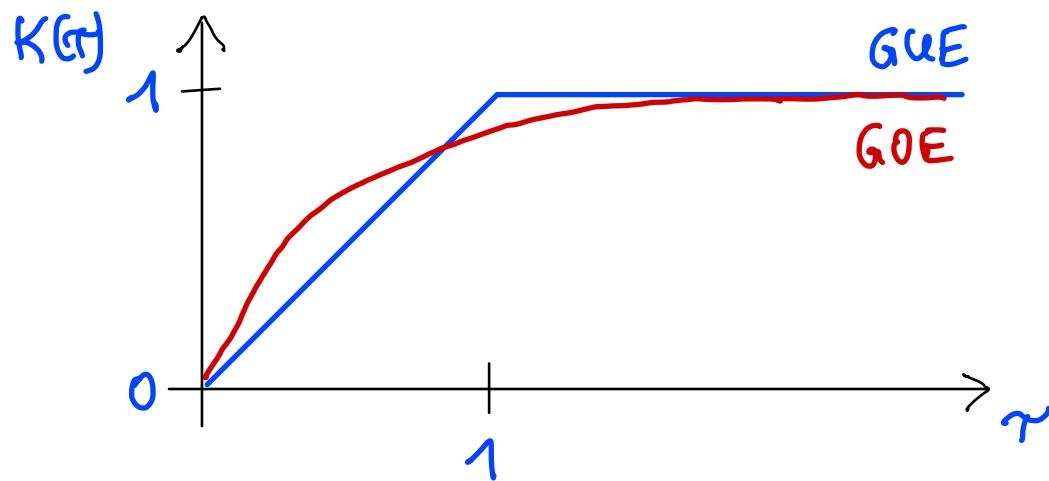


# 10.6. Spectral statistics

aim: semiclassical derivation of spectral properties  
using properties of periodic orbits

example: spectral form factor (see 7.7)



random matrix theory:

$\tau < 1$	$\tau > 1$
$\tau$	1
$2\tau - \tau \ln(1+2\tau)$ $= 2\tau - 2\tau^2 + \dots$	$2 - \tau \ln \frac{2\tau+1}{2\tau-1}$

$$K(\tau) = \left\langle \frac{1}{\bar{d}(E)} \int_{-\infty}^{\infty} d\varepsilon \, d_{\text{FE}}(E + \frac{\varepsilon}{2}) \, d_{\text{FE}}(E - \frac{\varepsilon}{2}) e^{-\frac{i}{\hbar} \varepsilon t} \right\rangle_E$$

$$\tau = \frac{t}{\tau_K} \quad \tau_K = \frac{\hbar}{\langle \Delta E \rangle} = \hbar \bar{d}(E)$$

average:  
small  $E$  window  
but many levels

$$\text{use: } d(E) = \sum_i S(E - E_i) = \bar{d}(E) + d_{\text{fe}}(E)$$

$$d_{\text{fe}}(E) = \frac{1}{\pi \hbar} \sum_{p,n} \frac{T_p}{|\det(M_p^n - 1)|^{\frac{1}{2}}} \cos n \left( \frac{S_p(E)}{\hbar} - \frac{\pi}{2} \mu_p \right)$$

$$= \frac{1}{\pi \hbar} \operatorname{Re} \sum_{\gamma} A_{\gamma} e^{\frac{i}{\hbar} S_{\gamma}(E)} = \frac{1}{\hbar} \sum_{\gamma} \left( A_{\gamma} e^{\frac{i}{\hbar} S_{\gamma}(E)} + A_{\gamma}^* e^{-\frac{i}{\hbar} S_{\gamma}(E)} \right)$$

$$\Rightarrow K(\tau) = \sum_{\gamma, \gamma'} \dots$$

$\leftarrow$  pairs of periodic orbits

terms:  $e^{\frac{i}{\hbar}(S_{\gamma} + S_{\gamma'})}$   $\rightarrow$  average to zero

terms:  $e^{\frac{i}{\hbar}(S_{\gamma} - S_{\gamma'})}$   $\rightarrow$  relevant if  $S_{\gamma} \approx S_{\gamma'}$

energy dependence:  $S_{\gamma}(E \pm \frac{\varepsilon}{2}) = S_{\gamma}(E) \pm \frac{\varepsilon}{2} \underbrace{\frac{\partial S_{\gamma}}{\partial E}}_{T_{\gamma} \text{ length of period}} + \dots$

$$\Rightarrow K(\tau) = \frac{1}{\hbar^2 \bar{d}(E)} \left\langle \sum_{g,g'} A_g A_{g'}^* e^{\frac{i}{\hbar} (S_g - S_{g'})} \int_{-\infty}^{\infty} d\varepsilon e^{\frac{i}{\hbar} \varepsilon \left( \frac{T_g + T_{g'}}{2} - \tau \right)} \right\rangle_E$$

$$2\pi\hbar \delta\left(\tau - \frac{T_g + T_{g'}}{2}\right)$$

$$K(G) = \frac{1}{T_H} \left\langle \sum_{g,g'} A_g A_{g'}^* e^{\frac{i}{\hbar} (S_g - S_{g'})} \delta\left(\tau - \frac{T_g + T_{g'}}{2}\right) \right\rangle_E$$

## 10.6.1. Diagonal Approximation

Berry 1985

assume:  $\gamma \neq \gamma' \Rightarrow S_\gamma \neq S_{\gamma'} \Rightarrow$  average to zero

$$\sum_{\gamma, \gamma'} \rightarrow \sum_{\gamma = \gamma'} \quad \Rightarrow \quad K(\tau) = \frac{1}{T_H} \sum_{\gamma} |A_{\gamma}|^2 \delta(t - T_{\gamma})$$

use . sum rule by Haugay and Otorio de Almeida:

principle of uniformity in ergodic systems:

long periodic orbits explore energy surface uniformly,  
just as typical orbits

$$\Rightarrow \dots \Rightarrow \sum_{\gamma} |A_{\gamma}|^2 \delta(t - T_{\gamma}) = t \quad \text{for } t \gg T_0 \quad (\text{shortest periodic orbit})$$

$$\Rightarrow K(\tau) = \begin{cases} \tau & \text{GUE} \\ 2\tau & \text{GOE : } \gamma' = \gamma \\ & \text{and } \gamma' = \gamma \text{ time reversed, } S_{\gamma'} = S_{\gamma} \end{cases}$$

## 10.6.2. Off-diagonal contributions:

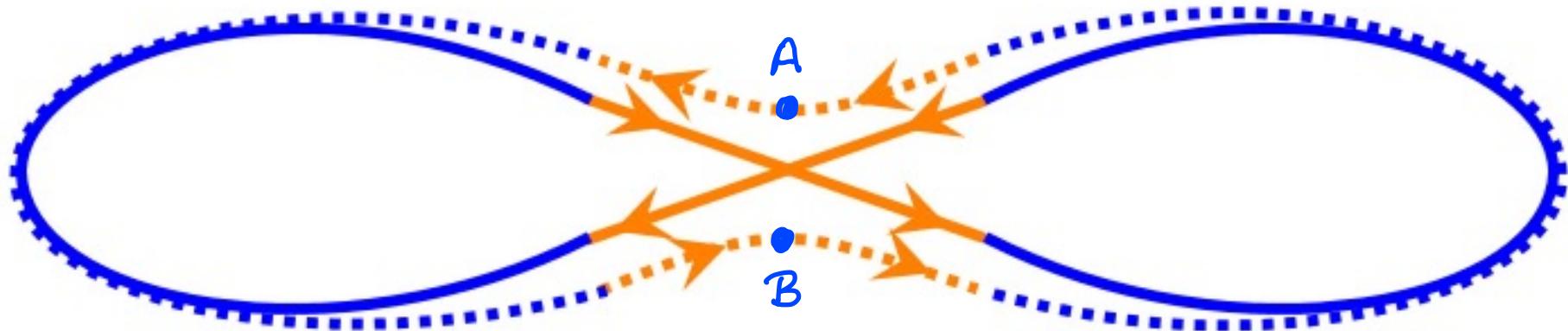
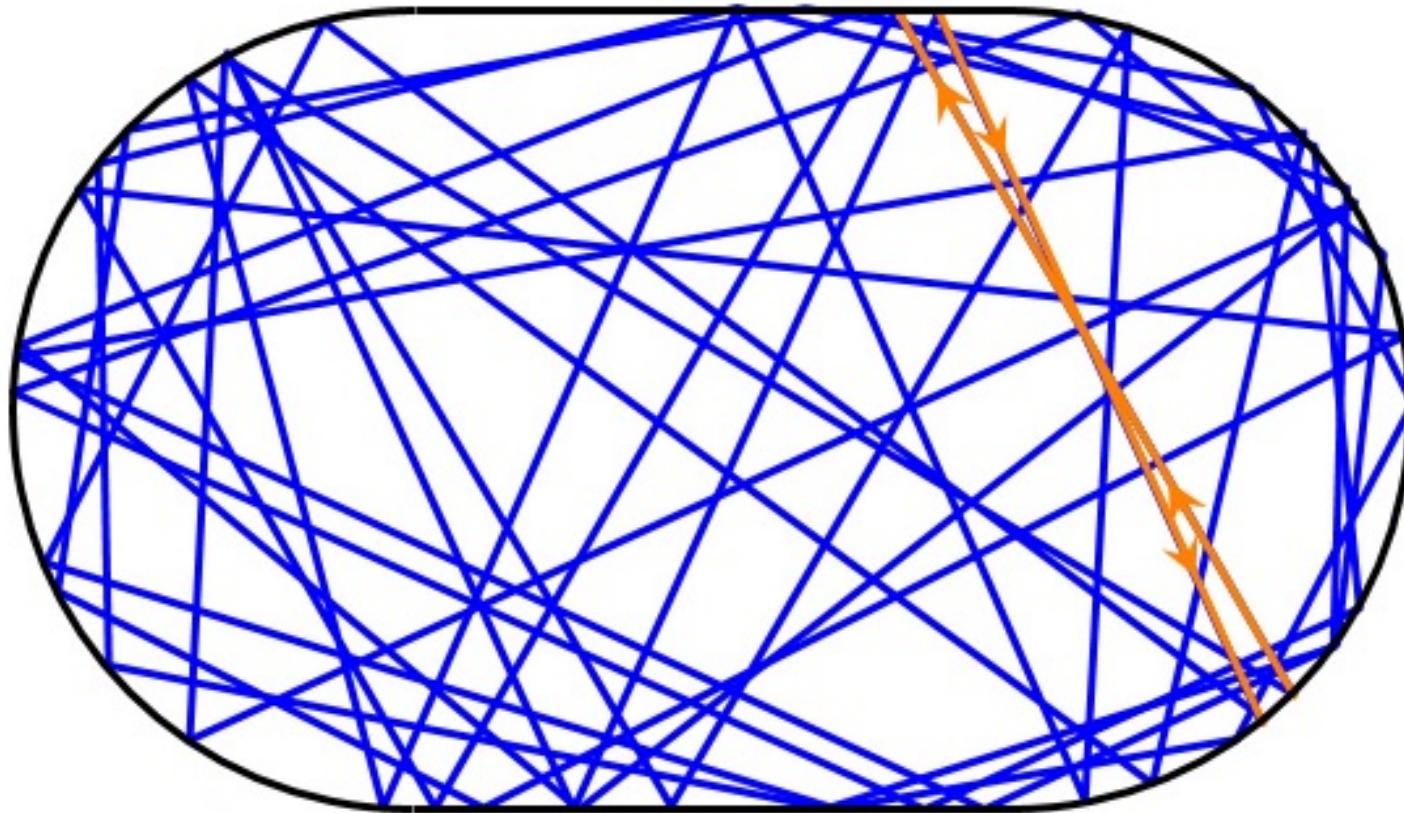
### Pairs and bunches

There are bunches of long periodic orbits,  
which have almost identical actions.

Their contribution is relevant in  $\sum_{g,g'}$

A) Pairs Sieber, Richter (2001)

- ergodicity  $\Rightarrow$
- long orbit comes close to every point in ph.sp.
  - the same holds for long periodic orbit
  - in particular: same position, almost opposite direction  
(self-encounter)

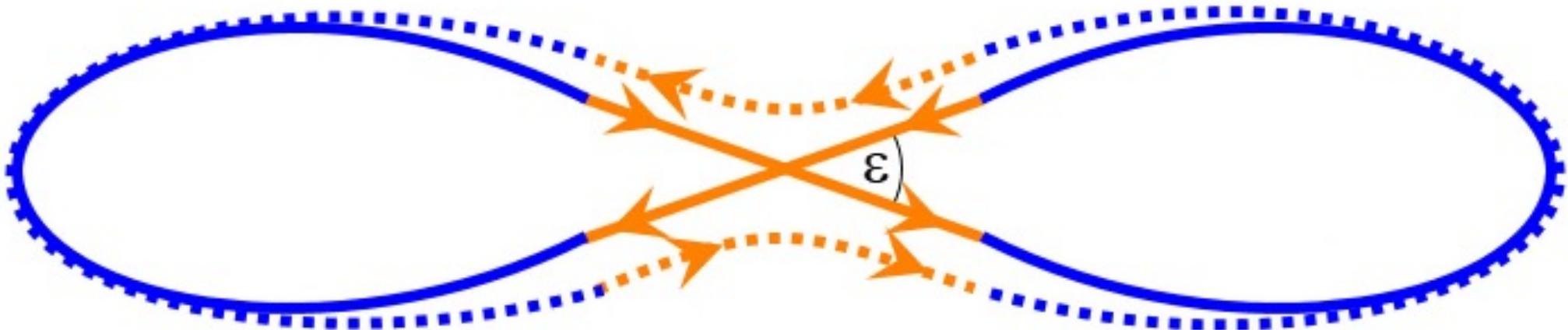
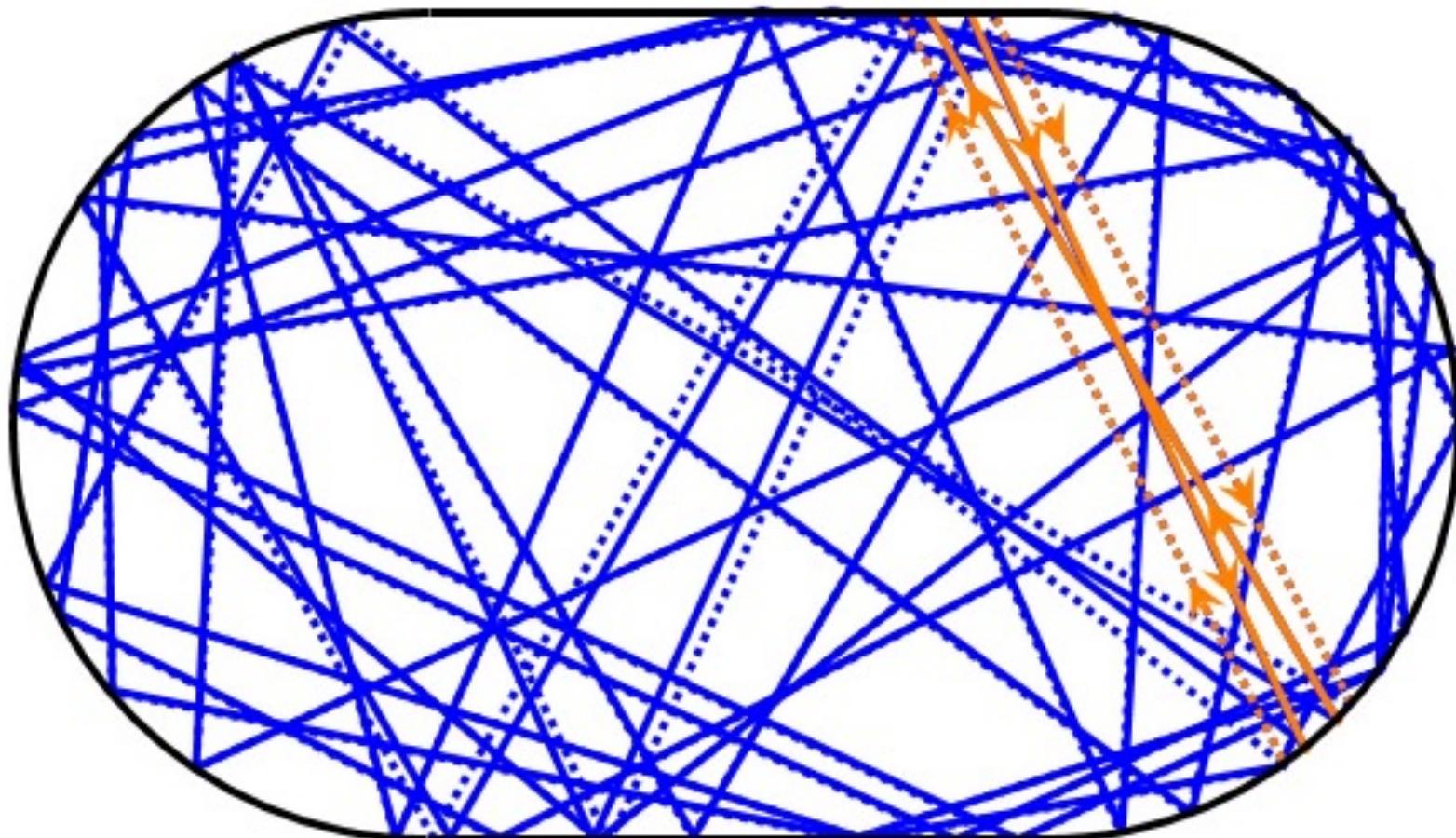


carrousel : loop stands for long part of periodic orbit

Linearized dynamics around periodic orbit

$\Rightarrow$  If another periodic orbit with:

- one loop almost identical
- other loop almost identical, but time-reversed
- unique (4 linear eq. with 4 unknowns)
- A close to unstable manifold of periodic orbit
- A close to stable manifold of  $\underbrace{\text{periodic orbit}}_{\text{time-reversed}}$
- B close to stable manifold of periodic orbit
- B close to unstable manifold of  $\underbrace{\text{periodic orbit}}_{\text{time-reversed}}$



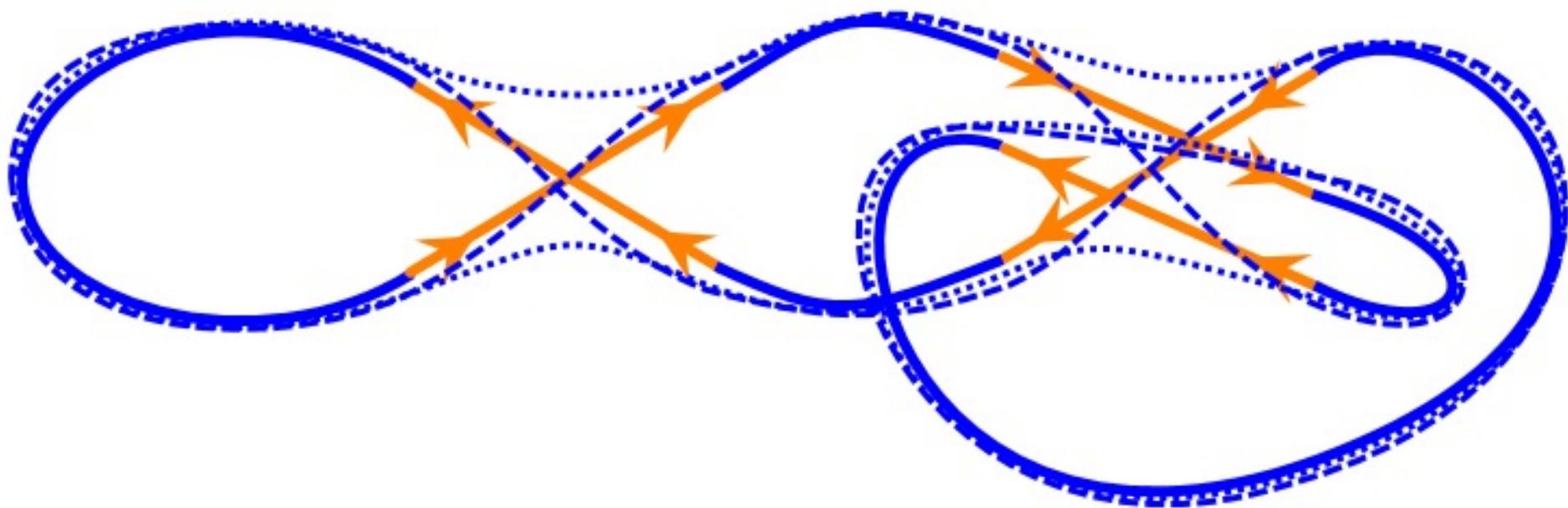
- furthermore needed:
- actions almost identical  $|S_g - S_{g'}| \sim \varepsilon^2$  with  $\varepsilon$  crossing angle
  - relevant if  $|S_g - S_{g'}| < \hbar$
  - prob. distr. of  $\varepsilon$
  - instability of dynamics

$\Rightarrow K(\tau) :$

-  $2\tau^2$  term ; GOE  
cancellation ; GUE

B) Bunches Hacke et al 2004

Long periodic orbits have many self-encounters, also same region



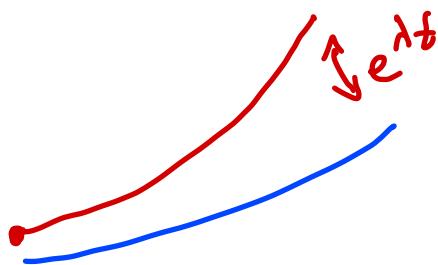
combinatorics  $\Rightarrow \dots \Rightarrow$  agreement with GUE, GOE, GSE for  $T < 1$

remarks:

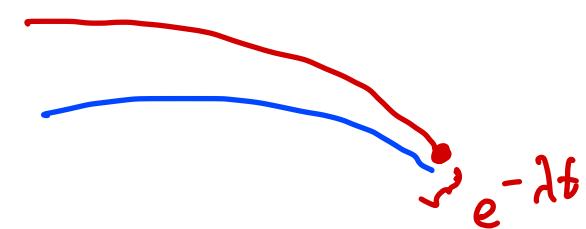
- Q.m. insight from classical understanding of bunches of periodic orbits with almost identical action

- existence of partner orbit from exponential sensitivity in chaotic systems

instability of  
initial value problem



stability of  
boundary value problem



- conjecture: level statistics of classically chaotic systems (7.4.) is well described by random matrix theory (RMT) ✓  
Casati, Vass-Gris, Guarneri 1980; Bohigas, Giannoni, Schmit 1983