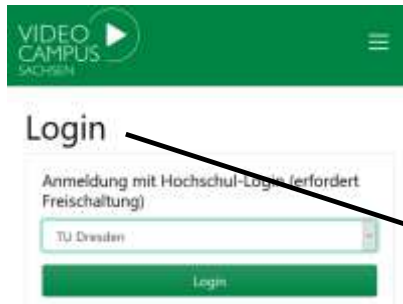TECHNISCHE UNIVERSITÄT DRESDEN

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Vacuum Technology
WS 20/21
Virtually presented
Lecture 10, Jan. 11, 2021

Prof. Dr. Johann W. Bartha

Inst. f. Halbleiter und Mikrosystemtechnik
Technische Universität Dresden

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0. Introduction
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1. Gas kinetic
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2. Pressure Ranges
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3. Vacuum technical terms
Pumping speed, pumping power, gas-flow, residence time, gas flow conduction, impact on tube dimension

4. Vacuum generation
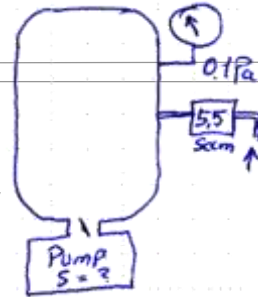
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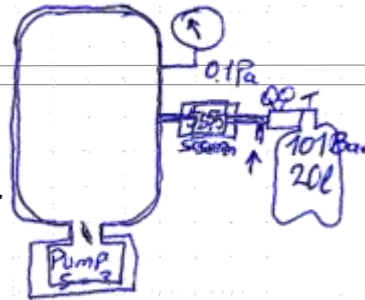
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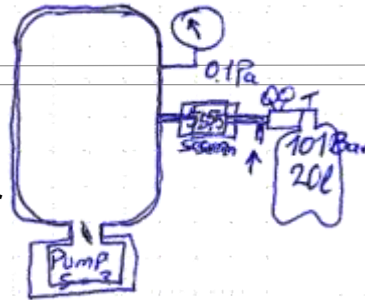Residence Time $T_R = V/S = P \cdot V/P \cdot S = P \cdot V/Q$

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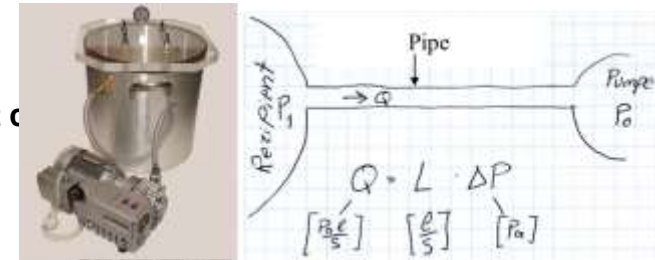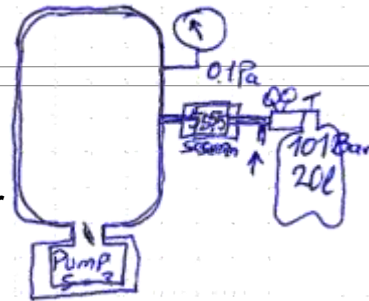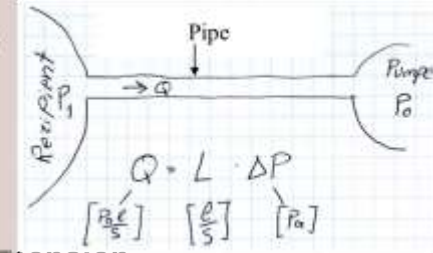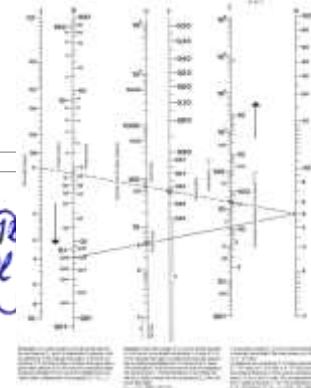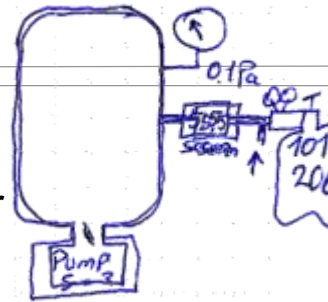
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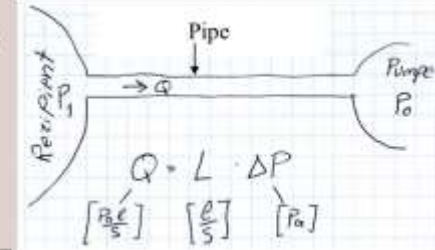
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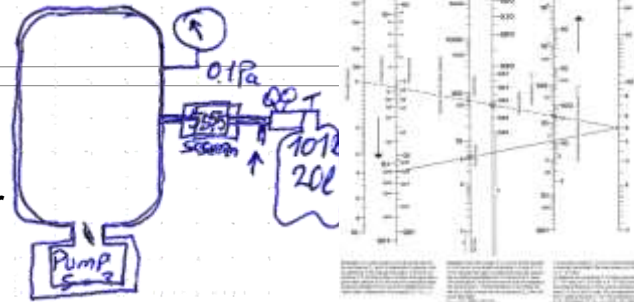
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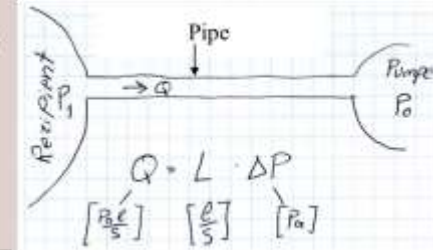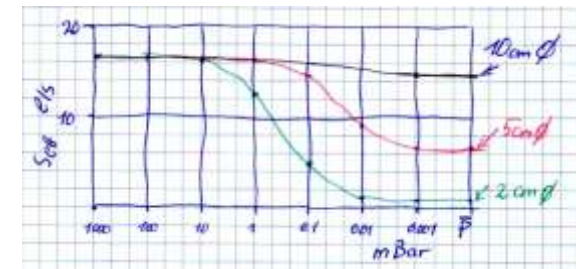
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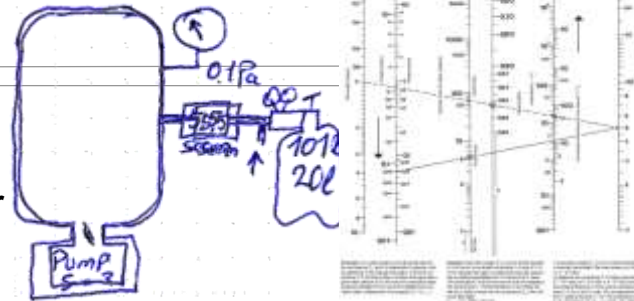1 sccm = 1,8 Pa·l/s = 18 10^{-3} m·Bar·l/s = 2,89 ·10^{19} Particle / min

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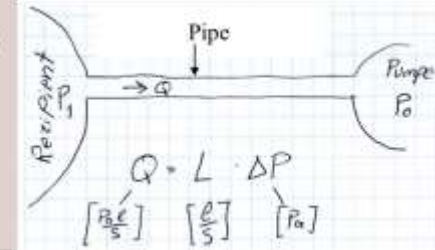**Impingement rate, monolayer coverage time, mean free path collision rate**

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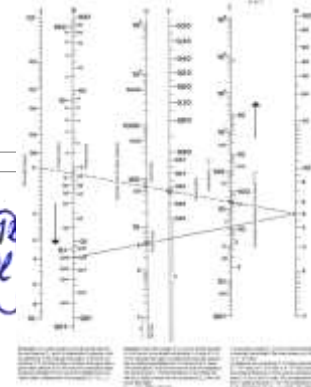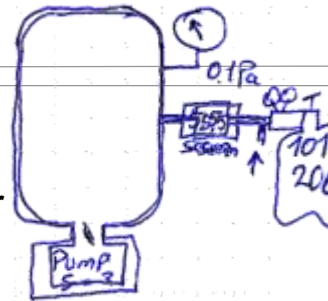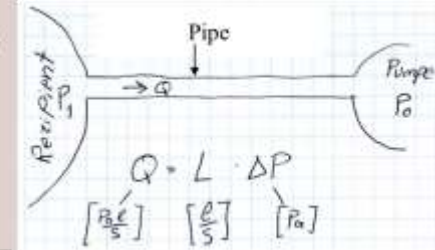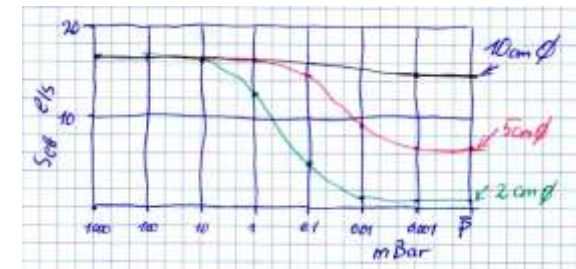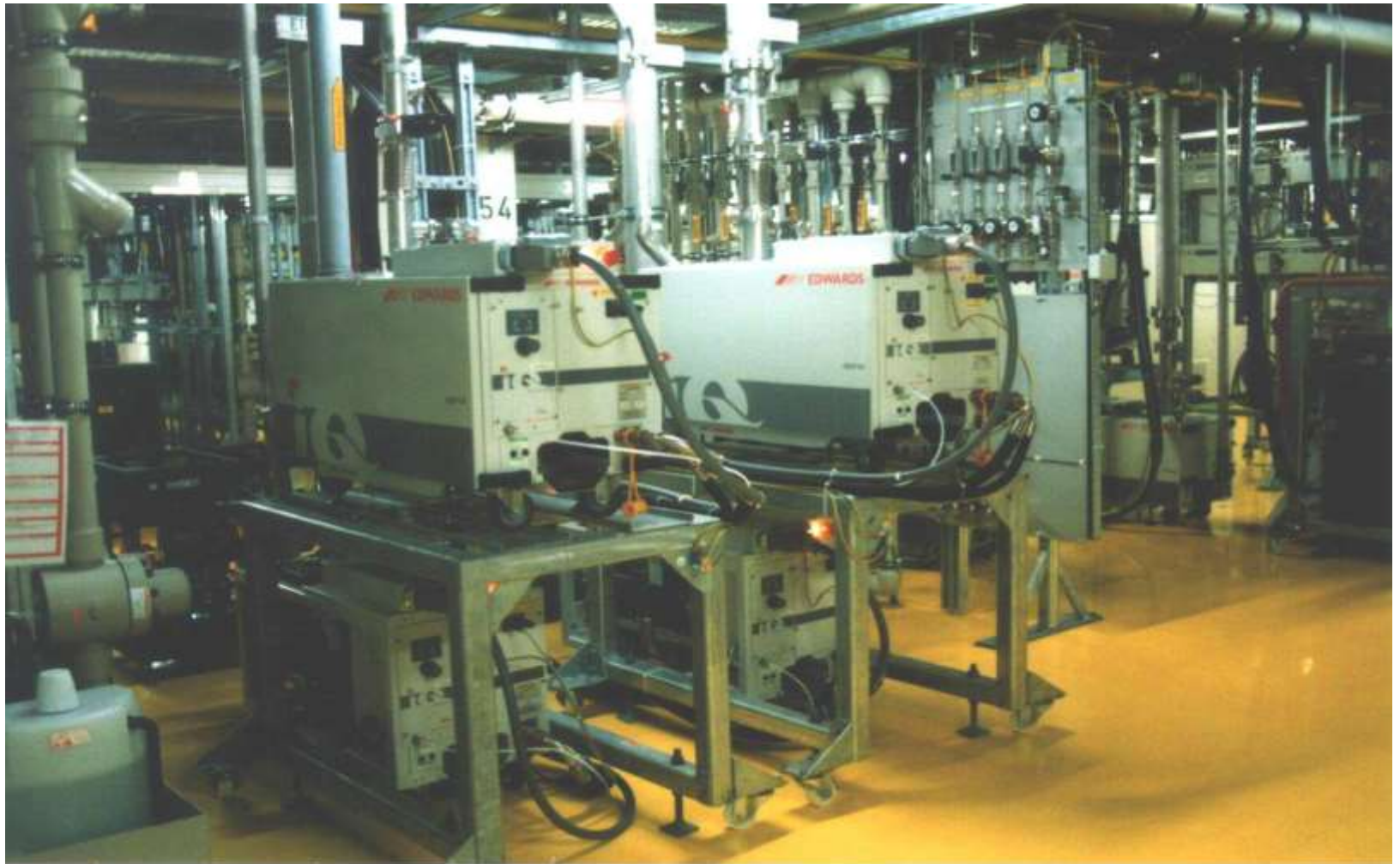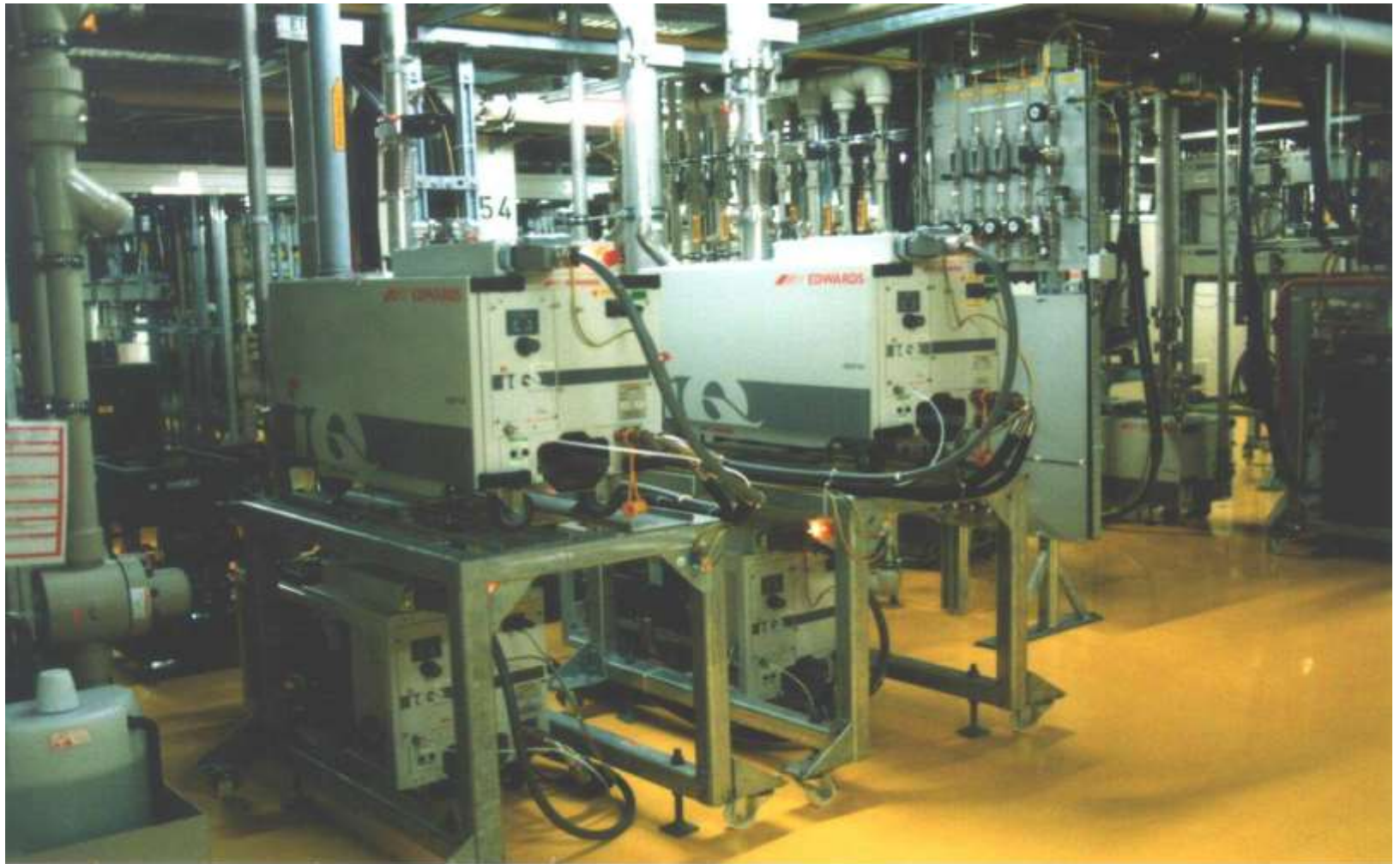1 sccm = 1,8 Pa·l/s = 18 10^{-3} m·Bar·l/s = 2,89 $\cdot 10^{19}$ Particle / min
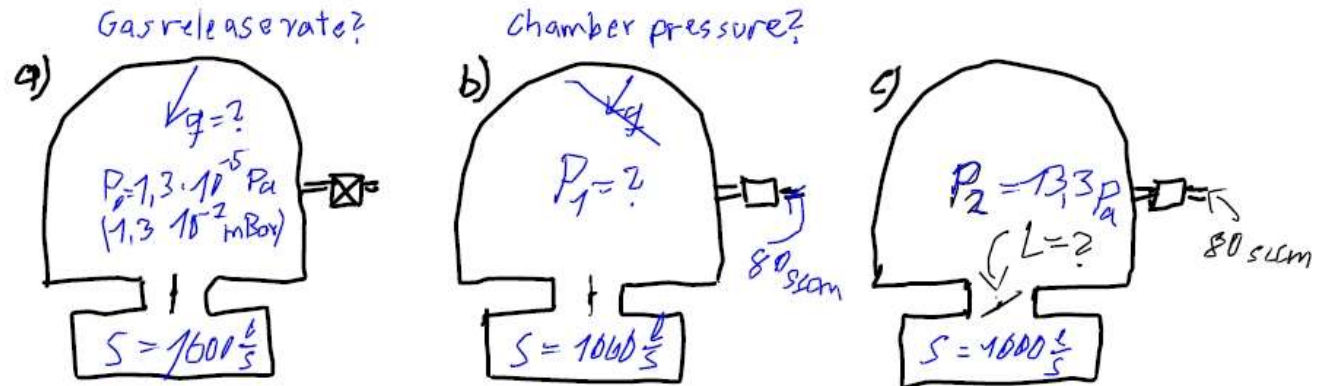
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Expl
Prcess

Gas release rate?   Chamber pressure?

a)

$V_g = ?$

$P_0 = 1,3 \cdot 10^{-5}$ Pa
$(1,3 \cdot 10^{-7}$ mBar$)$

$S = 1600 \frac{\ell}{s}$

b)

$\cancel{V_g}$

$P_1 = ?$

80 sccm

$S = 1000 \frac{\ell}{s}$

c)

$P_2 = 13,3$ Pa

$L = ?$

80 sccm

$S = 1000 \frac{\ell}{s}$

$q = P_0 \cdot S$
$q = 1,3 \cdot 10^{-2} \frac{Pa \cdot \ell}{s}$
$q = 7,2 \cdot 10^{-3}$ sccm
$q = 2,1 \cdot 10^{17} \frac{Particles}{min}$

$P_1 = \frac{\cancel{q} + Q}{S}$

80 sccm $\hat{=}$ 144 $\frac{Pa \cdot \ell}{s}$

$P_1 = 0,144$ Pa

$Q = L \cdot \Delta P \leftarrow 13,3$ Pa
$L = \frac{Q}{\Delta P} = \frac{144 \frac{Pa \cdot \ell}{s}}{13,3 \, Pa}$
$L = 10,8 \frac{\ell}{s}$

Which is the effective pumping speed in combination Pump/Valve?

$\frac{1}{S_{eff}} = \frac{1}{L} + \frac{1}{S_p}$

$S_{eff} = 10,7 \frac{\ell}{s}$

Gas release rate? **Chamber pressure?** **Conduction of the throttle?**

a)
$\dot{q} = ?$
$P_0 = 1{,}3 \cdot 10^{-5} \, Pa$
$(1{,}3 \cdot 10^{-7} \, mBar)$
$S = 1000 \frac{l}{s}$

b)
$\dot{q}$
$P_1 = ?$
80 sccm

c)
$P_2 = 13{,}3 \, Pa$
$CL = ?$

Pumpdown to base pressure: $1.3 \cdot 10^{-5}$ is obtained

Activation of the gas flow of 80 sccm

Activation of the throttle valve to adjust the process pressure 13.3 Pa

$\dot{q} = P_0 \cdot S$

$\dot{q} = 1{,}3 \cdot 10^{-2} \, \frac{Pa \cdot l}{s}$

$\dot{q} = 7{,}2 \cdot 10^{-3} \, sccm$

$\dot{q} = 2{,}1 \cdot 10^{17} \, \frac{Partikel}{min}$

vernachlässigen

$P_1 = \frac{\dot{q} + Q}{S}$

$80 \, sccm \hat{=} 144 \, \frac{Pa \cdot l}{s}$

$P_1 = 0{,}144 \, Pa$

$Q = L \cdot \Delta P$

$L = \frac{Q}{\Delta P} = \frac{144 \, Pa \cdot l}{13{,}3 \, Pa \cdot s}$

$L = 10{,}8 \, \frac{l}{s}$

Which is the effective pumping speed in combination with the adjusted throttle valve?

$\frac{1}{S_{eff}} = \frac{1}{L} + \frac{1}{S}$

$S_{eff} = 10{,}7 \, \frac{l}{s}$

Process flow in a chamber using a gas inlet:

Gas release rate? **Chamber pressure?** **Conduction of the throttle?**

a)

$V_{q=?}$

$P_0 = 1,3 \cdot 10^{-5} \, Pa$
$(1,3 \cdot 10^{-7} \, mBar)$

$S = 1000 \frac{\ell}{s}$

b)

V_q

$P_1 = ?$

$80 \, sccm$

c)

$P_2 = 13,3 \, Pa$

$CL = ?$

Pumpdown to base pressure: 1.3·10⁻⁵ is obtained

Activation of the gas flow of 80 sccm

Activation of the throttle valve to adjust the process pressure 13.3 Pa

$q = P_0 \cdot S$

$q = 1,3 \cdot 10^{-2} \frac{Pa \cdot \ell}{s}$

$q = 7,2 \cdot 10^{-3} \, sccm$

$q = 2,1 \cdot 10^{17} \frac{Partikel}{min}$

$P_1 = \frac{q + Q}{S}$

$80 \, sccm \triangleq 144 \frac{Pa \cdot \ell}{s}$

$P_1 = 0,144 \, Pa$

$Q = L \cdot \Delta P$

$L = \frac{Q}{\Delta P} = \frac{144 \, Pa \cdot \ell}{13,3 \, Pa \cdot s}$

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$S_{eff} = 10,7 \frac{\ell}{s}$

Pumps – links: https://www.youtube.com/user/PPlusVac/videos

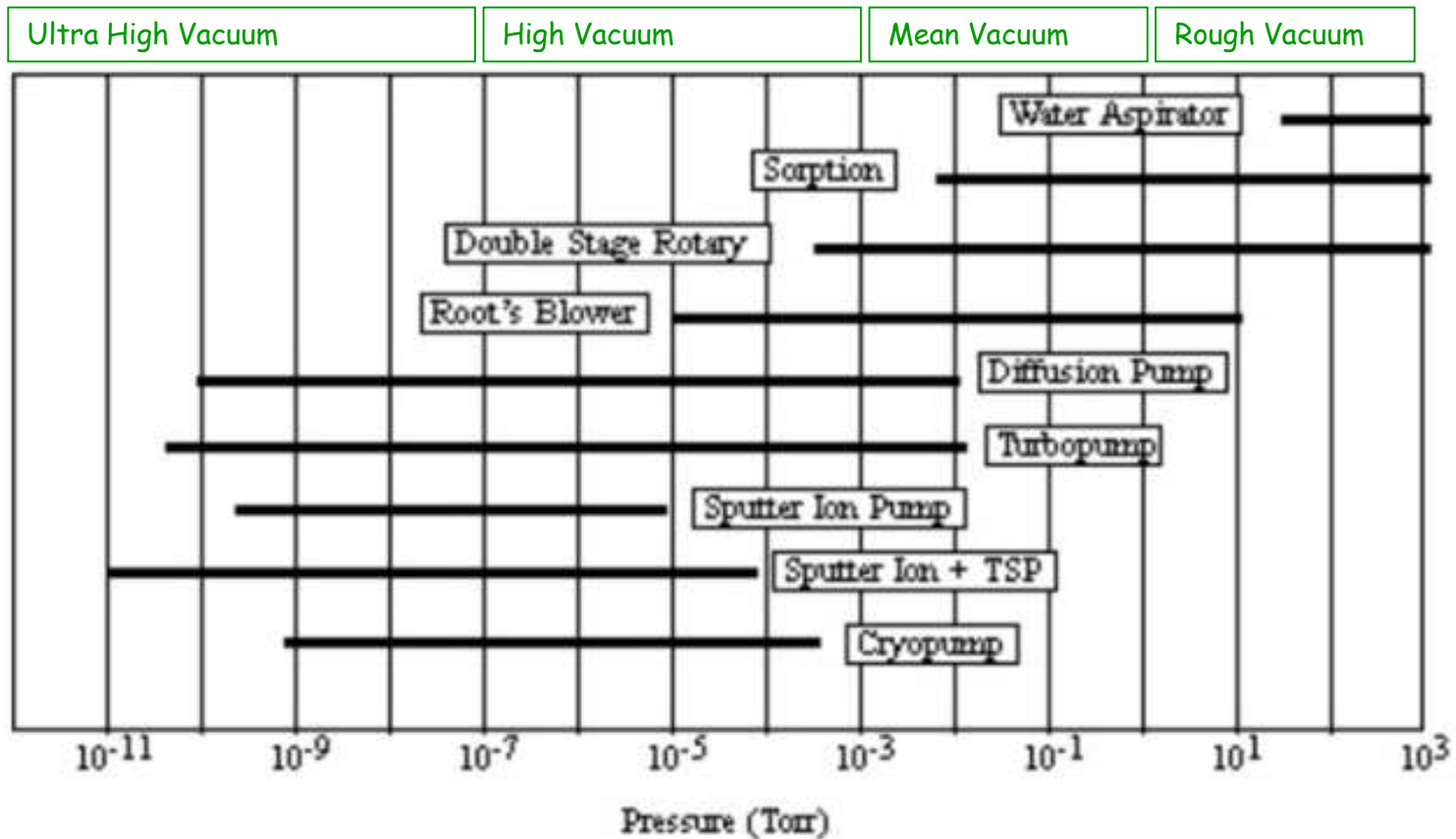|	
Rotary plunger:	https://www.youtube.com/watch?v=fEO8LEGfdgc
Rotary vane :	https://www.youtube.com/watch?v=AFHogF-9eGA
	https://www.youtube.com/watch?v=IIKOx0JqE08
	https://www.youtube.com/watch?v=oXgdnnkIKZ4
	https://www.youtube.com/watch?v=1SaB4aKi574
	https://www.youtube.com/watch?v=PyYNJDzePCs
Screw:	https://www.youtube.com/watch?v=3iiSfo9h_Fc
	https://www.youtube.com/watch?v=BsogR3t6VFs
	https://www.youtube.com/watch?v=X1xwd-vsv-8
Roots:	https://www.youtube.com/watch?v=aAeilhp_Gog
	http://www.mekanizmalar.com/roots2.html
	https://www.youtube.com/watch?v=zbiwSqtEdTg
Claw:	https://www.youtube.com/watch?v=8NCjM-GOLJg
	https://www.youtube.com/watch?v=DnK3VzgZv_M
Scroll:	https://www.youtube.com/watch?v=s3xulCRrjos
Diaphragm:	https://www.youtube.com/watch?v=Ljb7R09f-8k
	https://www.youtube.com/watch?v=jOjwsKECDFg
Turbomolecular:	https://www.youtube.com/watch?v=f1SErZyhMe4
	https://www.youtube.com/watch?v=x3XaW7UFIjI
	https://www.youtube.com/watch?v=A0v3tNCLqkA
	https://www.youtube.com/watch?v=xIfOHrXyoJo
	https://www.youtube.com/watch?v=8gNDDItrlBc
Diffusion:	https://www.youtube.com/watch?v=Y0xKZPhMS30
	https://www.youtube.com/watch?v=-uVWlmF1rhI
	https://www.youtube.com/watch?v=KNsM1pbbvOo
Ejector:	https://www.youtube.com/watch?v=8MvHplOIQCI
	https://www.youtube.com/watch?v=0yK4Mzv7ei0
Ion getter:	https://www.youtube.com/watch?v=_snzYepQTjI
	https://www.youtube.com/watch?v=5d0T1DYI1t0
Cryo:	https://www.youtube.com/watch?v=TTwxhzVxvk8

Figure 6.1: Pressure Ranges of Vacuum Pumps
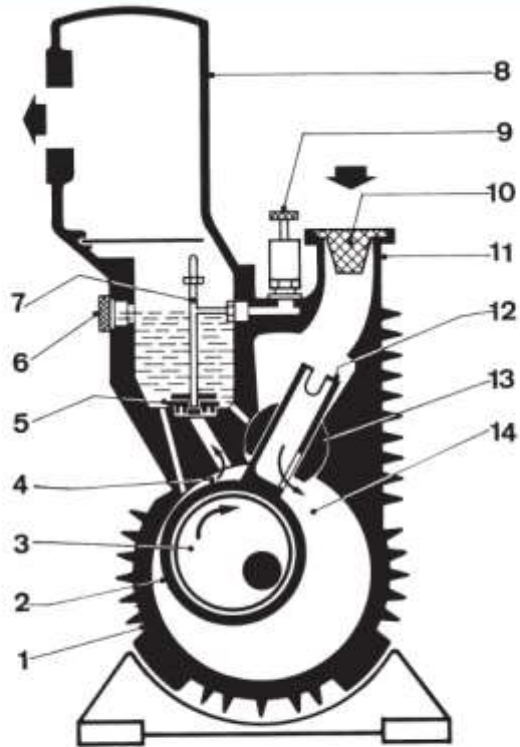
Pumps covered in the following

- rotary plunger pump
- rotary vane pump
- roots pump
- claw pump
- scroll pump
- screw pump
- diaphragm pump
- ejector pump
- diffusion pump
- turbo molecular pump
- (condenser pump)
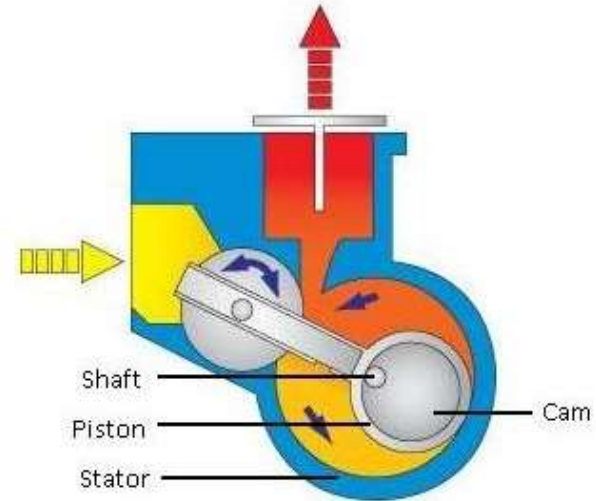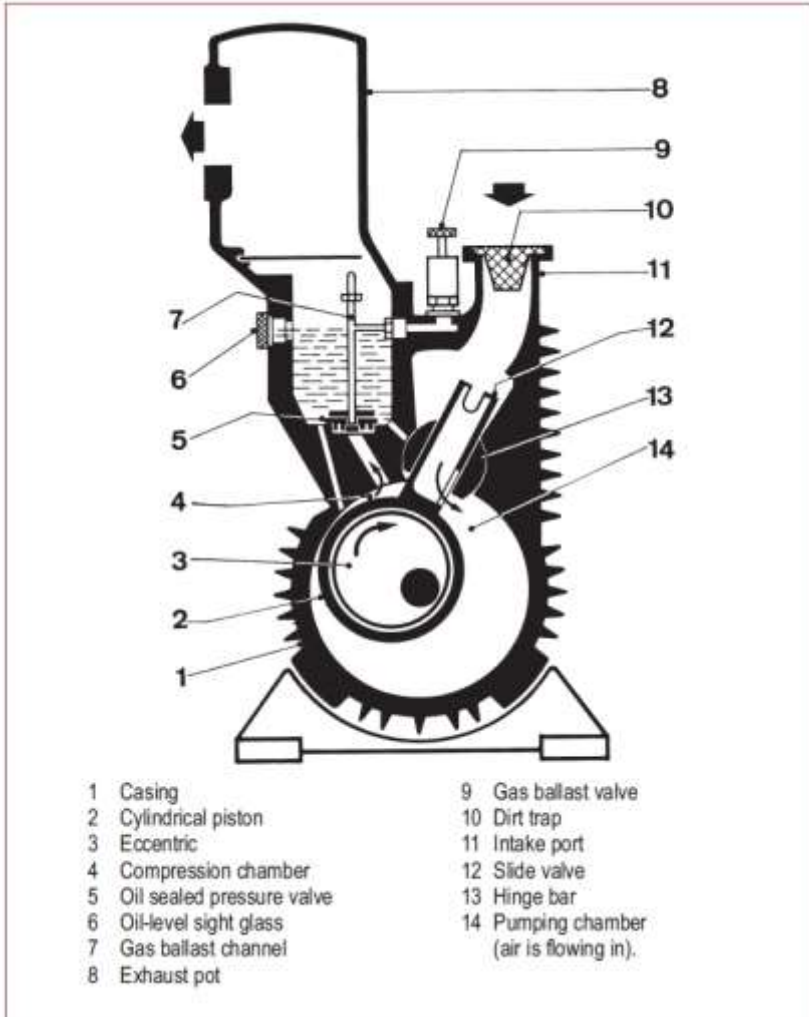- sublimation pump
- cryo pump

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- sublimation pump
- cryo pump
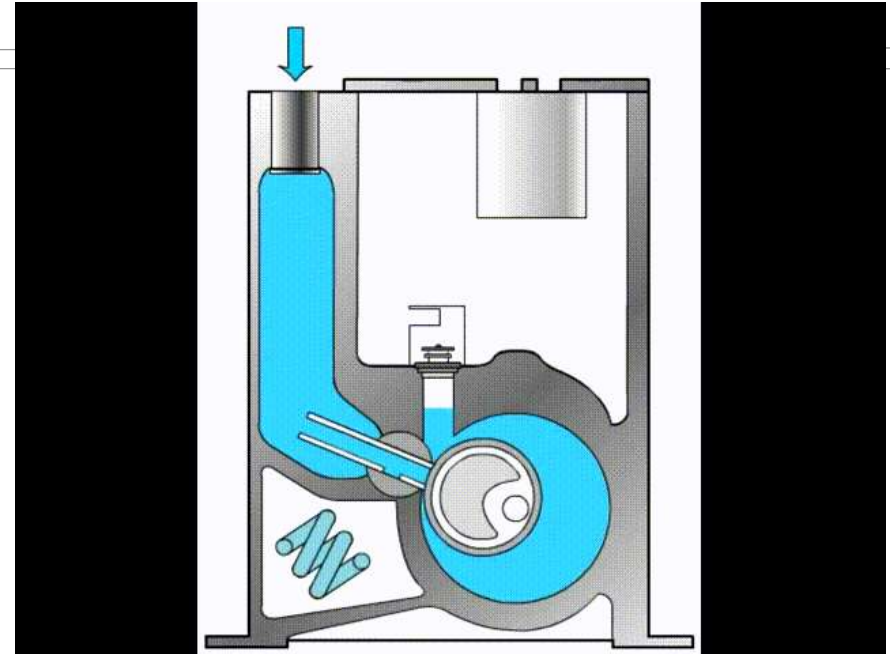
Rotary plunger — Atmosphere to ~ 10^{-3} mbar

1 Casing
2 Cylindrical piston
3 Eccentric
4 Compression chamber
5 Oil sealed pressure valve
6 Oil-level sight glass
7 Gas ballast channel
8 Exhaust pot
9 Gas ballast valve
10 Dirt trap
11 Intake port
12 Slide valve
13 Hinge bar
14 Pumping chamber (air is flowing in).

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TUD internal use only!
Slide: L10   27

1	Casing
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3	Eccentric
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6	Oil-level sight glass
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13	Hinge bar
14	Pumping chamber (air is flowing in).

Shaft
Piston
Stator
Cam

https://www.youtube.com/watch?v=fEO8LEGfdgc

Rotary plunger

Atmosphere to ~ 10^{-3} mbar

1. Casing
2. Cylindrical piston
3. Eccentric
4. Compression chamber
5. Oil sealed pressure valve
6. Oil-level sight glass
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https://www.youtube.com/watch?v=fEO8LEGfdgc

Atmosphere to ~ 10^{-3} mbar

"VT L10 d   09:11

https://www.youtube.com/watch?v=fEO8LEGfdgc

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Rotary plunger

1 Upper dead point
2 Slot in suction channel of slide valve is freed – beginning of suction period
3 Lower dead point – slot in suction channel is quite free, and pumped-in gas (arrow) enters freely into the pumping chamber (shown shaded)
4 Slot in suction channel is closed again by swivelling hinge bar – end of suction period

5 Upper dead point – maximum space between rotating piston and stator
6 Shortly before beginning of compression period, the front surface of the rotating plunger frees gas ballast opening – commencement of gas ballast inlet
7 Gas ballast opening is quite free
8 End of gas ballast inlet
9 End of pumping period.

Operating principle of a rotary vane pump

1) Housing
2) Rotor
3) Vane
4) Inlet/Outlet
5) Working chamber
6) Outlet valve

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Operating principle of a rotary vane pump
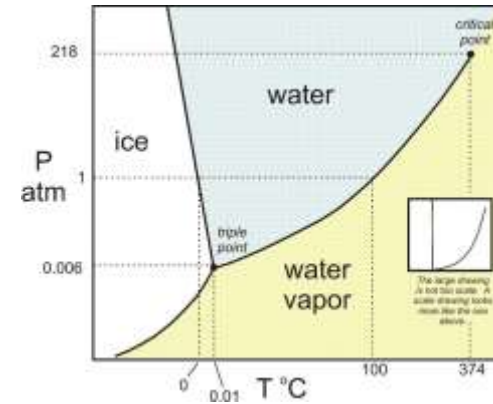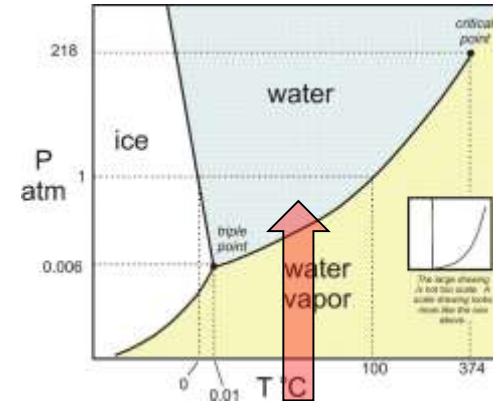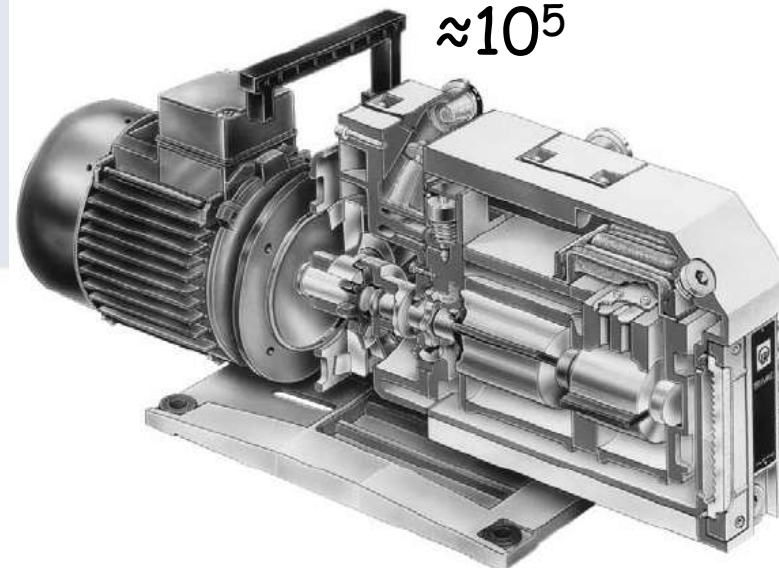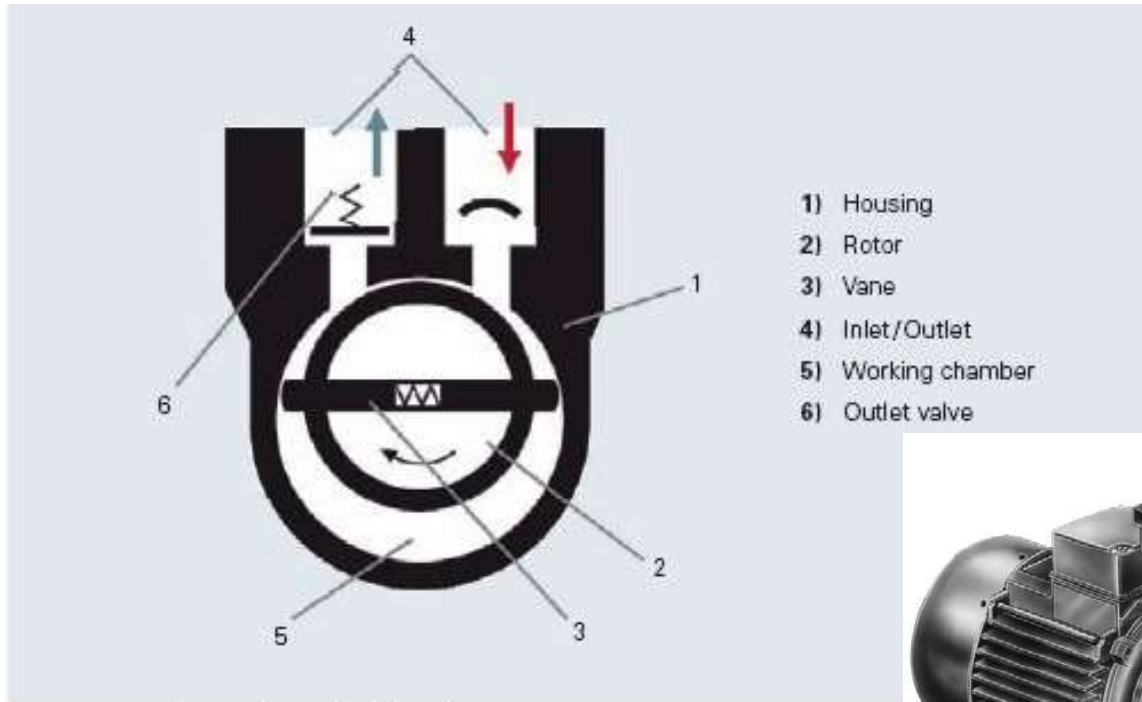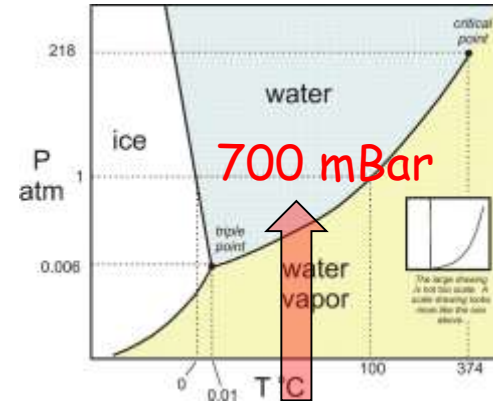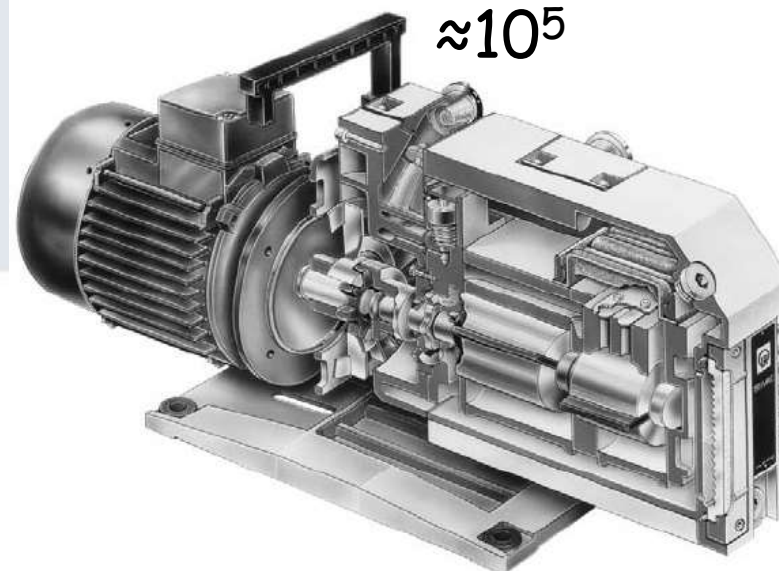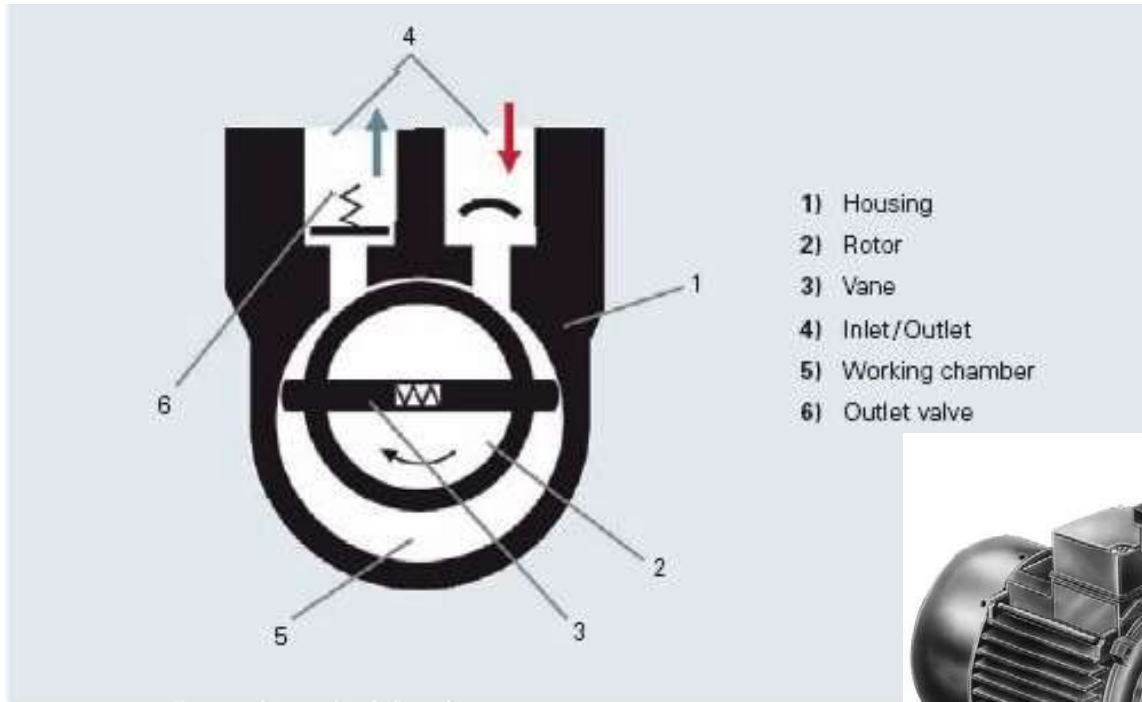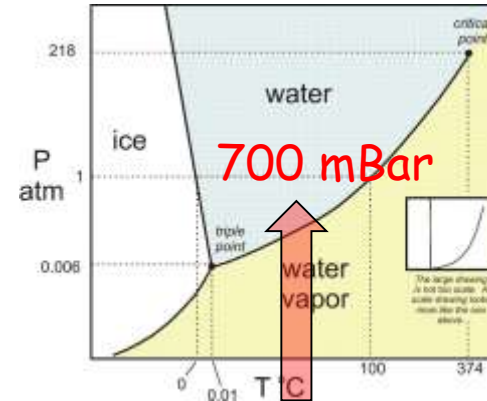
Operating principle of a rotary vane pump

1) Housing
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https://www.youtube.com/watch?v=AFHogF-9eGA
https://www.youtube.com/watch?v=IIKOxOJqEO8
https://www.youtube.com/watch?v=oXgdnnkIKZ4

Operating principle of a rotary vane pump

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Operating principle of a rotary vane pump

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Compression ≈10^5

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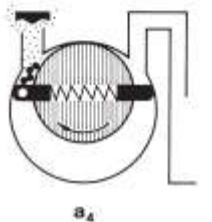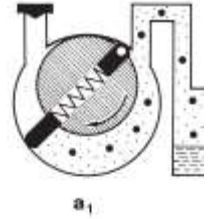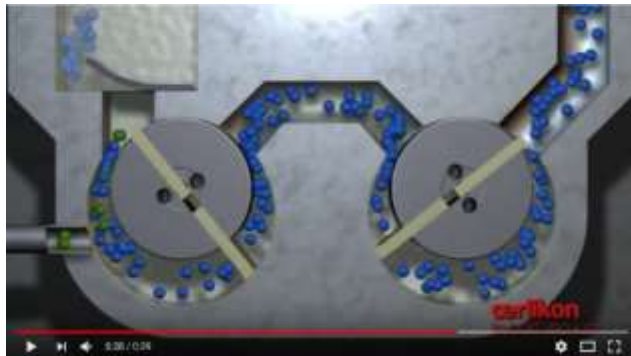Operating principle of a rotary vane pump

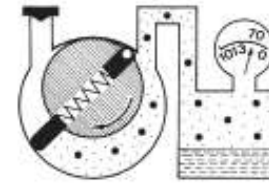Compression
$\approx 10^5$

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Operating principle of a rotary vane pump

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Operating principle of a rotary vane pump

1) Housing
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700 mBar

$7 \cdot 10^{-3}$ mBar

Compression ≈10^5

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Acetone

Water

Ethanoic acid

Saturation vapor pressure [Torr]

Saturation vapor pressure [mbar]

Temperature [° C]

œrlikon
leybold vacuum

**Fundamentals of
Vacuum Technology**

Page 25

a_1 b_1

a_2 b_2

a_3 b_3

a_4 b_4

https://www.youtube.com/watch?v=1SaB4aKi574

Saturation vapor pressure [Torr] vs Temperature [°C]

Acetone / Water / Ethanoic acid

œrlikon
leybold vacuum

Fundamentals of Vacuum Technology

Page 25

Gas ballast high
Gas ballast off   Gas ballast low

a₁   b₁
a₂   b₂
a₃   b₃
a₄   b₄

"VT L10 e mm:ss

Gap between rotary pistons and housing ≈ 100 μm. No oil sealing!

Next: Roots Pump

Gap between rotary pistons and housing ≈ 100 µm. No oil sealing!

Roots Pump

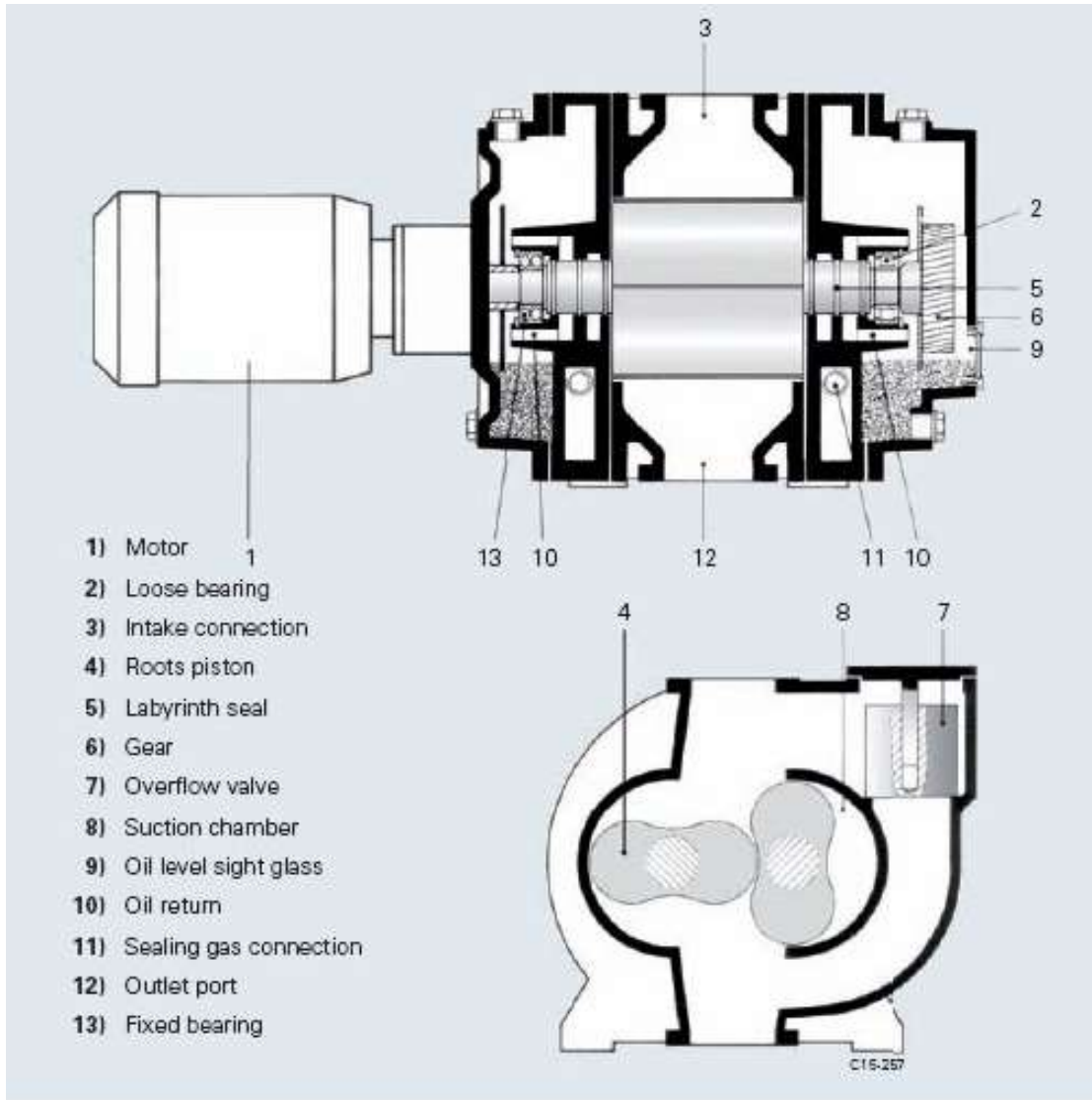**Working range ~ 10 ~ 10⁻² mbar**

High pumping speed!

Used for high gas flows in CVD processes.

https://www.youtube.com/watch?app=desktop&v=_-fwWrFLiyY

https://www.youtube.com/watch?v=aAeilhp_Gog

1) Motor
2) Loose bearing
3) Intake connection
4) Roots piston
5) Labyrinth seal
6) Gear
7) Overflow valve
8) Suction chamber
9) Oil level sight glass
10) Oil return
11) Sealing gas connection
12) Outlet port
13) Fixed bearing

Operating principle of a Roots pump

Fig. 2.17   Schematic cross section of a Roots pump

1	Intake flange	4	Exhaust flange
2	Rotors	5	Casing
3	Chamber		

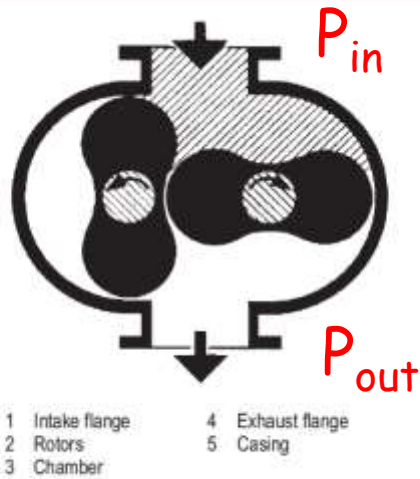|			
1	Intake flange	4	Exhaust flange
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Fig. 2.17   Schematic cross section of a Roots pump

Roots pump requires a backing pump!

P_{in}

P_{out}

1 Intake flange   4 Exhaust flange
2 Rotors   5 Casing
3 Chamber

Fig. 2.17   Schematic cross section of a Roots pump

Roots pump requires a backing pump!

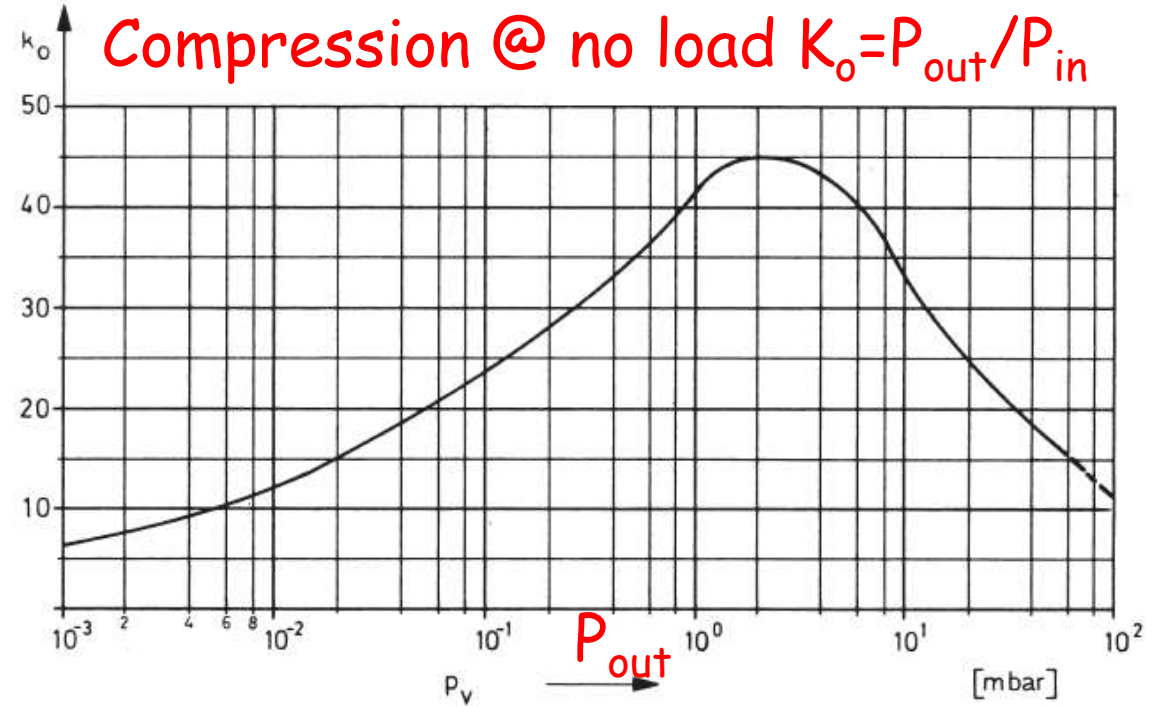Compression @ no load $K_o = P_{out}/P_{in}$

k_o

P_v P_{out} [mbar]

P_{in}

P_{out}

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Fig. 2.17   Schematic cross section of a Roots pump

Compression @ no load $K_o = P_{out}/P_{in}$

k_o

P_v

P_{out}

[mbar]

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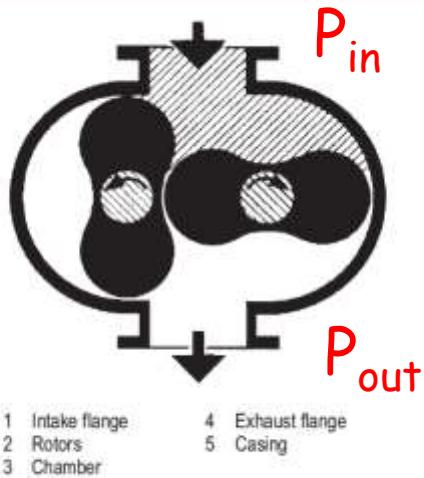**Gas leaking through the gaps (~100 μm) MFP very small**

Roots Pump
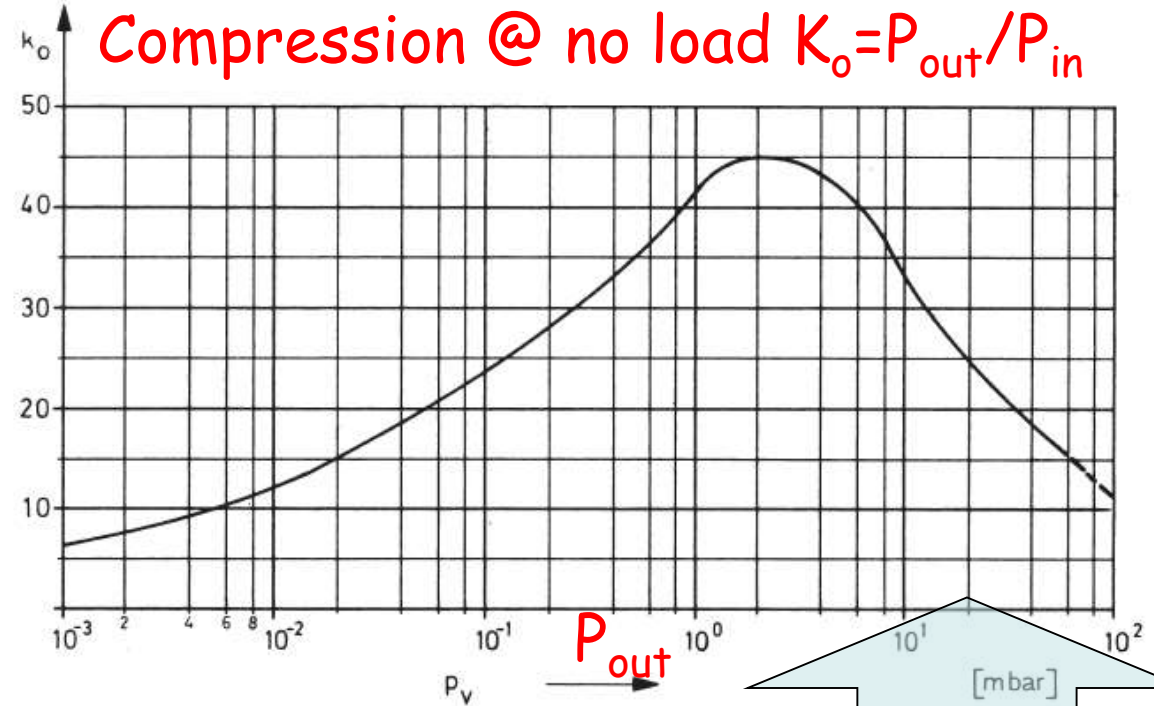

Fig. 2.17 Schematic cross section of a Roots pump
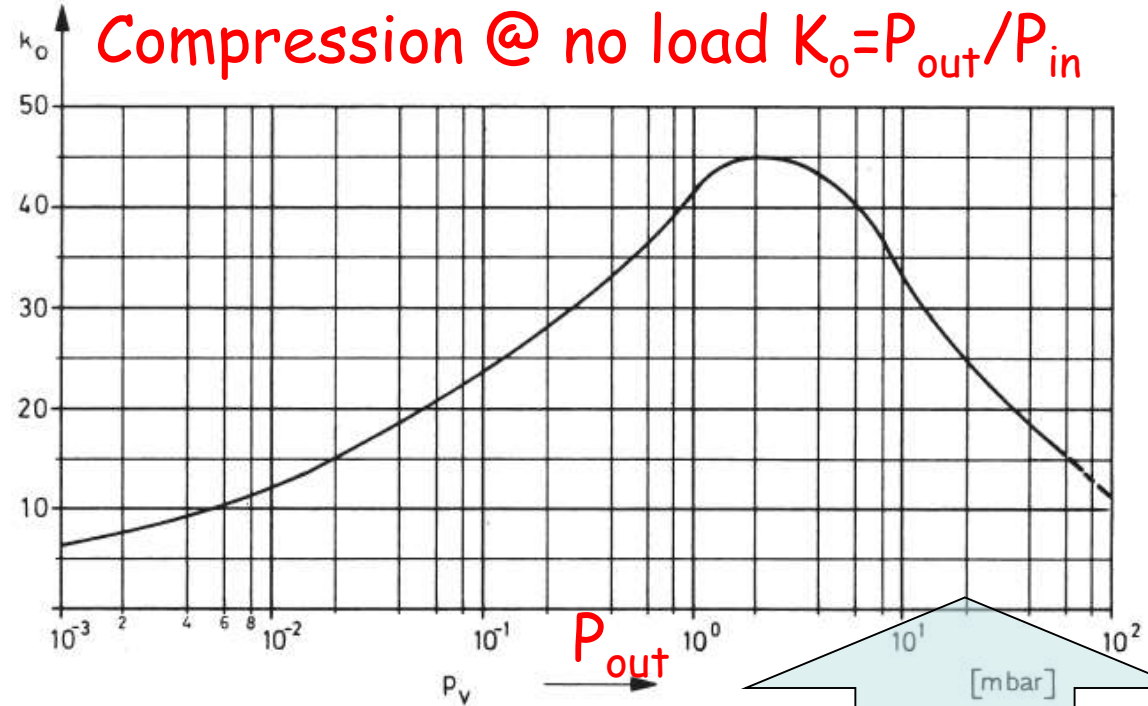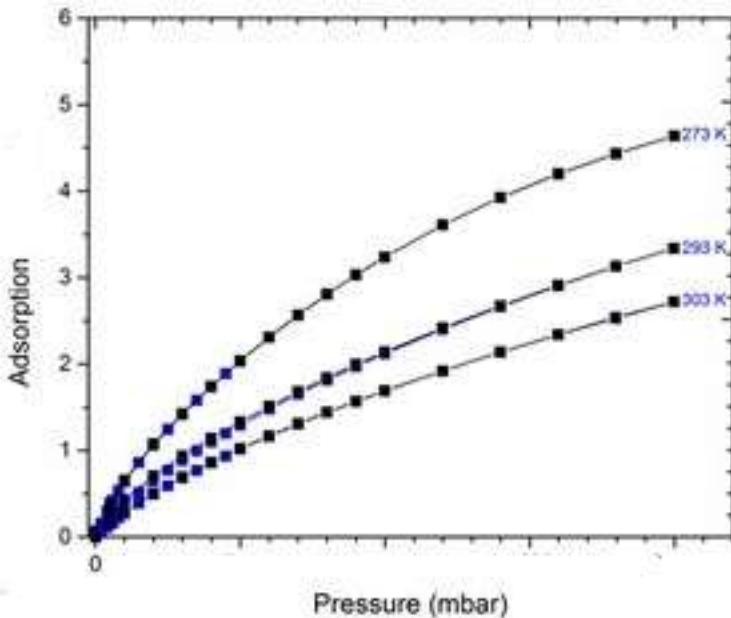
1 Intake flange
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5 Casing

P_{in}

P_{out}

Compression @ no load $K_o = P_{out}/P_{in}$

P_{out}

Gas leaking through the gaps (~100 µm)
MFP very small

Roots Pump

P_{in}

P_{out}

1 Intake flange   4 Exhaust flange
2 Rotors   5 Casing
3 Chamber

Compression @ no load $K_o = P_{out}/P_{in}$

P_{out}

P_v

[mbar]

Cylinder Surface facing Inlet

Adsorption

Pressure (mbar)

273 K
293 K
303 K

Gas leaking through the gaps (~100 µm)
MFP very small

P_{in}

P_{out}

1 Intake flange
2 Rotors
3 Chamber
4 Exhaust flange
5 Casing

Compression @ no load $K_o = P_{out}/P_{in}$

k_o

P_v → P_{out} [mbar]

Cylinder Surface facing Outlet

Cylinder Surface facing Inlet

Adsorption

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Gas leaking through the gaps (~100 µm)
MFP very small

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P_{in}

P_{out}

1 Intake flange   4 Exhaust flange
2 Rotors   5 Casing
3 Chamber

Cylinder Surface facing Outlet

Cylinder Surface facing Inlet

Adsorption

Pressure (mbar)

273 K
293 K
303 K

Compression @ no load $K_o = P_{out}/P_{in}$

k_o

P_{out}

P_v

[mbar]

MFP very large but gas back flow by adsorption/desorption at/from the rotating pistons

Gas leaking through the gaps (~100 μm) MFP very small

»Wissen schafft Brücken.«