

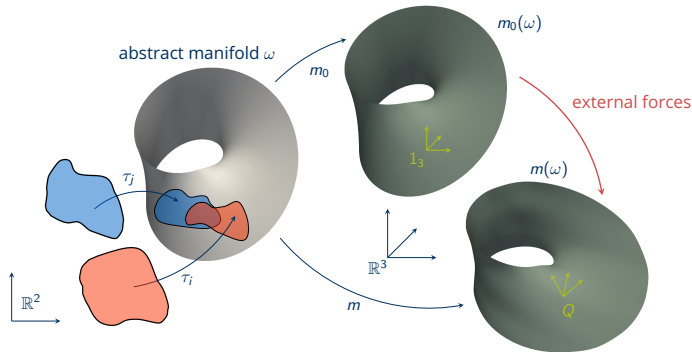
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# Manifold-valued finite elements: Construction by generalizing Lagrange interpolation and applications

TU Wien, December 7th, 2022

## Cosserat shell with initial curvature

- consider **external forces** on a shell subject to Dirichlet boundary conditions
- stress-free state of the shell is a curved manifold given through an initial configuration  $m_0 \in H^1(\omega, \mathbb{R}^3)$  together with coordinate patches  $\tau_i : \mathbb{R}^2 \rightarrow \omega$
- Cosserat models allow an independent **microrotation  $Q$  of the particles**
- deformed configuration is function pair  $m : \omega \rightarrow \mathbb{R}^3$  and  $Q : \omega \rightarrow \text{SO}(3)$



## Cosserat shell with initial curvature

- admissible set

$$\mathcal{A} = \left\{ (m, Q) \in H^1(\omega, \mathbb{R}^3) \times H^1(\omega, \text{SO}(3)) \mid \text{Dirichlet boundary conditions for } m \right\}$$

- deformed configuration  $(m, Q)$  is a minimizer of the energy functional

$$\arg \min_{(m, Q) \in \mathcal{A}} J(m, Q) = J_{\text{shell}}(m, Q) + \text{external forces}(m, Q)$$

- existence of minimizers follows for suitable parameters of  $J_{\text{shell}}$  and suitable external forces with the direct method

[Ghiba, Bîrsan, Lewintan & Neff. (2020). The isotropic Cosserat shell model including terms up to  $O(h^5)$ . Part II: Existence of minimizers. J Elast 142.]

## Cosserat shell with initial curvature

- hyperelastic material model

$$J_{\text{shell}}(m, Q) = \int_{\omega} W(E^e, K^e) \, d\omega$$

- geometry of stress-free state  $m_0(\omega)$ : first fundamental tensor  $\mathbf{a} = \sum_{\alpha=1}^2 a_{\alpha} \otimes a^{\alpha}$
- co- and contravariant basis vectors  $a_1, a_2, a^1, a^2$  of  $m_0(\omega)$
- elastic shell strain tensor

$$E^e : \omega \rightarrow \mathbb{R}^{3 \times 3}, \quad E^e := \sum_{\alpha=1}^2 Q^T \frac{\partial m}{\partial x_{\alpha}} \otimes a^{\alpha} - \mathbf{a}$$

- elastic shell bending-curvature tensor

$$K^e : \omega \rightarrow \mathbb{R}^{3 \times 3}, \quad K^e := \sum_{\alpha=1}^2 \text{axl} \left( Q^T \frac{\partial Q}{\partial x_{\alpha}} \right) \otimes a^{\alpha}$$

## Cosserat shell with initial curvature

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- geometry of stress-free state  $m_0(\omega)$ : first fundamental tensor  $\mathbf{a} = \sum_{\alpha=1}^2 a_{\alpha} \otimes a^{\alpha}$
- co- and contravariant basis vectors  $a_1, a_2, a^1, a^2$  of  $m_0(\omega)$
- elastic shell strain tensor, there is a coordinate system (not necessarily orthogonal) in which

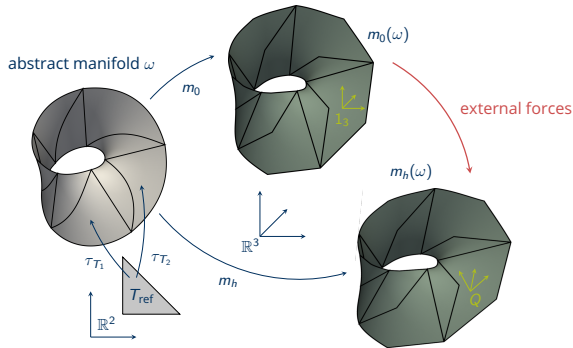
$$E^e \approx \begin{pmatrix} \epsilon_{11} & \epsilon_{12} & 0 \\ \epsilon_{21} & \epsilon_{22} & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \epsilon_{11}, \epsilon_{22} \quad \begin{array}{c} \text{---} \rightarrow \\ \text{---} \rightarrow \\ \text{---} \rightarrow \\ \leftarrow \text{---} \\ \leftarrow \text{---} \\ \leftarrow \text{---} \end{array} \quad \epsilon_{12}, \epsilon_{21} \quad \begin{array}{c} \text{---} \rightarrow \\ \text{---} \rightarrow \\ \text{---} \rightarrow \\ \leftarrow \text{---} \\ \leftarrow \text{---} \\ \leftarrow \text{---} \end{array}$$

- elastic shell bending-curvature tensor, there is a coordinate system in which

$$K^e \approx \begin{pmatrix} \kappa_{11} & \kappa_{12} & 0 \\ \kappa_{21} & \kappa_{22} & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \kappa_{11}, \kappa_{22} \quad \begin{array}{c} \curvearrowright \\ \curvearrowright \\ \curvearrowright \\ \curvearrowleft \\ \curvearrowleft \\ \curvearrowleft \end{array} \quad \kappa_{12}, \kappa_{21} \quad \begin{array}{c} \curvearrowright \\ \curvearrowright \\ \curvearrowright \\ \curvearrowleft \\ \curvearrowleft \\ \curvearrowleft \end{array}$$

# Discretization of the minimization problem for the shell

- discretize  $\omega$  using an appropriate triangulation  $\mathcal{T}$
- standard Lagrange FEs of order  $p_1$  for the deformation function:  $m_h \in V_{p_1}(\mathcal{T}, \mathbb{R}^3)$
- rotation function  $Q$  maps to the **nonlinear** manifold  $SO(3)$ 
  - **standard Lagrange FEs cannot be used for  $Q_h$**
  - **manifold-valued FE space  $V_{p_2}(\mathcal{T}, SO(3)) \subset H^1(\mathcal{T}, SO(3))$**



## Discretization of the minimization problem for the shell

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### Problem

*Find minimizing function pair of*

$$J(m_h, Q_h) = J_{\text{shell}}(m_h, Q_h) + \text{external forces}(m_h, Q_h)$$

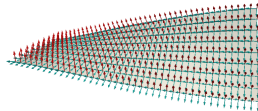
*in the admissible set*

$$\mathcal{A} = \left\{ (m_h, Q_h) \in V_{p_1}(\mathcal{T}, \mathbb{R}^3) \times V_{p_2}(\mathcal{T}, SO(3)) \mid \text{Dirichlet boundary conditions for } m_h \right\}.$$

## Construction of $V(\omega, \mathcal{M}) \subset H^1(\omega, \mathcal{M})$

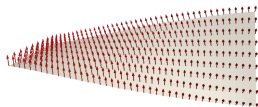
- problems with **nonlinear manifolds**
  - shell models with microrotation  $Q = (d_1|d_2|d_3)$ , e.g., Cosserat shell

configuration is  $(m, Q) \in (\mathbb{R}^3, \mathbf{SO}(3))$



- shell models with one director  $d$ , e.g., Reissner-Mindlin shell

configuration is  $(m, d) \in (\mathbb{R}^3, \mathbf{S}^2)$



- spin of elementary particles  $\in \mathbf{SU}(2)$
- underlying gauge groups of Yang-Mills equations  $\mathbf{SU}(2), \mathbf{SU}(3), \dots$
- generalize Lagrange interpolation
  - using a **projection-based construction**
  - or construction using **geodesic distances**



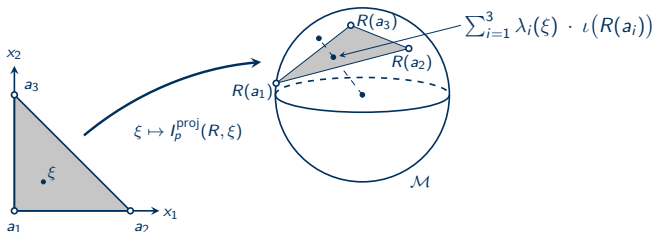
## Projection-based construction

- for  $T \in \mathcal{T}$  consider Lagrange nodes  $a_1, \dots, a_m$  and polynomials  $\lambda_1, \dots, \lambda_m : T \rightarrow \mathbb{R}$
- recall  $p$ -th order Lagrange polynomial interpolation of a function  $f : T \rightarrow \mathbb{R}$

$$f_h(\xi) = I_p^{\text{poly}}(f(a_1), \dots, f(a_m), \xi) := \sum_{i=1}^m \lambda_i(\xi) f(a_i)$$

- with the embedding  $\iota : \mathcal{M} \rightarrow \mathbb{R}^N$  and the closest point projection  $P : \mathbb{R}^N \rightarrow \mathcal{M}$

$$R_h(\xi) = I_p^{\text{proj}}(R(a_1), \dots, R(a_m), \xi) := P \left( \sum_{i=1}^m \lambda_i(\xi) \cdot \iota(R(a_i)) \right)$$



## Projection-based construction

- function evaluation ✓
- evaluate the derivatives  $\frac{\partial}{\partial x_\alpha}(R_h)|_\xi \in T_{R_h(\xi)}\mathcal{M}$

$$\begin{aligned}\frac{\partial}{\partial \bar{x}}(R_h)|_\xi &= \frac{\partial}{\partial \bar{x}} I_p^{\text{proj}}(R(a_1), \dots, R(a_m), \xi) \\ &= \underbrace{\nabla P \left( \sum_{i=1}^m \lambda_i(\xi) \cdot \iota(R(a_i)) \right)}_{\in \mathbb{R}^{\dim(\mathcal{M}) \times N}} \cdot \underbrace{\left( \sum_{i=1}^m \frac{\partial}{\partial \bar{x}} \lambda_i(\xi) \cdot \iota(R(a_i)) \right)}_{\in \mathbb{R}^{N \times \dim(T)}}\end{aligned}$$

Needed quantities for the projection-based construction:

- embedding  $\iota : \mathcal{M} \rightarrow \mathbb{R}^N$
- projection  $P : \mathbb{R}^N \rightarrow \mathcal{M}$  with derivative  $\nabla P \in (T\mathcal{M})^N$

## Projection-based construction for $SO(3)$

$$SO(3) := \left\{ Q \in \mathbb{R}^{3 \times 3} \mid Q^T = Q^{-1}, \det(Q) = 1 \right\}$$

- group of rotations in 3D
- representation using the coefficients  $\vec{q} = (q_1, q_2, q_3, q_4) \in \mathbb{R}^4$  of unit quaternions

$$\mathbb{H} := \left\{ \mathbf{q} = q_1 + q_2 \hat{\mathbf{i}} + q_3 \hat{\mathbf{j}} + q_4 \hat{\mathbf{k}} \mid q_i \in \mathbb{R}, \hat{\mathbf{i}}^2 = \hat{\mathbf{j}}^2 = \hat{\mathbf{k}}^2 = \hat{\mathbf{i}}\hat{\mathbf{j}}\hat{\mathbf{k}} = -1 \right\}$$

with  $\|\mathbf{q}\|^2 = q_1^2 + q_2^2 + q_3^2 + q_4^2 = 1$

- unit quaternions are homeomorphic to the unit sphere  $S^3$
- embedding

$$\iota_{SO(3) \rightarrow \mathbb{H}} \begin{pmatrix} q_1^2 + q_2^2 - q_3^2 - q_4^2 & 2q_2q_3 - 2q_1q_4 & 2q_2q_4 + 2q_1q_3 \\ 2q_2q_3 + 2q_1q_4 & q_1^2 - q_2^2 + q_3^2 - q_4^2 & 2q_3q_4 - 2q_1q_2 \\ 2q_2q_4 - 2q_1q_3 & 2q_3q_4 + 2q_1q_2 & q_1^2 - q_2^2 - q_3^2 + q_4^2 \end{pmatrix} = (q_1, q_2, q_3, q_4)$$

- 1 : 2 – correspondence, as  $\mathbf{q}$  and  $-\mathbf{q}$  result in same rotation
- multiplication of quaternions corresponds to the multiplication in  $SO(3)$

## Projection-based construction for $SO(3)$

$$SO(3) := \left\{ Q \in \mathbb{R}^{3 \times 3} \mid Q^T = Q^{-1}, \det(Q) = 1 \right\}$$

- projection  $P : \mathbb{R}^{3 \times 3} \rightarrow SO(3)$ ,  $P(A) = \text{polar}(A)$ , evaluate using Heron's method

1. initial iterate:  $X_1 = A$

2. iterate:

$$X_{i+1} = \frac{1}{2} (X_i + X_i^{-T})$$

3. when  $\|X_{i+1} - X_i\|_F < \text{tolerance}$ : return  $X_{i+1} = \text{polar}(A)$

- derivative of projection  $\nabla_A \text{polar}(A) \in \mathbb{R}^{3 \times 3 \times 3 \times 3}$  iteratively as well

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1. initial iterates:  $X_1 = A$ ,  $(\nabla X)_1 = \mathbf{1}_{3 \times 3 \times 3 \times 3}$ , with

2. iterate:

$$X_{i+1} = \frac{1}{2} (X_i + X_i^{-T})$$

$$(\nabla X)_{i+1} = \frac{1}{2} ((\nabla X)_i - X_i^{-T} (\nabla X^T)_i X_i^{-T})$$

3. when  $\|X_{i+1} - X_i\|_F < \text{tolerance}$ : return  $X_{i+1} = \text{polar}(A)$ ,  $(\nabla X)_{i+1} = \nabla_A \text{polar}(A)$

- derivative of projection  $\nabla_A \text{polar}(A) \in \mathbb{R}^{3 \times 3 \times 3 \times 3}$  iteratively as well

# Projection-based construction for $SO(3)$ with quaternions

- evaluation of the function

$$q_h(\xi) = \iota_{SO(3) \rightarrow \mathbb{H}} \left( \text{polar} \left( \sum_{i=1}^m \lambda_i(\xi) \cdot R(a_i) \right) \right)$$

- evaluation of the derivative

$$\frac{\partial}{\partial \bar{x}}(q_h)|_{\xi} = \underbrace{\nabla \iota_{SO(3) \rightarrow \mathbb{H}}(R(a_i))}_{\in \mathbb{R}^{4 \times (3 \times 3)}} \cdot \underbrace{\nabla_A \text{polar} \left( \sum_{i=1}^m \lambda_i(\xi) \cdot R(a_i) \right)}_{\in \mathbb{R}^{3 \times 3 \times 3 \times 3}} \cdot \underbrace{\left( \sum_{i=1}^m \frac{\partial}{\partial \bar{x}} \lambda_i(\xi) \cdot R(a_i) \right)}_{\in \mathbb{R}^{(3 \times 3) \times \dim(T)}}$$

## Projection-based construction for $SU(2)$

$$SU(2) := \left\{ A \in \mathbb{C}^{2 \times 2} \mid A^H = A^{-1}, \det(A) = 1 \right\}$$

- group of complex rotations in 2D
- three-dimensional, nonlinear manifold
- $SU(2)$  homeomorphic to unit quaternions,  $SU(2) =$

$$\left\{ A = \left( q_1 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + q_2 \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} + q_3 \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} + q_4 \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right) \mid q_i \in \mathbb{R}, q_1^2 + q_2^2 + q_3^2 + q_4^2 = 1 \right\}$$

- embedding  $\iota_{SU(2) \rightarrow \mathbb{H}}(A) = (q_1, q_2, q_3, q_4) = \vec{q}$
- projection  $P : \mathbb{H} \rightarrow SU(2), P(\vec{q}) = \frac{\vec{q}}{\|\vec{q}\|}$
- derivative of projection  $\nabla_{\vec{q}} P(\vec{q}) \in \mathbb{R}^{4 \times 4}$

## Construction using geodesic distances

- recall  $p$ -th order Lagrange polynomial interpolation of a function  $f : T \rightarrow \mathbb{R}$

$$f_h(\xi) = I_p^{\text{poly}}(f(a_1), \dots, f(a_m), \xi) := \sum_{i=1}^m \lambda_i(\xi) f(a_i) \quad \text{is equivalent to}$$

$$f_h(\xi) = \arg \min_{x \in \mathbb{R}} \sum_{i=1}^m \lambda_i(\xi) |f(a_i) - x|^2$$

- using an intrinsic distance on  $\mathcal{M}$ , define geodesic interpolation of  $R : T \rightarrow \mathcal{M}$

$$R_h(\xi) = I_p^{\text{geo}}(R(a_1), \dots, R(a_m), \xi) := \arg \min_{M \in \mathcal{M}} \sum_{i=1}^m \lambda_i(\xi) \text{dist}(R(a_i), M)^2$$



## Construction using geodesic distances

- recall  $p$ -th order Lagrange polynomial interpolation of a function  $f : T \rightarrow \mathbb{R}$

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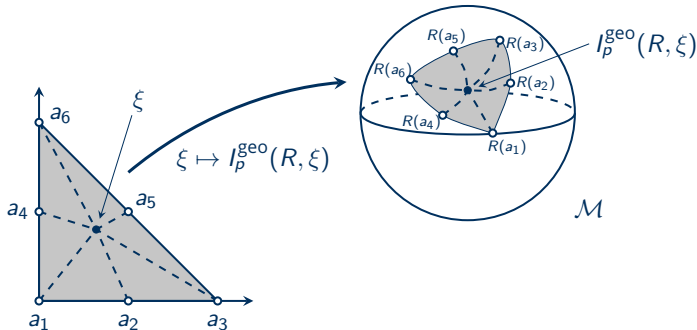
$$R_h(\xi) = I_p^{\text{geo}}(R(a_1), \dots, R(a_m), \xi) := \arg \min_{M \in \mathcal{M}} \sum_{i=1}^m \lambda_i(\xi) \text{dist}(R(a_i), M)^2$$

## Construction using geodesic distances

- solve

$$R_h(\xi) = \arg \min_{M \in \mathcal{M}} \sum_{i=1}^m \lambda_i(v) \operatorname{dist}(R(a_i), M)^2 =: \arg \min_{M \in \mathcal{M}} f(M)$$

- $f : \mathcal{M} \rightarrow \mathbb{R}$  is convex, can be solved by Newton-type method



## Riemannian Newton-type method for $f : \mathcal{M} \rightarrow \mathbb{R}$

Given an iterate  $M_k \in \mathcal{M}$  and a retraction  $R_{M_k} : T_{M_k}\mathcal{M} \rightarrow \mathcal{M}$

1. approximate  $f$  around  $M_k$  using a quadratic model

$$q_k : T_{M_k}\mathcal{M} \rightarrow \mathbb{R}$$

$$q_k(s) = f(M_k) + \langle \text{grad}_M f|_{M_k}, s \rangle + \frac{1}{2} \langle \text{Hess}_M f|_{M_k} [s], s \rangle$$

where  $\text{grad}_M \in T_M\mathcal{M}$  and  $\text{Hess}_M[s] \in T_M\mathcal{M}$

2. minimize  $q_k$

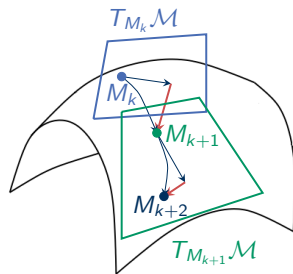
$$s_k = \arg \min_{s \in T_{M_k}\mathcal{M}} q_k(s)$$

i.e. solve  $\text{Hess}_M f|_{M_k} s_k = -\text{grad}_M f|_{M_k}$

3. set  $M_{k+1} = R_{M_k}(s_k)$

4. return  $M_{k+1}$  once  $\|s_k\| < \text{tol}$

Here:  $\text{grad}_M f = \sum_{i=1}^m \lambda_i(v) \frac{\partial}{\partial M} \text{dist}(R(a_i), M)^2$  and  $\text{Hess}_M f = \sum_{i=1}^m \lambda_i(v) \left(\frac{\partial}{\partial M}\right)^2 \text{dist}(R(a_i), M)^2$



## Evaluation of the derivatives $\frac{\partial}{\partial \vec{x}}(R_h)|_\xi$

- denote

$$f_{\vec{R}, \vec{w}}(M) = \sum_{i=1}^m w_i \text{dist}(R_i, M)^2, \quad f_{\vec{R}, \vec{w}} : \mathcal{M} \rightarrow \mathbb{R},$$

where  $\vec{R} := (R_1, \dots, R_m)$ ,  $\vec{w} := (w_1, \dots, w_m)$

- then for  $\vec{R}_a := (R(a_1), \dots, R(a_m))$ ,  $\vec{\lambda}_\xi := (\lambda_1(\xi), \dots, \lambda_m(\xi))$

$$R_h(\xi) = \arg \min_{M \in \mathcal{M}} f_{\vec{R}_a, \vec{\lambda}_\xi}(M), \quad \text{and thus} \quad \frac{\partial}{\partial M} f_{\vec{R}_a, \vec{\lambda}_\xi}(R_h(\xi)) = \vec{0} \in T_M \mathcal{M}$$

- define the function  $F : \mathcal{M}^m \times \mathbb{R}^m \times \mathcal{M} \rightarrow T\mathcal{M}$  as

$$F(\vec{R}, \vec{w}, M) := \frac{\partial}{\partial M} f_{\vec{R}, \vec{w}}(M), \quad \text{so} \quad F(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi)) = \vec{0} \in T_M \mathcal{M}$$

[Sander. (2012). Geodesic finite elements on simplicial grids. Int. J. Numer. Meth. Engineering 92.]

## Evaluation of the derivatives $\frac{\partial}{\partial \vec{x}}(R_h)|_\xi$

- total derivative  $\frac{d}{d\vec{x}}F$  is

$$\frac{d}{d\vec{x}}F(\vec{R}, \vec{w}, M) = \frac{\partial}{\partial \vec{R}}F(\vec{R}, \vec{w}, M) \cdot \overbrace{\frac{\partial}{\partial \vec{x}}\vec{R}}^{=0} + \frac{\partial}{\partial \vec{w}}F(\vec{R}, \vec{w}, M) \cdot \frac{\partial}{\partial \vec{x}}\vec{w} + \frac{\partial}{\partial M}F(\vec{R}, \vec{w}, M) \cdot \frac{\partial}{\partial \vec{x}}M$$

- $F(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi)) = \vec{0}$  for all  $\xi$ , so

$$\frac{d}{d\vec{x}}F|_{(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi))} = \vec{0} \in \mathbb{R}^{\dim(\mathcal{M}) \times \dim(\mathcal{T})}$$

$$\Leftrightarrow \underbrace{\frac{\partial}{\partial \vec{w}}F|_{(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi))}}_{\in \mathbb{R}^{\dim(\mathcal{M}) \times m}} \cdot \underbrace{\frac{\partial}{\partial \vec{x}}\vec{\lambda}_\xi}_{\in \mathbb{R}^{m \times \dim(\mathcal{T})}} + \underbrace{\frac{\partial}{\partial M}F|_{(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi))}}_{\in \mathbb{R}^{\dim(\mathcal{M}) \times \dim(\mathcal{M})}, \text{ invertible}} \cdot \underbrace{\frac{\partial}{\partial \vec{x}}(R_h)|_\xi}_{\in \mathbb{R}^{\dim(\mathcal{M}) \times \dim(\mathcal{T})}} = \vec{0}$$

- solve this linear system for  $\frac{\partial}{\partial \vec{x}}(R_h)|_\xi$

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## Evaluation of the derivatives $\frac{\partial}{\partial \vec{x}}(R_h)|_\xi$

$$\underbrace{\frac{\partial}{\partial \vec{w}} F|_{(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi))}}_{\text{"} \in \mathbb{R}^{\dim(\mathcal{M}) \times m} \text{"}} \cdot \underbrace{\frac{\partial}{\partial \vec{x}} \vec{\lambda}_\xi}_{\in \mathbb{R}^{m \times \dim(T)}} + \underbrace{\frac{\partial}{\partial M} F|_{(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi))}}_{\text{"} \in \mathbb{R}^{\dim(\mathcal{M}) \times \dim(\mathcal{M})} \text{"}, \text{invertible}} \cdot \underbrace{\frac{\partial}{\partial \vec{x}}(R_h)|_\xi}_{\text{"} \in \mathbb{R}^{\dim(\mathcal{M}) \times \dim(T)} \text{"}} = \vec{0}$$

$$\frac{\partial}{\partial \vec{w}} F|_{(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi))} = \frac{\partial}{\partial \vec{w}} \frac{\partial}{\partial M} f_{\vec{R}_a, \vec{\lambda}_\xi}(R_h(\xi)) = \left[ \frac{\partial}{\partial M} \text{dist}(R(a_i), R_h(\xi))^2 \right]_{i=1, \dots, m}$$

$$\frac{\partial}{\partial M} F|_{(\vec{R}_a, \vec{\lambda}_\xi, R_h(\xi))} = \left( \frac{\partial}{\partial M} \right)^2 f_{\vec{R}_a, \vec{\lambda}_\xi}(R_h(\xi)) = \sum_{i=1}^m \lambda_i(\xi) \left( \frac{\partial}{\partial M} \right)^2 \text{dist}(R(a_i), R_h(\xi))^2$$

Needed quantities for the construction using geodesic distances:

- intrinsic distance function on the manifold  $\text{dist}_{\mathcal{M}}(\cdot, \cdot)$
- first and second covariant derivative  $\frac{\partial}{\partial M} \text{dist}_{\mathcal{M}}(\cdot, M)^2, \left( \frac{\partial}{\partial M} \right)^2 \text{dist}_{\mathcal{M}}(\cdot, M)^2$
- retraction  $R_M : T_M \mathcal{M} \rightarrow \mathcal{M}$

[Sander. (2012). Geodesic finite elements on simplicial grids. Int. J. Numer. Meth. Engineering 92.]

## Geodesic distances for $SO(3)$

- intrinsic distance  $\text{dist}_{SO(3)}(A, B) = \|\log(A^T B)\|_F$ , with matrix logarithm
- using quaternions: distance of two rotations  $\mathbf{q}$  and  $\mathbf{p}$  is

$$\text{dist}_{SO(3)}(\mathbf{q}, \mathbf{p}) = \begin{cases} 2 \arccos(\langle \vec{q}, \vec{p} \rangle), & \text{if } 0 \leq 2 \arccos(\langle \vec{q}, \vec{p} \rangle) \leq \pi \\ 2\pi - 2 \arccos(\langle \vec{q}, \vec{p} \rangle), & \text{if } \pi < 2 \arccos(\langle \vec{q}, \vec{p} \rangle) \leq 2\pi \end{cases}$$

- maximal distance is  $\pi$ , realized for  $\langle \vec{q}, \vec{p} \rangle = 0$ , i.e. rotations that are "180° apart"
- covariant derivatives, with  $P_{T_{\vec{p}}SO(3)} :=$  projection to  $T_{\vec{p}}SO(3)$

$$\frac{\partial}{\partial \vec{p}} \text{dist}_{SO(3)}(\mathbf{q}, \mathbf{p})^2 = P_{T_{\vec{p}}SO(3)} \left( \frac{\mp 8 \arccos(\langle \vec{q}, \vec{p} \rangle)}{\sqrt{1 - (\langle \vec{q}, \vec{p} \rangle)^2}} \cdot \vec{q} \right) = \frac{\mp 8 \arccos(\langle \vec{q}, \vec{p} \rangle)}{\sqrt{1 - (\langle \vec{q}, \vec{p} \rangle)^2}} \cdot (\vec{q} - \langle \vec{q}, \vec{p} \rangle \vec{p}) \in T_{\vec{p}}SO(3)$$

- second covariant derivative  $(\frac{\partial}{\partial \vec{p}})^2 \text{dist}_{SO(3)}(\mathbf{q}, \mathbf{p})^2 \in \mathbb{R}^{4 \times 4}$

[Sander. (2012). Geodesic finite elements on simplicial grids. Int. J. Numer. Meth. Engineering 92.]

## Geodesic distances for $SO(3)$

- intrinsic distance  $\text{dist}_{SO(3)}(A, B) = \|\log(A^T B)\|_F$ , with matrix logarithm
- using quaternions: distance of two rotations  $\mathbf{q}$  and  $\mathbf{p}$  is

$$\text{dist}_{SO(3)}(\mathbf{q}, \mathbf{p}) = \begin{cases} 2 \arccos(\langle \vec{q}, \vec{p} \rangle), & \text{if } 0 \leq 2 \arccos(\langle \vec{q}, \vec{p} \rangle) \leq \pi \\ 2\pi - 2 \arccos(\langle \vec{q}, \vec{p} \rangle), & \text{if } \pi < 2 \arccos(\langle \vec{q}, \vec{p} \rangle) \leq 2\pi \end{cases}$$

- maximal distance is  $\pi$ , realized for  $\langle \vec{q}, \vec{p} \rangle = 0$ , i.e. rotations that are "180° apart"
- retraction = exponential map on  $S^3$
- for  $v \in T_{\mathbf{q}}S^3$ , given as  $\vec{v}$  in quaternion coordinates

$$R_{\mathbf{q}}v = \text{Exp}_{\mathbf{q}} v = \cos(\|\vec{v}\|)\vec{q} + \sin(\|\vec{v}\|)\frac{\vec{v}}{\|\vec{v}\|}$$

[Sander. (2012). Geodesic finite elements on simplicial grids. Int. J. Numer. Meth. Engineering 92.]



## Geodesic distances for $SU(2)$

- representation using quaternions  $\mathbf{q} = q_1 + q_2\hat{\mathbf{i}} + q_3\hat{\mathbf{j}} + q_4\hat{\mathbf{k}}$
- distance of two elements  $\mathbf{q}$  and  $\mathbf{p}$  is

$$\text{dist}_{SU(2)}(\mathbf{q}, \mathbf{p}) = \text{acos}(\langle \vec{q}, \vec{p} \rangle)$$

- maximal distance is  $\pi$ , realized for two antipodal quaternions, i.e.,  $\mathbf{q}$  and  $-\mathbf{q}$
- covariant derivatives, with  $P_{T_{\vec{p}}SU(2)} :=$  projection to  $T_{\vec{p}}SU(2)$

$$\frac{\partial}{\partial \vec{p}} \text{dist}_{SU(2)}(\mathbf{q}, \mathbf{p})^2 = P_{T_{\vec{p}}SU(2)} \left( \frac{-2 \text{acos}(\langle \vec{q}, \vec{p} \rangle)}{\sqrt{1 - (\langle \vec{q}, \vec{p} \rangle)^2}} \cdot \vec{q} \right) = \frac{-2 \text{acos}(\langle \vec{q}, \vec{p} \rangle)}{\sqrt{1 - (\langle \vec{q}, \vec{p} \rangle)^2}} \cdot (\vec{q} - \langle \vec{q}, \vec{p} \rangle \vec{p}) \in T_{\vec{p}}SU(2)$$

- second covariant derivative  $(\frac{\partial}{\partial \vec{p}})^2 \text{dist}_{SO(3)}(\mathbf{q}, \mathbf{p})^2 \in \mathbb{R}^{4 \times 4}$
- retraction = exponential map on  $S^3$
- for  $v \in T_{\mathbf{q}}S^3$ , given as  $\vec{v}$  in quaternion coordinates

$$R_{\mathbf{q}}v = \text{Exp}_{\mathbf{q}} v = \cos(\|\vec{v}\|)\vec{q} + \frac{\sin(\|\vec{v}\|)}{\|\vec{v}\|} \vec{v}$$

# Global Finite Element Space

- Projection-based construction:

$$I_p^{\text{proj}}(R(a_1), \dots, R(a_m), \xi) := P \left( \sum_{i=1}^m \lambda_i(\xi) \cdot \iota(R(a_i)) \right)$$

- Construction using geodesic distances

$$I_p^{\text{geo}}(R(a_1), \dots, R(a_m), \xi) := \arg \min_{M \in \mathcal{M}} \sum_{i=1}^m \lambda_i(v) \text{dist}(R(a_i), M)^2$$

→ Finite Element space on whole triangulation  $\mathcal{T}$  of  $\omega$

$$V_p^{\text{proj/geo}}(\mathcal{T}, \mathcal{M}) := \left\{ R_h \in C(\omega) \mid \forall T \in \mathcal{T} \exists (R(a_1), \dots, R(a_m)) \in \mathcal{M}^m \right. \\ \left. \text{such that } \forall \xi \in T : (R_h)|_T(\xi) = I_p^{\text{proj/geo}}(R(a_i), \xi) \right\}$$

## Properties of $V_p^{\text{proj/geo}}(\mathcal{T}, \mathcal{M})$

Recall properties of the Lagrange finite element space  $V_p^{\text{poly}}(\mathcal{T}, \mathbb{R})$ :

- nestedness: for orders  $p_1 \leq p_2$  it holds

$$V_{p_1}^{\text{poly}}(\mathcal{T}, \mathbb{R}) \subset V_{p_2}^{\text{poly}}(\mathcal{T}, \mathbb{R})$$

- $I_p^{\text{poly}}(f(a_1), \dots, f(a_n), \xi)$  is differentiable with respect to all arguments
- $V_p^{\text{poly}}(\mathcal{T}, \mathbb{R})$  is a closed subset of  $H^1(\omega, \mathbb{R})$
- interpolation error between a function  $f \in W^{(p+1),2}(\omega, \mathbb{R})$  and its approximation  $f_h$  on a grid  $\mathcal{T}$  with grid size  $h$  with FEs of order  $p$

$$\|f - f_h\|_{H^k(\omega, \mathbb{R})} \leq c(p, \omega, \mathcal{T}) |h|^{p+1-k} |f|_{W^{(p+1),2}(\omega, \mathbb{R})}$$

## Properties of $V_p^{\text{proj/geo}}(\mathcal{T}, \mathcal{M})$

- nestedness: for orders  $p_1 \leq p_2$  it holds

$$V_{p_1}^{\text{proj}}(\mathcal{T}, \mathcal{M}) \subset V_{p_2}^{\text{proj}}(\mathcal{T}, \mathcal{M})$$

- no nestedness for  $V_p^{\text{geo}}(\mathcal{T}, \mathcal{M})$
- $I_p^{\text{proj/geo}}(R(a_1), \dots, R(a_n), \xi)$  are differentiable with respect to all arguments
- $V_p^{\text{proj/geo}}(\mathcal{T}, \mathcal{M})$  are both closed subsets of  $H^1(\omega, \mathcal{M})$
- interpolation error depends optimally on the grid size as well

[N., Sander, Neff, Birsan. A Cosserat shell model with general reference configuration and its discretization with GFE. In preparation.]  
[Grohs, Hardering, Sander. (2014). Optimal A Priori Discretization Error Bounds for Geodesic Finite Elements. Found Comput Math 15.]  
[Hardering. (2014).  $L^2$ -discretization error bounds for maps into Riemannian Manifolds. Numerische Mathematik 139.]

# Cosserat shell: Minimization problem in the FE space

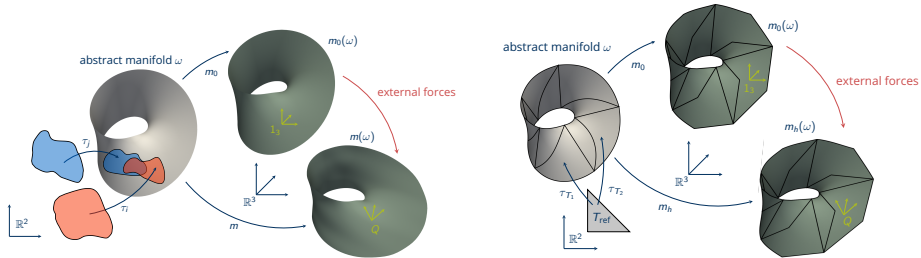
## Problem

Find minimizing function pair of

$$J(m_h, Q_h) = J_{\text{shell}}(m_h, Q_h) + \text{external forces}(m_h, Q_h)$$

in the admissible set

$$\mathcal{A} = \left\{ (m_h, Q_h) \in V_{p_1}(\mathcal{T}, \mathbb{R}^3) \times V_{p_2}(\mathcal{T}, \text{SO}(3)) \mid \text{Dirichlet boundary conditions for } m_h \right\}.$$



## Cosserat shell: Minimization problem in the FE space

### Problem

Find minimizing function pair of

$$J(m_h, Q_h) = \int_{\omega} W(E_h^e, K_h^e) d\omega + \text{external forces}(m_h, Q_h)$$

in the admissible set

$$\mathcal{A} = \left\{ (m_h, Q_h) \in V_{p_1}(\mathcal{T}, \mathbb{R}^3) \times V_{p_2}(\mathcal{T}, SO(3)) \mid \text{Dirichlet boundary conditions for } m_h \right\}.$$

- elastic shell strain tensor  $E_h^e := \sum_{\alpha=1}^2 Q_h^T \frac{\partial m_h}{\partial x_\alpha} \otimes \mathbf{a}^\alpha - \mathbf{a}$
- elastic shell bending-curvature tensor  $K_h^e := \sum_{\alpha=1}^2 \text{axl} \left( Q_h^T \frac{\partial Q_h}{\partial x_\alpha} \right) \otimes \mathbf{a}^\alpha$

# Cosserat shell: Minimization problem in the FE space

## Problem

Find minimizing function pair of

$$J(m_h, Q_h) = \int_{\omega} W(E_h^e, K_h^e) d\omega + \text{external forces}(m_h, Q_h)$$

in the admissible set

$$\mathcal{A} = \left\{ (m_h, Q_h) \in V_{p_1}(\mathcal{T}, \mathbb{R}^3) \times V_{p_2}(\mathcal{T}, SO(3)) \mid \text{Dirichlet boundary conditions for } m_h \right\}.$$

## Theorem (Existence of minimizers)

*Under reasonable assumptions on the functional  $W$ , on the external forces and on the stress-free configuration  $m_0$ , this minimization problem has a minimizer in  $\mathcal{A}$ .*

*[N., Sander, Neff, Bîrsan. A Cosserat shell model with general reference configuration and its discretization with GFE. In preparation.]*

# Cosserat shell: Algebraic minimization problem

## Problem

Minimize

$$J(\vec{m}_h, \vec{Q}_h) = J(\vec{m}_h, \vec{Q}_h) + \text{external forces}(m_h, Q_h)$$

in the admissible set

$$\vec{A}_h = \left\{ (\vec{m}_h, \vec{Q}_h) \text{ coefficients for } (m_h, Q_h) \mid \text{Dirichlet boundary conditions for } \vec{m}_h \right\}.$$

- $\vec{A}_h \subset \mathbb{R}^{3N} \times (\text{SO}(3))^N$ ,  $N$  total number of degrees of freedom in triangulation  $\mathcal{T}$
- $\vec{m}_h$  is represented with  $3N$  coefficients
- $\vec{Q}_h$  is represented with  $4N$  coefficients (quaternions)



## Recall: Riemannian Newton-type method for $f : \mathcal{M} \rightarrow \mathbb{R}$

Given an iterate  $M_k \in \mathcal{M}$  and a retraction  $R_{M_k} : T_{M_k}\mathcal{M} \rightarrow \mathcal{M}$

1. approximate  $f$  around  $M_k$  using a quadratic model

$$q_k : T_{M_k}\mathcal{M} \rightarrow \mathbb{R}$$

$$q_k(s) = f(M_k) + \langle \text{grad}_M f|_{M_k}, s \rangle + \frac{1}{2} \langle \text{Hess}_M f|_{M_k}[s], s \rangle$$

where  $\text{grad}_M \in T_M\mathcal{M}$  and  $\text{Hess}_M[s] \in T_M\mathcal{M}$

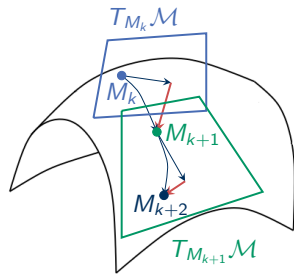
2. minimize  $q_k$

$$s_k = \arg \min_{s \in T_{M_k}\mathcal{M}} q_k(s)$$

$$\text{i.e. solve } \text{Hess}_M f|_{M_k} s_k = -\text{grad}_M f|_{M_k}$$

3. set  $M_{k+1} = R_{M_k}(s_k)$

4. return  $M_{k+1}$  once  $\|s_k\| < \text{tol}$



## Riemannian Trust-Region method for $f : \mathcal{M} \rightarrow \mathbb{R}$

Given an iterate  $M_k \in \mathcal{M}$ , a retraction  $R_{M_k} : T_{M_k}\mathcal{M} \rightarrow \mathcal{M}$  and a Trust-Region radius  $r_k$ :

1. approximate  $f$  around  $M_k$  using a quadratic model

$$q_k : T_{M_k}\mathcal{M} \rightarrow \mathbb{R}$$

$$q_k(s) = f(M_k) + \langle \text{grad}_M f|_{M_k}, s \rangle + \frac{1}{2} \langle \text{Hess}_M f|_{M_k} [s], s \rangle$$

where  $\text{grad}_M \in T_M\mathcal{M}$  and  $\text{Hess}_M[s] \in T_M\mathcal{M}$

2. minimize  $q_k$  inside the trust region

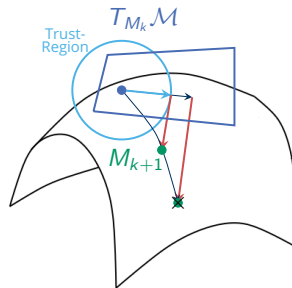
$$s_k = \arg \min_{s \in T_{M_k}\mathcal{M}, \|s\| \leq r_k} q_k(s)$$

i.e. solve  $\text{Hess}_M f|_{M_k} s_k = -\text{grad}_M f|_{M_k}, \|s_k\| \leq r_k$

3. if  $f(M_k + s_k) \geq f(M_k)$ : repeat using smaller radius  $r_k$

if  $f(M_k + s_k) < f(M_k)$ : set  $M_{k+1} = R_{M_k}(s_k)$ , increase  $r_k$  according to quality of  $q_k$

4. return  $M_{k+1}$  once  $\|s_k\| < \text{tol}$



[Absil, Mahony, & Sepulchre. (2008). Optimization Algorithms on Matrix Manifolds. Princeton, New Jersey.]

# Riemannian Trust-Region method for the Cosserat shell

## Problem

Minimize

$$J(\vec{m}_h, \vec{Q}_h) = J(\vec{m}_h, \vec{Q}_h) + \text{external forces}(m_h, Q_h)$$

in the admissible set

$$\vec{A}_h = \left\{ (\vec{m}_h, \vec{Q}_h) \text{ coefficients for } (m_h, Q_h) \mid \text{Dirichlet boundary conditions for } \vec{m}_h \right\}.$$

1. at a given iterate  $(\vec{m}_h, \vec{Q}_h)_k$ , calculate  $\text{grad}_{\text{coefficients}} J(\vec{m}_h, \vec{Q}_h)$  and  $\text{Hess}_{\text{coefficients}} J(\vec{m}_h, \vec{Q}_h)$  using:
  - algorithmic differentiation
  - automatic differentiation (e.g., ADOL-C or CoDiPack)
2. solve constraint inner problem using e.g., Monotone-Multigrid-Method

$$\text{Hess } J(\vec{m}_h, \vec{Q}_h) \vec{s}_k = -\text{grad } J(\vec{m}_h, \vec{Q}_h), \quad \|\vec{s}_k\| \leq r_k$$

[Youett. (2015). Dynamic large deformation contact problems and applications in virtual medicine.]

## Simulations: Klein bottle under load

- all simulations implemented in C++, using the DUNE libraries
- discretization with 3072 triangular elements, FEs of second order
- fix Klein bottle with dirichlet boundary conditions on back side, volume load in direction of handle
- 73 Trust-Region steps

Time for the problem setup	9 h	$\approx 7.4$ min per step
Time to solve	2 h	$\approx 1.7$ min per step
Total time	11 h	$\approx 9.1$ min per step

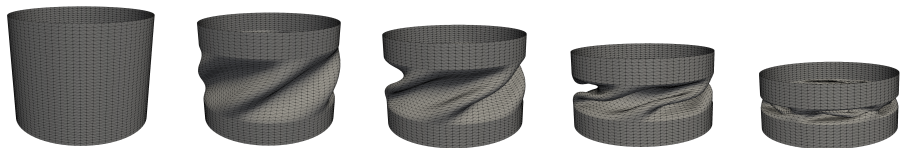
[Sander. (2020). DUNE - The Distributed and Unified Numerics Environment. Springer.]

[N., Bîrsan, Neff, Sander. Geometric Finite Elements for a geometrically nonlinear Cosserat shell model with nonplanar stress-free configuration. In preparation.]



## Simulations: Clamped cylinder with torsional displacement

- discretization with 6400 triangular elements, FEs of second order
  - simulation on the very right: 173 Trust-Region steps
- |                                  |         |                             |
|----------------------------------|---------|-----------------------------|
| Total time for the problem setup | 29.84 h | $\approx 10.4$ min per step |
| Total time to solve              | 3.15 h  | $\approx 1$ min per step    |
| Total time                       | 32.99 h | $\approx 11.4$ min per step |



$$\alpha = 0$$

$$\alpha = \frac{2}{32} \cdot 2\pi$$

$$\alpha = \frac{3}{32} \cdot 2\pi$$

$$\alpha = \frac{4}{32} \cdot 2\pi$$

$$\alpha = 0.14 \cdot 2\pi$$

[N., Bîrsan, Neff, Sander. Geometric Finite Elements for a geometrically nonlinear Cosserat shell model with nonplanar stress-free configuration. In preparation.]

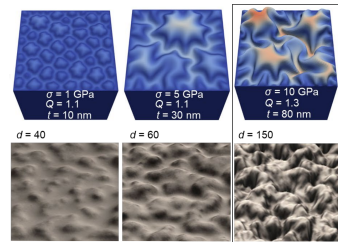
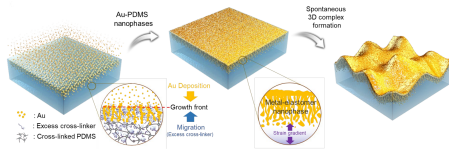
## Simulations: Cosserat shell coupled with 3D elastic material

- quadratic elements in the shell:  $48 \times 48 = 2304$ , FEs of second order
- deformation function 389 154 DOFs
- simulation on the very right: 607 Trust-Region steps

Total time for the problem setup 35.97 h  $\approx$  3.5 min per step all tasks: 84 min  
(parallel on 24 tasks)

Total time to solve 73.76 h  $\approx$  7.3 min per step

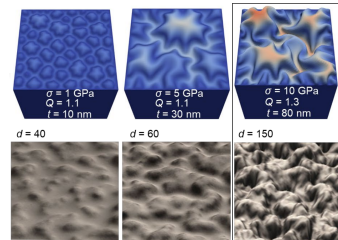
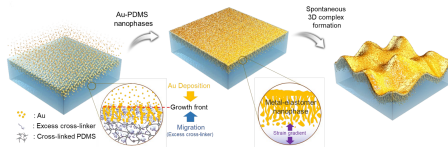
Total time 115.89 h  $\approx$  11.5 min per step



[Chae, Choi, N., Cho, Besford, Knapp, Masushko, Zabala, Pylypovskyi, Avdoshenko, Sander, Makarov, Fery. (2022). Three-dimensional complex of reticular metal-elastomer nanophases. Submitted.]

# Summary

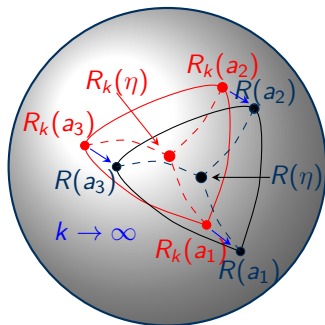
- generalization of Lagrange finite elements suitable for manifold-valued functions
- projection-based construction and construction using geodesic distances
- explicit constructions for  $SO(3)$  and  $SU(2)$
- resulting finite element spaces have similar properties as Lagrange FE spaces



## A: Properties of $V^{\text{proj/geo}}(\mathcal{T})$ : Complete subset of $H^1(\omega, \mathcal{M})$

### Theorem

Let  $\mathcal{M}$  be a complete metric space, and let  $(R_k)$  be a sequence of geodesic finite element functions with  $R_k : T \rightarrow \mathcal{M}$  for all  $k \in \mathbb{N}$ , converging pointwise to some limit function  $R : T \rightarrow \mathcal{M}$ . Then the limit function is also a geodesic finite element function.





## A: Properties of $V^{\text{proj/geo}}(\mathcal{T})$ : Complete subset of $H^1(\omega, \mathcal{M})$

### Theorem

*Let  $\mathcal{M}$  be a complete metric space, and let  $(R_k)$  be a sequence of geodesic finite element functions with  $R_k : T \rightarrow \mathcal{M}$  for all  $k \in \mathbb{N}$ , converging pointwise to some limit function  $R : T \rightarrow \mathcal{M}$ . Then the limit function is also a geodesic finite element function.*

### Proof.

- suppose the limit function  $R^*$  is **not** a minimizer of the form

$$\arg \min_{R \in \text{SO}(3)} \sum_{i=1}^m \lambda_i(v) \text{dist}(Q(a_i), R)^2$$

- then there is another minimizer  $\tilde{R}$
- take a fraction of the difference  $e = R^* - \tilde{R}$
- with this we can create a contradiction

□

## B: The Cosserat shell functional in detail

$$J_{\text{shell}}(m, Q) = \int_{\omega} (W(E^e, K^e) \, d\omega = \int_{\omega} (W_{\text{memb}}(E^e, K^e) + W_{\text{bend}}(K^e)) \, d\omega$$

$$\begin{aligned} W_{\text{memb}}(E^e, K^e) &:= \left(h - K \frac{h^3}{12}\right) W_{\text{m}}(E^e) + \left(\frac{h^3}{12} - K \frac{h^5}{80}\right) W_{\text{m}}(E^e \mathbf{b} + \mathbf{c} K^e) \\ &\quad + \frac{h^3}{6} W_{\text{b}}(E^e, \mathbf{c} K^e \mathbf{b} - 2H \mathbf{c} K^e) + \frac{h^5}{80} W_{\text{mp}}((E^e \mathbf{b} + \mathbf{c} K^e) \mathbf{b}) \\ W_{\text{bend}}(K^e) &:= \left(h - K \frac{h^3}{12}\right) W_{\text{curv}}(K^e) + \left(\frac{h^3}{12} - K \frac{h^5}{80}\right) W_{\text{curv}}(K^e \mathbf{b}) + \frac{h^5}{80} W_{\text{curv}}(K^e \mathbf{b}^2) \end{aligned}$$

- $W_{\text{m}}, W_{\text{mp}}, W_{\text{curv}}$  quadratic forms bounding the norms of their arguments
- $W_{\text{b}}$  bilinear form, can be absorbed into  $W_{\text{m}}, W_{\text{mp}}, W_{\text{curv}}$
- $\mathbf{b} = -\sum_{\alpha} \frac{\partial n_0}{\partial x_{\alpha}} \otimes a^{\alpha}$  second fundamental tensor,  $\mathbf{c}$  alternating pseudo-tensor
- $K, H$  are Gauss and mean curvature
- $h$  is the shell thickness, if  $h$  is small enough, all prefactors are positive

## B: The Cosserat shell functional in detail

$$J_{\text{shell}}(m, Q) = \int_{\omega} (W(E^e, K^e) \, d\omega = \int_{\omega} (W_{\text{memb}}(E^e, K^e) + W_{\text{bend}}(K^e)) \, d\omega$$

$$\begin{aligned} W_{\text{memb}}(E^e, K^e) &:= \left(h - K \frac{h^3}{12}\right) W_{\text{m}}(E^e) + \left(\frac{h^3}{12} - K \frac{h^5}{80}\right) W_{\text{m}}(E^e \mathbf{b} + \mathbf{c} K^e) \\ &\quad + \frac{h^3}{6} W_{\text{b}}(E^e, \mathbf{c} K^e \mathbf{b} - 2H \mathbf{c} K^e) + \frac{h^5}{80} W_{\text{mp}}((E^e \mathbf{b} + \mathbf{c} K^e) \mathbf{b}) \\ W_{\text{bend}}(K^e) &:= \left(h - K \frac{h^3}{12}\right) W_{\text{curv}}(K^e) + \left(\frac{h^3}{12} - K \frac{h^5}{80}\right) W_{\text{curv}}(K^e \mathbf{b}) + \frac{h^5}{80} W_{\text{curv}}(K^e \mathbf{b}^2) \end{aligned}$$

### Theorem ( $J_{\text{shell}}$ )

If  $h$  is small enough, the initial configuration  $m_0$  is smooth enough and  $m$  fulfills Dirichlet boundary conditions, then

- $J_{\text{shell}}$  is coercive.
- $J_{\text{shell}}$  is bounded from below on  $\mathcal{A}$ .
- $J_{\text{shell}}$  is  $H^1(\omega, \mathbb{R}^3) \times H^1(\omega, \text{SO}(3))$ -weakly lower semi-continuous.

## B: The Cosserat shell functional in detail

Bilinear and quadratic forms:

- $W_b(S, T) = \mu \langle \text{sym}(S), \text{sym}(T) \rangle + \mu_c \langle \text{skew}(S), \text{skew}(T) \rangle + \frac{\lambda\mu}{\lambda+2\mu} \text{tr}(S) \cdot \text{tr}(T)$
- $W_m(S) = W_b(S, S)$
- $W_{mp}(S) = W_m(S) + \frac{\lambda^2}{2(\lambda+2\mu)} [\text{tr}(S)]^2$
- $W_{\text{curv}}(S) = \mu L_c^2 \left( b_1 \|\text{sym}(S)\|^2 + b_2 \|\text{skew}(S)\|^2 + (b_3 - \frac{b_1}{3}) [\text{tr}(S)]^2 \right)$

where

- $\mu, \lambda$  are the Lamé parameters
- $\mu_c \geq 0$  is the Cosserat couple modulus
- $L_c > 0$  represents an internal length which is characteristic for the material
- $b_1, b_2, b_3 > 0$  are dimensionless constitutive coefficients
- interesting/critical:  $h \rightarrow 0, \mu_c \rightarrow 0, L_c \rightarrow 0$