

**2ND FRENCH-GERMAN SUMMER SCHOOL
GALOIS THEORY AND NUMBER THEORY**

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PROBLEMS

Problem 1 — Show that every finite abelian group G is the Galois group of some field extension of \mathbb{Q} .

Comments: Consider first the special case that G is cyclic: use cyclotomic extensions and the lemma that for each integer $m \neq 0$, there are infinitely many integers that are congruent to 1 modulo m .
(see [Dèb09, §2.1.2]).

Problem 2 — (Hensel's lemma)

- a) Show that $X^2 + 1$ has a root in $\mathbb{Z}_5 = \varprojlim_n \mathbb{Z}/5^n\mathbb{Z}$.
- b) Let (A, v) be a complete discrete valuation ring with residue field κ . Let $f \in A[X]$ be a polynomial such that the polynomial $\bar{f} \in \kappa[X]$ obtained by reducing the coefficients of f modulo the valuation ideal has a simple root $\lambda \in \kappa$. Show that f has a root $x \in A$.

Comments: see [Dèb09, §1.2.2.7].

Problem 3 — (Krasner's lemma) Let (k, v) be a complete field for a discrete valuation v , of characteristic 0. Let $P, Q \in k[Y]$ be two monic polynomials with the same degree $d \geq 1$. Assume that P is irreducible. Denote the roots of P (resp. of Q) counted with multiplicities by (a_1, \dots, a_d) (resp. by (b_1, \dots, b_d)).

Set $D = \prod_{i=1}^d Q(a_i) = \prod_{i,j} (a_i - b_j)$ and $\rho = \min_{i \neq j} |a_i - a_j|$.

- a) show that if $|D| < \rho^{d^2}$, then there exist $i, j \in \{1, \dots, d\}$ such that $|a_i - b_j| < \rho$. Deduce that $|a_i - b_j| < |a_k - b_j|$ for every $k \neq i$, and then that $a_i \in k(b_j)$.
- b) Show that if P and Q are sufficiently close (coefficient by coefficient, for the valuation v), then Q is irreducible and has a root in the fields $k(a_i)$ ($i = 1, \dots, d$).
- c) Show that if in addition, $k(a_1)/k$ is Galois, then $k(a_1) = k(b_1)$.

Problem 4 — Let G be a finite group and H be a subgroup of G . Denote by U the union of all conjugate subgroups gHg^{-1} of H by elements $g \in G$.

- a) Show that if $\{g_1, \dots, g_n\}$ are representatives of the left cosets of G modulo H , then $U \setminus \{1\} = \bigcup_{i=1}^n (g_i H g_i^{-1} \setminus \{1\})$.
- b) Deduce that $\text{card}(U) \leq |G| - [G : H] + 1$
- c) (*Jordan's lemma*) Let H be a subgroup of G that contains at least one element from each conjugacy class of G . Show that $H = G$.
- d) Let G be a transitive subgroup of S_n with $n > 1$. Show that there exists an element of G with no fixed point.

Problem 5 — Let $P \in \mathbb{Z}[Y]$ be a polynomial, irreducible in $\mathbb{Q}[Y]$. Show that there exist infinitely many primes p such that the polynomial P reduced modulo p has no roots in \mathbb{F}_p .

Comments: Use the classical density Tchebotarev theorem.

Problem 6 — Show that a Henselian field (k, v) for a discrete valuation v is not Hilbertian.

Comments: For m in the valuation ideal of v , consider the polynomials $P_1 = Y^2 - mT - 1$ and $P_2 = Y^2 - (mT/T + 1) - 1$ (with Y^2 replaced by Y^3 if k is of characteristic 2) and show that the Hilbert set $H_k(P_1, P_2)$ is empty. (see [Dèb09, Example 5.0.1]).

Problem 7 — Let $d \geq 1$ be an integer, $\underline{U} = U_1, \dots, U_d$ be d indeterminates and $T_1(\underline{U}), \dots, T_d(\underline{U})$ be the d elementary symmetric functions in \underline{U} . Let k be a field.

- a) Show that $T_1(\underline{U}), \dots, T_d(\underline{U})$ are algebraically independent over \bar{k} .
- b) Show that the field extension $k(\underline{U})/k(\underline{T}(\underline{U}))$ is Galois with Galois group the symmetric group S_d .

Comments: see [Dèb09, §2.5.1.1]).

Problem 8 — Given a field k and a finite separable extension $F/k(T)$, show that the following assertions are equivalent:

- (i) $F \cap \bar{k} = k$,
- (ii) for every finite extension E/k , $[FE : E(T)] = [F : k(T)]$,
- (iii) $[F\bar{k} : \bar{k}(T)] = [F : k(T)]$.

Comments: see [Dèb09, §2.3.1].

Problem 9 — Let $F/k(T)$ be a degree n extension with F/k regular. Assume that the Galois closure of $F\bar{k}/\bar{k}(T)$ is of group S_n . Show that the Galois closure of F/k is regular. Give an example for which the conclusion fails if the assumption is removed.

Problem 10 — Let $n \geq 1$ be an integer and

$$f(Y) = Y^n + a_1 Y^{n-1} + \dots + a_n$$

be a polynomial with coefficients $a_i \in \mathbb{Q}$. Set

$$P(T, Y) = f(Y) - T$$

and denote by $\mathcal{Y} \in \overline{\mathbb{Q}(T)}$ a root of the polynomial $P(T, Y)$ (in Y).

- a) Show that $P(T, Y)$ is irreducible in $\overline{\mathbb{Q}(T)}[Y]$.

Set $E = \overline{\mathbb{Q}(T)}(\mathcal{Y})$, denote the Galois closure of the extension $E/\overline{\mathbb{Q}(T)}$ by $\widehat{E}/\overline{\mathbb{Q}(T)}$ and its Galois group by G .

- b) Recall how G can be viewed as a transitive subgroup of S_n .

From now on, assume that f satisfies the following conditions:

- (i) The roots $\beta_1, \dots, \beta_{n-1} \in \overline{\mathbb{Q}}$ of the derivative $f'(Y)$ are simple.
- (ii) $f(\beta_i) \neq f(\beta_j)$ for $i \neq j$.

- c) Show that the branch points of the extension $E/\overline{\mathbb{Q}(T)}$ are in the set $\{f(\beta_1), \dots, f(\beta_{n-1}), \infty\}$.

- d) Show that for $i = 1, \dots, n-1$ we have $f(Y) - f(\beta_i) = (Y - \beta_i)^2 g_i(Y)$ with $g_i(Y) \in \overline{\mathbb{Q}}[Y]$ separable and such that $g_i(\beta_i) \neq 0$.
- e) Show that, for $i = 1, \dots, n-1$, there are $n-2$ unramified points and one ramified point in the extension $E/\overline{\mathbb{Q}}(T)$ above $f(\beta_i)$, and that every inertia group is generated by a 2-cycle.
- f) Show that if $v_{1/T}$ is the unique prolongation of the $1/T$ -adic valuation from $\overline{\mathbb{Q}}((1/T))$ to the algebraic closure $\overline{\mathbb{Q}}((1/T))$, then we have $v_{1/T}(\mathcal{Y}) = -1/n$.
- g) Show that, above ∞ , there is a totally ramified point in the extension $E/\overline{\mathbb{Q}}(T)$, and that every inertia group is generated by a n -cycle.
- h) Denote by R the sum of all integers $e(\mathcal{P}) - 1$ where \mathcal{P} ranges over all the points/places of E and $e(\mathcal{P})$ is the corresponding ramification index. Check that

$$-2[E : \overline{\mathbb{Q}}(T)] + R = -2$$

(that is, *via* the Riemann-Hurwitz formula, the function field E is of genus 0) and that

$$E = \overline{\mathbb{Q}}(\mathcal{Y})$$

(that is, E a pure transcendental extension of $\overline{\mathbb{Q}}$).

- i) Show that the group G is generated by the inertia groups above the points $f(\beta_1), \dots, f(\beta_{n-1})$. Conclude that $G = S_n$ (by using that a transitive subgroup of S_n that is generated by 2-cycles (or, more generally by cycles of prime length) is equal to S_n).

Problem 11 —

- a) Deduce from problem 8 and problem 10 that S_n is a regular Galois group over \mathbb{Q} .
- b) Show that for every finite group G , there exist a number field K such that G is a Galois group over K .

Problem 12 — Given $n \geq 3$, let E be the splitting field of $P(T, X) = X^n - X^{n-1} - T$ over $\mathbb{Q}(T)$.

- a) Show that $P(T, X)$ is irreducible over $\overline{\mathbb{Q}}(T)$.
- b) Show that the branch points of $E/\mathbb{Q}(T)$ are $0, \infty, Q(1 - (1/n))$ with $Q(Y) = Y^n - Y^{n-1}$, with inertia groups generated by an n -cycle at ∞ , an $(n-1)$ -cycle at 0 , and a transposition at $Q(1 - (1/n))$. Conclude that $E/\mathbb{Q}(T)$ has Galois group S_n .
- c) Show that $E^{A_n} = \mathbb{Q}(U)$ for some transcendental U . Conclude that A_n is a regular Galois group over \mathbb{Q} (in particular, a Galois group over \mathbb{Q}).

Comments: More details and more general statements can be found in [Ser92, §4.4-5] and in [FJ08, §16.7]. Compared with Problem 11, one can do things with A_n . Of course, the statement of the above exercise should be more detailed.

Problem 13 — Let $n \geq 3$.

- a) Show that there exist infinitely monic polynomials $f \in \mathbb{Z}[X]$ of degree n such that $f \pmod{2}$ is irreducible, $f \pmod{3}$ is separable with an irreducible factor of degree $n-1$, and (for some further prime p) $f \pmod{p}$ is separable with exactly one quadratic factor and linear factors otherwise.

Hint: Chinese Remainder.

- b) Use Dedekind's criterion and Jordan's theorem to conclude that infinitely many polynomials have Galois group S_n over \mathbb{Q} .

Problem 14 — Let $P(T) \in \mathbb{Z}[T]$ be a separable polynomial of degree n . Set $P(T) = a_0 + a_1T + \cdots + a_{n-1}T^{n-1} + a_nT^n$ and $E = \mathbb{Q}(T)(\sqrt{P(T)})$. Denote the roots of $P(T)$ by t_1, \dots, t_n .

- a) Show that the integral closure of $\overline{\mathbb{Q}}[T]$ in $E\overline{\mathbb{Q}}$ is $\overline{\mathbb{Q}}[T] + \overline{\mathbb{Q}}[T]\sqrt{P(T)}$. Conclude that the set \mathbf{t} of branch points of $E/\mathbb{Q}(T)$ is $\{t_1, \dots, t_n\}$ (resp., $\{t_1, \dots, t_n\} \cup \{\infty\}$) if n is even (resp., if n is odd).
- b) Let $t_0 \in \mathbb{P}^1(\mathbb{Q}) \setminus \mathbf{t}$. Show that $E_{t_0} = \mathbb{Q}(\sqrt{P(t_0)})$ if $t_0 \in \mathbb{Q}$ and $E_\infty = \mathbb{Q}(\sqrt{a_n})$ (if n is even).
- c) Let d be a non-zero integer. Show that d is a square in \mathbb{Z} if and only if d is a square in \mathbb{F}_p for all but finitely many prime numbers p .
- d) Suppose $n = 2$. Show that $E/\mathbb{Q}(T)$ is \mathbb{Q} -parametric iff $a_1^2 - 4a_0a_2$ is a square in \mathbb{Z} .

Comments: For (a), use, e.g., [Leg13, Lemma 2.3.5] (and its proof) and the Riemann-Hurwitz formula. For (b), see, e.g., [KL18, Lemma 8.3]. (c) is a classical consequence of the Chebotarev density theorem (more elementary proofs exist in the quadratic case, of course). (d) is [Leg15, Proposition 3.1].

Problem 15 —

- a) Let k be an arbitrary field and L/k a finite Galois extension of group S_3 . Show that there exists $t_0 \in k$ such that L is the splitting field over k of the polynomial $X^3 + t_0X + t_0$ (that is, $X^3 + TX + T$ is generic).
- b) Let F be the splitting field over \mathbb{Q} of the polynomial $P(X) = X^3 + 3X^2 - 6X - 4$. Show that F/\mathbb{Q} has Galois group S_3 and $F \subseteq \mathbb{R}$.
- c) Let E be the splitting field over $\mathbb{Q}(T)$ of the polynomial $X^3 + T^2X + T^2$. Show that $E/\mathbb{Q}(T)$ is a regular Galois extension of group S_3 and no specialization of it is contained in \mathbb{R} . Conclude that $E/\mathbb{Q}(T)$ cannot be \mathbb{Q} -parametric.

Comments: For (1), see [JLY02, page 30]. For (2), $P(X)$ is irreducible modulo $p = 5$. Moreover, setting $Y = X + 1$, one sees that F is the splitting field over \mathbb{Q} of $Y^3 - 9Y + 4$ whose discriminant is a positive non-square. One can also study the derivative of $P(X)$ to show that F is contained in \mathbb{R} . For (3), see [Leg15, Proposition 3.5].

Problem 16 — Let $f(T, X) \in \mathbb{Q}(T)[X]$ be an irreducible degree- n polynomial with Galois group $G \leq S_n$. Assume that $f(0, X)$ is separable of degree- n and splits completely over \mathbb{Q} . Let p be a prime number.

- a) Show that for all $t_0 \in \mathbb{Q}$ which are divisible by p sufficiently often, the polynomial $f(t_0, X)$ splits completely over \mathbb{Q}_p .
- b) Now let S be a finite set of prime numbers. Conclude the existence of infinitely many G -extensions which are unramified at all primes $p \in S$.

Problem 17 — Let $\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n$ and T be independent transcendentals, and let

$$f(X) = \prod_{i=1}^n (X - \alpha_i) - T \prod_{j=1}^n (X - \beta_j)$$

- Show that $\text{Gal}(f \mid \mathbb{Q}(\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n, T)) = S_n$.
- Show that f' is separable as a polynomial in T .
- Conclude that all inertia subgroups (with respect to T) of the splitting field of f are generated by transpositions.
- Use Hilbert's irreducibility theorem, the specialization inertia theorem and problem 14 to show that there are infinitely many S_n -extensions of \mathbb{Q} all of whose inertia groups are generated by transpositions.

Problem 18 — Let $\mathbb{C}(X)/\mathbb{C}(T)$ be a Galois extension of rational function fields, let $n := [\mathbb{C}(X) : \mathbb{C}(T)]$, and let (e_1, \dots, e_r) be the tuple of ramification indices at the branch points of $\mathbb{C}(X)/\mathbb{C}(T)$ (sorted with $e_1 \leq \dots \leq e_r$).

- Use the Riemann-Hurwitz formula to show that $(n, (e_1, \dots, e_r))$ is one of the following types:

$$(n, (n, n)), (n, (2, 2, n/2)), (12, (2, 3, 3)), (24, (2, 3, 4)), (60, (2, 3, 5)).$$

- What conclusions can you obtain from this about the finite subgroups of $PGL_2(\mathbb{C})$?

Problem 19 — Let $E/\mathbb{C}(T)$ be a finite Galois extension ramified at $r \geq 2$ points. Let $d \in \mathbb{N}$. Show that there exists a degree- d rational function field extension $\mathbb{C}(S)/\mathbb{C}(T)$ such that the rational pullback $E(S)/\mathbb{Q}(S)$ has exactly rd branch points, and another one such that the pullback has at most $(r-2)d + 2$ branch points.

Problem 20 —

- Let X_1, X_2 be compact connected Riemann surfaces of genus ≥ 2 , and $f_i : X_i \rightarrow \mathbb{P}_{\mathbb{C}}^1$ be Galois covers ($i = 1, 2$) such that f_1 is isomorphic to a rational pullback of f_2 and vice versa. Show that the pullback maps must have been “trivial”, i.e., fractional linear transformations.
- Now drop the assumption of genus ≥ 2 . Can you construct genus-0 Galois covers f_1, f_2 which are mutual pullbacks of each other in a non-trivial way? How about genus 1?

Problem 21 — (Invariants and resolvents): Let $F = X_1X_3 + X_2X_4$.

- Show that F has stabilizer isomorphic to D_4 (under the action of S_4).
- One can calculate that this yields the following resolvent for $G = D_4$:

$$\theta_G(f, F) = X^3 - a_2X^2 + (a_3a_1 - 4a_0)X + 4a_2a_0 - a_3^2a_0 - a_1^2,$$

where f is given as $f = X^4 + a_3X^3 + a_2X^2 + a_1X + a_0$.

Use this to find irreducible polynomials f of the form $f = X^4 + aX + a \in \mathbb{Q}[X]$ whose Galois group is contained in D_4 .

Can you show that there are infinitely many such polynomials?

Problem 22 — (Some truncated series)

Let p be an odd prime and let $f = 1 + 2X + 3X^2 + \cdots + pX^{p-1}$.

- a) Show that $f \equiv -(X - 1)^{p-2} \pmod{p}$.
- b) Using Newton polygons, show that f factors over \mathbb{Q}_p into irreducible polynomials of degree 1 and $p - 2$.

(It might be convenient to argue with $f(X + 1)$.)

- c) Show that the Galois group of f is a doubly transitive subgroup of S_{p-1} .
- d) Under the assumption that $q := \frac{p+1}{2}$ is also a prime, use Newton polygons again to show $\text{Gal}(f)$ contains a q -cycle, and conclude that $\text{Gal}(f) = S_n$ or A_n .

Problem 23 — (A polynomial with group D_5)

Let $f(X) = X^5 - 2X^4 + 2X^3 - X^2 + 1$, $g(X) = X(X - 1)^2$, and $F(t, X) = f(X) - tg(X)$.

- a) Show that $G = \text{Gal}(F/\mathbb{Q}(t))$ is a transitive subgroup of S_5 of even order.
- b) Use (without proof) the following fact to show that $G \cong D_5$:

$$f(X)g(Y) - g(X)f(Y) = (X - Y)(X^2Y - X^2 + XY^2 - 2XY + 2X - Y^2 + 2Y - 1)(X^2Y^2 - X^2Y - XY^2 + 1).$$

(Hint: Show that the point stabilizer in G must have order 2.)

Problem 24 — Let

$$R_2(B) = \#\{a, b \in \mathbb{Z} : |a|, |b| \leq B, X^2 + aX + b \text{ is reducible}\}.$$

Prove that there exists positive constants $0 < c < C$ such that for every $B > 0$

$$c \frac{B}{\log B} \leq R_2(B) \leq C \frac{B}{\log B}.$$

Problem 25 —

- a) Prove that if $G \leq S_d$ is 2-transitive (i.e. acts transitively on the set of pairs (a, b) with $a \neq b$, or equivalently, G is transitive and the stabilizer of a point G_a is transitive on $\{1, \dots, d\} \setminus \{a\}$) and contains a transposition, then $G = S_d$.
- b) Deduce that a subgroup of S_d containing a transposition, a d -cycle, and a $(d-1)$ -cycle must be S_d .
- c) Recall that a subgroup $G \leq S_d$ is primitive if it is transitive and it preserves no non-trivial partition of $\{1, \dots, d\}$ (equivalently G is transitive and a stabilizer G_a is a maximal subgroup). Show that if a primitive group $G \leq S_d$ contains a transposition then $G = S_d$.
- d) Let $f \in K[X]$ be a separable polynomial of degree d , let N be a splitting field, let $\alpha, \beta \in N$ be two distinct roots of f and let $G = \text{Gal}(N/K) \leq S_d$. Show that
 - (1) G is primitive if and only if $[K(\alpha) : K] = d$ and $K(\alpha)/K$ is minimal (i.e., there are no proper subextensions)
 - (2) G is doubly transitive if and only if $[K(\alpha, \beta) : K] = d(d - 1)$.

Problem 26 — Use the large sieve inequality to show that

$$\#\{n \leq x : n, n+2 \text{ are both prime}\} \ll \frac{x}{(\log x)^2}$$

and deduce that

$$B_2 := \sum_{\substack{p \leq x \\ p+2 \text{ prime}}} \frac{1}{p} < \infty.$$

(Computation may show that $B_2 = 1.902160540\dots$).

Problem 27 — Let $\mathbf{t} = (t_1, \dots, t_r)$, let $f(\mathbf{t}, X) \in \mathbb{Q}[\mathbf{t}, X]$ be an irreducible polynomial that is monic in X , let L be a splitting field of f over $\mathbb{Q}(\mathbf{t})$, and let R be the integral closure of $\mathbb{Q}[\mathbf{t}]$ in L .

- Show that the specialization $\mathbf{t} \mapsto \mathbf{a}$ may be extended to an epimorphism $\phi: R \rightarrow L_{\mathbf{a}}$.
- Show that if $\text{disc}(f(\mathbf{a}, X)) \neq 0$, then ϕ induces a bijection between the roots of $f(\mathbf{t}, X)$ and of $f(\mathbf{a}, X)$.
- Show that in the case of the previous question the bijection $x_i \mapsto \phi(x_i)$ between the roots of $f(\mathbf{t}, X)$ in R and the roots of $f(\mathbf{a}, X)$ in $L_{\mathbf{a}}$ induces an embedding of $G_{\mathbf{a}}$ into G .
- Deduce that if $[L : \mathbb{Q}(\mathbf{t})] = [L_{\mathbf{a}} : \mathbb{Q}]$, then $f(\mathbf{a}, X)$ is irreducible.
- Give example in which $f(\mathbf{a}, X)$ is irreducible but $[L : \mathbb{Q}(\mathbf{t})] \neq [L_{\mathbf{a}} : \mathbb{Q}]$.

Problem 28 —

- Prove the LYM inequality: Let A be a family of subsets of $\{1, 2, \dots, n\}$. If A is an anti-chain (that is, $s \not\subseteq t$ for any $s \neq t \in A$), then

$$\sum_{s \in A} \frac{1}{\binom{n}{|s|}} \leq 1.$$

(Hint: What can be said about permutations π of $\{1, 2, \dots, n\}$ such that $\{\pi(1), \dots, \pi(|s|)\} = s$ for some $s \in A$?)

- Deduce Sperner's inequality: Under the same conditions as before, $|A| \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$.

Problem 29 — Denote by \mathcal{O} the set of algebraic integers. Given $\alpha \in \mathcal{O}$, we denote by $d(\alpha)$ the degree of its minimal polynomial.

Fix $H \geq 2$, and let $A_{n,H}$ be the set of monic integer polynomials with coefficients in $\{1, 2, \dots, H\}$, endowed with the counting measure. Give an *explicit* upper bound on the cardinality of

$$T(\ell) = \{\alpha \in \mathcal{O} : d(\alpha) \leq \ell, \exists f \in \mathbb{Z}[x] \text{ of height at most } H \text{ s.t. } f(\alpha) = 0\}.$$

Use it to construct an *explicit* function $s(n)$, tending to infinity with n , such that

$$\mathbb{P}_{f \in A_{n,h}}(f \text{ has no divisor of degree } \leq s(n)) \rightarrow 1$$

as n tends to infinity.

Problem 30 —

- a) Let $f = x^n + \sum_{i=0}^{n-1} a_i x^i \in \mathbb{C}[x]$. Suppose that $a_0 \neq 0$ and that $|a_{n-1}| > 1 + |a_{n-2}| + |a_{n-3}| + \dots + |a_0|$. Prove that f has $n - 1$ roots with absolute value less than 1, and one root with absolute value greater than 1.

(Hint: Rouché's Theorem.)

- b) (Perron) Let $f = x^n + \sum_{i=0}^{n-1} a_i x^i \in \mathbb{Z}[x]$ be a polynomial satisfying $a_0 \neq 0$ and $|a_{n-1}| > 1 + |a_{n-2}| + |a_{n-3}| + \dots + |a_0|$. Prove that f is irreducible over \mathbb{Q} .
- c) Let $f(x) = \sum_{i=0}^n a_i x^i \in \mathbb{Z}[x]$. Suppose that $a_n \geq 1$, $a_{n-1} \geq 0$ and that $|a_i| \leq H$ for $i = 0, 1, \dots, n - 2$, where H is some fixed positive constant. Then any complex zero α of f either has non-positive real part or satisfies

$$|\alpha| < \frac{1 + \sqrt{1 + 4H}}{2}.$$

- d) Let $f(x) = x^n + \sum_{i=0}^{n-1} a_i x^i \in \mathbb{Z}[x]$ with $a_i \in \{0, 1\}$ for every i . If $|\arg \alpha| \leq \pi/4$, then $|\alpha| < 3/2$. Otherwise $\Re \alpha < (1 + \sqrt{5})/(2\sqrt{2})$.

(Here $\arg(z) \in [-\pi/2, \pi/2)$ is defined via $z/|z| = e^{i\arg(z)}$.)

- e) (Cohn) Let $b \geq 2$ be an integer, and let p be a prime with b -adic expansion

$$p = a_n b^n + a_{n-1} b^{n-1} + a_1 b + a_0,$$

i.e. for each i , a_i is an integer with $0 \leq a_i < b$. Then $f(x) = \sum_{i=0}^n a_i x^i$ is irreducible over \mathbb{Q} .

Problem 31 — The divisor function $d_k(f)$ for a monic polynomial $f \in \mathbb{F}_q[x]$ is the number of k -tuples $(a_1, \dots, a_n) \in \mathbb{F}_q[x]^k$ of monic polynomials so that $f = a_1 \cdots a_k$. Show that for $\Re(s) > 1$,

$$\sum_{f \text{ monic}} \frac{d_k(f)}{|f|^s} = \zeta_q(s)^k.$$

Problem 32 — The Möbius function for $\mathbb{F}_q[x]$ is defined as $\mu(f) = (-1)^k$ if $f = cP_1 \cdots P_k$ is a product of k distinct monic irreducibles, $c \in \mathbb{F}_q^*$, and $\mu(f) = 0$ otherwise. Show that for $\Re(s) > 1$,

$$\sum_{f \text{ monic}} \frac{\mu(f)}{|f|^s} = \frac{1}{\zeta_q(s)}.$$

Problem 33 — Show that

$$\sum_{d|f} \Lambda(d) = \deg f.$$

Problem 34 — Show that for $k \geq 2$, the mean value of $d_k(f)$ over all monic polynomials $f \in \mathbb{F}_q[x]$ of degree n is given by the binomial coefficient

$$\frac{1}{q^n} \sum_{\substack{\deg f = n \\ f \text{ monic}}} d_k(f) = \binom{n+k-1}{k-1} = \frac{(n+k-1) \cdots (n+1)}{(k-1)!}.$$

Problem 35 — Show that

$$\sum_{\substack{\deg f = n \\ f \text{ monic}}} \mu(f) = 0, \quad n \geq 2.$$

Problem 36 — Show that

$$\sum_{\deg P \leq N} \frac{1}{|P|} \sim \log N, \quad N \rightarrow \infty.$$

The sum over all prime polynomials (monic irreducibles) and in particular that $\sum_P \frac{1}{|P|} = \infty$.

Problem 37 — The cycle structure of a permutation σ of n letters is $\lambda(\sigma) = (\lambda_1, \dots, \lambda_n)$ if in the decomposition of σ as a product of disjoint cycle, there are λ_j cycle of length j . In particular $\lambda_1(\sigma)$ is the number of fixed points of σ .

For each partition $\lambda \vdash n$, denote by $p(\lambda)$ the probability that a random permutation on n letters has cycle structure λ :

$$p(\lambda) = \frac{\#\{\sigma \in S_n : \lambda(\sigma) = \lambda\}}{\#S_n}.$$

Show that

$$p(\lambda) = \prod_{j=1}^n \frac{1}{j^{\lambda_j} \cdot \lambda_j!}.$$

In particular, this shows that the proportion of n -cycles in the symmetric group S_n is $1/n$.

Problem 38 — For $f \in \mathbb{F}_q[x]$ of positive degree n , we say its cycle structure is $\lambda(f) = (\lambda_1, \dots, \lambda_n)$ if in the prime decomposition $f = \prod_{\alpha} P_{\alpha}$ (we allow repetition), we have $\#\{\alpha : \deg P_{\alpha} = j\} = \lambda_j$. In particular, $\deg f = \sum_j j \lambda_j$. Thus we get a partition of $\deg f$, which we denote by $\lambda(f)$. For instance, f is prime if and only if $\lambda(f) = (0, 0, \dots, 0, 1)$.

Given a partition $\lambda \vdash n$, show that the probability that a random monic polynomial f of degree n has cycle structure λ is asymptotic, as $q \rightarrow \infty$, to the probability that a random permutation of n letters has that cycle structure:

$$\frac{1}{q} \#\{f \text{ monic, } \deg f = n : \lambda(f) = \lambda\} = p(\lambda) \left(1 + O_n\left(\frac{1}{q}\right)\right).$$

Hint: start with primes, where the statement is just the Prime Polynomial Theorem.

Problem 39 — Consider the set Ω of n -tuples $\lambda = (\lambda_1, \dots, \lambda_n)$ of non-negative integers with $\sum_i i\lambda_i = n$. Define two probability measures on Ω . We pick a uniform random $f \in \mathbb{F}_q[T]$, and we define $P_1(\lambda)$ to be the probability that f has cycle structure λ . For the second measure, we pick uniformly at random $\sigma \in S_n$ and we define $P_2(\lambda)$ to be the probability that σ has cycle structure λ .

a) Show that there exists a constant C_n depending only on n such that

$$|P_1(\lambda) - P_2(\lambda)| \leq C_n q^{-1}.$$

b) Show that there exists an absolute constant $C > 0$ such that

$$|P_1(\lambda) - P_2(\lambda)| \leq C q^{-1}.$$

c) Show that there exists an event $E \subseteq \Omega$ such that $|P_1(E) - P_2(E)| > c q^{-1}$.

d) Let E be event consisting on some λ -s with $\lambda_1 = \dots = \lambda_k = 0$ for some $1 \leq k < n$ with k tending to infinity with n (e.g. $k = \log \log n$). Show that $|P_1(E) - P_2(E)| \rightarrow 0$ as $n \rightarrow \infty$.

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