2,47 \cdot 10^{19} \frac{1}{\text{m}^3}$$

$$\text{m}^3 \rightarrow \mu\text{m} \sim 10^{-18}$$

\Rightarrow 25 Gas particles

$$P = 0,1 \frac{\text{N}}{\text{m}^2}$$

$$T = 293 \text{ K}$$

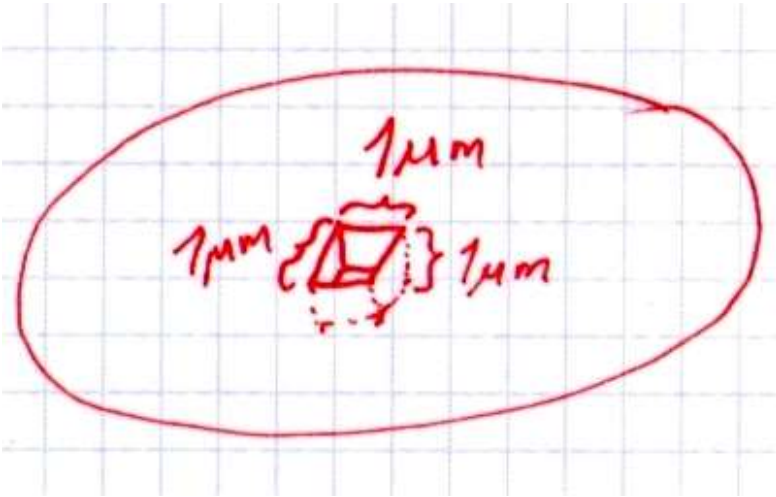
$$k = 1,38 \cdot 10^{-23} \frac{\text{Nm}}{\text{K}}$$

Homework:

Exercise:

Scenario: Vacuum based dry etching of a Si trench!

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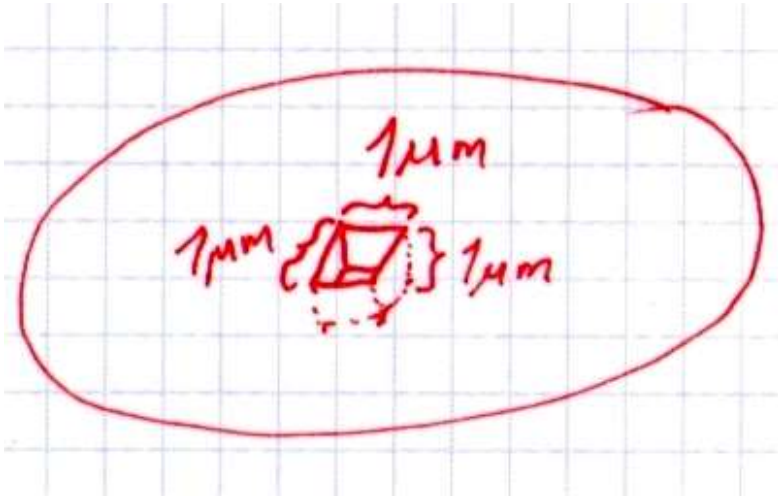
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$$\left. \begin{array}{l}
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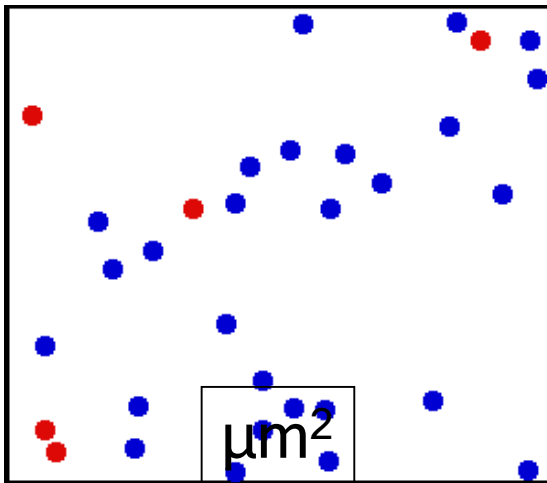
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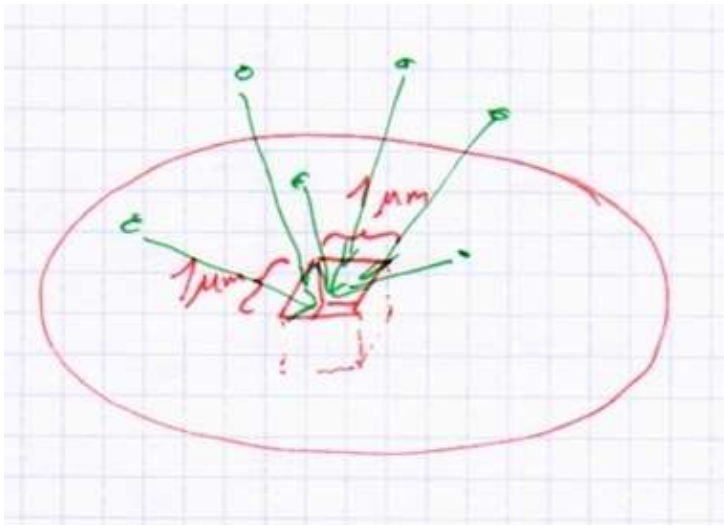
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However, for an assessment concerning the etch rate, the important question is not how many gas particles are inside the trench, but how many gas particles enter the trench per time unit.



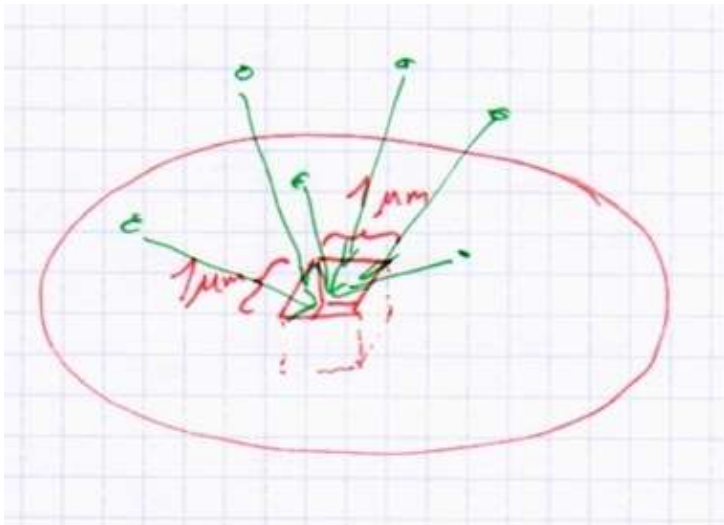
However, for an assessment concerning the etch rate, the important question is not how many gas particles are inside the trench, but how many gas particles enter the trench per time unit.



This rate of particles approaching a surface unit per time unit is called

Impingement Rate or
Surface collision rate Z_a

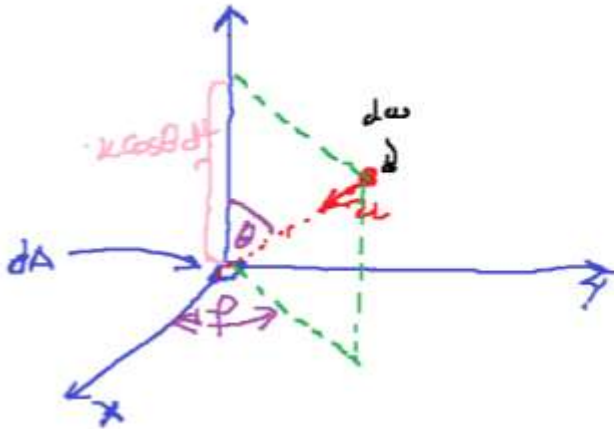
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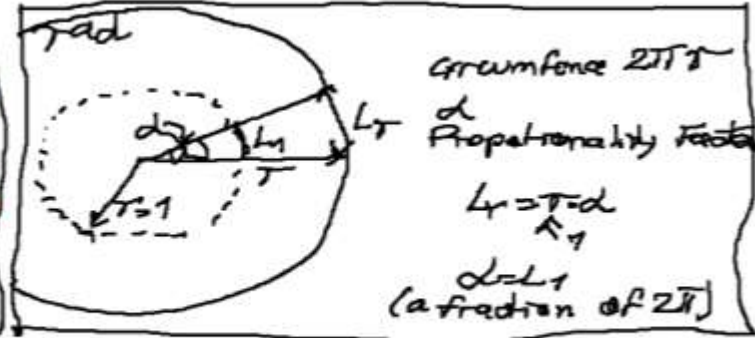
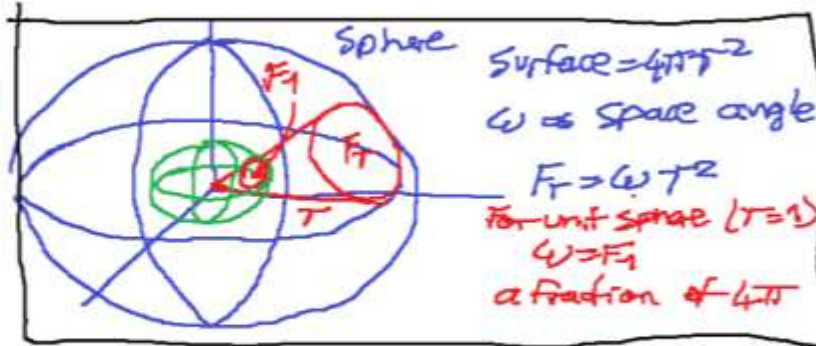
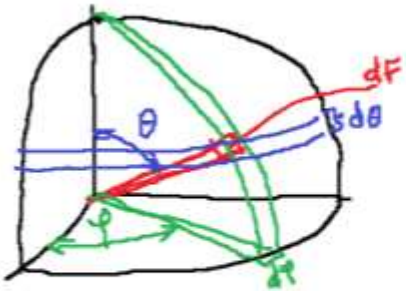
Considering the particles dN having the velocity between u and $u+du$ moving toward dA and arriving at dA within the time dt

- within the volume V are N particles at a density $n = \frac{N}{V}$
- with an isotropic velocity distribution \Rightarrow the fraction $\frac{d\omega}{4\pi}$ propagates towards dA
- The fraction of particles with velocity u is $f(u)du$
- Within dt only the particles closer than $u \cdot \cos\theta dt$ arrive at dA

$$\Rightarrow dN = n \frac{d\omega}{4\pi} f(u) du u \cdot \cos\theta dt$$

impingement rate

$$\frac{dN}{dt} = n \cos\theta \frac{d\omega}{4\pi} u f(u) du$$



$$dF = r^2 d\omega = r^2 \sin\theta d\theta d\phi$$

$$d\omega = \frac{dF}{r^2} = \sin\theta d\theta d\phi$$

$$\frac{dN}{dt} = n \cos\theta \frac{d\omega}{4\pi} u f(u) du$$

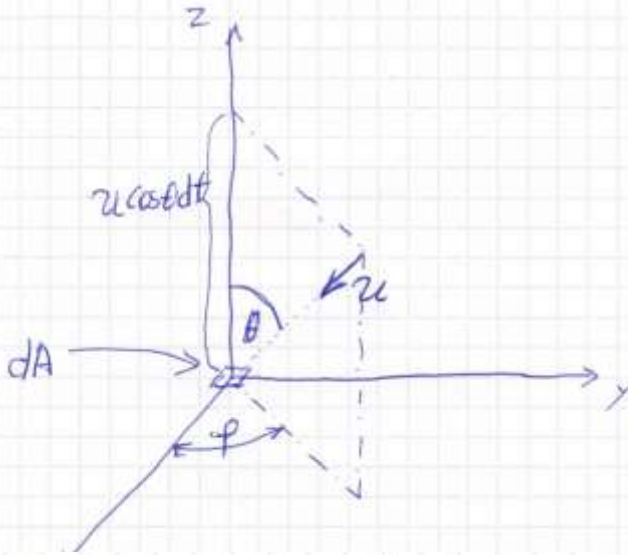
$$\frac{dN}{dt} = \frac{n}{4\pi} \cos\theta \sin\theta d\theta d\phi \cdot u f(u) du$$

Integration over all velocities and angles $\Rightarrow Z_a$

$$Z_a = \frac{n}{4\pi} \int_0^{\pi/2} \int_0^{2\pi} \underbrace{\cos\theta \sin\theta d\theta d\phi}_{\frac{1}{2} \cdot 2\pi} u f(u) du = \frac{1}{4} n \int_0^{\infty} u f(u) du$$

$$Z_a = \frac{1}{4} n \bar{u}$$

Impingement Rate



- Within the Volume V are N particles at a density $n = \frac{N}{V}$
- with an isotropic velocity distribution, therefore the fraction $\frac{d\omega}{4\pi}$ propagates towards dA
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- Within dt only the particles closer than $u \cos\theta dt$ arrive at dA

$$\Rightarrow dN = n \frac{d\omega}{4\pi} f(u) du \cdot u \cos\theta dt \quad \text{expressed as impingement rate}$$

$$\frac{dN}{dt} = n \cos\theta \frac{d\omega}{4\pi} u f(u) du \quad \text{since } d\omega = \sin\theta d\theta d\varphi$$

$$\frac{dN}{dt} = \frac{n}{4\pi} \cos\theta \sin\theta d\theta d\varphi \cdot u f(u) du$$

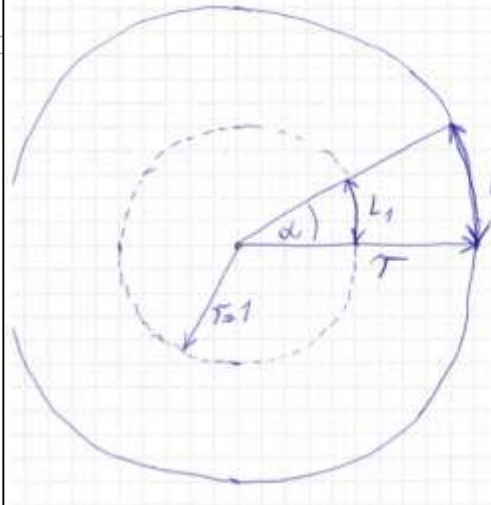
Integration over all velocities and angles yields Z_a

$$Z_a = \frac{n}{4\pi} \int_0^\infty \int_0^{2\pi} \int_0^{\frac{\pi}{2}} \underbrace{\cos\theta \sin\theta d\theta d\varphi}_{\frac{1}{2} \cdot 2\pi} u f(u) du = \frac{1}{4} n \underbrace{\int_0^\infty u f(u) du}_{\bar{u}}$$

$$\boxed{Z_a = \frac{1}{4} n \bar{u}}$$

Considering the particles dN having the velocity between u and $u+du$ moving towards dA and arriving at dA within the time dt :

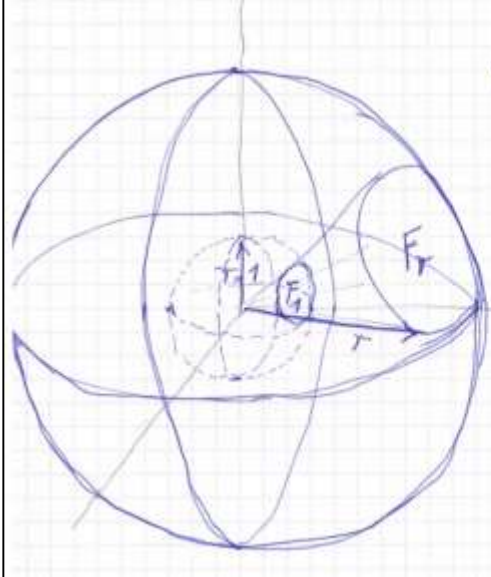
Circle:
Circumference = $2\pi r$



d as radian is
Proportionality factor
between L_r and r
 $L_r = r \cdot d$

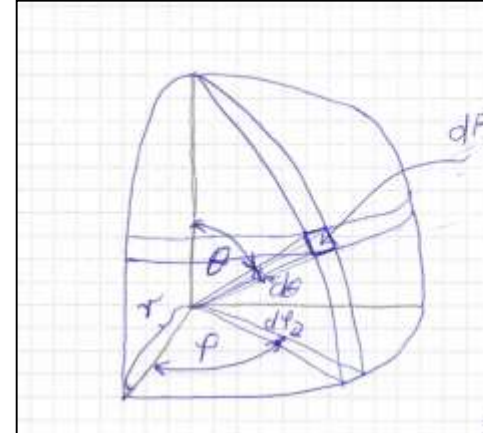
For the unit circle ($r=1$)
 $d = L_r$
(a fraction of 2π)

Sphere:
Surface = $4\pi r^2$



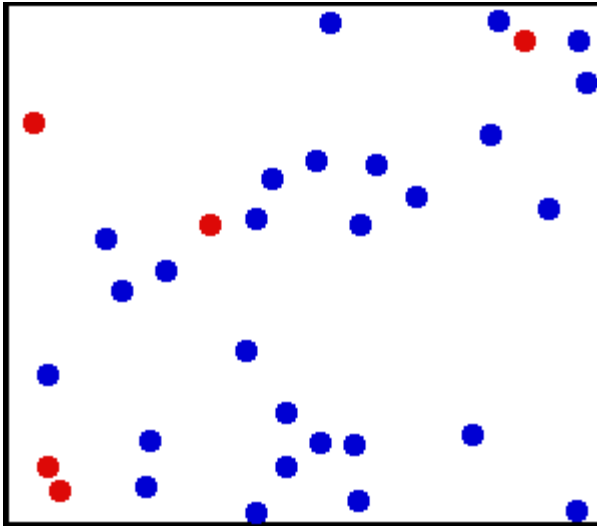
ω as Proportionality
factor between F_r and r^2
 $F_r = \omega r^2$

For the unit sphere ($r=1$)
 $\omega = F_r$
(a fraction of 4π)



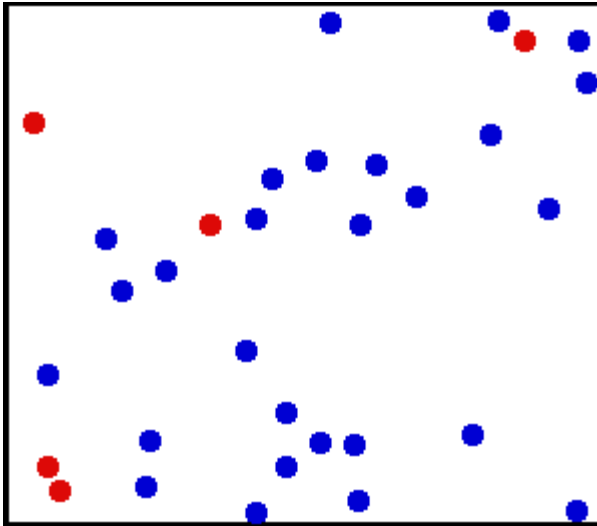
$dF = d\omega r^2$
 $d\omega = \frac{dF}{r^2} = \frac{r d\theta d\phi \sin\theta}{r^2}$
 $d\omega = \sin\theta d\theta d\phi$

$$\int_0^{\frac{\pi}{2}} \int_0^{2\pi} \sin\theta \cos\theta d\theta d\phi = \frac{1}{2}$$



of particles which
hit the surface
per area - and time unit

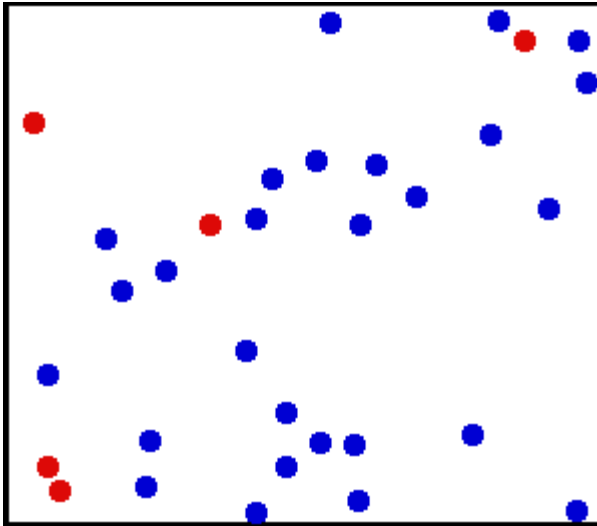
$$Z_a = \frac{1}{4} n v_{\text{mean}}$$



of particles which
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$$Z_a = \frac{1}{4} n v_{\text{mean}}$$

$$Z_a = \frac{1}{4} \frac{N}{V} v_{\text{avg}} = \frac{n}{4} \sqrt{\frac{8kT}{\pi m}}$$



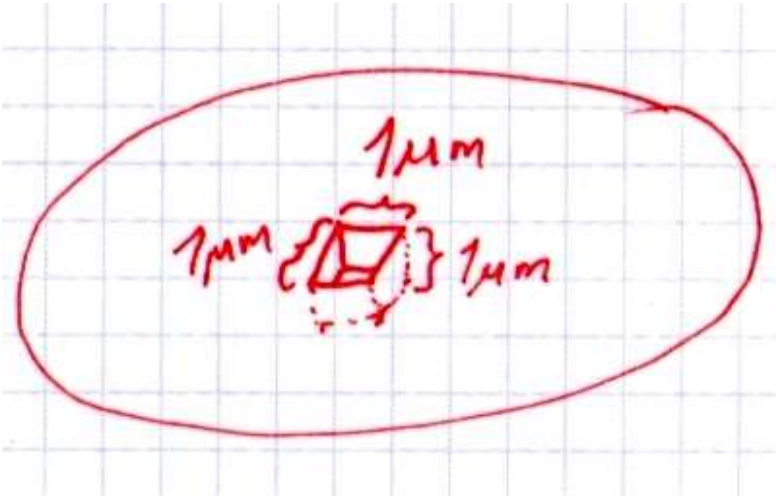
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Remember?



Exercise:

Scenario: Vacuum based dry etching of a Si trench!

How many gas particles reside at a pressure of 0,1 Pa (10^{-3} mBar) at a temperature of 23 °C inside of a $1 \times 1 \times 1 \mu\text{m}^3$ trench?

$$P = n K T$$

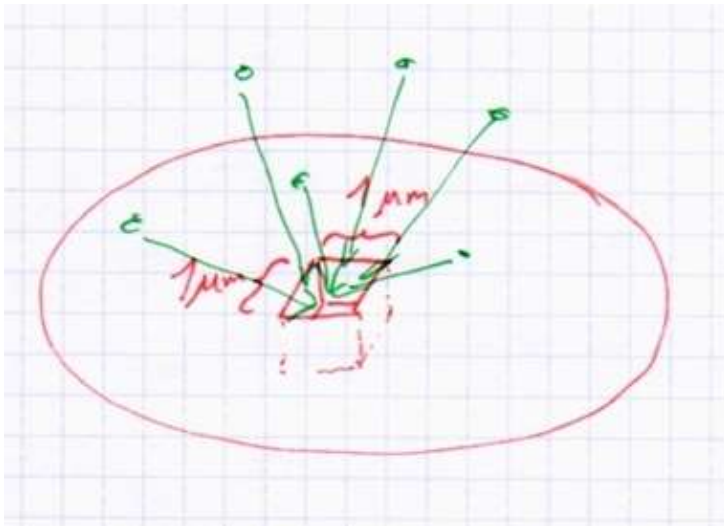
$$n = P / K T = 2,47 \cdot 10^{19} \frac{1}{\text{m}^3}$$

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$$\Rightarrow \underline{\underline{25 \text{ Gas particles}}}$$

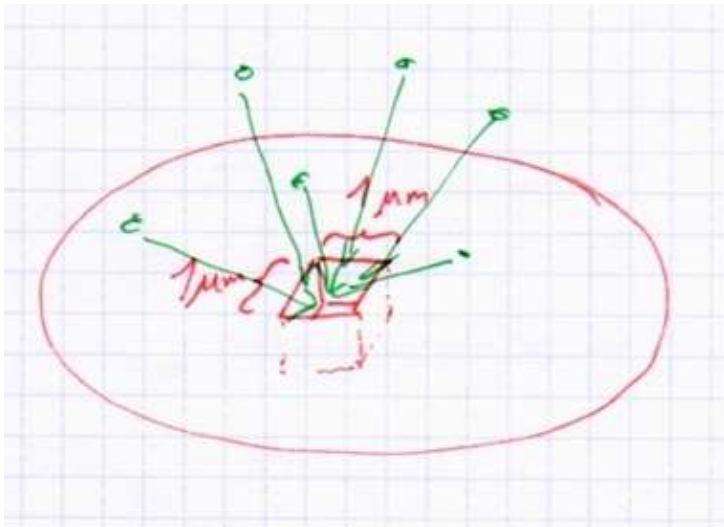
$$\left\{ \begin{array}{l} P = 0,1 \frac{\text{N}}{\text{m}^2} \\ T = 293 \text{ K} \\ K = 1,38 \cdot 10^{-23} \frac{\text{N}}{\text{K}} \end{array} \right.$$

Exercise:



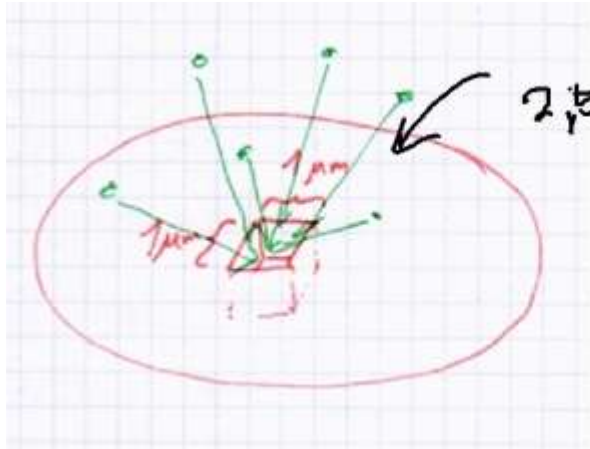
How many Ar particles approach the trench opening ($1 \times 1 \mu\text{m}^2$) at a pressure of 0.1 Pa ($1 \cdot 10^{-3}$ mBar) and a temperature of 20°C ?

Exercise:



How many Ar particles approach the trench opening ($1 \times 1\ \mu\text{m}^2$) at a pressure of $0.1\ \text{Pa}$ ($1 \cdot 10^{-3}\ \text{mBar}$) and a temperature of 20°C ?





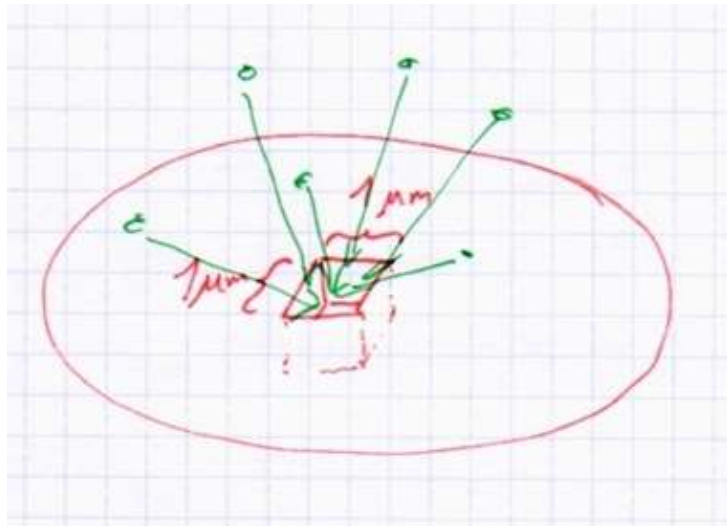
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$$Z_a = \frac{1}{4} n \cdot \overline{v}$$

$$\frac{p}{kT} = 2.5 \cdot 10^{18} \frac{1}{\text{m}^3} \quad \left. \begin{array}{l} \sqrt{\frac{8kT}{\pi m}} = 394 \frac{\text{m}}{\text{s}} \\ \frac{1}{4} n \cdot \overline{v} = 2.5 \cdot 10^{18} \frac{1}{\text{m}^3} \cdot 394 \frac{\text{m}}{\text{s}} \end{array} \right\} Z_a = 2.5 \cdot 10^{21} \frac{1}{\text{m}^2 \cdot \text{s}}$$

$$\underline{\underline{Z_a = 2.5 \cdot 10^9 \frac{1}{\mu\text{m}^2 \cdot \text{s}}}}$$

Back to our calculation:



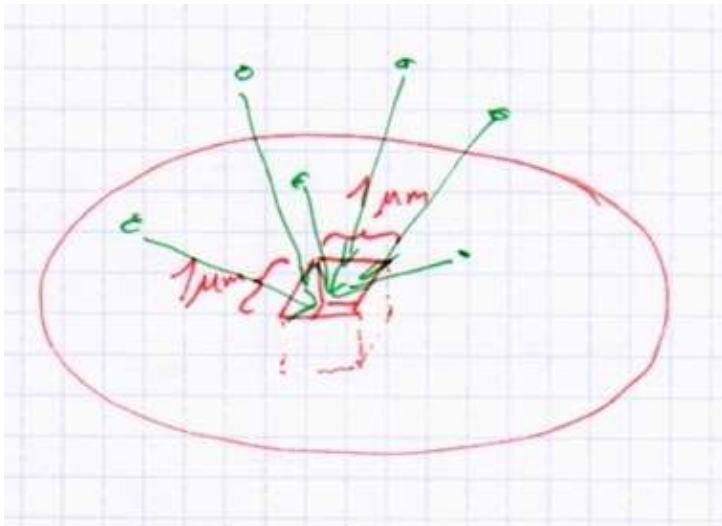
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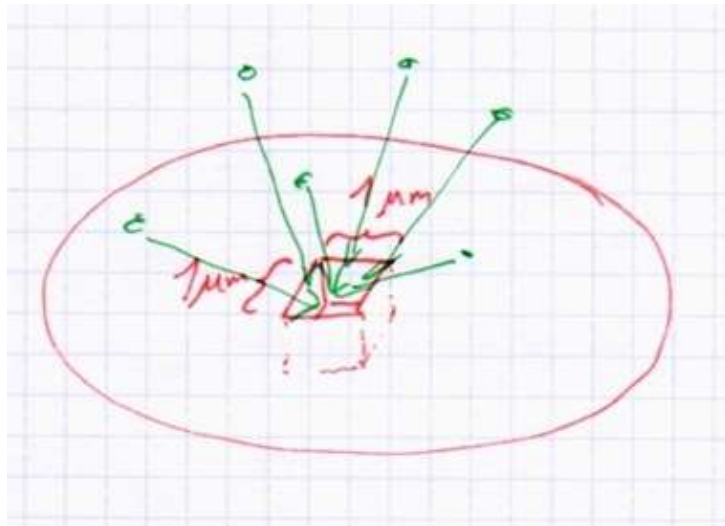
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Question: Is this much?

How to get this number into a picture?

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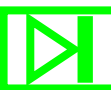
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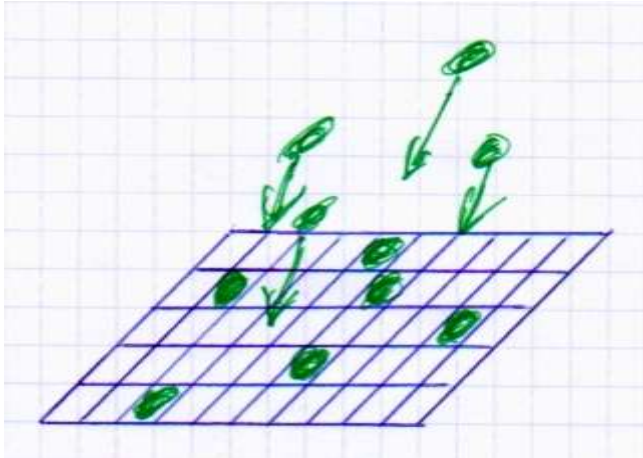
Shade balls as Monolayer

"VTL06 e 17:53



<https://www.nytimes.com/2015/08/13/us/in-california-millions-of-shade-balls-combat-a-nagging-drought.html>

Monolayer coverage

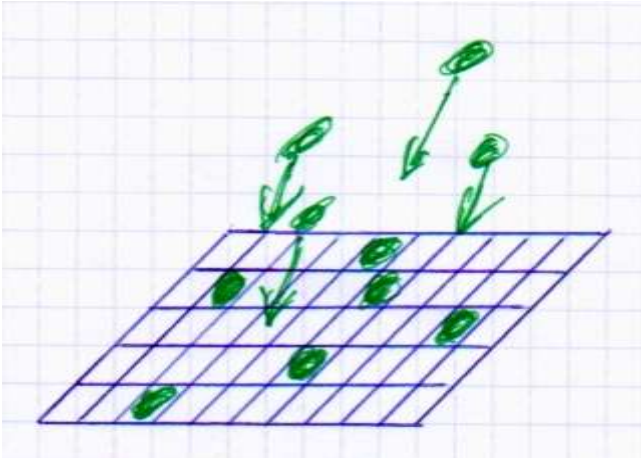


There is a certain density of adsorption sites α at the surface.

We make the following assumptions:

- i) Every arriving particle adsorbs i.e. Sticking coefficient = 1 (not generally valid!)
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Monolayer coverage



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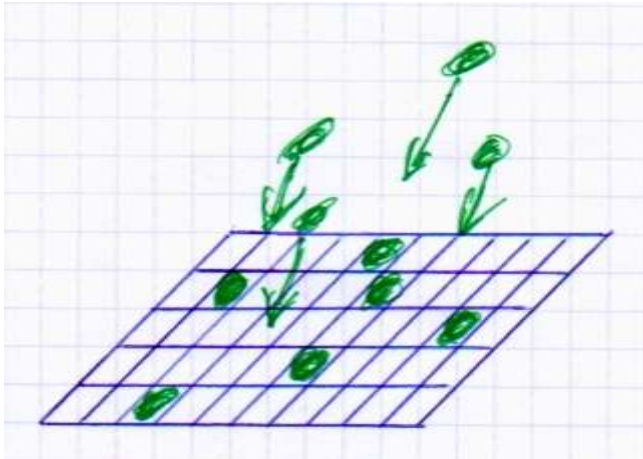
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Answer: $\tau = a/Z_a = 4a/n \cdot v_{\text{mean}}$ (► Depends on pressure mass and temperature!)

A common "thumb value" for \mathbf{a} in vacuum technology is: ?

Monolayer coverage



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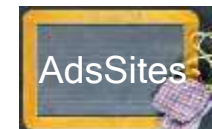
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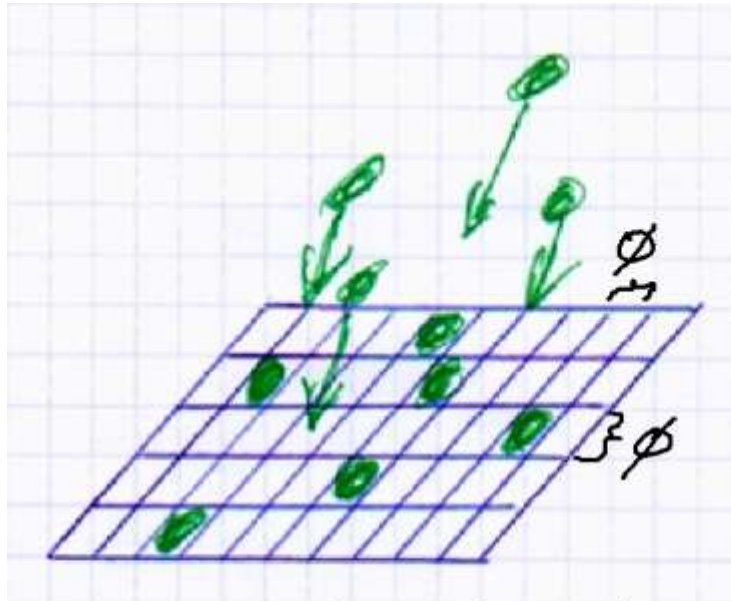
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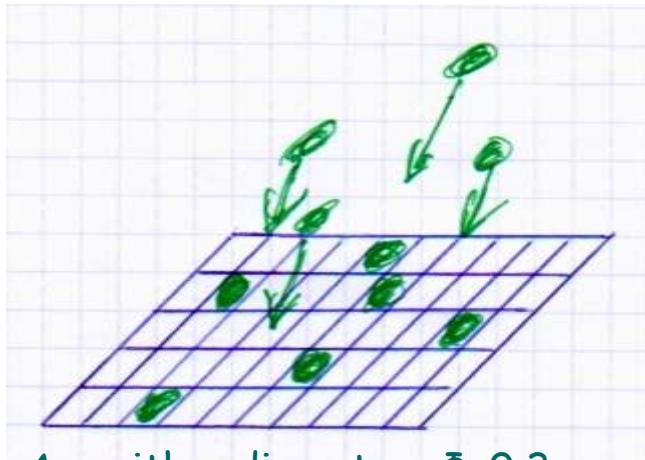




Ar with a diameter $\phi = 0.3 \text{ nm}$

$$a = 1/\phi^2 = \underline{\underline{1 \cdot 10^{19} \text{ 1/m}^2}}$$

Monolayer coverage



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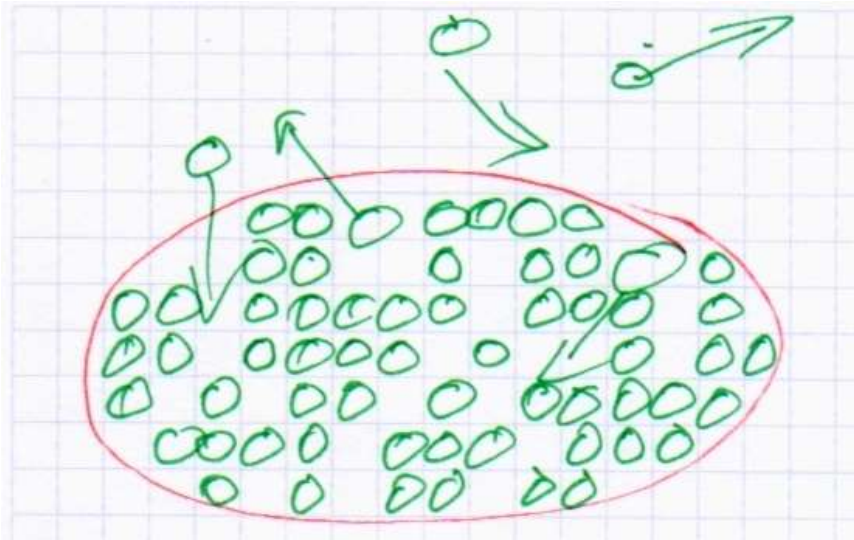
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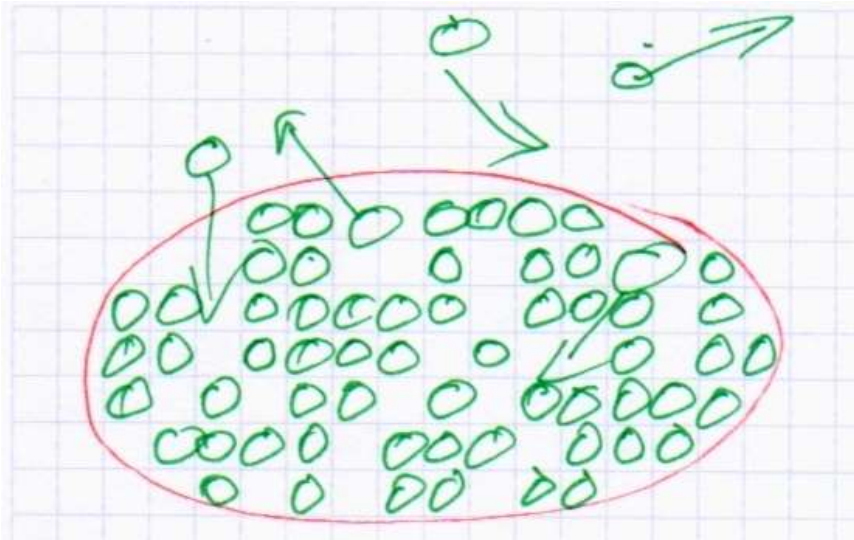
This turns out for a typical gas particle like Ar with a diameter $\Phi=0.3\text{nm}$ in a checkered arrangement $a=1/\Phi^2 = 1 \cdot 10^{19} [1/m^2]$



How long is the monolayer coverage time?

Based on a pressure of 0.1 Pa ($1 \cdot 10^{-3} \text{ mBar}$) and a temperature of 20°C

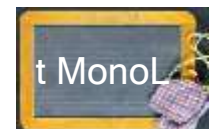
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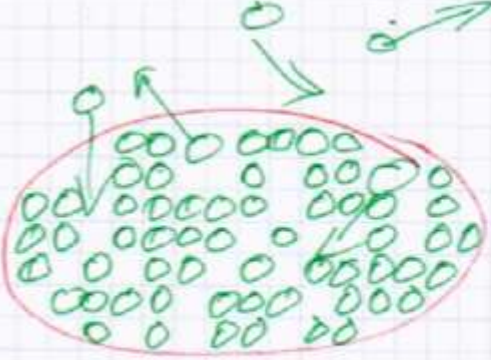


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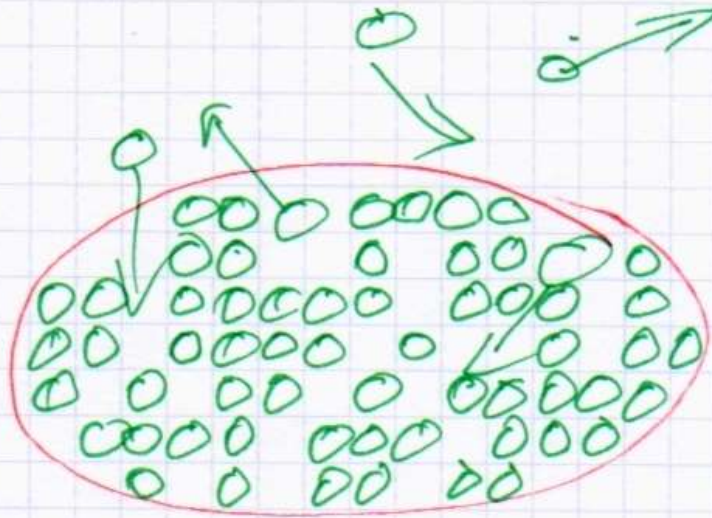


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$$\tau (0,1 \text{ Pa or } 10^{-3} \text{ mBar}) = \frac{1 \cdot 10^{19}}{2,5 \cdot 10^{21}} = \underline{\underline{4 \text{ ms}}}$$



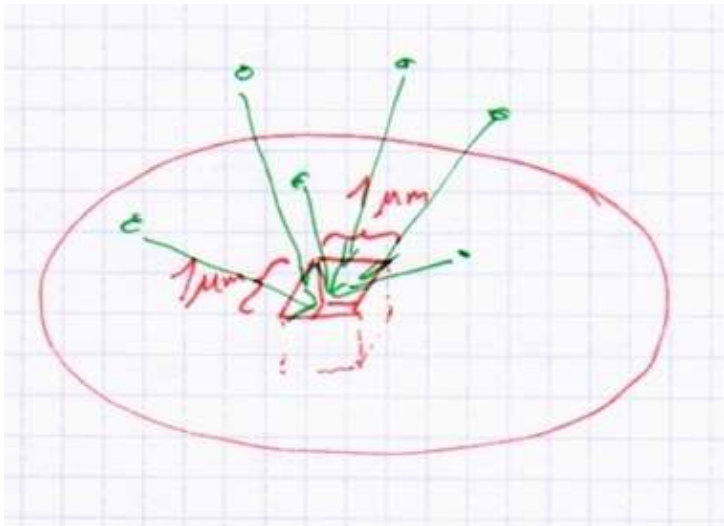
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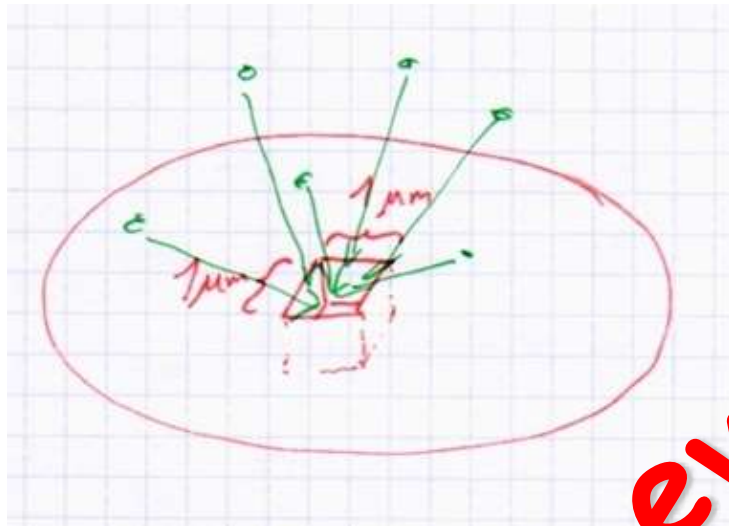
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How many particles approach the trench opening ($1 \times 1 \mu\text{m}^2$) at a pressure of 0.1 Pa ($1 \cdot 10^{-3} \text{ mBar}$) and a temperature of 20°C ?

It is 1 ML every 250 ML! That means 250 ML every 1 s!

$$\left. \begin{aligned}
 Z_a &= \frac{1}{4} n \bar{v} \\
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ML coverage time and pressure:

$$\tilde{z} = \frac{a}{Z_a} = \frac{4a}{n \bar{u}}$$

\uparrow $P = nRT$ \swarrow $\sqrt{\frac{8KT}{\pi m}}$

$$\tilde{z} = \frac{a \cdot 4}{\frac{P}{RT} \cdot \sqrt{\frac{8KT}{\pi m}}} = \frac{a}{P \sqrt{\frac{8}{16} \frac{KT}{(KT)^2 m}}}$$

$$\tilde{z} = \frac{a}{P \sqrt{\frac{1}{2KTm}}} = \frac{a \sqrt{2KTm}}{P}$$

Or:

$$\underline{\underline{Z_a = \frac{a}{\tilde{z}} = \frac{P}{\sqrt{2\pi m KT}}}}$$

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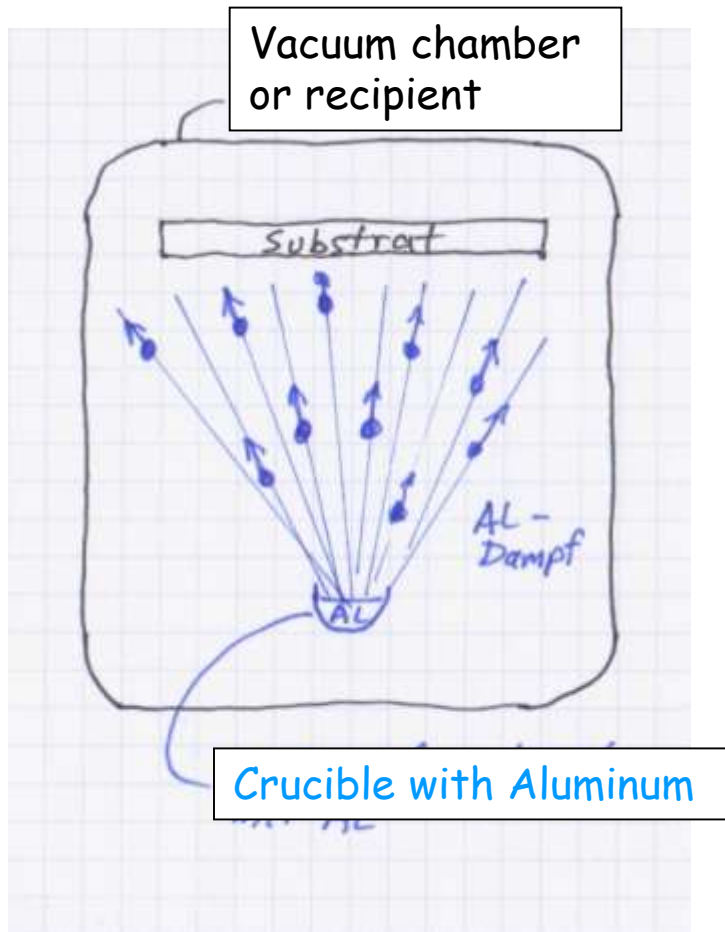
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An example on the practical meaning of that





»Wissen schafft Brücken.«