

Searching for neutrinoless double beta decay and nEXO

Thomas Brunner

<u>thomas.brunner@mcgill.ca</u> McGill University Seminar, Technical University Dresden June 30, 2022



McGill University in Montreal





Outline

- Motivation: searching for Majorana neutrinos
- Searching for $0\nu\beta\beta$ in a liquid xenon TPC
 - The EXO-200 detector
 - The nEXO detector
 - Towards the construction of nEXO
- New technologies: beyond the nEXO baseline



Neutrinos in the Standard Model

- The Standard Model has been extremely successful in describing particle physics experiments and even predicting the existence of particles.
- Neutrinos in the SM:
 - Fundamental Spin ½ particle
 - Are Leptons
 - Only interact via the weak force
 - Electrically neutral
 - Most abundant particles with mass in the universe, yet we do not even know their mass
 - 60 billion solar neutrinos penetrate us per cm² every second



Some of the Big Questions in Cosmology and Particle Physics



Figure: NASA

- 95% of the mass/energy density of the Universe is of as yet unknow composition
- What is Dark Energy?
- What is Dark Matter?
- Why is matter so abundant? (and dominant over anti-matter)?
- Why is gravity so weak?
- Why are neutrinos so light? (How light are neutrinos actually?)

Neutrinos may hold the key to answering some of these questions.

Neutrino mixing, mass, and 0vββ

In Quantum Mechanics there are 2 representations for our neutrinos if $m_v \neq 0$:

 $(\begin{matrix} \mathbf{v}_{e} \\ \mathbf{v}_{\mu} \\ \mathbf{v}_{\tau} \end{matrix}) = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \mathbf{v}_{m 1} \\ \mathbf{v}_{m 2} \\ \mathbf{v}_{m 3} \end{pmatrix}$

 $V_{m1}(t) = e^{-i(E_1t - p_1L)}V_{m1}$ $V_{m2}(t) = e^{-i(E_2t - p_2L)}V_{m2}$ $V_{m3}(t) = e^{-i(E_3t - p_3L)}V_{m3}$

"Weak interaction eigenstate" this is the state of definite flavor: interactions couple to this state "Mass eigenstate" this is the state of definite energy: propagation happens in this state E_i=m_ic² Evolve in time with *m_i* & *E*

- The elements of the MNSP matrix are determined in oscillation experiments.
- Oscillation experiments can only determine Δm_{ij}², the squared mass difference between two eigenstates.

Measuring Neutrino Masses



m of v_{e} from β endpoint

$$m_{\beta} = \sqrt{\sum_{i=1}^{3} |U_{ei}|^2 m_i}$$



- Direct measurement
- Upper limit: 0.8 eV [Nature 2022]
- KATRIN, Project8, ECHo, HOLMES

Observational Cosmology

$$\sum = \sum m_i$$



- Multi-parameter cosmological model
- Upper limit: ~0.11 0.54 eV*
- Planck satelite

*source: PDG 2020: Neutrinos in Cosmology

What we know about neutrinos



Spin ¹/₂ Fermion Mass spectrum



- Neutrinos are 6 orders of magnitude lighter than the next heavy particle.
- What determines the mass scale hierarchy of elementary particles?
- Is the Higgs mechanism responsible for neutrino mass?
- Perhaps neutrinos are very different from other fermions and are Majorana particle?

Quantum Nature of the Neutrino



Which way Nature chose to proceed is an open experimental question, although Majorana neutrinos are favored by theory.

The two descriptions are distinct and distinguishable only if $m_v \neq 0$.

How can we determine if $v = \overline{v}$?

The answer may be neutrinoless $\beta\beta$ decay

Double Beta Decay



Maria Goeppert Mayer



Ettore Majorana





Two neutrino double beta decay

 $^{136}_{54}Xe \rightarrow ^{136}_{56}Ba^{++} + 2e^{-} + 2\bar{\nu}_{e}$

1935 Maria Goeppert Mayer first proposed the idea of two neutrino double beta decay

1987 first direct observation in ⁸²Se by M. Moe

Neutrinoless double beta decay

 $^{136}_{54}Xe \rightarrow ^{136}_{56}Ba^{++} + 2e^{-}$

1937 Ettore Majorana proposed the theory of Majorana fermions

1939 Wendell Furry proposed neutrinoless double beta decay

Black Box Theorem

- "Black box" theorem*: Observation of 0vββ always implies **new physics**:
 - Majorana neutrinos
 - Lepton number violation
 - Help explain observed cosmic baryon asymmetry → leptogenesis



*J. Schechter, and J. W. F. Valle, Phys. Rev. D25, 2951 (1982)

Double Beta Decay



 $0v\beta\beta$ – Can only happen for Majorana neutrinos! $T_{1/2} > 10^{25-26}$ y!

14



Ovββ and Neutrino Mass



 $\langle m_{\beta\beta} \rangle = \left| \sum_{i=1}^{3} U_{ei}^{2} m_{i} e^{i\alpha_{i}} \right|$ Mixing matrix Effective Majorana mass is a coherent sum of neutrino mass eigenvalues, therefore cancellations are possible...

Three Caveats:

- Neutrino is a Majorana particle
- Light Majorana neutrino being the dominate decay mechanism
- Reliable calculation of matrix elements

Nuclear Matrix Element Situation

$$\left(T_{1/2}^{0\nu}\right)^{-1} = \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2} G^{0\nu} g_A^4 |M_{\nu}^{0\nu}|^2$$

- Matrix element calculation is very difficult, in particular for big nuclei, which most of the 0vββ candidates are.
- Recent theoretical progress has narrowed the difference between models, but significant spread remains, difficult to estimate uncertainty.
- As a result, a particular half life results in a spread of calculated $\langle m_{\beta\beta} \rangle$.



Progress in Nuclear Structure Theory

- Huge advances in nuclear theory
 - Quality and reach of *Ab initio* calculations
 - Refined chiral effective field theories and phenomenological calculations
- Hugh predictive power
 - Need to validate under extreme conditions (outskirts of the nuclear chart)
 - →Need of high-quality nuclear data (decay properties, masses, etc.)



H. Hergert, Frontiers in Physics 8 (2020) 379

Challenges of double- β decay experiments Sensitivity on $T_{1/2} \propto \varepsilon \cdot A \cdot \sqrt{\frac{M \cdot T}{b \cdot \Delta E}}$ detection efficiency $T_{1/2}^{0v} > 10^{25}$ years !! 3 \rightarrow Need: isotopic abundance Α ○ high target mass Μ active mass ○ high exposure ○ low background rate exposure good energy resolution background rate b Natural radiation decay rates ~10 decays/s A banana energy resolution ΔE A bicycle tire ~0.3 decays/s 1 l outdoor air ~1 decay/min 100 kg of 136 Xe (2v) ~1 decay/10 min Strength of liquid-Xe $\beta\beta$ detectors

0vββ decay ^{TU Dresden - Thomas}Ageⁿof universe >10,000 x rarer than $2\nu\beta\beta$ 1.4 x 10¹⁰ years



Courtesy G. Gratta

Year

Not all results are necessarily shown.

19

Double Beta Decay

First-order beta decay is forbidden energetically or by spin $\rightarrow \beta\beta$ is detectable			ββ-decay nuclei with Q > 2 MeV	Q (MeV)	Abund. (%)
Nuclear mass	$(A,Z) \rightarrow (A,Z+2) + 2e^{-} + 2\bar{\nu}_{e}$		48 Ca $ ightarrow$ 48 Ti	4.271	0.187
	even mass number N, Z odd forbidden $\beta\beta$ - $\beta\beta^+$ N, Z even Z-2 $Z-1$ Z $Z+1$ $Z+2$	GERDA, MJD, LEGEND	76 Ge $ ightarrow$ 76 Se	2.040	7.8
		CUPID CUORE, SNO+ EXO-200, nEXO, KamLAND ZEN, NEXT	$^{82} ext{Se} ightarrow ^{82} ext{Kr}$	2.995	9.2
			96 Zr $ ightarrow$ 96 Ru	3.350	2.8
			¹⁰⁰ Mo → ¹⁰⁰ Ru	3.034	9.7
			${}^{\tt 110}\rm Pd \rightarrow {}^{\tt 110}\rm Cd$	2.013	11.8
			$^{116}\text{Cd} ightarrow ^{116}\text{Sn}$	2.802	7.5
			124 Sn $ ightarrow$ 124 Te	2.228	5.8
			130 Te $ ightarrow$ 130 Xe	2.528	34.2
			136 Xe $ ightarrow$ 136 Ba	2.479	8.9
			150 Nd $ ightarrow$ 150 Sm	3.367	5.6
	Atomic number	TU Dresden - Thomas Brunner			20

• Second-order weak nuclear process

First-order beta decay is forbidden energetically or by spin ٠

35 2vββ nuclei found

EXO's search for $0\nu\beta\beta$ in ^{136}Xe with liquid Xe TPC

Segmented Anode



Liquid-Xe Time Projection Chamber (TPC)

- Xe is used both as the source and detection medium.
- LXe is continuously recirculated and purified.
- No long-lived cosmogenically activated Xe isotopes
- LXe TPC are well understood.
- Monolithic detector structure enables excellent background rejection capabilities.
- Multiparameter measurement from detection of scintillation light and ionization signal:
 - 1. Energy from combined scintillation/ionization
 - 2. Topology, e.g., single-site or multi-site
 - 3. Position distribution from 3D event reconstruction
 - 4. Particle identification from scintillation/ionization ratio

Searching for $0\nu\beta\beta$ in ^{136}Xe – a phased approach

EXO-200:

- EXO-200 first 100-kg class ββ experiment
- 175kg liquid-Xe TPC with ~80% Xe-136
- Located at the WIPP mine in NM, USA
- Decommissioned in Dec. 2018
- Analyze data from end-of-run calibration campaign
 → data will inform the detailed design of nEXO



https://www-project.slac.stanford.edu/exo/

nEXO:

- 5-ton liquid Xe TPC
- Enriched in Xe-136 at ~90%
- SNOLAB cryopit preferred location by collaboration



https://nexo.llnl.gov/

EXO-200 search for 0vββ - Detector

- EXO-200 was first 100-kg class ββ experiment
- Discovery of $2\nu\beta\beta$ in Xe-136 [PRL 107, 212501 (2011)]
- ~175kg Liquid Xenon (LXe) enriched at ~80% in ¹³⁶Xe
- Located at Waste Isolation Pilot Plant (WIPP) in Carlsbad, NM, USA until decommissioning Dec. 2018
- Two identical back-to-back TPCs made from radio-pure copper with transparent cathode
- Energy measured using two signals
 - Ionization signal drifted to crossed wire planes
 - Scintillation (175nm) collected by APD





https://www-project.slac.stanford.edu/exo/



EXO-200







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Energy measurement (EXO-200 data)

3500 3500 Scintillation: 5.0% **ALPHA CUT** 3000 Ionization: 3.0% 3000 Scintillation energy [keV] Rotated: 1.2% 2500 ke< Counts/(10 keV) **Rotation angle** 2500 chosen to optimize 2458 2000 energy resolution at 2615 keV 2000 1500 1500 1000 1000 500 500 -500 1000 1500 2000 2500 3000 3500 500 1000 1500 2000 2500 3000 Ionization energy [keV] Energy [keV]

- Anticorrelation between scintillation and ionization in LXe known since early EXO R&D and now standard in LXe detectors [E.Conti et al. Phys Rev B 68 (2003) 054201]
- Rotation angle determined weekly using ²²⁸Th source data, defined as angle which gives best rotated resolution
- EXO-200 has achieved ~ 1.15% (arxiv:1906.02723) energy resolution at the double-beta decay Q value in Phase II

Scintillation vs. ionization, ²²⁸Th calibration:

Reconstructed energy, ²²⁸Th calibration:

Event Position and Multiplicity (EXO-200 data)



Final EXO-200 Result

- EXO-200 demonstrated excellent background, very well predicted by the massive material characterization program and simulations → <u>This is essential for nEXO design</u>
- Sensitivity increased linearly with exposure.



EXO-200 has achieved 1.15 ± 0.02% energy resolution at the Q-value.

Phase I+II: 234.1 kg·yr ¹³⁶Xe exposure Limit $T_{1/2}^{0\nu\beta\beta} > 3.5 \times 10^{25}$ yr (90% C.L.) $\langle m_{\beta\beta} \rangle < (93 - 286) \text{ meV}$ Sensitivity 5.0x10²⁵ yr

2012: Phys. Rev. Lett. 109 (2012) 032505 2014: Nature 510 (2014) 229-234 2018: Phys. Rev. Lett. 120, 072701 (2018) 2019: Phys. Rev. Lett. 123, 161802 (2019)

EXO-200 decommissioning

nEXO at SNOLAB

nEXO Projected Sensitivity

nEXO sensitivity reaches 10²⁸ yr in 6.5 yr data taking

Projected sensitivity based on actual background level measurements!

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arXiv:2106.16243

Comparison with other experiments

• 3σ discovery potential for most NME reaching beyond inverted ordering further into normal ordering

	m_{etaeta} [meV], (median* NME)		
	90% excl. sens.	3σ discov. potential	
nEXO	8.2	11.1	
LEGEND	10.4	11.5	
CUPID	12.9	15.0	

*T_{1/2} values used [x10²⁸ yr]: nEXO: 1.35 (90% sens.), 0.74 (3σ discov.) [1] LEGEND: 1.6 (90% sens.), 1.3 (3σ discov.) [2] CUPID: 0.15 (90% sens.), 0.11 (3σ discov.) [3]

[1] nEXO collaboration, arXiv:2106.16243[2] LEGEND pCDR, arXiv: 2107.11462[3] CUPID pCDR, arXiv:1907.09376

*Median shown to guide the eye; NME is not a statistical value \rightarrow There is only one correct NME.

The power of a monolithic detector

Power of the monolithic nEXO detector

The homogeneous detector with advanced topological reconstruction has a proven track record for γ background <u>identification</u> and <u>rejection</u>.

Multi-parameter analysis makes the measurement robust also with currently unknown backgrounds.

JINST 13, P01006 (2018) Tile simulation: arXiv:1907.07512.

The nEXO detector

- Next-generation neutrinoless double beta decay detector.
- 5 t liquid xenon TPC similar to EXO-200.
- SiPM for 175nm scintillation light detection, ~4.5m² SiPM array in LXe.
- Tiles for charge read out in LXe.
- In-cold electronics inside TPC in liquid Xe.
- 3D event reconstruction.
- Combine charge and light readout. Goal $\rightarrow \sigma/E < 1\%$ at Q-value.
- 1.5 ktonnes water-Cherenkov detector for muon tagging and shielding.

Zoom in on upper corner of TPC:

Anode Charge Readout

- Charge collection on tiled anode plane
- Full simulation of charge collection in nEXO used to optimize design
 - Crossed strips with no shielding grid
 - Channel pitch: 6mm
 - Tile size: 10 cm x 10 cm

Z. Li et al. (nEXO Collab) "Simulation of charge readout with segmented tiles in nEXO," JINST 14 P09020 [2019]

Prototype tiles have been measured in LXe to validate simulation

M. Jewell et al. (nEXO Collab) "Characterization of an ionization readout tile for nEXO," JINST 13 P01006 [2018]

SiPMs for photon detection

- Advantages of SiPMs for photon detection
 - Low intrinsic radioactive backgrounds
 - Improved energy resolution (SiPMs high gain)
 - Lower bias required for SiPMs (~50 V versus ~1.5 kV)
 - Devices meeting requirements demonstrated through nEXO R&D
 - Prototype SiPMs from two vendors have been tested by nEXO and meet requirements (FBK and HPK)

A. Jamil et al. (nEXO collab.) "VUV-sensitive Silicon Photomultipliers for Xenon Scintillation Light Detection in nEXO," IEEE Trans. Nucl. Sci. 65, 11 (2018)

G. Gallina et al. (nEXO collab.) "Characterization of the Hamamatsu VUV4 MPPCs for nEXO," NIM A 940, 371 (2019)

June 30, 2022 SiPM Devices

McGill Environmental Test Stand

Cryostat (Liquid nitrogen powered):

- Low power [~ 1 W]
- Fast cooldown [~ 9 h]
- LXe [~ 165 K] and LAr [~ 87 K] temperatures

Testing Stage:

- Large area [~ 150 cm²].
- Stable temperature.
- Easily removable top plate.
- Precision scanning across tile
 [~ 40 μm resolution].

Test Setup

- HPK 4x4 mini tile (VUV4)
- Four dead SiPMs
- RTD-lugs fixed to mini-tile to measure temperature

PCB designed at Brookhaven National Lab

 Ξ

Environmental Test Stand (cryostat):

- Large surface area: A ~ 150 cm²
- Stable operation: $\sigma_T \simeq 1 \text{ mK}$ (3h)
- Demonstrated range: 120 295 K
- Turnaround time: T ~ 1 day

Slide from Lucas Darroch

Dark Current - 165K

- Good signal resolution at pA with MUX mode B
- Runaway not observed up to 10 V overvoltage
- ~ 30 min for breakdown measurement

Beyond nEXO

If nEXO discovers 0vββ decay:

- The enriched xenon is NOT "frozen" in a particular detector.
- Should $0\nu\beta\beta$ decay be discovered by nEXO then:
 - 1. Replace the enriched xenon in nEXO with natural xenon and verify disappearance of 0vββ signal.
 - 2. Reused enriched Xe in a different experimental configuration to investigate the underlying physics.

If nEXO does not discover 0vββ decay:

- The advantages of the homogeneous detector keep improving with size.
- Should 0vββ decay not be discovered by nEXO, larger detectors using the same technology are plausible.
- Technologies (Ba-tagging) are being developed to further reduce backgrounds in future detector upgrades.

A clear avenue for the future is essential.

Ba-tagging concept as upgrade to nEXO

- 1. Is the event of interest?
 - Close to Q-value?
 - Beta-like event?
- 2. Localize event
- Extract ion from detector volume (and separate it from Xe)
- 4. Identify ion: is it barium?

Advantages of Ba-Tagging:

- Potential to increases nEXO's projected sensitivity by factor of 2-3
- Provides **POSTITIVE** signal of ββ decay

Ba tagging R&D ongoing for liquid- and gas-phase detector

Ba tagging for nEXO – a multi-facetted approach

Canadian Ba Extraction and Tagging Effort

RF Funnel Upgrade in Progress

Current Status:

- Funnel transferred into new chamber
- RF electronics built and tested
- Pressure control valve installed
- Pressure readout ready

Still to do:

- Need to hook up cryo-pump chamber
- Demonstrate pressure control
- Commission with Ar gas

Unfortunately, the chip shortage has delayed many crucial parts

What is an RF-only Ion Funnel?

Device that transports ions from high pressure to high vacuum

The MRTOF

Operating Principle

- Ions accelerated by potential U gain kinetic energy $E_{kin} = z_i eU = mivi^2/2$
- Ions with different mass-to-charge separate in time, and can be resolved if $\Delta t_{ij} > \Delta t_i$, Δt_j
- Calculated with mass-resolving power (MRP), $R = m/\Delta m = t/(2 \Delta t)$

¹³⁶Xe mass = 135.907219(8) u, ¹³⁶Ba mass = 135.9045759(4) u , $\therefore \Delta m$ =0.0026 and $R = m/\Delta m \approx$ 52000

<u>Design</u>

- Consists of central drift-tube and 2 electrostatic mirrors formed by 6 cylindrical electrodes.
- Ions are reflected between the mirrors to dramatically increase the MRP.

MR TOF Commissioning with Cu

revolutions: 20-30k MRP

Mass of ⁶⁵Cu measured as **64.9281(9) amu**, agreeing with 64.9278 amu.

Ba ion detection & identification (Carleton)

Demonstrated ion cloud imaging and accurate position control

Demonstrated by M. Green et al., Phys. Rev. A 76 023404 (2007)

Using a relatively simple and well understood fluorescing system

Demonstrated single ion sensitivity using intermodulation technique (background control)

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Summary

- The search for $0\nu\beta\beta$ is the most promising approach to determine the quantum nature of neutrinos: Dirac versus Majorana
- Next-generation 0vββ are being designed to reach sensitivities beyond 10²⁸ years (this is 10¹⁸ times the age of the Universe!)
- Technologies are being developed to further increase sensitivity and unambiguously identify 0vββ candidate events as true ββ events
- An observation of 0vββ always implies physics beyond the Standard Model, independent of the underlying process!

0vββ Discovery Potential

 $0\nu\beta\beta$ is the most practical way to test the Majorana nature of neutrinos. An observation of $0\nu\beta\beta$ always implies physics beyond the Standard Model!

The nEXO collaboration

