

Chapoton's
Triangles,
Lattice Paths
and
Reciprocity

Henri Mühle

Chapoton's Triangles, Lattice Paths and Reciprocity

Henri Mühle

Technische Universität Dresden

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Matroids, Ordered Structures, Arrangements in Combinatorics

Manchester, UK

Outline

Chapoton's
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Chapoton's F -
and
 H -Triangle

A Dyck Path
Perspective

$F = H$ for
Posets

Schröder
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Chapoton's F - and H -Triangle

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A Dyck Path Perspective

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$F = H$ for Posets

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The F -Triangle

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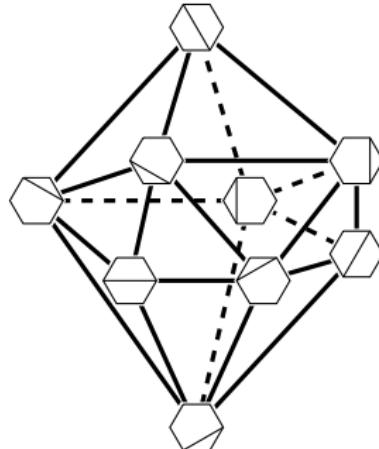
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- **cluster complex:** faces are pairwise noncrossing
diagonals of regular $(n + 3)$ -gon $\rightsquigarrow \text{Clus}(n)$



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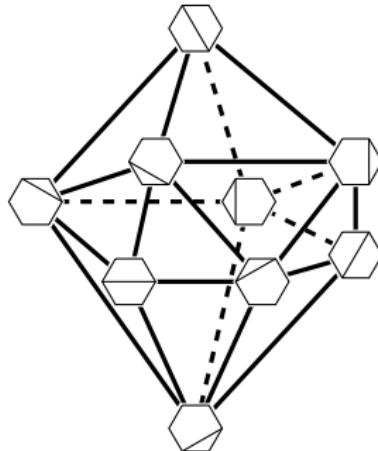
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- two statistics pos and neg control two types of vertices



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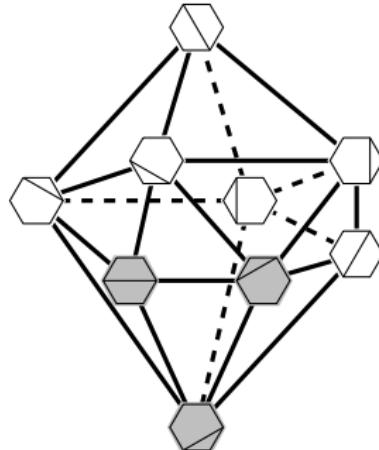
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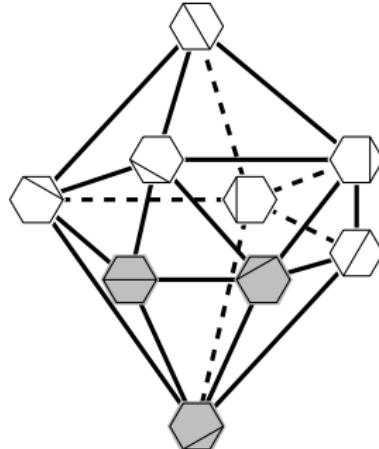
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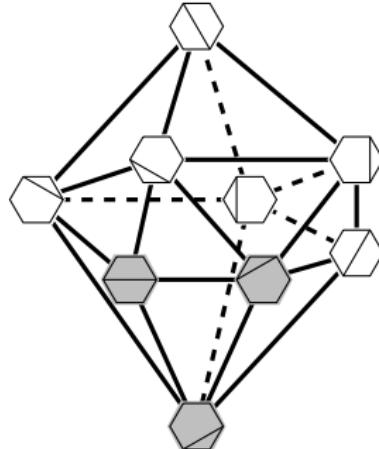
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$$\begin{aligned}\tilde{\mathcal{F}}_3(x, y) = & 5x^3 + 5x^2y + 3xy^2 + y^3 \\ & + 10x^2 + 8xy + 3y^2 + 6x + 3y + 1\end{aligned}$$

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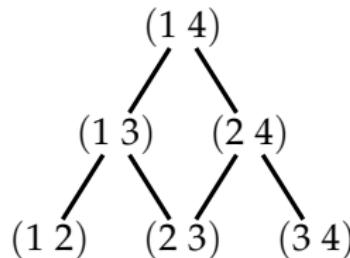
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- **root poset:** triangular poset with $\binom{n+1}{2}$ elements
 $\rightsquigarrow \text{Root}(n)$



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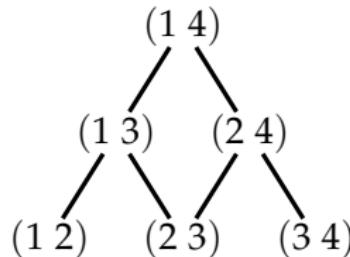
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 $\rightsquigarrow \text{Root}(n)$
- enumerate antichains in $\text{Root}(n)$
 $\rightsquigarrow \text{Anti}(n)$
- statistic \min controls number of minimal elements



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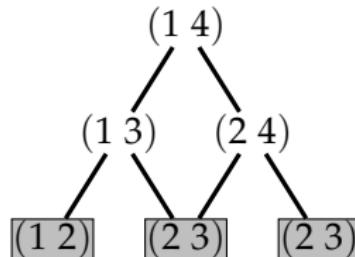
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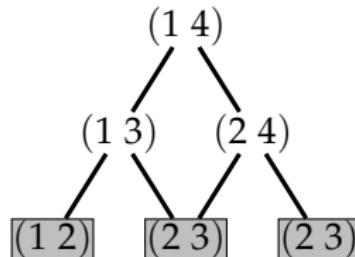
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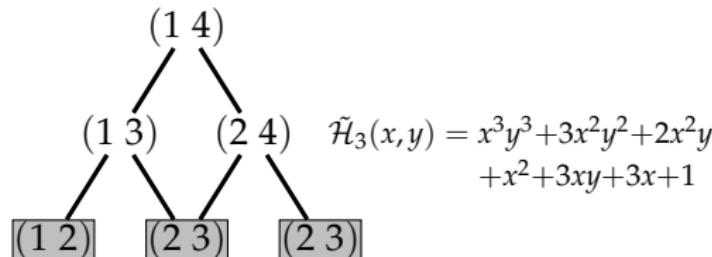
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Conjecture (F. Chapoton, 2006)

For $n > 0$,

$$\tilde{\mathcal{F}}_n(x, y) = x^n \tilde{\mathcal{H}}_n\left(\frac{x+1}{x}, \frac{y+1}{x+1}\right).$$

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Conjecture (D. Armstrong, 2009)

For $m, n > 0$,

$$\tilde{\mathcal{F}}_n^{(m)}(x, y) = x^n \tilde{\mathcal{H}}_n^{(m)}\left(\frac{x+1}{x}, \frac{y+1}{x+1}\right).$$

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Theorem (M. Thiel, 2014)

For $m, n > 0$,

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~~~ analytic/inductive proof

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Chapoton's  $F$ - and  $H$ -Triangle



A Dyck Path Perspective



$F = H$  for Posets



Schröder Paths

# Dyck Paths

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- **Dyck path:** northeast path from  $(0, 0)$  to  $(n, n)$  weakly above the diagonal  $\rightsquigarrow \text{Dyck}(n)$

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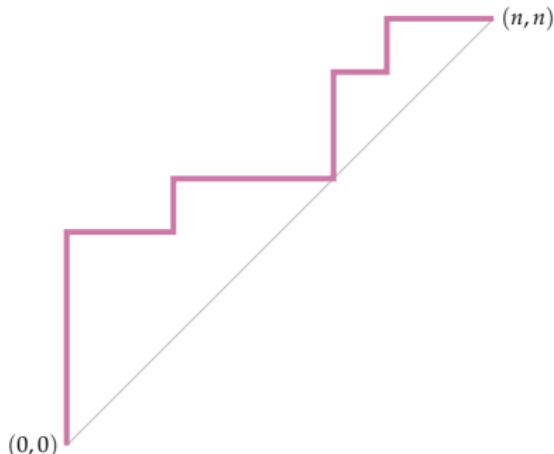
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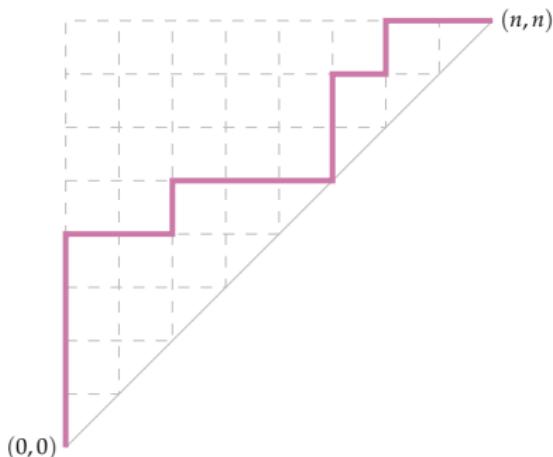
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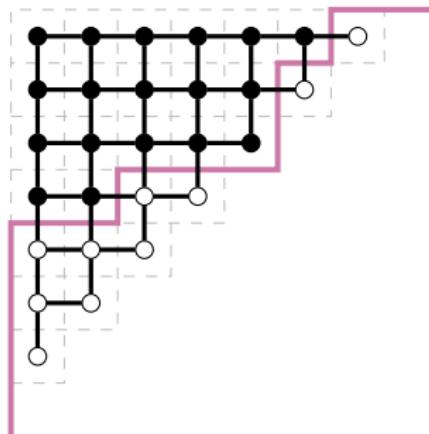
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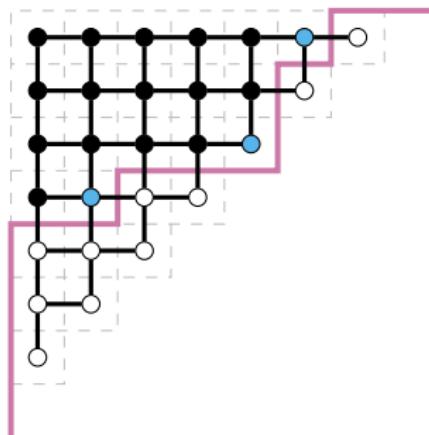
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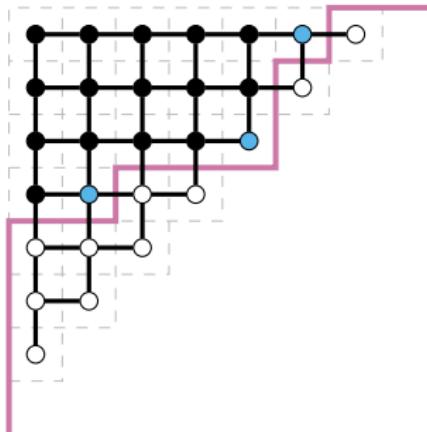
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- **Dyck path:** northeast path from  $(0, 0)$  to  $(n, n)$  weakly above the diagonal  $\rightsquigarrow \text{Dyck}(n)$
- $A \in \text{Anti}(n)$  corresponds to **valleys** of  $\mu \in \text{Dyck}(n)$
- minimal elements contained in  $A$  correspond to **returns**



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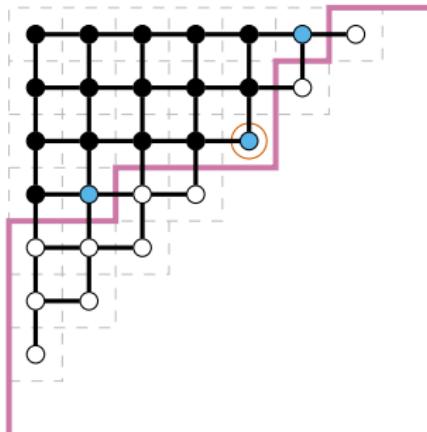
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## Corollary

For  $n \geq 1$ ,

$$\tilde{\mathcal{H}}_n(x, y) = \sum_{\mu \in \text{Dyck}(n)} x^{\text{val}(\mu)} y^{\text{ret}(\mu)}.$$

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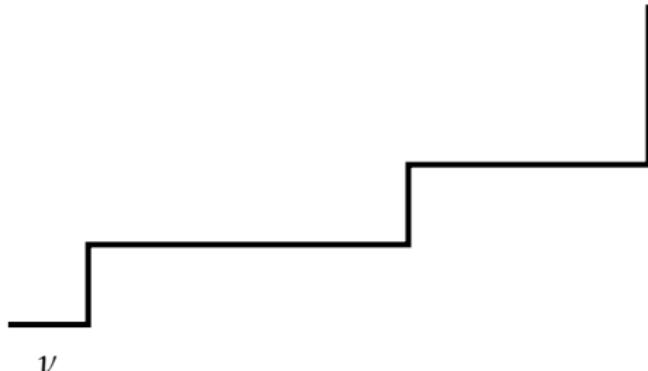
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- let  $\nu$  be any northeast path from  $(0, 0)$  to  $(m, n)$



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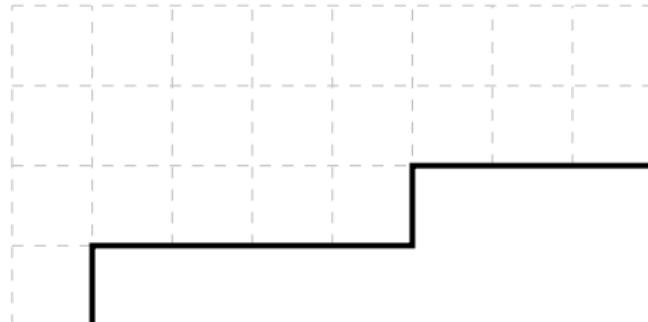
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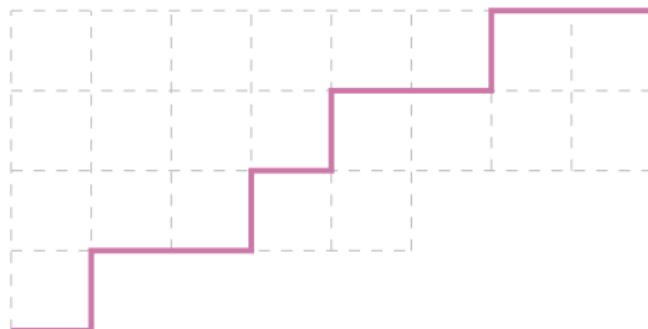
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weakly above  $\nu$   $\rightsquigarrow \text{Dyck}(\nu)$



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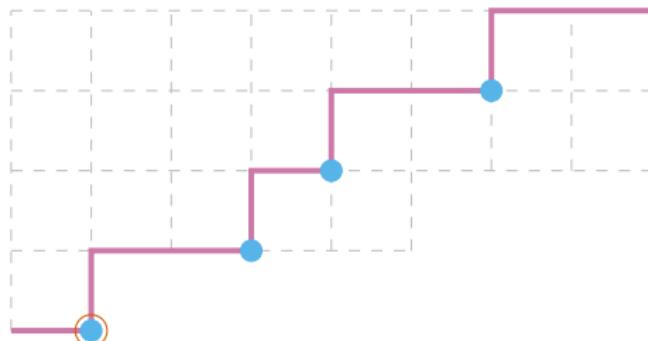
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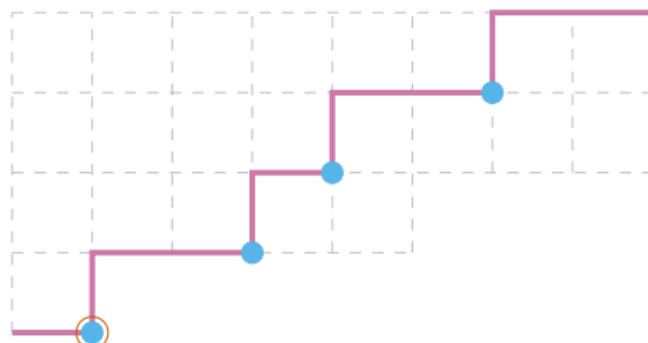
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- define:  $\mathcal{H}_\nu(x, y) \stackrel{\text{def}}{=} \sum_{\mu \in \text{Dyck}(\nu)} x^{\text{val}(\mu)} y^{\text{ret}(\mu)}$



# A new $F$ -Triangle

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- define an  $F$ -triangle through Chapoton's transformation
- **degree:**  $\deg(\nu) \stackrel{\text{def}}{=} \max\{\text{val}(\mu) : \mu \in \text{Dyck}(\nu)\}$

$$\mathcal{F}_\nu(x, y) \stackrel{\text{def}}{=} x^{\deg(\nu)} \mathcal{H}_\nu \left( \frac{x+1}{x}, \frac{y+1}{x+1} \right)$$

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$$\begin{aligned}\mathcal{F}_\nu(x, y) &\stackrel{\text{def}}{=} x^{\deg(\nu)} \mathcal{H}_\nu \left( \frac{x+1}{x}, \frac{y+1}{x+1} \right) \\ &= \sum_{\mu \in \text{Dyck}(\nu)} x^{\deg(\nu) - \text{val}(\mu)} (x+1)^{\text{val}(\mu) - \text{ret}(\mu)} (y+1)^{\text{ret}(\mu)}\end{aligned}$$

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~~~ summing subsets of non-return valleys/returns of  $\mu$

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\rightsquigarrow summing subsets of **valleys** of μ

The ν -Associahedron

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- ν -associahedron:

$\text{Asso}(\nu) \stackrel{\text{def}}{=} \{(\mu, V) : \mu \in \text{Dyck}(\nu), V \text{ subset of valleys of } \mu\}$

- $\dim \text{Asso}(\nu) = \deg(\nu)$, $\dim(\mu, V) = |V|$
- partition $V = V_1 \uplus V_2$ into non-returns and returns

Theorem (C. Ceballos, A. Padrol & C. Sarmiento, 2019)

For any northeast path ν , $\text{Asso}(\nu)$ is a polytopal complex.

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- $\dim \text{Asso}(\nu) = \deg(\nu)$, $\dim(\mu, V) = |V|$
- partition $V = V_1 \uplus V_2$ into **non-returns** and **returns**

$$\mathcal{F}_\nu(x, y) = \sum_{\mu \in \text{Dyck}(\nu)} x^{\deg(\nu) - \text{val}(\mu)} (x+1)^{\text{val}(\mu) - \text{ret}(\mu)} (y+1)^{\text{ret}(\mu)}$$

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- partition $V = V_1 \uplus V_2$ into **non-returns** and **returns**

$$\begin{aligned}\mathcal{F}_\nu(x, y) &= \sum_{\mu \in \text{Dyck}(\nu)} x^{\deg(\nu) - \text{val}(\mu)} (x+1)^{\text{val}(\mu) - \text{ret}(\mu)} (y+1)^{\text{ret}(\mu)} \\ &= \sum_{\substack{(\mu, V) \in \text{Asso}(\nu) \\ V = V_1 \uplus V_2}} x^{\deg(\nu) - \text{val}(\mu)} x^{\text{val}(\mu) - \text{ret}(\mu) - |V_1|} y^{\text{ret}(\mu) - |V_2|}\end{aligned}$$

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- **ν -associahedron:**

$$\text{Asso}(\nu) \stackrel{\text{def}}{=} \{(\mu, V) : \mu \in \text{Dyck}(\nu), V \text{ subset of valleys of } \mu\}$$

- $\dim \text{Asso}(\nu) = \deg(\nu)$, $\dim(\mu, V) = |V|$
- partition $V = \textcolor{teal}{V}_1 \uplus \textcolor{brown}{V}_2$ into **non-returns** and **returns**

$$\begin{aligned}\mathcal{F}_\nu(x, y) &= \sum_{\mu \in \text{Dyck}(\nu)} x^{\deg(\nu) - \text{val}(\mu)} (\textcolor{teal}{x} + 1)^{\text{val}(\mu) - \text{ret}(\mu)} (\textcolor{brown}{y} + 1)^{\text{ret}(\mu)} \\ &= \sum_{\substack{(\mu, V) \in \text{Asso}(\nu) \\ V = \textcolor{teal}{V}_1 \uplus \textcolor{brown}{V}_2}} x^{\deg(\nu) - \text{ret}(\mu) - |\textcolor{teal}{V}_1|} y^{\text{ret}(\mu) - |\textcolor{brown}{V}_2|}\end{aligned}$$

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$$\begin{aligned}\mathcal{F}_\nu(x, y) &= \sum_{\mu \in \text{Dyck}(\nu)} x^{\deg(\nu) - \text{val}(\mu)} (x+1)^{\text{val}(\mu) - \text{ret}(\mu)} (y+1)^{\text{ret}(\mu)} \\ &= \sum_{\substack{(\mu, V) \in \text{Asso}(\nu) \\ V = V_1 \uplus V_2}} x^{\deg(\nu) - \text{ret}(\mu) + |V_2| - (|V_1| + |V_2|)} y^{\text{ret}(\mu) - |V_2|}\end{aligned}$$

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- $\mathcal{F}_\nu(x, y) \stackrel{\text{def}}{=} \sum_{(\mu, V) \in \text{Asso}(\nu)} x^{\text{corel}(\mu, V)} y^{\text{rel}(\mu, V)}$
- $\mathcal{H}_\nu(x, y) \stackrel{\text{def}}{=} \sum_{\mu \in \text{Dyck}(\nu)} x^{\text{val}(\mu)} y^{\text{ret}(\mu)}$

Theorem (C. Ceballos & , 2021)

For any northeast path ν , we have

$$\mathcal{F}_\nu(x, y) = x^{\deg(\nu)} \mathcal{H}_\nu \left(\frac{x+1}{x}, \frac{y+1}{x+1} \right).$$

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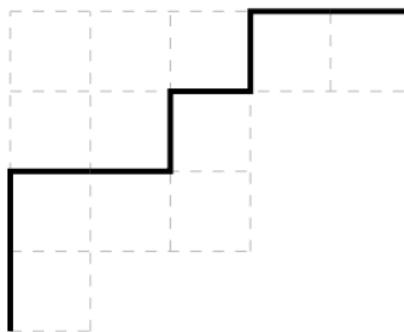
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- **rotating** ν -Dyck paths by valleys



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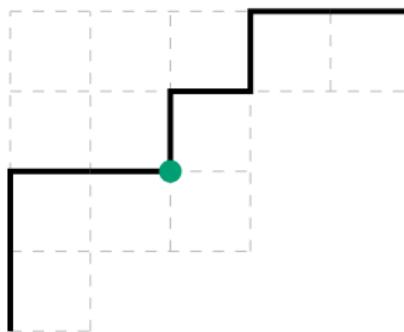
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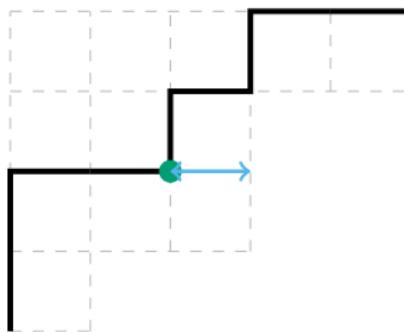
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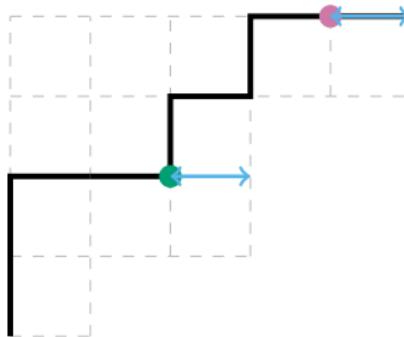
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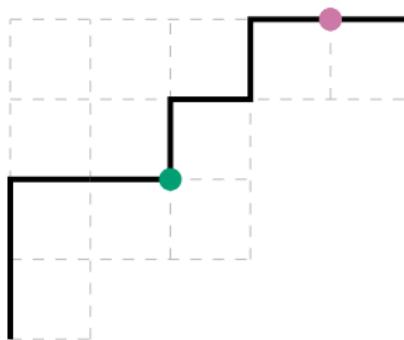
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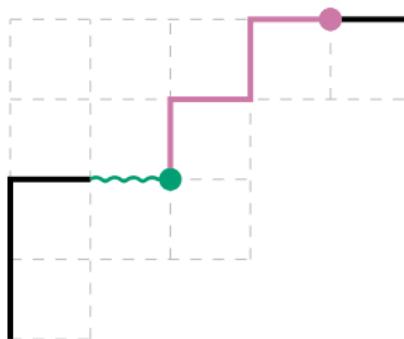
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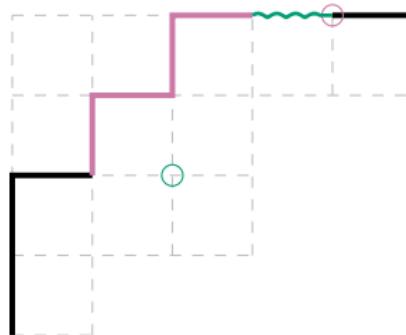
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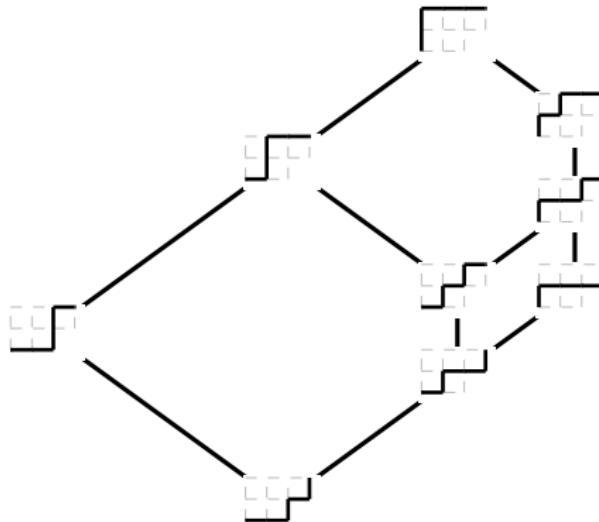
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- **ν -Tamari lattice:** rotation order on $\text{Dyck}(\nu) \rightsquigarrow \text{Tam}(\nu)$



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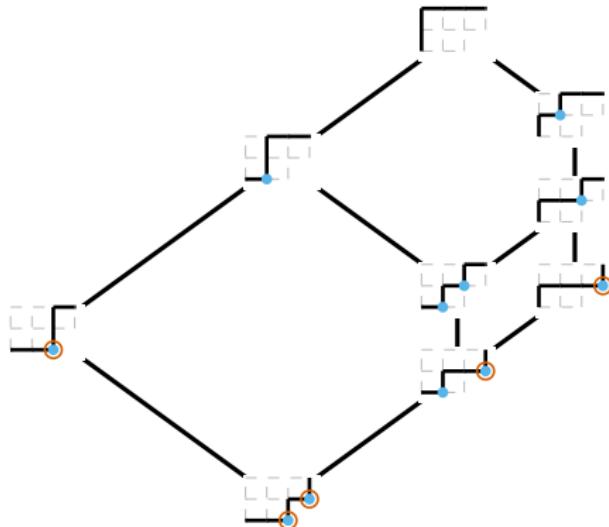
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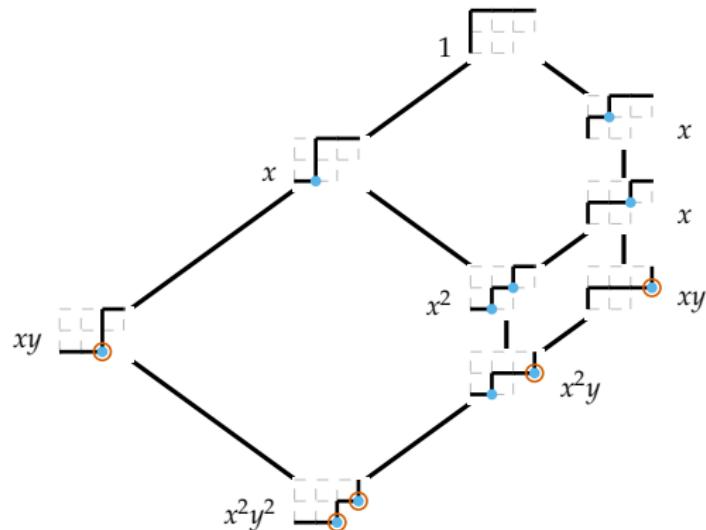
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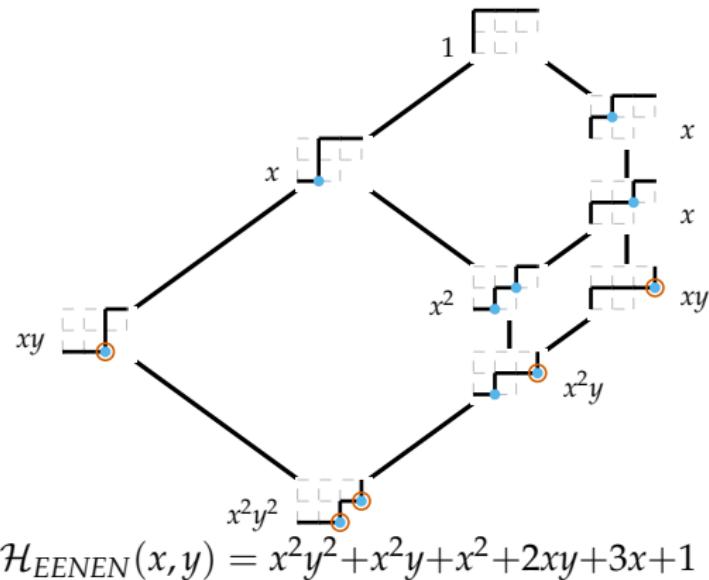
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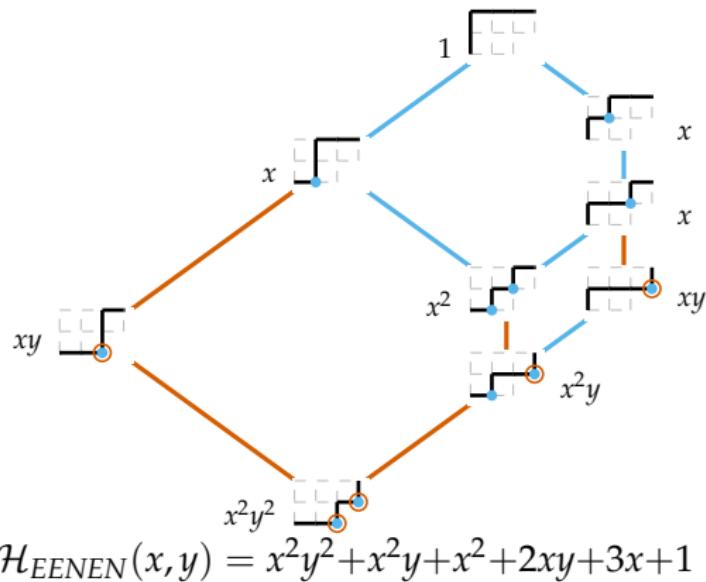
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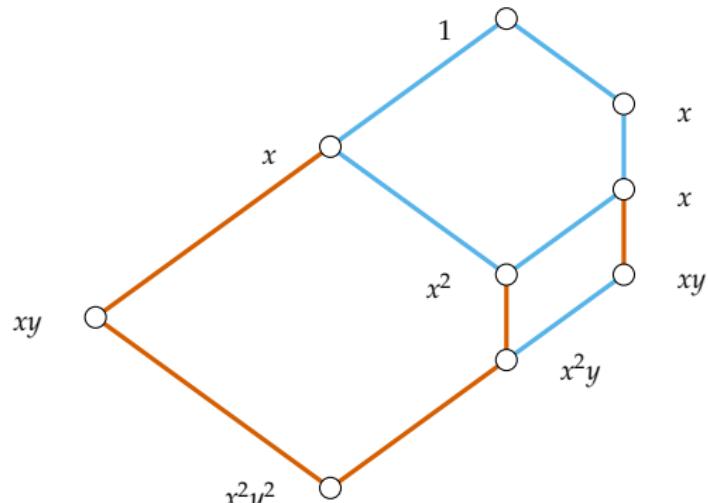
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$$\mathcal{H}_{EENEN}(x, y) = x^2y^2 + x^2y + x^2 + 2xy + 3x + 1$$

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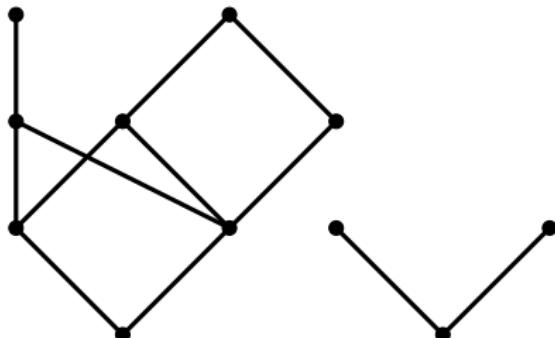
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- $\mathbf{P} = (P, \leq); p \in P$
- $\text{Succ}(p) \stackrel{\text{def}}{=} \{p' \in P : p < p'\}$
- $\text{out}(p) \stackrel{\text{def}}{=} |\text{Succ}(p)|$



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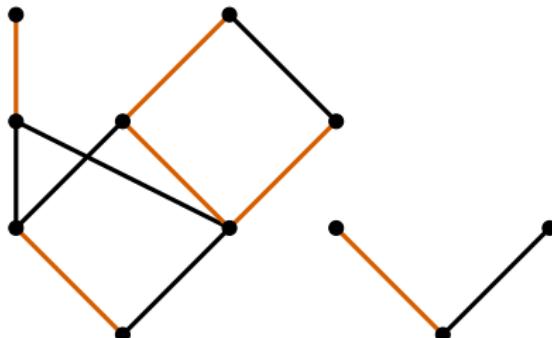
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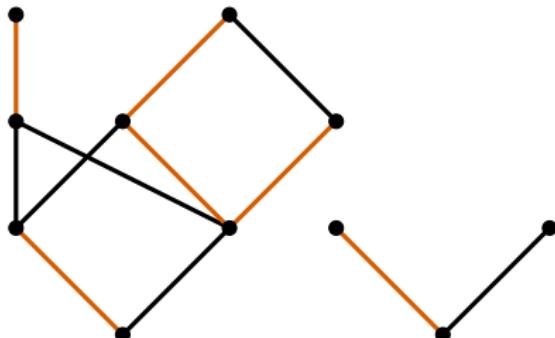
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- $\text{out}(p) \stackrel{\text{def}}{=} |\text{Succ}(p)|$
- $\text{mrk}(p) \stackrel{\text{def}}{=} |\{p' \in \text{Succ}(p) : \lambda(p, p') = 1\}|$



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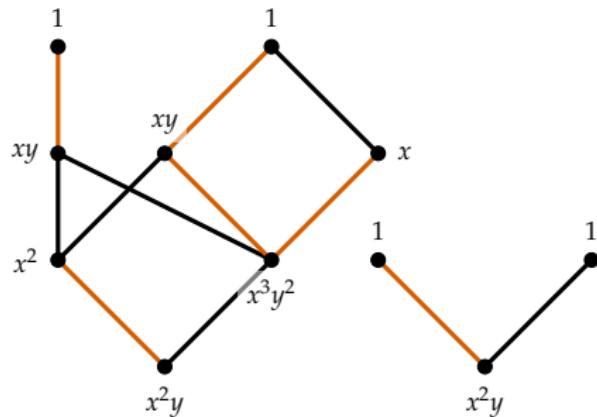
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- $\mathbf{P} = (P, \leq); p \in P ; \lambda .. 01\text{-labeling}$
- $\mathcal{H}_{\mathbf{P}, \lambda}(x, y) \stackrel{\text{def}}{=} \sum_{p \in P} x^{\text{out}(p)} y^{\text{mrk}(p)}$



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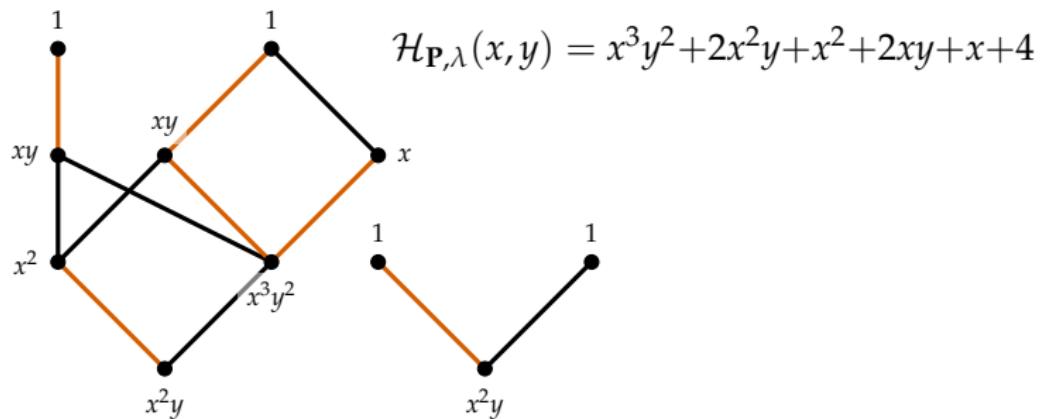
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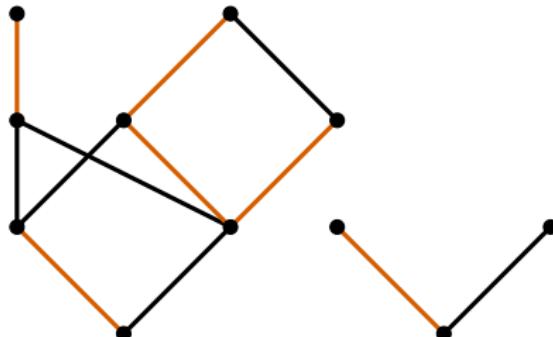
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- $\deg(\mathbf{P}) \stackrel{\text{def}}{=} \max\{\text{out}(p) : p \in P\}$



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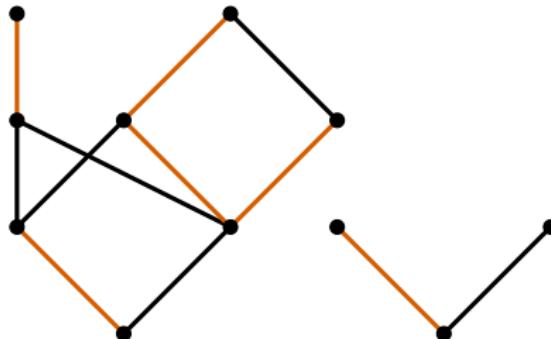
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- $\mathbf{P} = (P, \leq); p \in P; S \subseteq \text{Succ}(p)$
- $\deg(\mathbf{P}) \stackrel{\text{def}}{=} \max\{\text{out}(p) : p \in P\}$
- $\text{rem}(p, S) \stackrel{\text{def}}{=} \text{mrk}(p) - |\{s \in S : \lambda(p, s) = 1\}|$
- $\text{corem}(p, S) \stackrel{\text{def}}{=} \deg(\mathbf{P}) - |S| - \text{rem}(p, S)$



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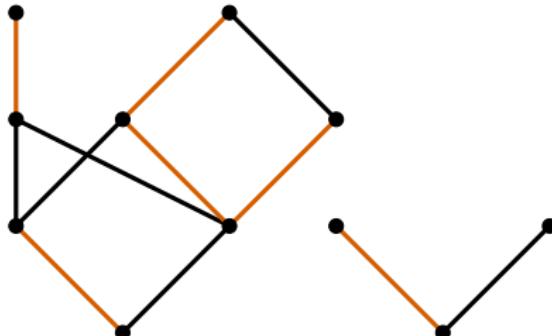
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- $\mathbf{P} = (P, \leq); p \in P; S \subseteq \text{Succ}(p)$
- $\mathcal{F}_{\mathbf{P}, \lambda}(x, y) \stackrel{\text{def}}{=} \sum_{p \in P} \sum_{S \subseteq \text{Succ}(p)} x^{\text{corem}(p, S)} y^{\text{rem}(p, S)}$



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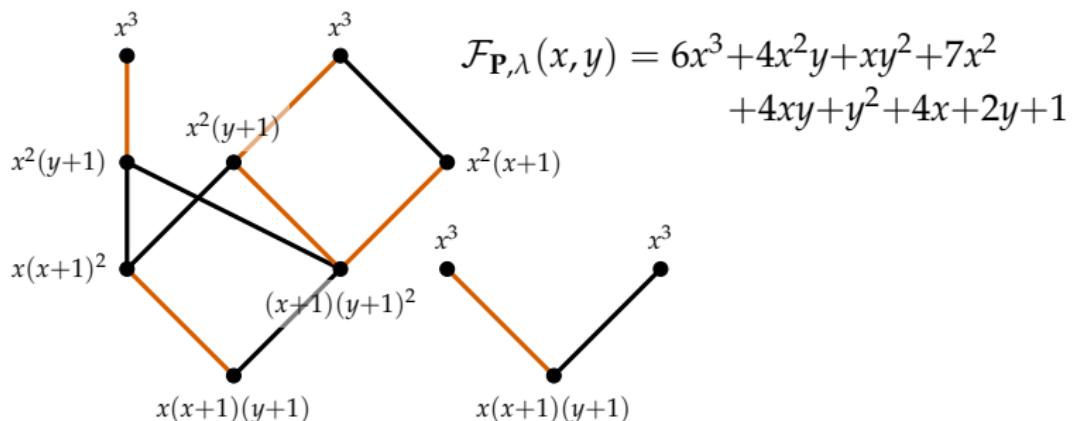
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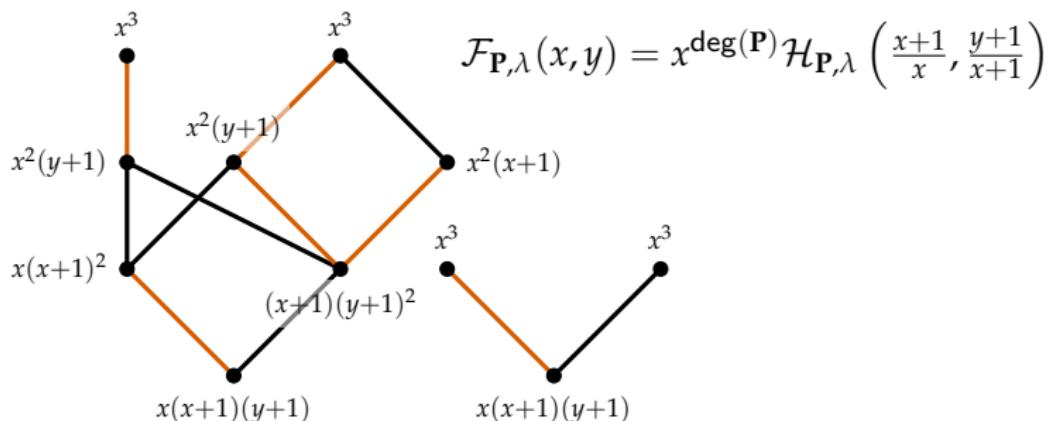
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- $\mathbf{P} = (P, \leq); p \in P; S \subseteq \text{Succ}(p)$
- $\mathcal{F}_{\mathbf{P}, \lambda}(x, y) \stackrel{\text{def}}{=} \sum_{p \in P} \sum_{S \subseteq \text{Succ}(p)} x^{\text{corem}(p, S)} y^{\text{rem}(p, S)}$



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Theorem (C. Ceballos & , 2021)

For every finite poset \mathbf{P} and every 01-labeling λ ,

$$\mathcal{F}_{\mathbf{P}, \lambda}(x, y) = x^{\deg(\mathbf{P})} \mathcal{H}_{\mathbf{P}, \lambda} \left(\frac{x+1}{x}, \frac{y+1}{x+1} \right).$$

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\rightsquigarrow multivariate extension

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- fix integers $m, n, t > 0$
 - let $\nu_{m,n,t} \stackrel{\text{def}}{=} E^{mt} N(E^m N)^{n-t-1} \rightsquigarrow \text{Dyck}(m, n, t)$



$$m = 3, n = 5, t = 2$$

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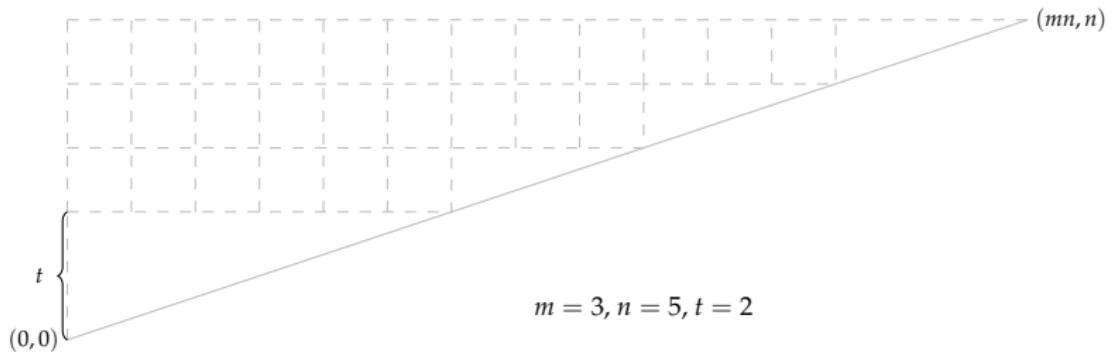
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- $t = 1$: m -Dyck paths



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The coefficients are the (reverse) **Fuß–Narayana numbers**.

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- $\mathcal{F}_{m,n,1}(x, y)$ is *not* the correct F -triangle

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- $\mathcal{F}_{m,n,1}(x, y)$ is *not* the correct F -triangle
- solution: count only *particular* valleys

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- **m -valley**: a valley whose x -coordinate is divisible by m
 $\rightsquigarrow \text{mval}(\mu)$

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Example: $m = 2, n = 3, t = 1$

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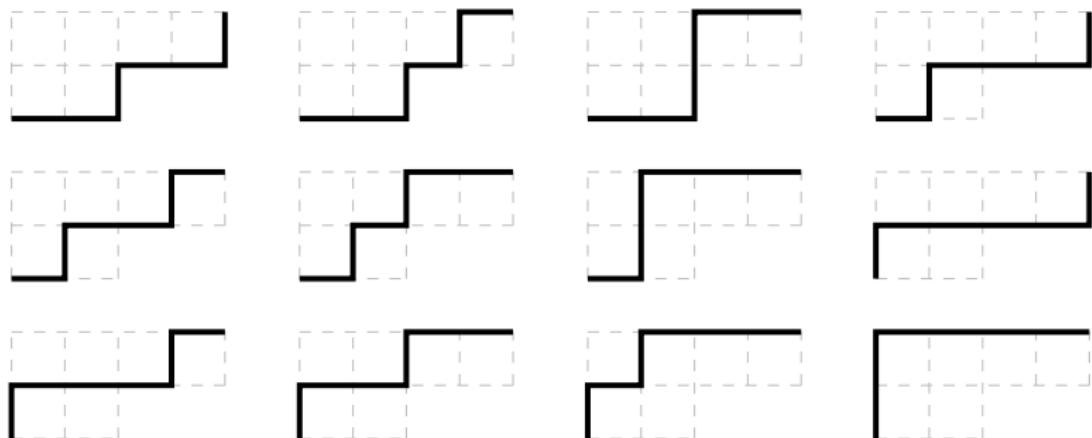
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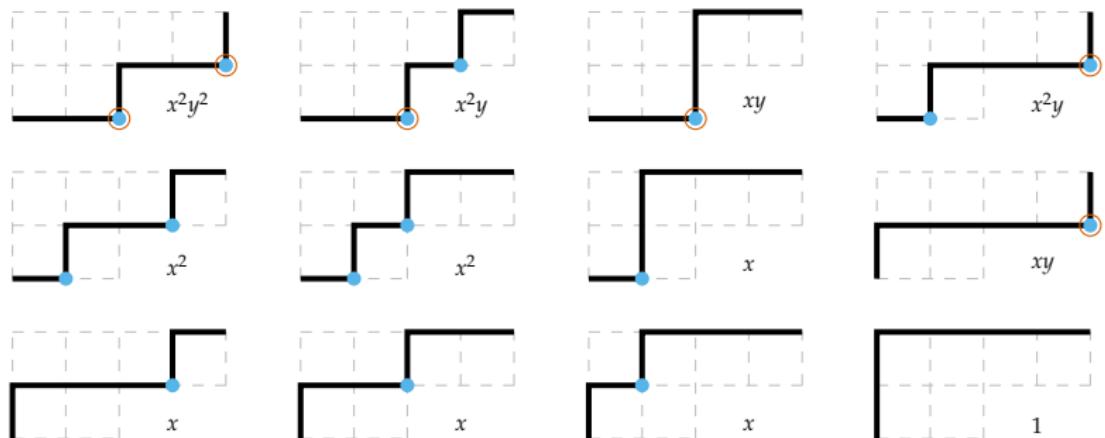
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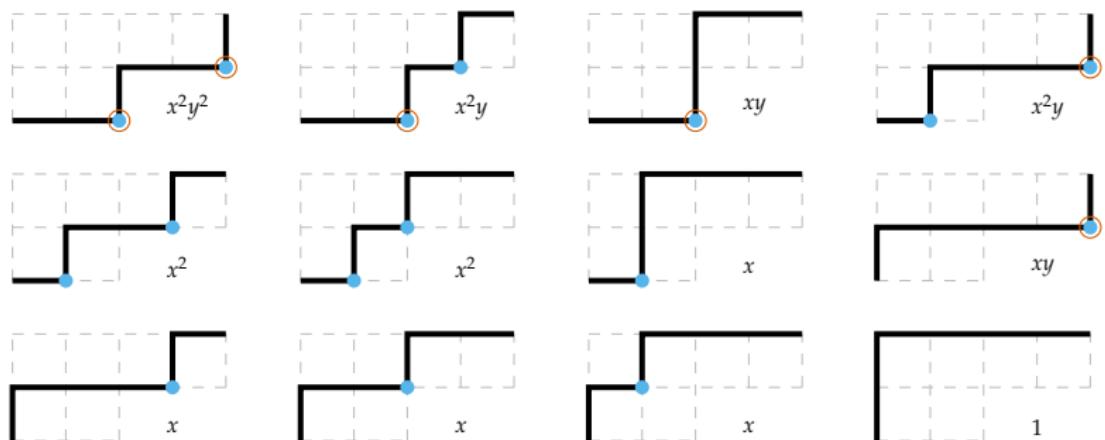
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$$\mathcal{H}_{2,3,1}(x, y) = x^2y^2 + 2x^2y + 2x^2 + 2xy + 4x + 1$$

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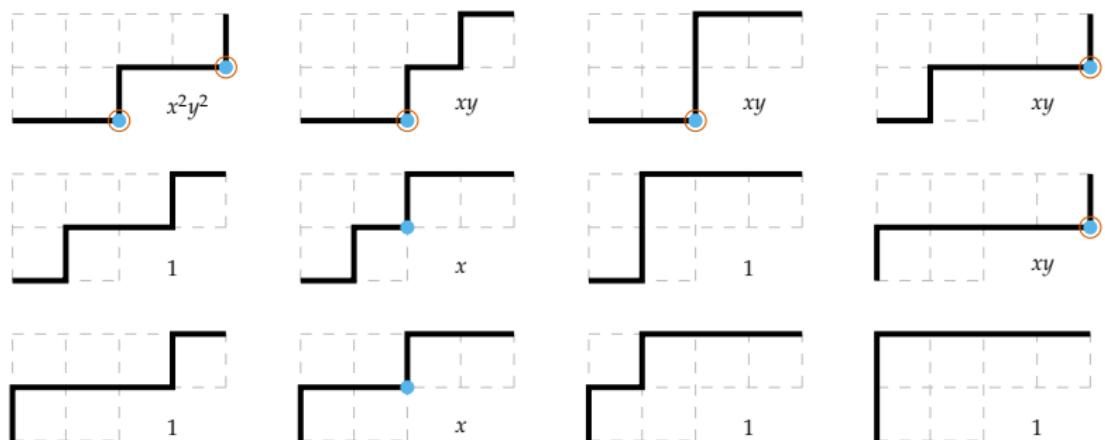
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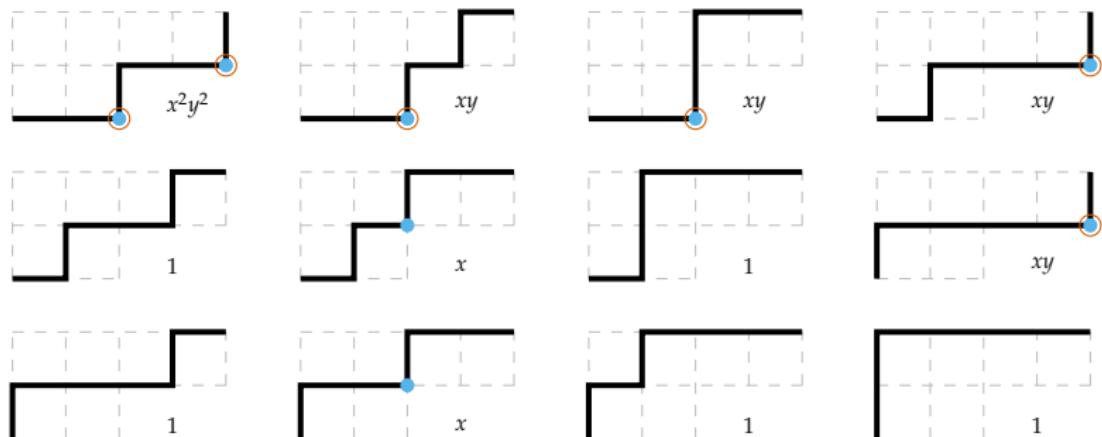
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$$\mathcal{H}_{2,3,1}(x,y) = x^2y^2 + 2x^2y + 2x^2 + 2xy + 4x + 1$$

$$\mathcal{H}_{2,3,1}^{(2)}(x,y) = x^2y^2 + 4xy + 2x + 5$$

Example: $m = 2, n = 3, t = 1$

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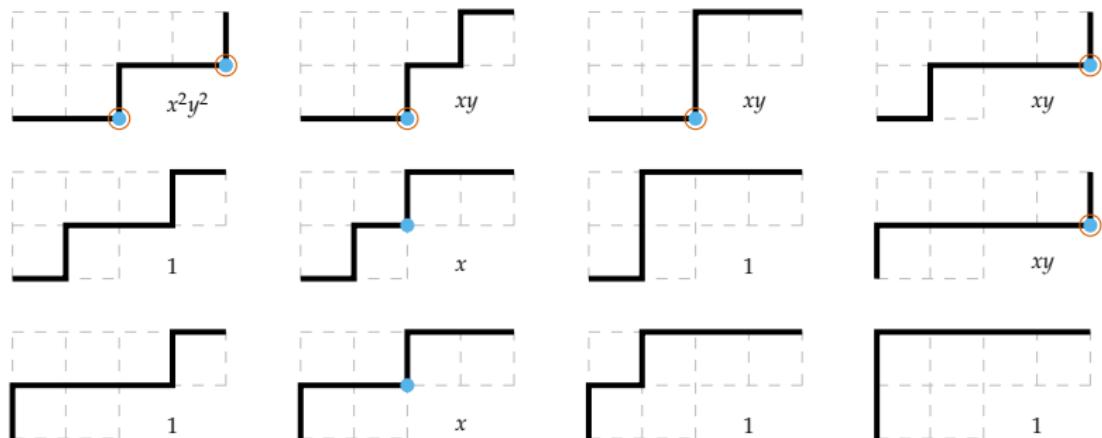
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$$\mathcal{H}_{2,3,1}(x, 1) = 5x^2 + 6x + 1$$

$$\mathcal{H}_{2,3,1}^{(2)}(x, 1) = x^2 + 6x + 5$$

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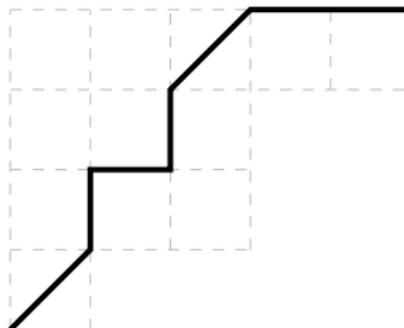
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- **ν -Schröder path:** lattice path from $(0, 0)$ to (m, n) weakly above ν with possible diagonal steps

$\rightsquigarrow \text{Schröder}(\nu)$



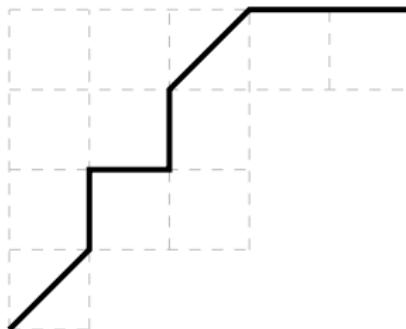
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 - two statistics dg and cd control diagonals and **cornered diagonals**



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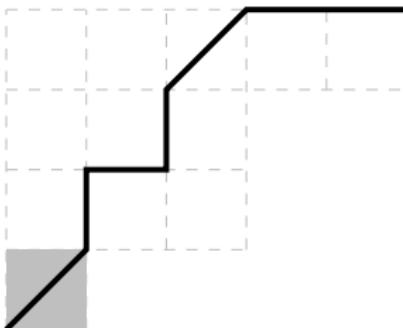
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Theorem (M. von Bell & M. Yip, 2020)

For any northeast path ν , the sets $\text{Asso}(\nu)$ and $\text{Schröder}(\nu)$ are in bijection.

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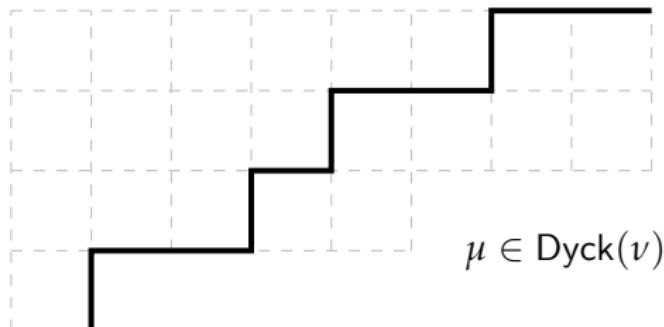
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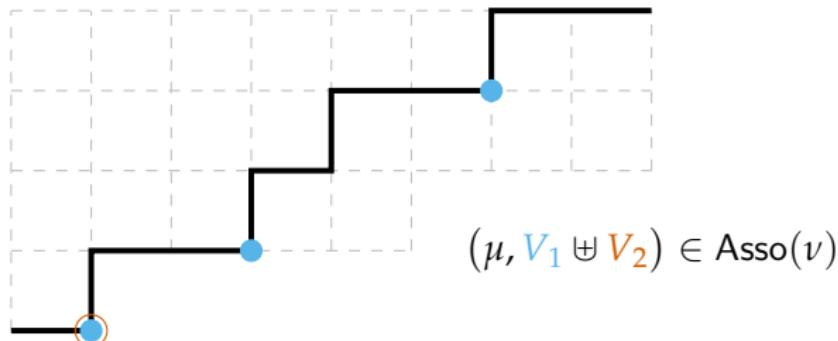
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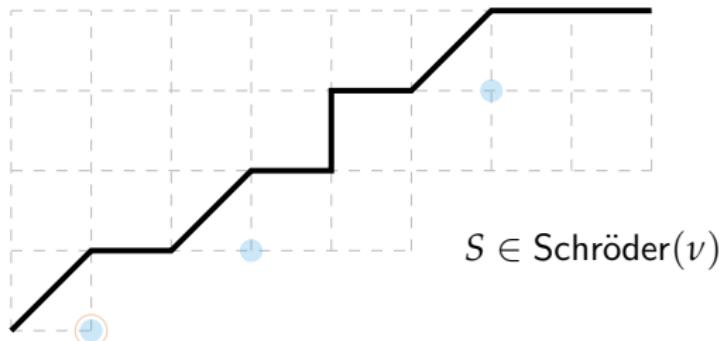
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- enumerate ν -Schröder paths with respect to diagonals and cornered diagonals:

$$\mathcal{S}_\nu(x, y) \stackrel{\text{def}}{=} \sum_{S \in \text{Schröder}(\nu)} x^{\text{dg}(S)} y^{\text{cd}(S)}$$

ν -Schröder Paths

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Perspective

$F = H$ for
Posets

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- **positive** ν -Schröder path: $S \in \text{Schröder}(\nu)$ with $\text{ret}(S) = 0$
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- Schröder^(m)(m, n, t) .. $\nu_{m,n,t}$ -Schröder paths where all diagonal steps end in x -coordinate divisible by m

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- $\mathcal{P}_{m,n,t}^{(m)}(x, y) \stackrel{\text{def}}{=} \sum_{S \in \text{Schröder}_+^{(m)}(m, n, t)} x^{\text{dg}(S)} y^{\text{cd}(S)}$

Proposition (✉ & E. Tzanaki, 2022)

For $m, n, t > 0$, we have

$$\begin{aligned}\mathcal{P}_{m,n,t}^{(m)}(x, y) &= \sum_{a=0}^{n-t} \sum_{b=0}^a \left(\frac{a+t}{n} - \frac{a-b}{n-b-1} \right) \\ &\quad \times \binom{n-b-1}{a-b} \binom{mn+n-t-a-1}{n-t-a} x^a y^b.\end{aligned}$$

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- $\mathcal{H}_{m,n,t}^{(m)}(x, y) = \sum_{\mu \in \text{Dyck}(m, n, t)} x^{\text{mval}(\mu)} y^{\text{ret}(\mu)}$
- $\mathcal{P}_{m,n,t}^{(m)}(x, y) \stackrel{\text{def}}{=} \sum_{S \in \text{Schröder}_+^{(m)}(m, n, t)} x^{\text{dg}(S)} y^{\text{cd}(S)}$

Corollary ( & E. Tzanaki, 2022)

For $m, n > 0$, we have

$$\mathcal{P}_{m,n,1}^{(m)}(x, y) = (-1)^{n-1} \mathcal{H}_{-m,n,1}^{(m)}(-x, y).$$

Example: $m = 2, n = 3, t = 1$

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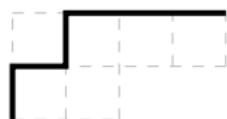
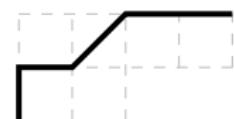
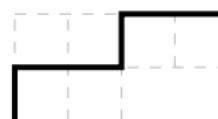
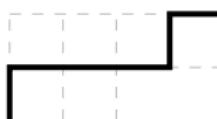
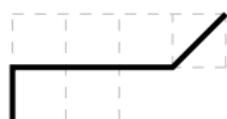
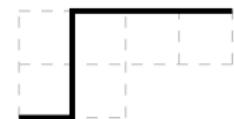
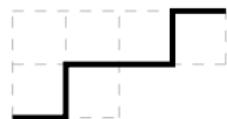
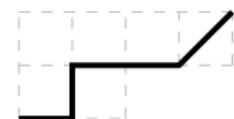
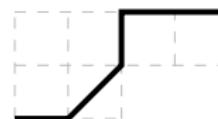
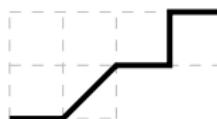
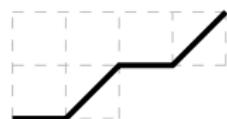
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Example: $m = 2, n = 3, t = 1$

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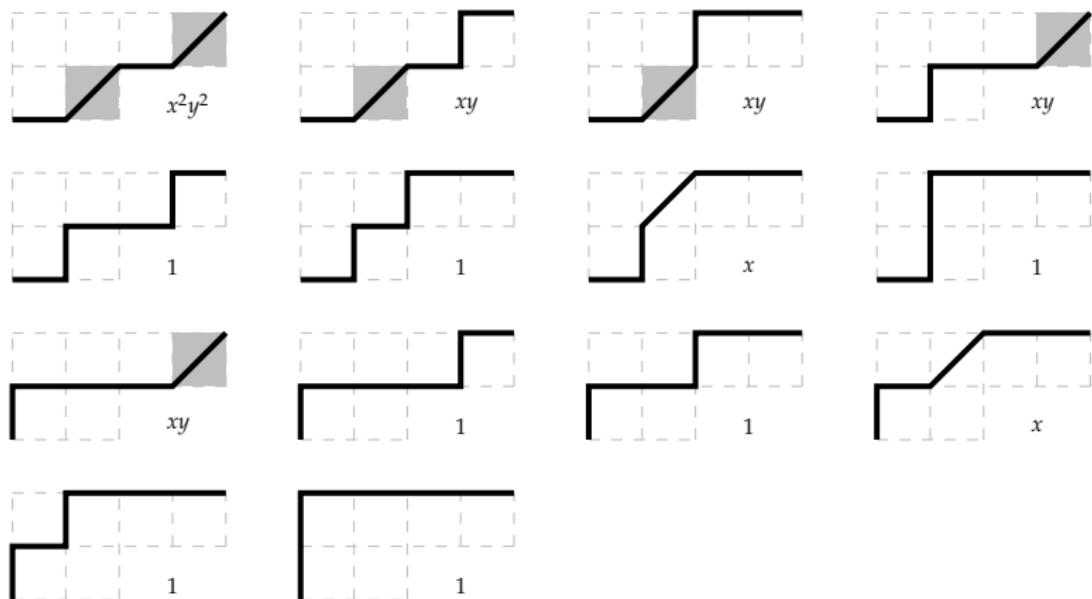
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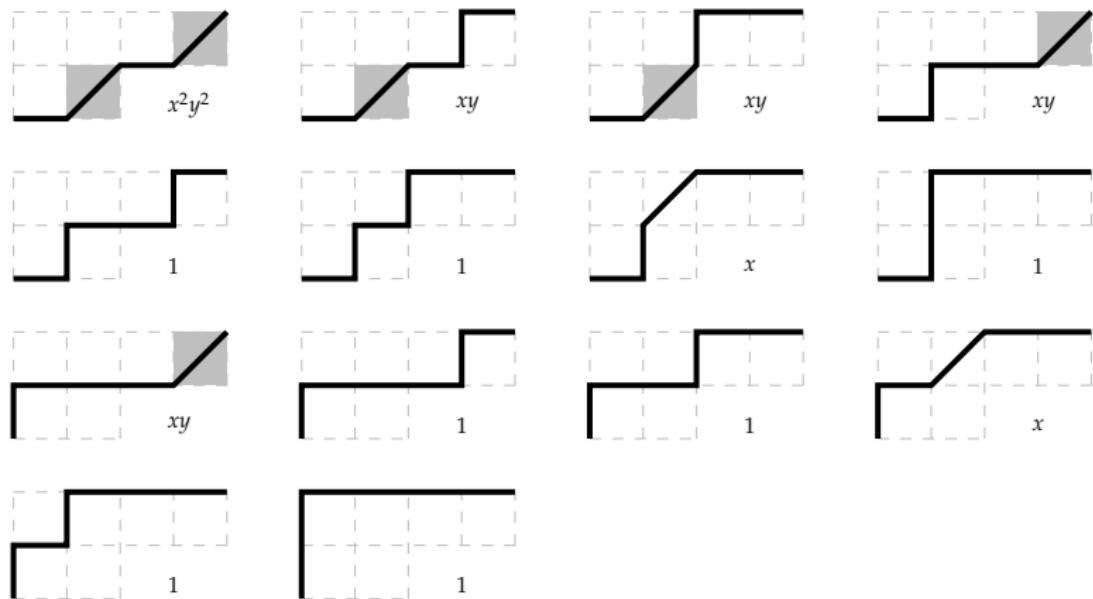
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$$\mathcal{P}_{2,3,1}^{(2)}(x,y) = x^2y^2 + 4xy + 2x + 7$$

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Thank You.

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Proposition (⊗ & E. Tzanaki, 2022)

For any northeast path ν , we have

$$\mathcal{S}_\nu(x, y) = \mathcal{H}_\nu \left(x + 1, \frac{xy + 1}{x + 1} \right).$$

$$\mathcal{H}_\nu \left(x + 1, \frac{xy + 1}{x + 1} \right) = \sum_{\mu \in \text{Dyck}(\nu)} (x + 1)^{\text{val}(\mu) - \text{ret}(\mu)} (xy + 1)^{\text{ret}(\mu)}$$

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