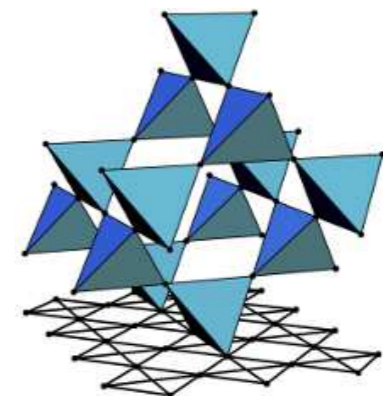


A fermionic gauge theory for bosonic deconfined criticality

— quantum critical behavior of the QED₃-Gross-Neveu model —

Lukas Janssen (Dresden) & Yin-Chen He (Harvard)

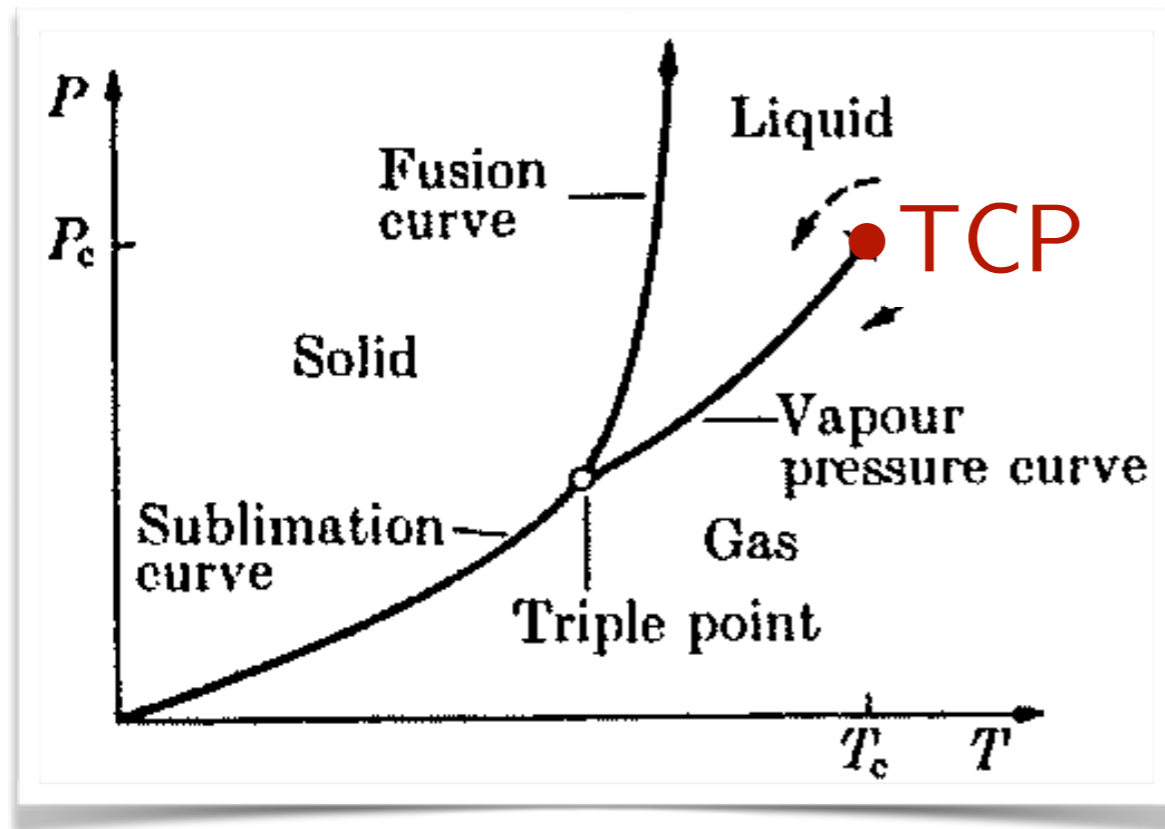
Phys. Rev. B **96**, 205113 (2017)



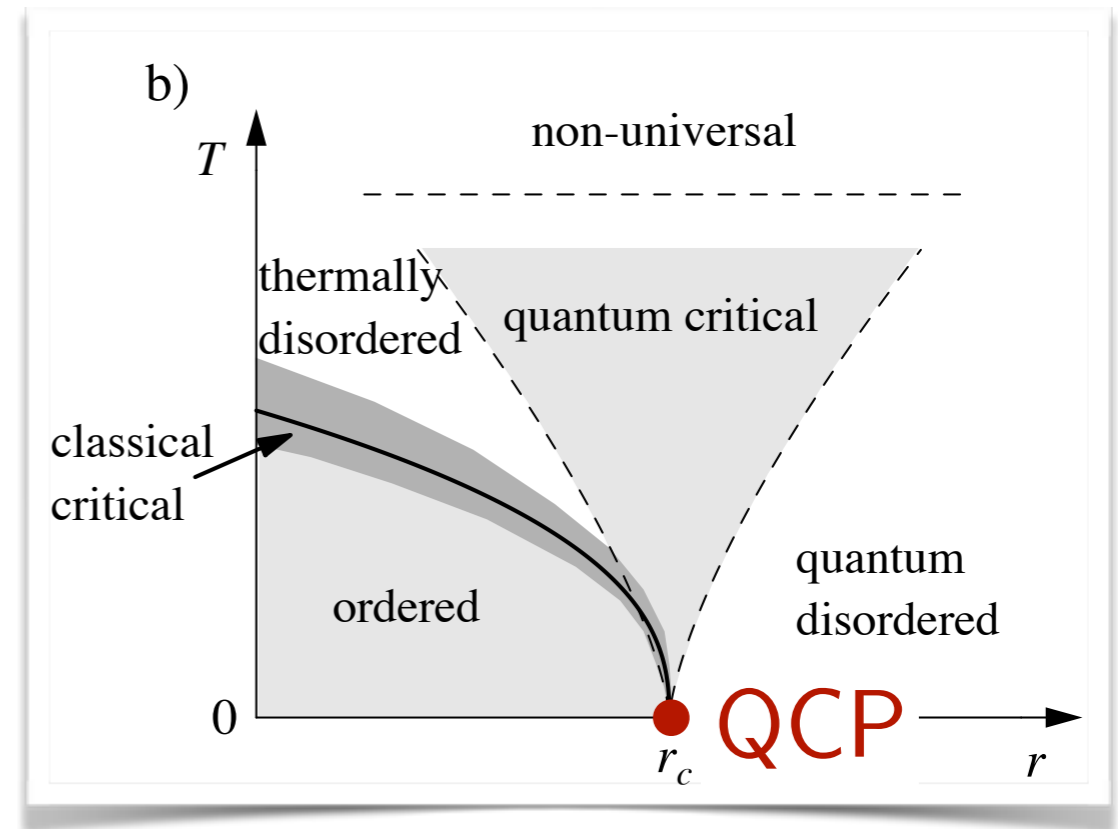
SFB 1143

Thermal critical point (TCP) vs. quantum critical point (QCP)

Thermal:



Quantum:



[M Vojta, Rep. Progr. Phys. '03]

... driven by thermal fluctuations

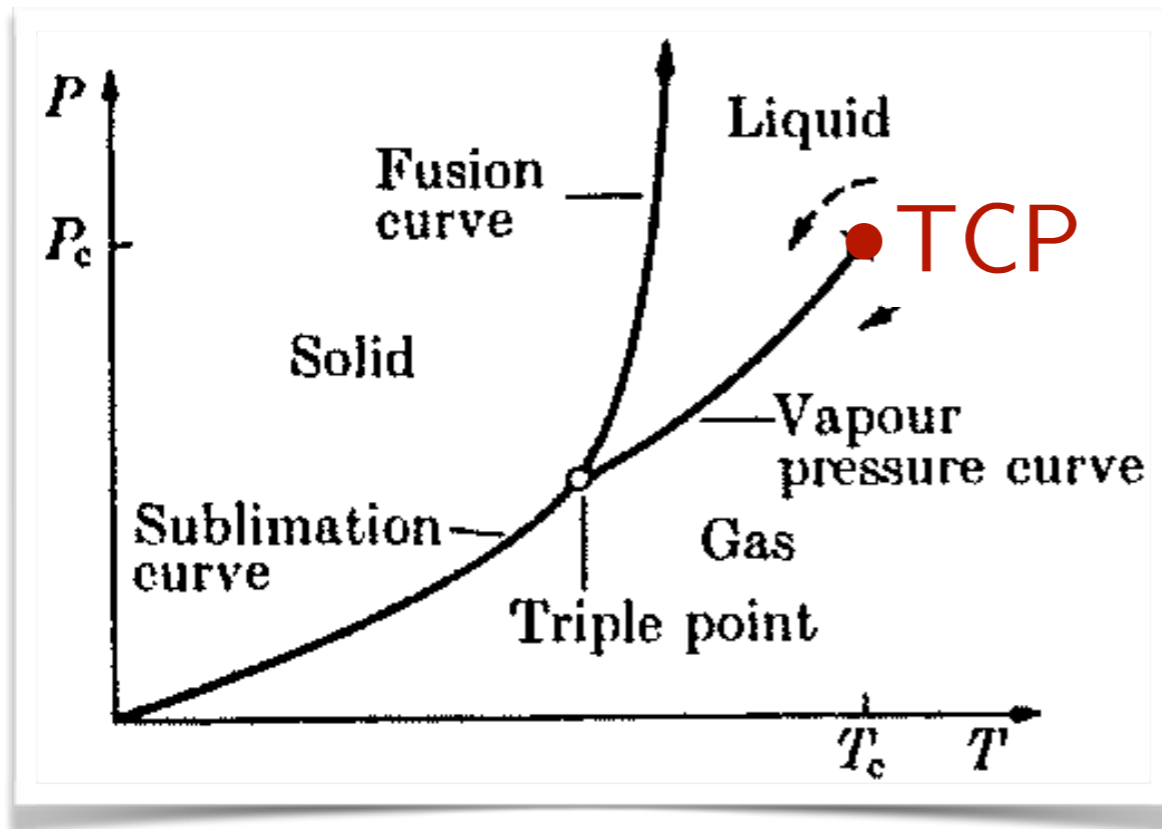
... tuned by temperature

... driven by quantum fluctuations

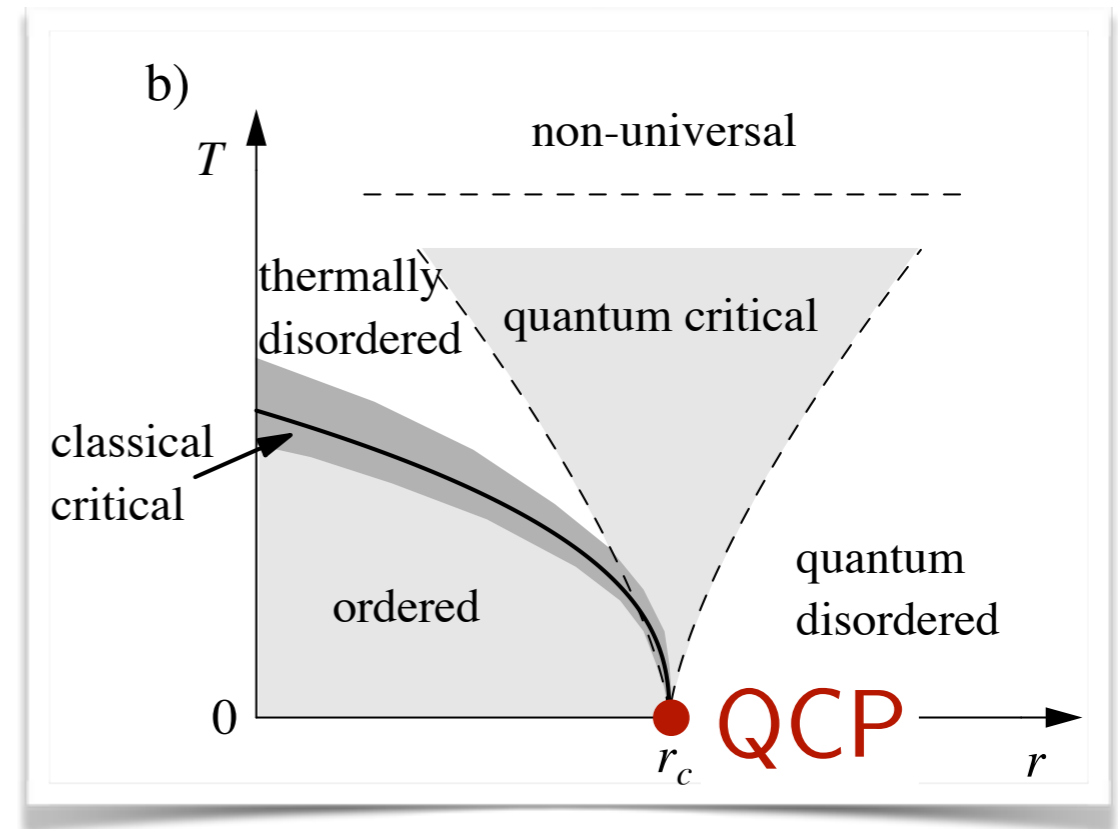
... tuned by pressure, field, ...

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Thermal:



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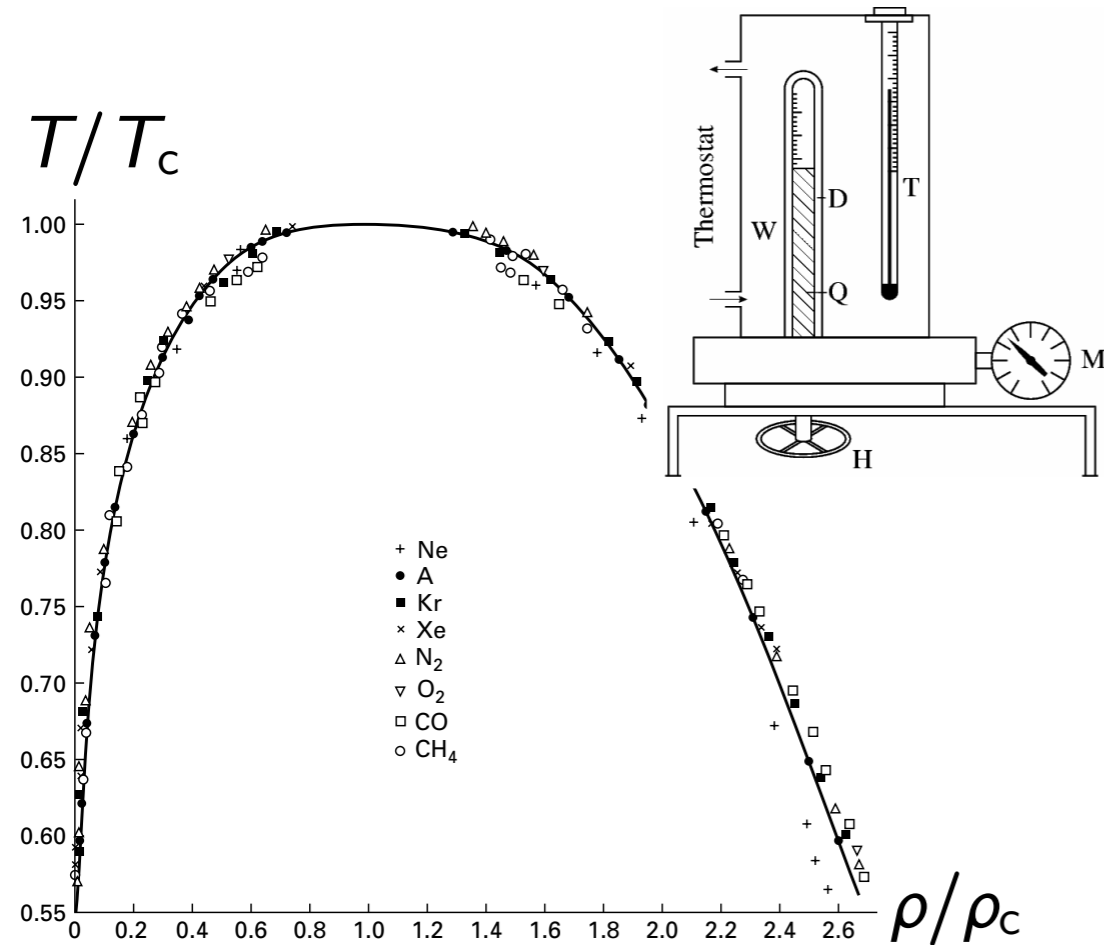
... tuned by temperature

... tuned by pressure, field, ...

Any significant differences?

TCP vs. QCP: Example

Liquid-gas transition: ... in 3D



[Grundpraktikum @ FSU Jena]

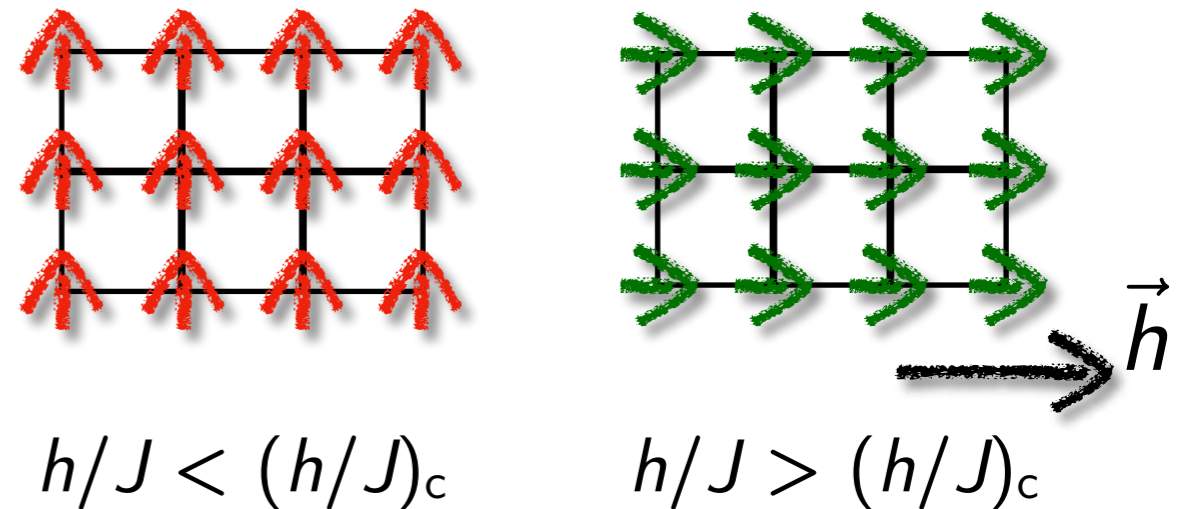
Order parameter:

$$|\rho_L - \rho_G| \propto |T - T_c|^\beta, \quad \beta \approx 1/3$$

[Guggenheim, J. Chem. Phys. '45]

Transverse-field Ising model: ... in 2D

$$\mathcal{H} = -J \sum_{\langle ij \rangle} S_i^z S_j^z - \vec{h} \cdot \sum_i \vec{S}_i$$



Order parameter:

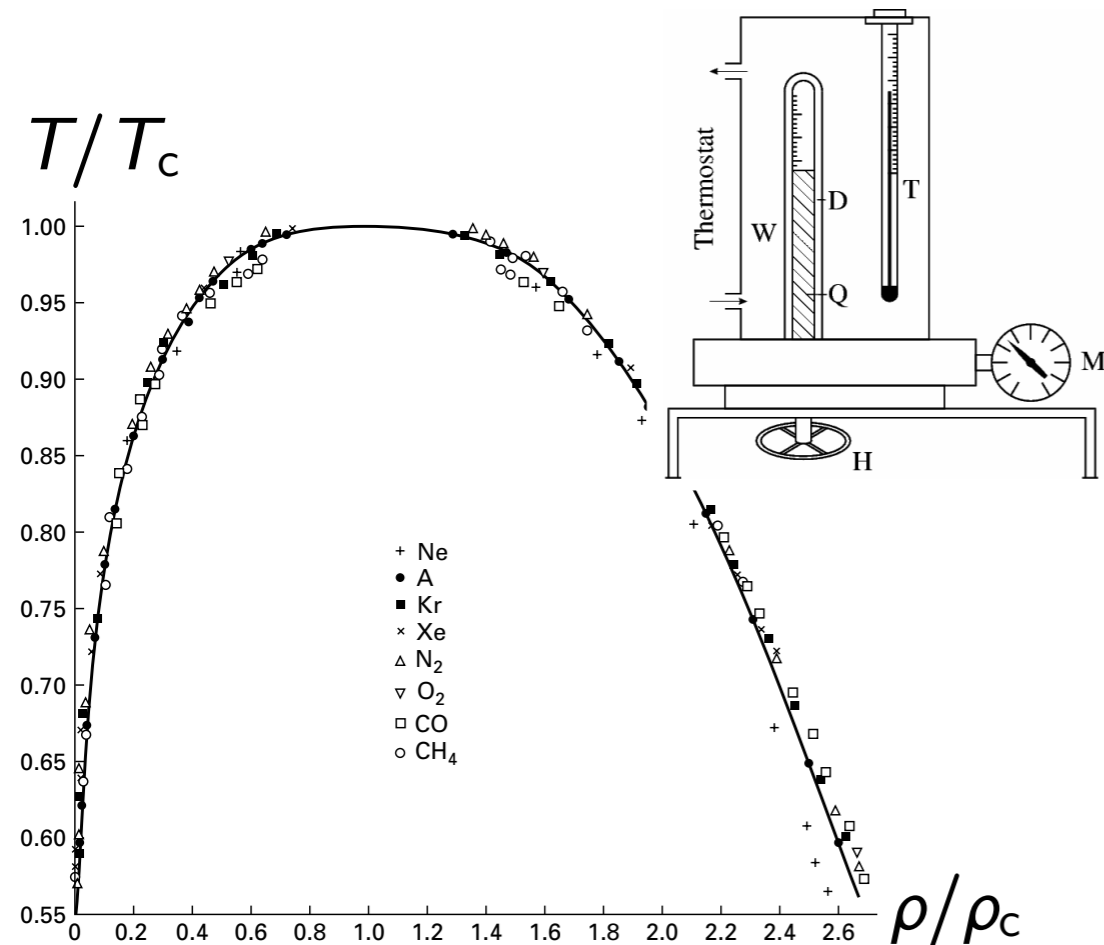
$$|m_z| \propto |J - J_c|^\beta, \quad \beta \approx 0.33$$

... and other exponents also agree

[Elliot *et al.*, PRL '70]

TCP vs. QCP: Example

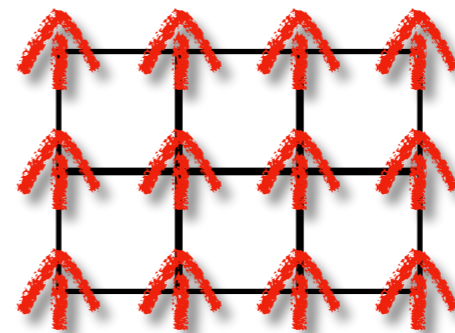
Liquid-gas transition: ... in 3D



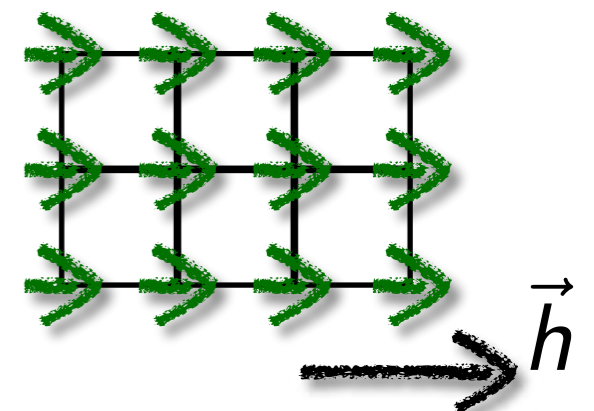
[Grundpraktikum @ FSU Jena]

Transverse-field Ising model: ... in 2D

$$\mathcal{H} = -J \sum_{\langle ij \rangle} S_i^z S_j^z - \vec{h} \cdot \sum_i \vec{S}_i$$



$$h/J < (h/J)_c$$



$$h/J > (h/J)_c$$

Quantum-to-classical mapping:

$$\text{TCP}(d+z) \iff \text{QCP}(d)$$

z ... dynamical critical exponent

Landau-Ginzburg-Wilson theory

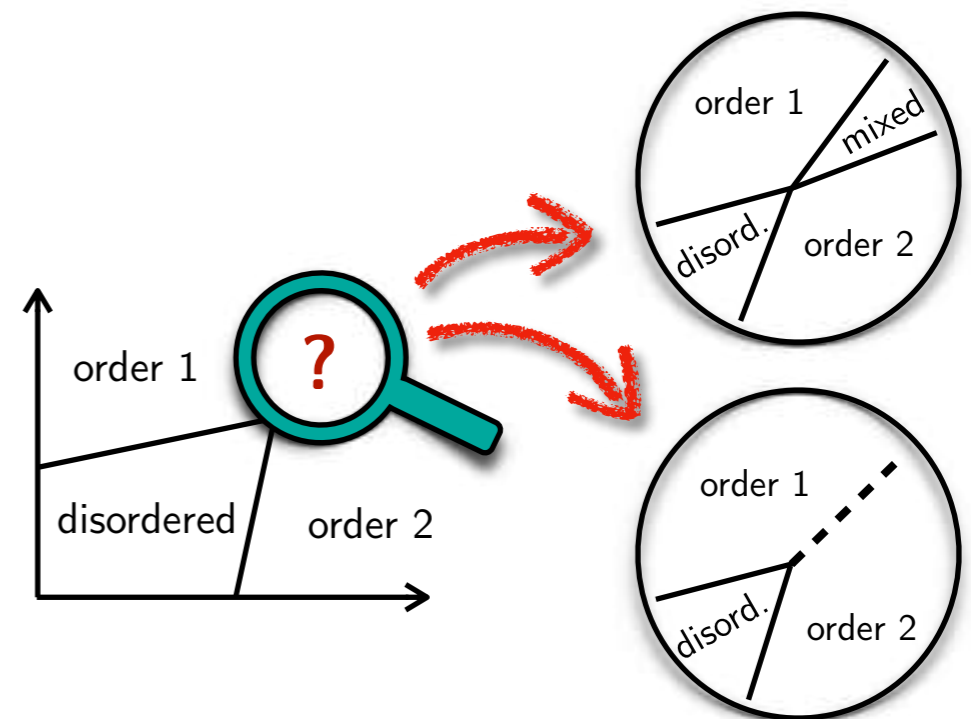
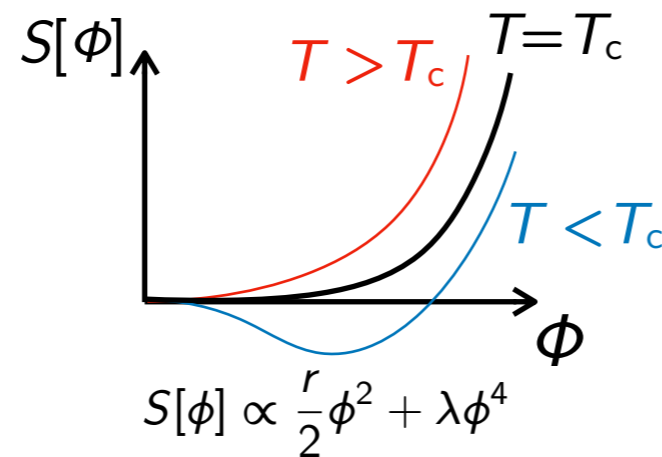
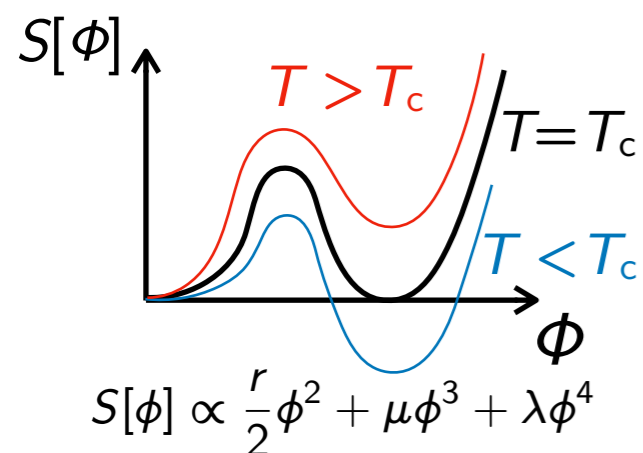
Assumption:

Transition uniquely characterized by order-parameter fluctuations

Continuum field theory: $S[\phi] = \int d^d \vec{r} \left[\frac{1}{2} (\nabla \phi)^2 + \frac{r}{2} \phi^2 + \lambda \phi^4 + \dots \right]$

ϕ ... order-parameter field

Mean-field theory (Landau):



Renormalization group (Wilson):

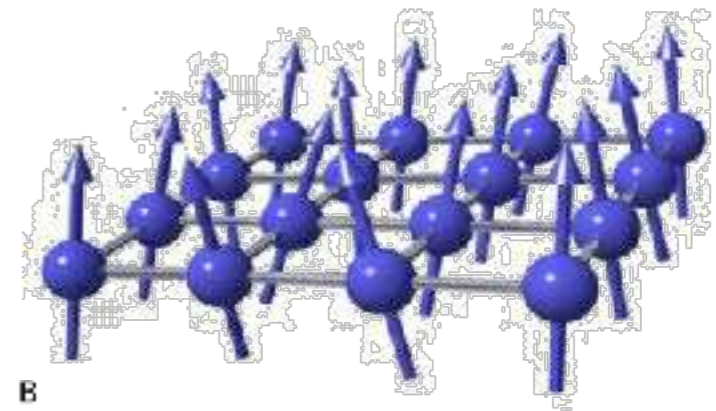
Universality \iff Existence of stable RG fixed point

Landau-Ginzburg-Wilson theory: Successes

Ansatz works remarkably well ...

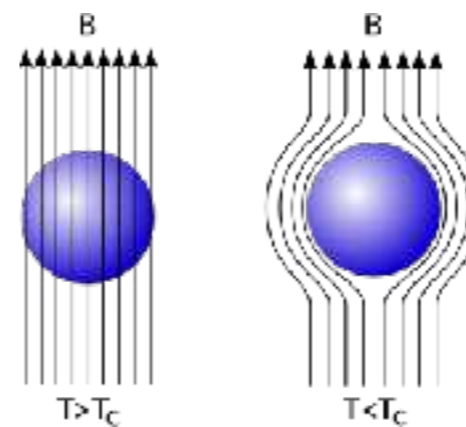
... magnets ($\vec{\varphi}$)

[Wilson & Fisher, PRL '72]



... superconductors (ϕ, ϕ^*, a_μ)

[Halperin, Lubensky, Ma, PRL '74]



... Mott transition in Fermi-point systems ($\vec{\varphi}, \psi^\dagger, \psi$)

2D Dirac:

[Herbut, PRL '06]

2D QBT:

[Sun *et al.*, PRL '09]

3D QBT:

[Herbut & LJ, PRL '14]

[Raghu, Qi, Honerkamp, Zhang, PRL '08]

[Scherer, Uebelacker, Honerkamp, PRB '12]

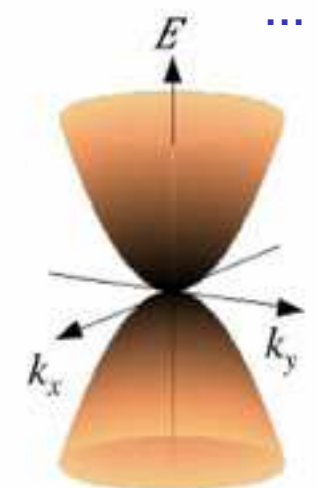
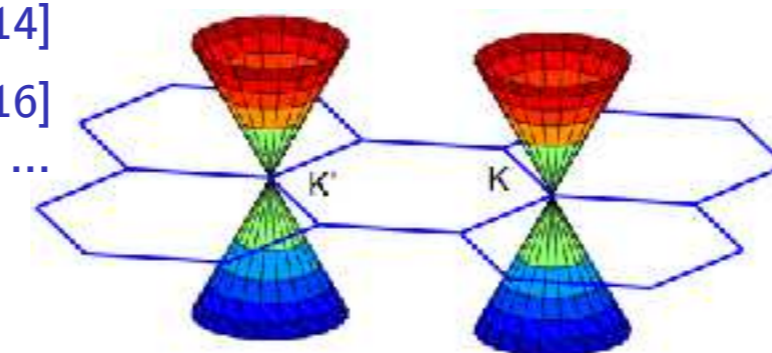
[Assaad & Herbut, PRX '13]

[Pujari, Lang, Murthy, Kaul, PRL '16]

[LJ & Herbut, PRB '14]

...

[Otsuka, Yunoki, Sorella, PRX '16]



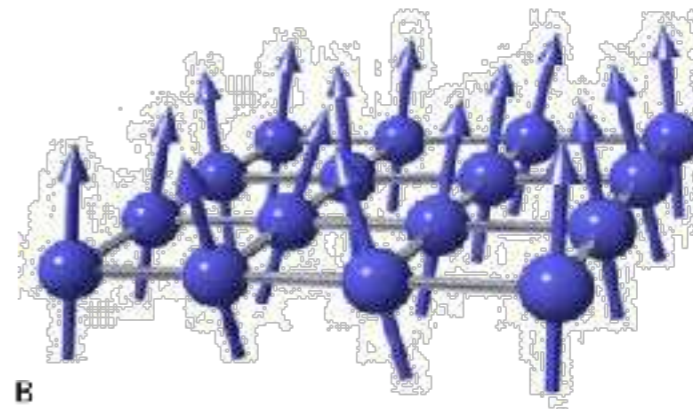
... and more

Landau-Ginzburg-Wilson theory: Successes

Ansatz works remarkably well ...

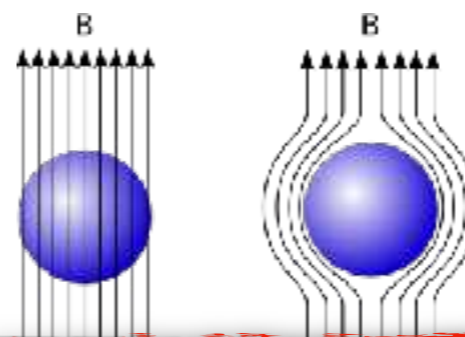
... magnets ($\vec{\varphi}$)

[Wilson & Fisher, PRL '72]



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[Halperin, Lubensky, Ma, PRL '74]



Exceptions possible?

... M
2D Dirac

PRL '14]

[Raghu, Qi, Honerkamp, Zhang, PRL '08]

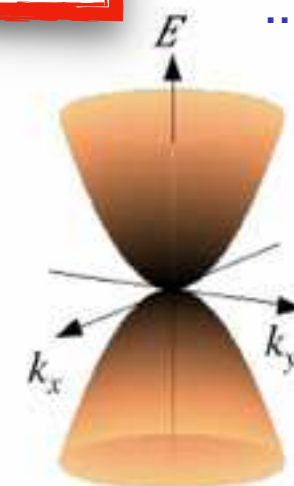
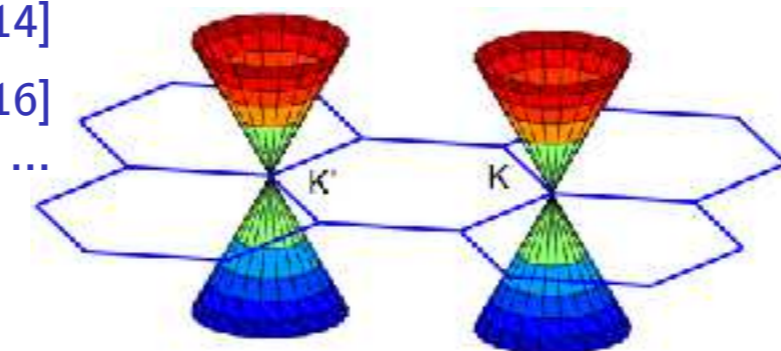
[Gunnarsson, Cusack, Honerkamp, PRL '11]

[Assaad & Herbut, PRX '13]

[Pujari, Lang, Murthy, Kaul, PRL '16]

[LJ & Herbut, PRB '14]

[Otsuka, Yunoki, Sorella, PRX '16]



... and more

Challenging Landau's paradigm

(1) "Fluctuation-induced" quantum criticality

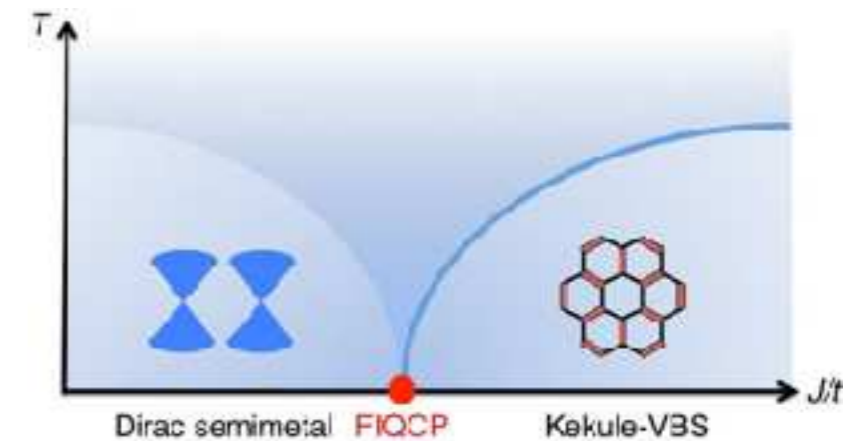
... i.e., mean-field theory becomes invalid

... Kekulé QCP

... despite the presence of cubic term in $S[\phi]$

[Li, Jiang, Jian, Yao, Nat. Comm. '17]

[Classen, Herbut, Scherer, PRB '17]

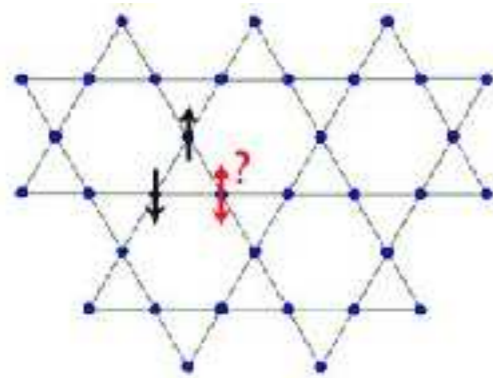


(2) "Topological" quantum criticality

... adjacent phase characterized by topological order

... i.e., no local order parameter

... Kagome spin liquid



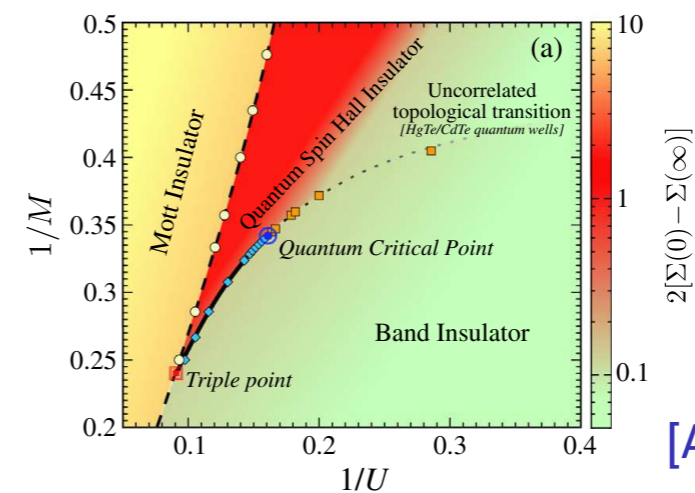
[Hastings, PRB '00]

[He & Chen, PRL '15]

[He *et al.*, PRX '17]

...

... topological insulator



[Amaricci *et al.*, PRL '15]

(3) "Deconfined" quantum criticality

... continuous order-to-order transition

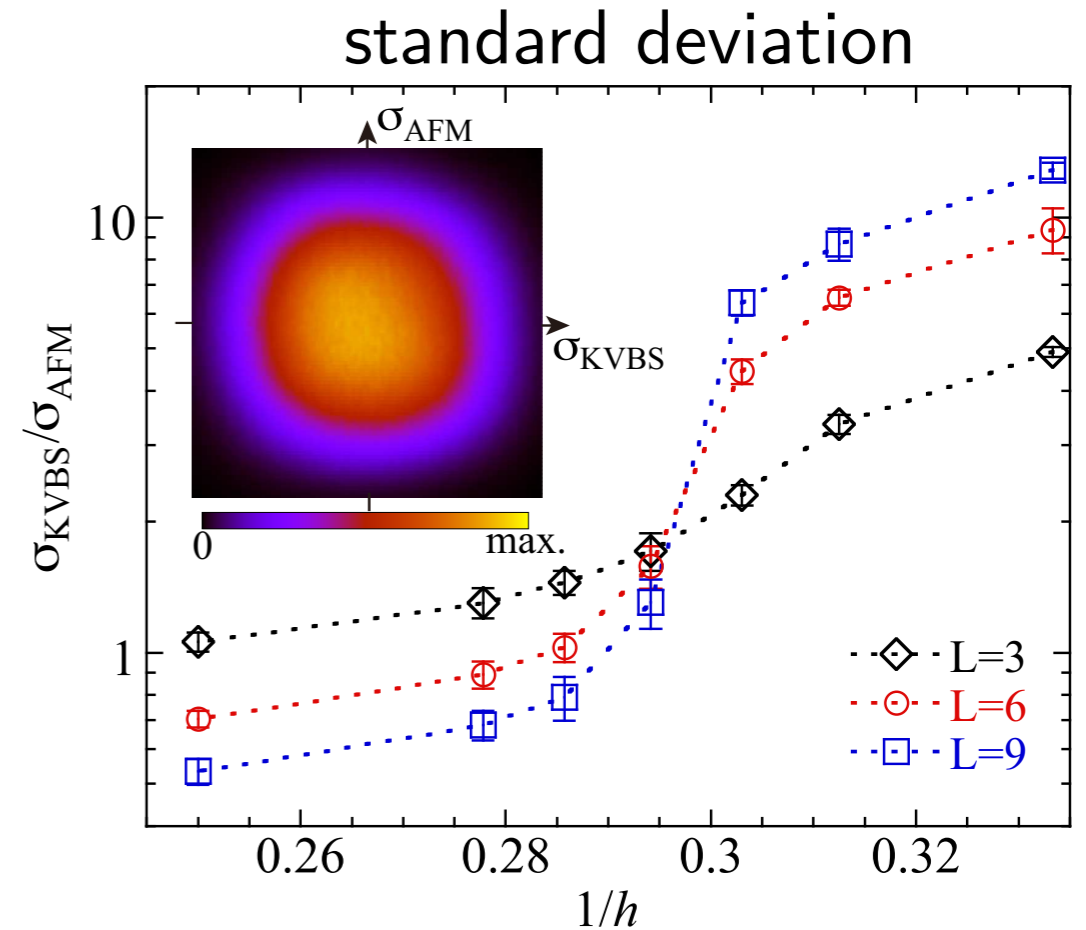
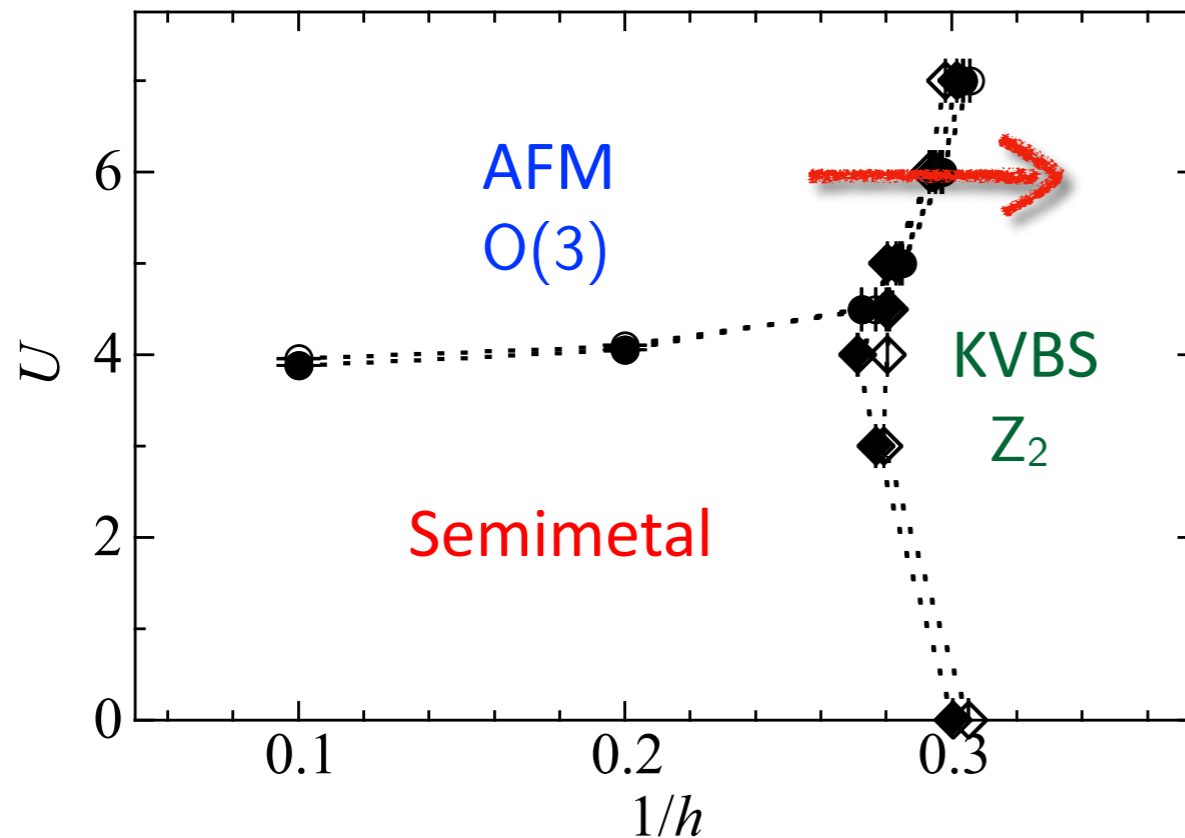
... characterized by fractionalized excitations

[Senthil, Vishwanath, Balents, Sachdev, Fisher, Science '04]

Deconfined quantum criticality

(1) Néel-to-Kekulé transition on honeycomb lattice

... anticommuting masses



[Sato, Hohenadler, Assaad, PRL '17]

→ talk by F. Assaad @ SIFT17

... direct continuous transition?

... emergent $SO(4)$?

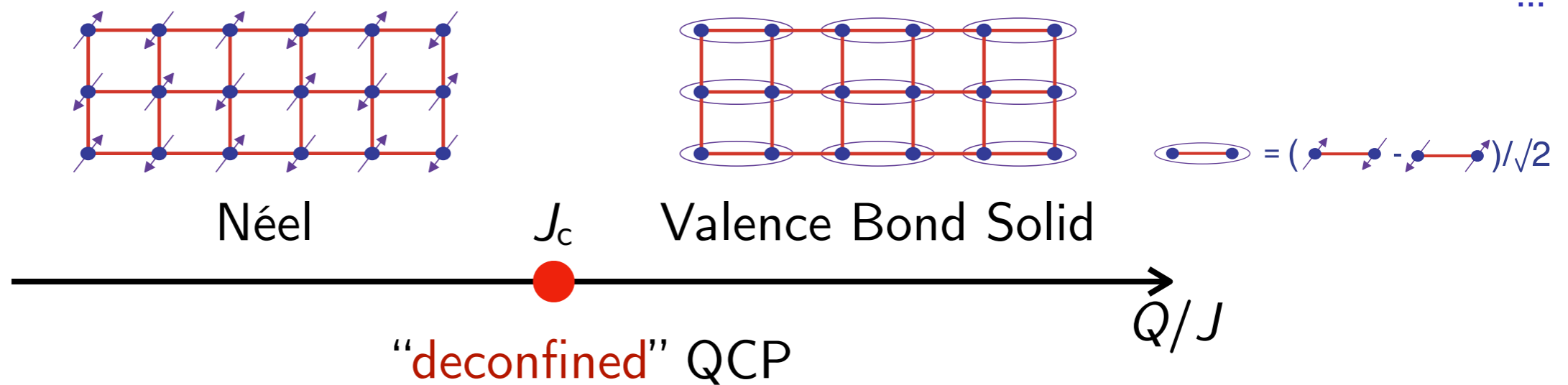
(2) Strong-coupling limit $U \rightarrow \infty$:

[Senthil *et al.*, Science '04]

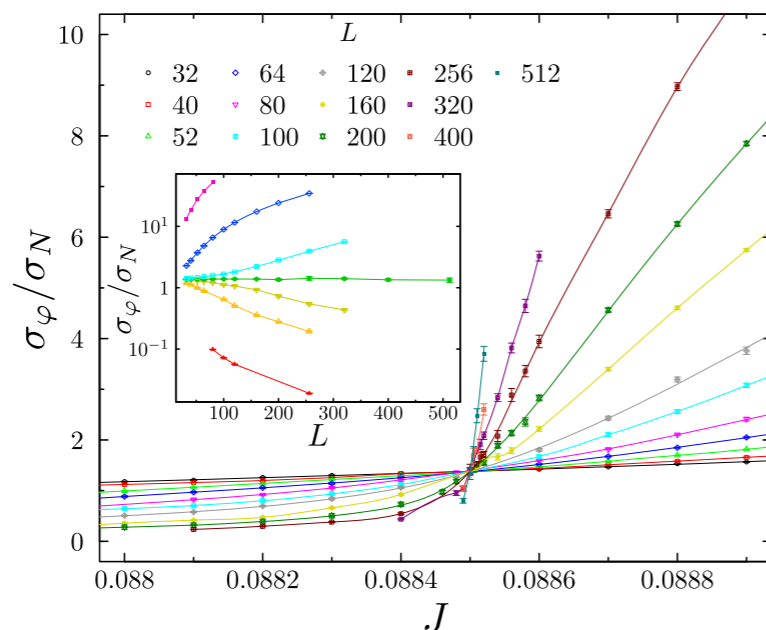
$$\mathcal{H} = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j - Q \sum_{\langle ijkl \rangle} \left(\vec{S}_i \cdot \vec{S}_j - \frac{1}{4} \right) \left(\vec{S}_k \cdot \vec{S}_l - \frac{1}{4} \right)$$

[Sandvik, PRL '07; PRL '10]

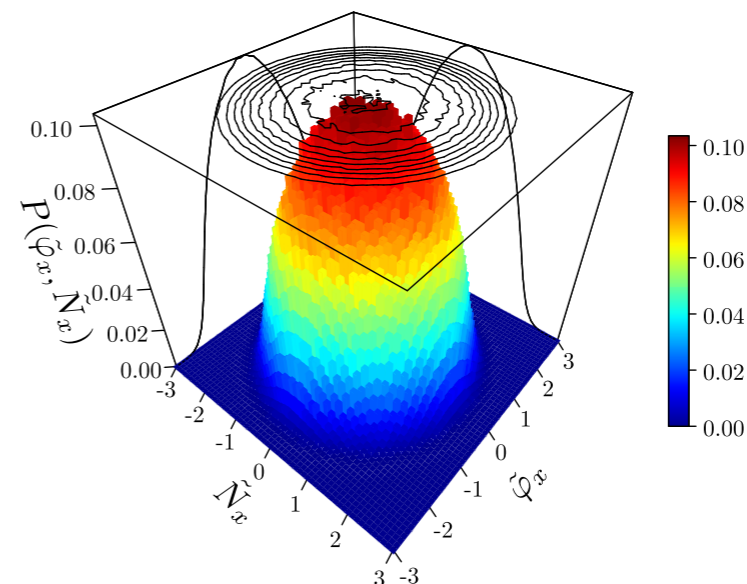
[Nahum *et al.*, PRX '15]



standard deviation



probability distribution



... isotropic!

[Nahum *et al.*, PRL '15]

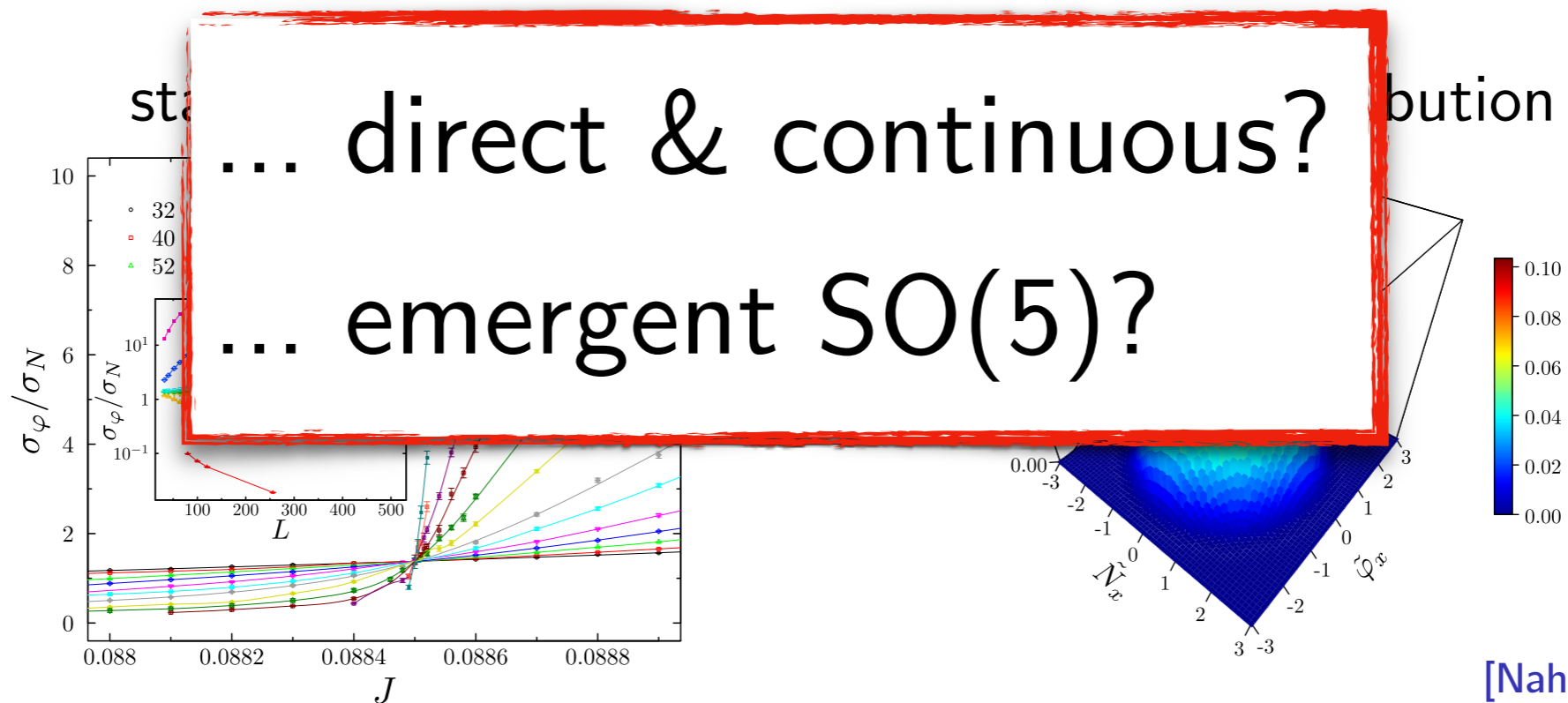
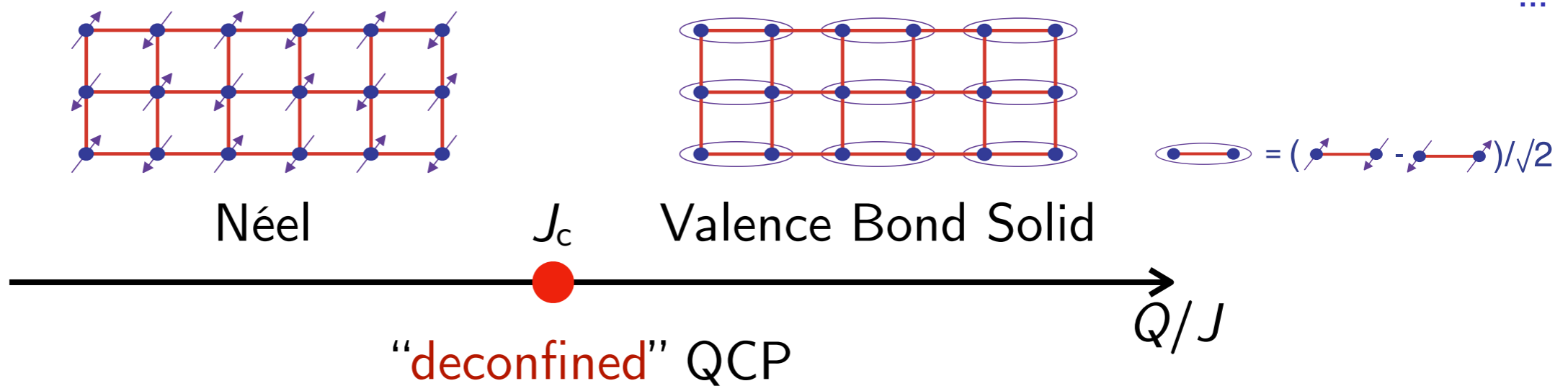
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[Sandvik, PRL '07; PRL '10]

[Nahum *et al.*, PRX '15]



Breakdown of Landau-Ginzburg-Wilson



Continuum field theory for Néel phase:

[Senthil *et al.*, Science '04; PRB '04]

$$S_{\vec{n}} = \frac{1}{2g} \int d^2r d\tau (\partial_\mu \vec{n})^2 + S_B$$

... O(3) nonlinear σ model
... with nonlocal S_B

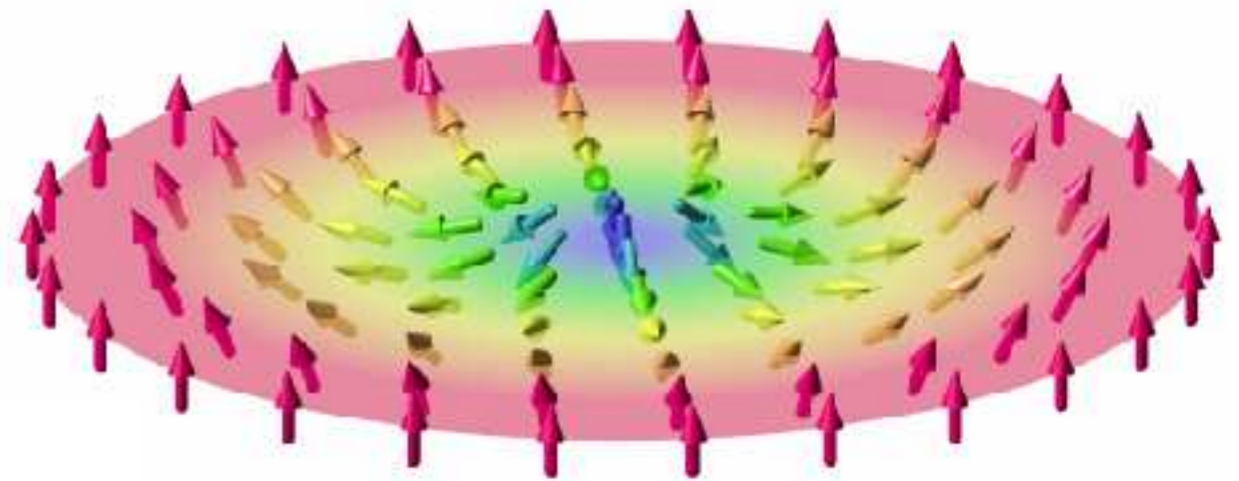
Néel order parameter: $\vec{n} \propto (-1)^r \vec{S}_r$

r ... lattice site

Spin Berry phase: $S_B = iS \sum_r (-1)^r A_r$

A_r ... area enclosed by $\vec{n}_r(\tau)$

... nonvanishing for **monopole** events:



... e.g., creation of skyrmion with $Q = \frac{1}{4\pi} \int d^2r \vec{n} \cdot (\partial_x \vec{n} \times \partial_y \vec{n})$

Order parameters: Néel: (n_1, n_2, n_3) VBS: $(\text{Re } \mathcal{M}, \text{Im } \mathcal{M})$

\mathcal{M} ... monopole operator

Breakdown of Landau-Ginzburg-Wilson



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Spin Berry phase: $S_B = iS \sum_r (-1)^r A_r$

A_r ... area enclosed by $\vec{n}_r(\tau)$

... nonvanishing for **monopole** events:



Berry phase crucial for transition!

... e.g., creation of skyrmion with $Q = \frac{1}{4\pi} \int d^2r \vec{n} \cdot (\partial_x \vec{n} \times \partial_y \vec{n})$

Order parameters: Néel: (n_1, n_2, n_3) VBS: $(\text{Re } \mathcal{M}, \text{Im } \mathcal{M})$

\mathcal{M} ... monopole operator

Field theory for deconfined criticality



Reformulation:

$$\vec{n} = z^\dagger \vec{\sigma} z$$

... CP¹ parametrization

$z = (z_1, z_2)$... complex “spinon”

CP¹ model:

$$S_z = \int d^2\vec{r} d\tau \left[\sum_{\alpha=1,2} |(\partial_\mu - i a_\mu) z_\alpha|^2 - (|z_1|^2 + |z_2|^2)^2 \right]$$

a_μ ... “photon”

... monopoles = instantons in a_μ

Senthil *et al.*:

Monopoles irrelevant at critical point!

[Senthil *et al.*, Science '04; PRB '04]

Natural field theory: **noncompact** CP¹ model (NCCP¹)

Field theory for deconfined criticality



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Natural field theory: **noncompact** CP¹ model (NCCP¹)

Deconfined QCP = critical point with fractionalized excitations

... with fractionalized excitations being “confined” in either phase

Field theory for deconfined criticality

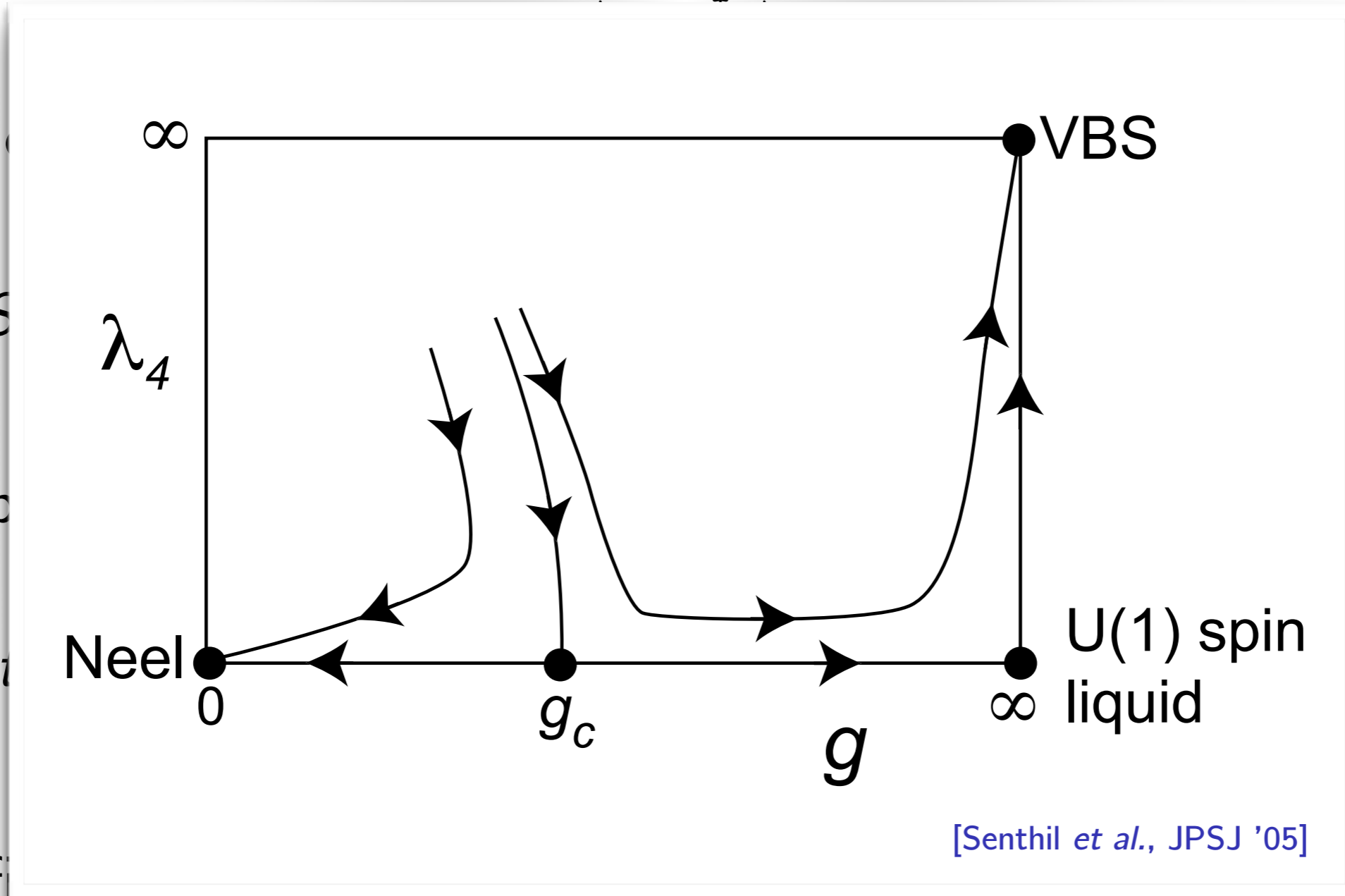


Reformulation:

... CP¹ parametrization

... complex "spinon"

CP¹ model



$a_\mu \dots$ "photon"

... monop

Senthil et

U(1) spin liquid

... '04; PRB '04]

[Senthil et al., JPSJ '05]

Natural f

Deconfined QCP = critical point with fractionalized excitations

... with fractionalized excitations being "confined" in either phase

Alternative formulations of deconfined QCP



Duality **conjecture**:

[Wang, Nahum, Metlitski, Xu, Senthil, PRX '17]

noncompact CP^1 model \iff QED₃-Gross-Neveu model

$$\begin{aligned}
 & (z_1, z_2, z_1^\dagger, z_2^\dagger, b_\mu) \iff (\psi_1, \psi_2, \bar{\psi}_1, \bar{\psi}_2, a_\mu) \\
 & \sum_{\alpha=1,2} |D_b z_\alpha| - (|z_1|^2 + |z_2|^2)^2 \iff \sum_{i=1,2} (\bar{\psi}_i \not{D}_a \psi_i + \phi \bar{\psi}_i \psi_i) + V(\phi) \\
 & \hspace{25em} \dots \text{ with } V(\phi) \text{ tuned to criticality}
 \end{aligned}$$

Explicitly:

$$\begin{aligned}
 (n_1, n_2, n_3, n_4, n_5) & \sim \underbrace{(2 \operatorname{Re} \mathcal{M}_b, 2 \operatorname{Im} \mathcal{M}_b)}_{U(1)} \underbrace{(z^\dagger \sigma_x z, z^\dagger \sigma_y z, z^\dagger \sigma_z z)}_{O(3)} \\
 & \sim \underbrace{[\operatorname{Re}(\psi_1^\dagger \mathcal{M}_a), -\operatorname{Im}(\psi_1^\dagger \mathcal{M}_a), \operatorname{Re}(\psi_2^\dagger \mathcal{M}_a), \operatorname{Im}(\psi_2^\dagger \mathcal{M}_a), \phi]}_{U(2)}
 \end{aligned}$$

... naturally explains **emergent SO(5)**!

... part of “duality web” in 2+1D:

[Seiberg, Senthil, Wang, Witten, Ann. Phys. '16]

[Karch & Tong, PRX '16]

[Thomson & Sachdev, arXiv '17]

...

Consequences of NCCP¹ \iff QED₃-Gross-Neveu



Predictions for critical behavior:

[Wang, Nahum, Metlitski, Xu, Senthil, PRX '17]

- (1) $[z^\dagger \sigma^z z] = [\phi] \implies \eta_{\text{QED}_3\text{-GN}} = \eta_{\text{Néel}} = \eta_{\text{VBS}}$... from $\phi \sim z^\dagger \sigma^z z$
... $\eta_{\text{Néel}} = \eta_{\text{VBS}}$ consistent with QMC
[Sandvik, PRL '07]
- (2) $[z^\dagger z] = [\phi^2] \implies \nu_{\text{QED}_3\text{-GN}} = \nu_{\text{Néel-VBS}}$... from $(\phi^2, \dots) \sim (z^\dagger \sigma^z z, z^\dagger z, \dots)$
- (3) $[\bar{\psi} \sigma^z \psi] = [\phi^2] \implies [\bar{\psi} \sigma^z \psi] = 3 - 1/\nu_{\text{QED}_3\text{-GN}}$... from $\bar{\psi} \sigma^z \psi \sim z^\dagger z$
... nontrivial prediction fully within QED₃-GN

... allows **quantitative test** of duality conjecture

- Here: (a) Existence of QCP in QED₃-GN model? ... prerequisite for duality
(b) Critical behavior? ... & comparison with NCCP¹

QED₃-Gross-Neveu model: GN limit

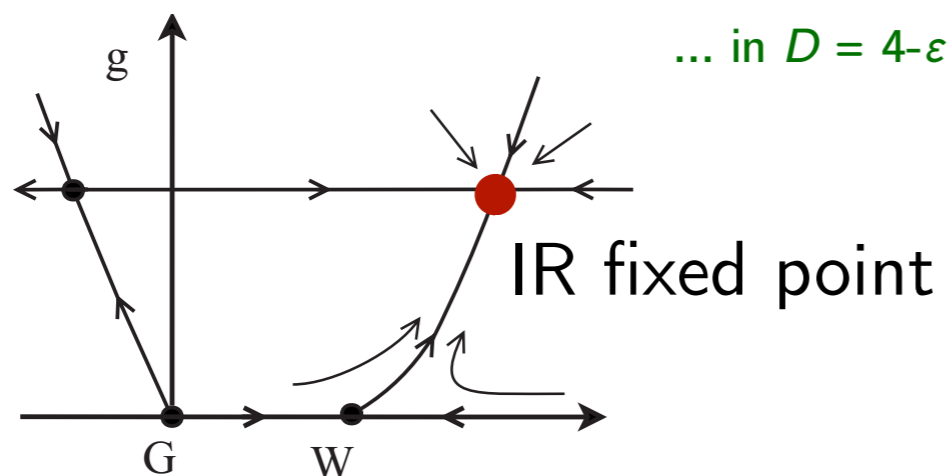
Lagrangian:

$$\mathcal{L}_{\psi\phi} = \sum_{i=1,2} [\bar{\psi}_i (\partial_\mu - i e a_\mu) \gamma_\mu \psi_i + g \phi \bar{\psi}_i \psi_i] + \frac{1}{2} \phi (r - \partial_\mu^2) \phi + \lambda \phi^4$$

... in $D = 2+1$
... $i = 1, \dots, 2N$

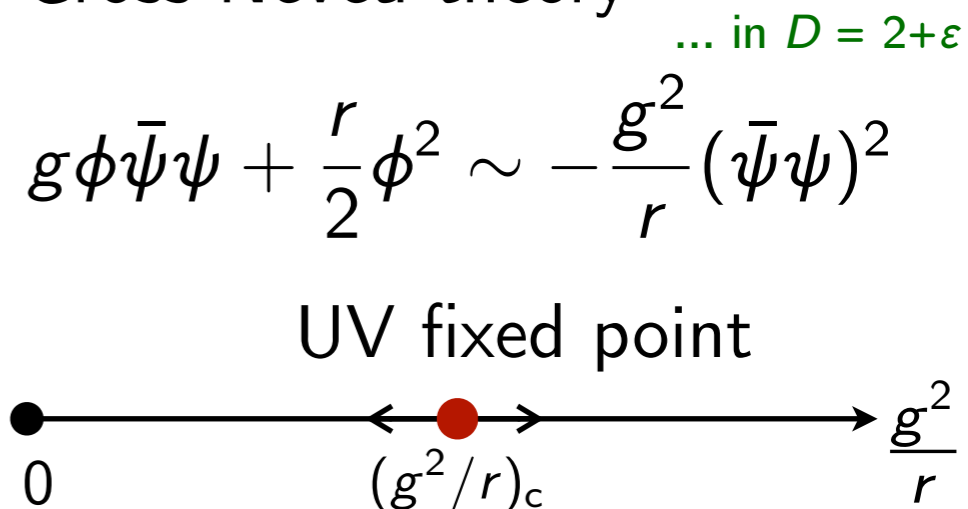
Gross-Neveu limit ($e^2 \rightarrow 0$):

Gross-Neveu-Yukawa theory



[Herbut, Juricic, Vafek, PRB '09]

Gross-Neveu theory



GN-QCP exists for all $2 < D < 4$ and can be understood as either ...
 ... **IR** fixed point of GNY or ... **UV** fixed point of GN

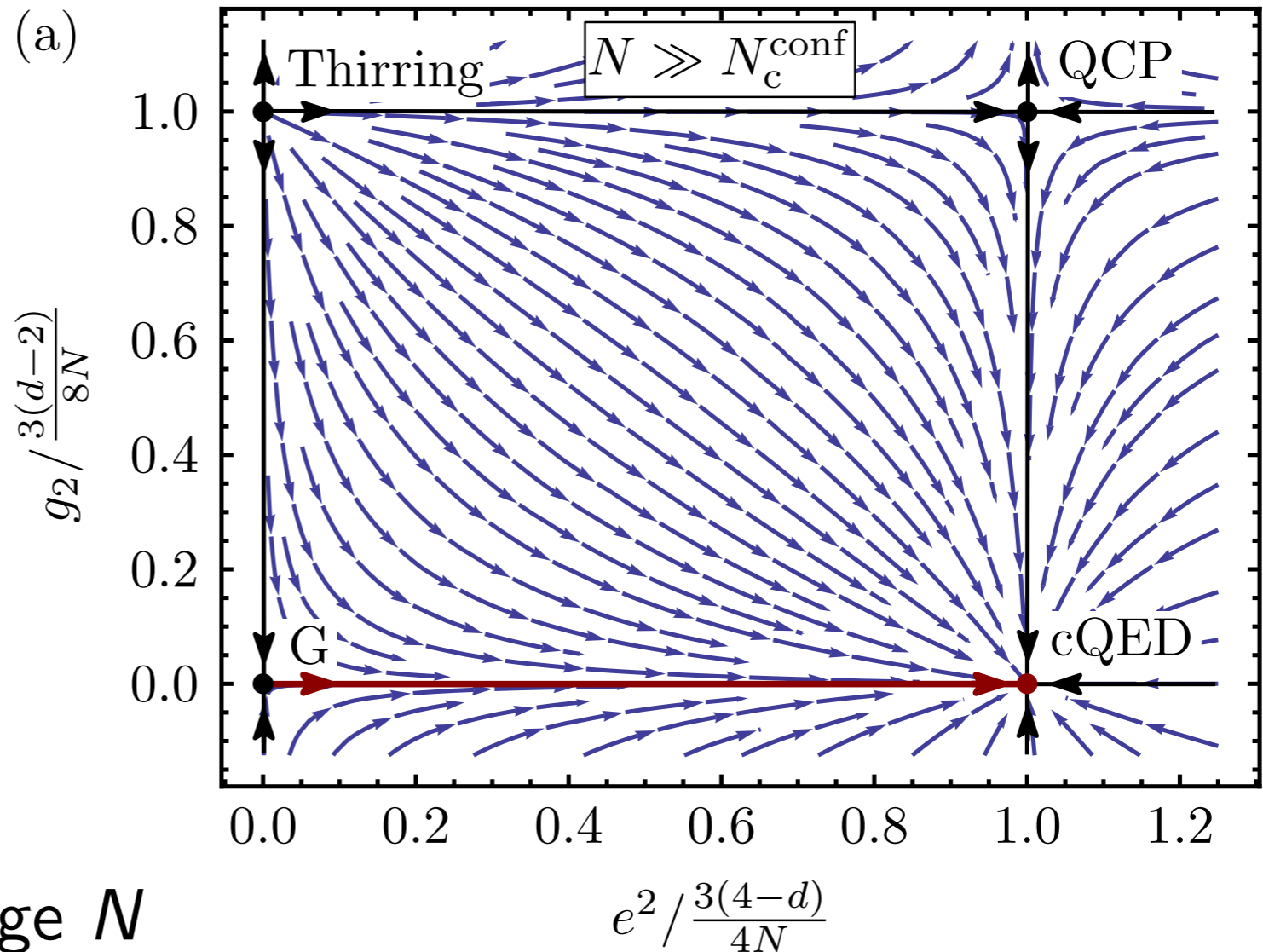
QED₃-Gross-Neveu model: QED₃ limit

Lagrangian:

$$\mathcal{L}_{\psi\phi} = \sum_{i=1,2} [\bar{\psi}_i(\partial_\mu - ie a_\mu)\gamma_\mu\psi_i + g\phi\bar{\psi}_i\psi_i] + \frac{1}{2}\phi(\square - \partial_\mu^2)\phi + \lambda\phi^4$$

QED₃ limit ($g \rightarrow 0$):

(a)



... conformal phase at large N

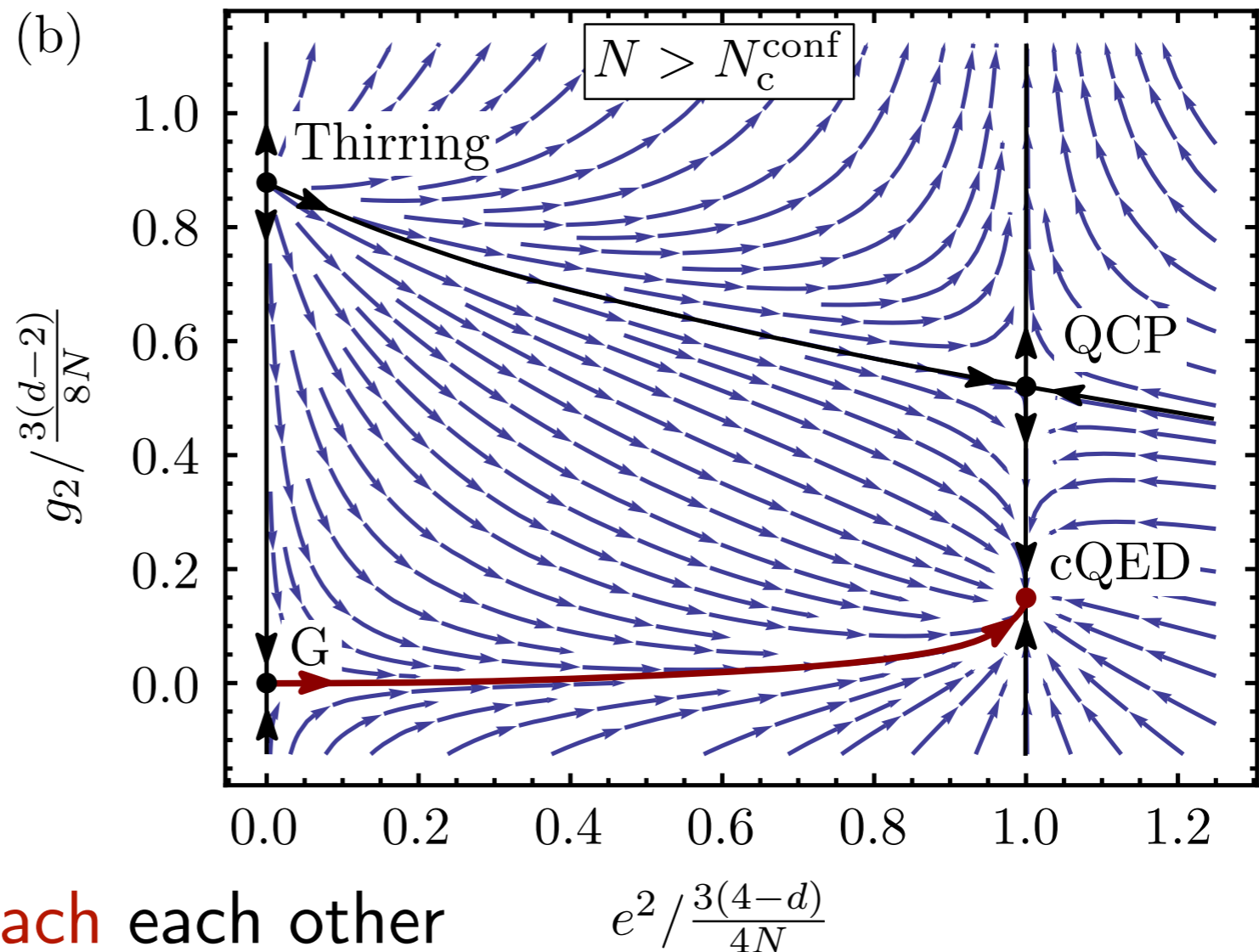
QED₃-Gross-Neveu model: QED₃ limit

Lagrangian:

$$\mathcal{L}_{\psi\phi} = \sum_{i=1,2} [\bar{\psi}_i(\partial_\mu - ie a_\mu)\gamma_\mu\psi_i + g\phi\bar{\psi}_i\psi_i] + \frac{1}{2}\phi(\square - \partial_\mu^2)\phi + \lambda\phi^4$$

QED₃ limit ($g \rightarrow 0$):

(b)



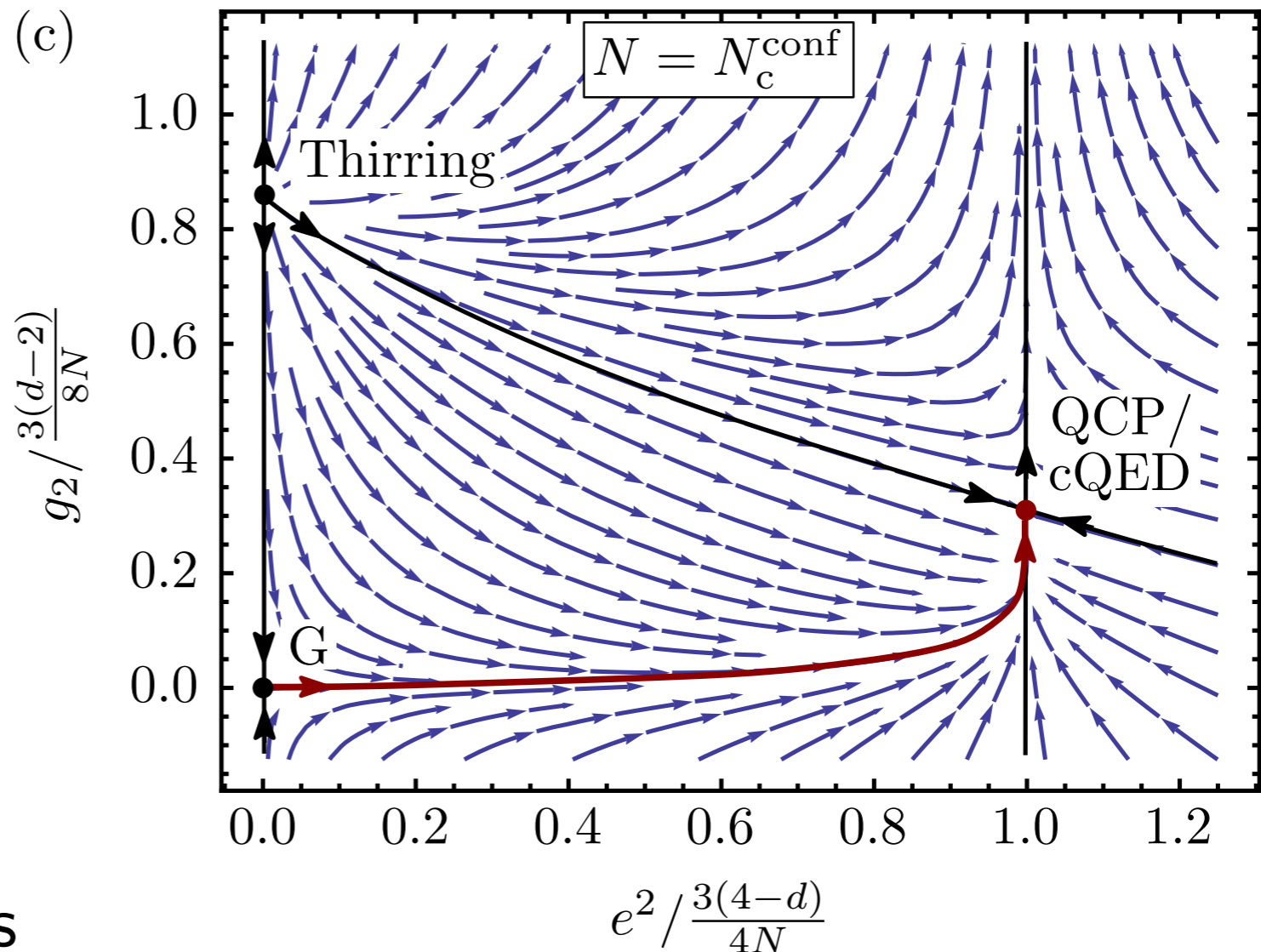
... QCP and cQED **approach** each other

QED₃-Gross-Neveu model: QED₃ limit

Lagrangian:

$$\mathcal{L}_{\psi\phi} = \sum_{i=1,2} [\bar{\psi}_i(\partial_\mu - ie a_\mu)\gamma_\mu\psi_i + g\phi\bar{\psi}_i\psi_i] + \frac{1}{2}\phi(\square - \partial_\mu^2)\phi + \lambda\phi^4$$

QED₃ limit ($g \rightarrow 0$):



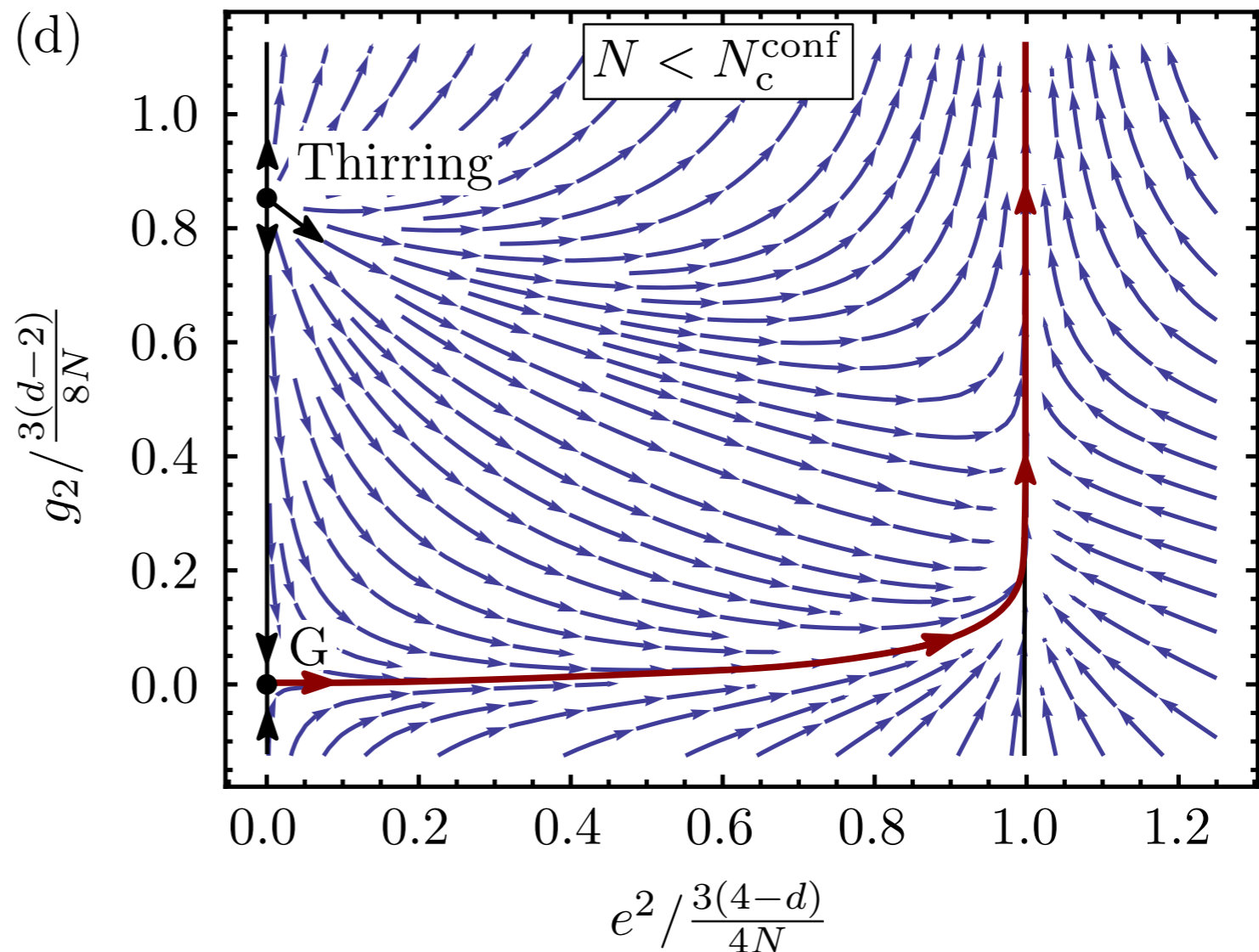
... collision of fixed points

QED₃-Gross-Neveu model: QED₃ limit

Lagrangian:

$$\mathcal{L}_{\psi\phi} = \sum_{i=1,2} [\bar{\psi}_i(\partial_\mu - ie a_\mu)\gamma_\mu\psi_i + g\phi\bar{\psi}_i\psi_i] + \frac{1}{2}\phi(\square - \partial_\mu^2)\phi + \lambda\phi^4$$

QED₃ limit ($g \rightarrow 0$):



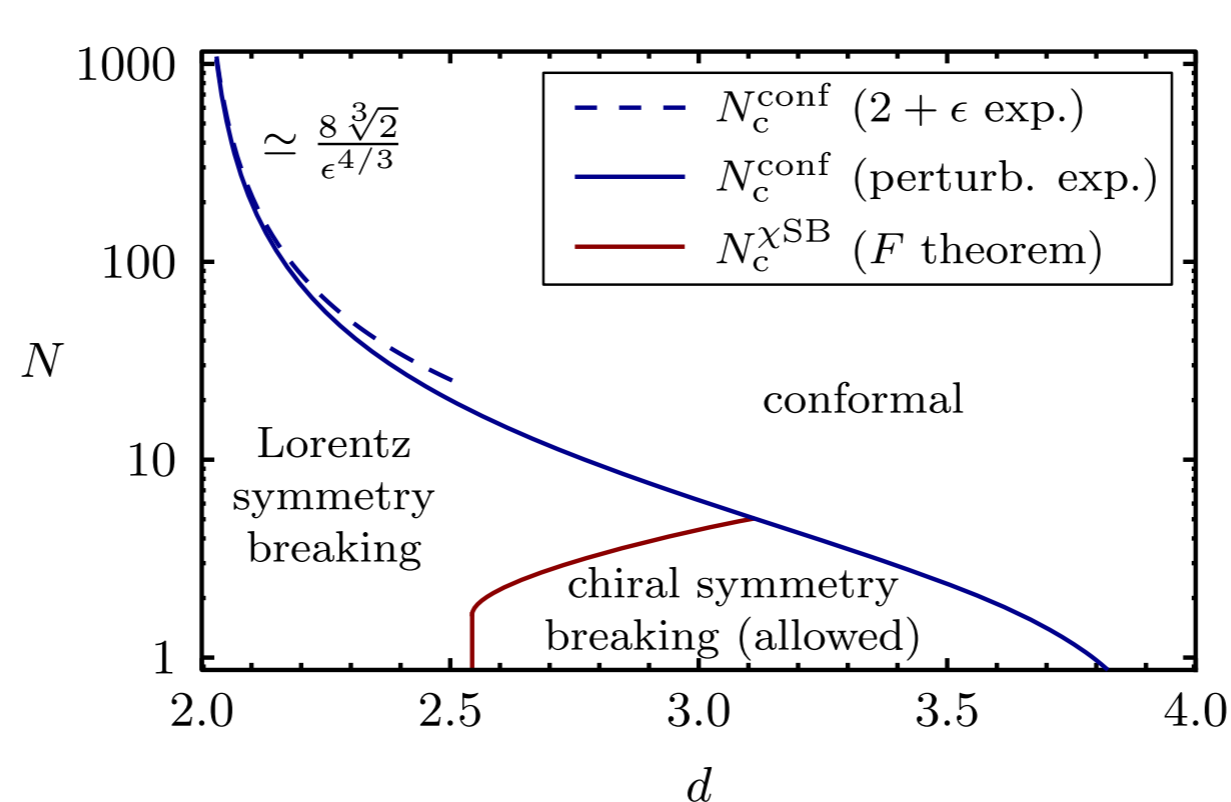
... runaway flow!

QED₃-Gross-Neveu model: QED₃ limit

Lagrangian:

$$\mathcal{L}_{\psi\phi} = \sum_{i=1,2} [\bar{\psi}_i(\partial_\mu - ie a_\mu)\gamma_\mu\psi_i + g\phi\bar{\psi}_i\psi_i] + \frac{1}{2}\phi(\square - \partial_\mu^2)\phi + \lambda\phi^4$$

QED₃ limit ($g \rightarrow 0$):



... QED₃ (potentially) unstable at low N !

→ talk by I. Herbut @ SIFT17

[Appelquist, Nash, Wijewardhana, PRL '88]

[Braun, Gies, LJ, Roscher, PRD '14]

[Di Pietro *et al.*, PRL '16]

[Herbut, PRD '16]

...

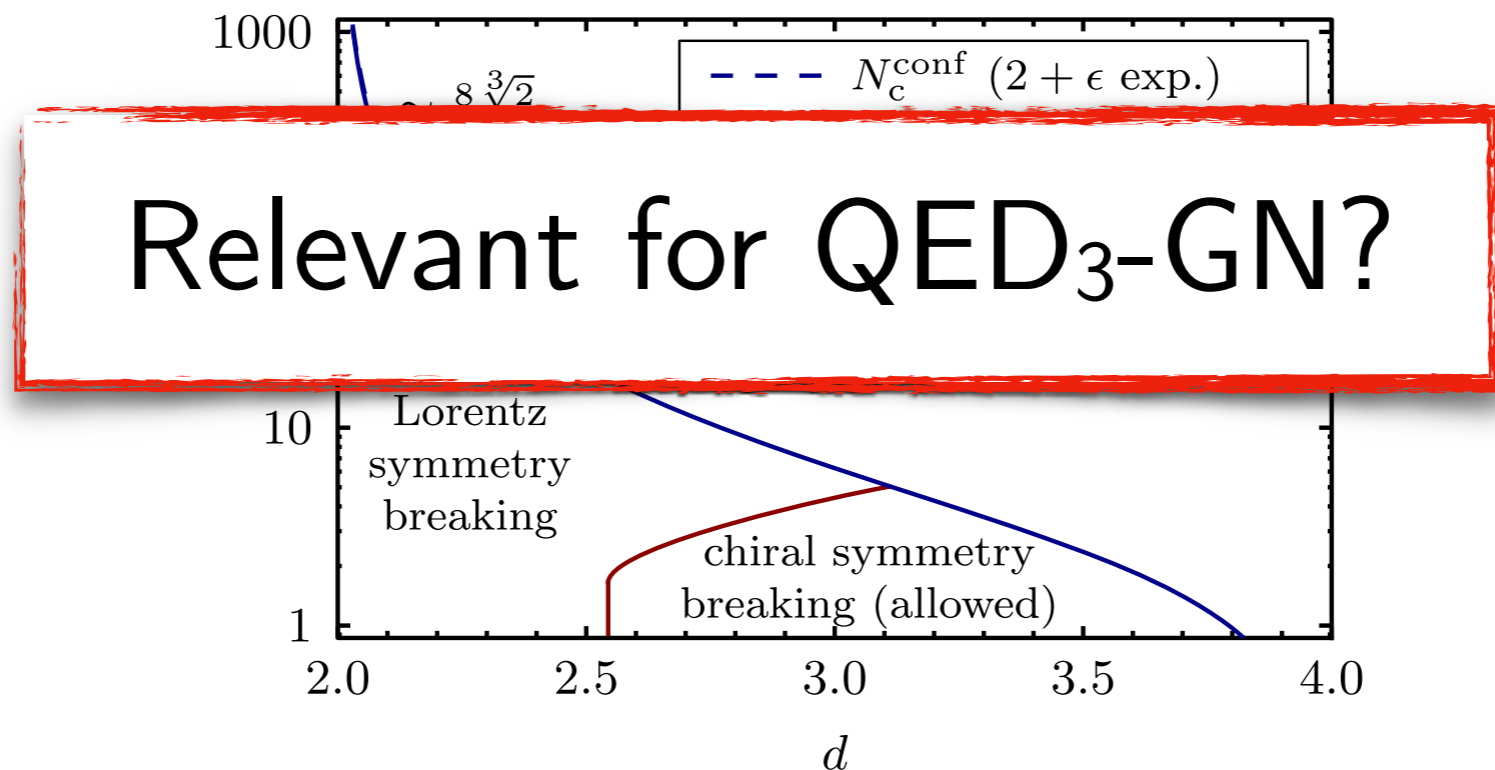
QED₃-Gross-Neveu model: QED₃ limit

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[LJ, PRD '16]



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→ talk by I. Herbut @ SIFT17

...

QED₃-GN model: Fermionic RG

Integrate out ϕ :

$$g\phi\bar{\psi}_i\psi_i + \frac{r}{2}\phi^2 \mapsto u(\bar{\psi}_i\psi_i)^2$$

... u will also generate other four-fermion terms

General four-fermion theory compatible with $U(2N)$:

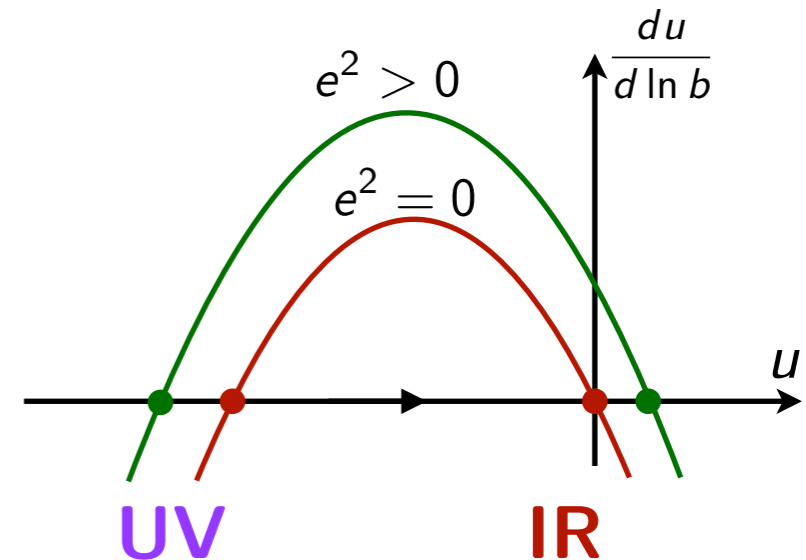
[Gies & LJ, PRD '10]

$$\mathcal{L}_\psi = \bar{\psi}_i\gamma_\mu(\partial_\mu - ia_\mu)\psi + u(\bar{\psi}_i\psi_i)^2 + v(\bar{\psi}_i\gamma_\mu\psi_i)^2$$

One-loop RG:

... at large N

$$\frac{du}{d\ln b} = -u - 8u^2 + 2e^4$$



QED₃-GN model: Fermionic RG

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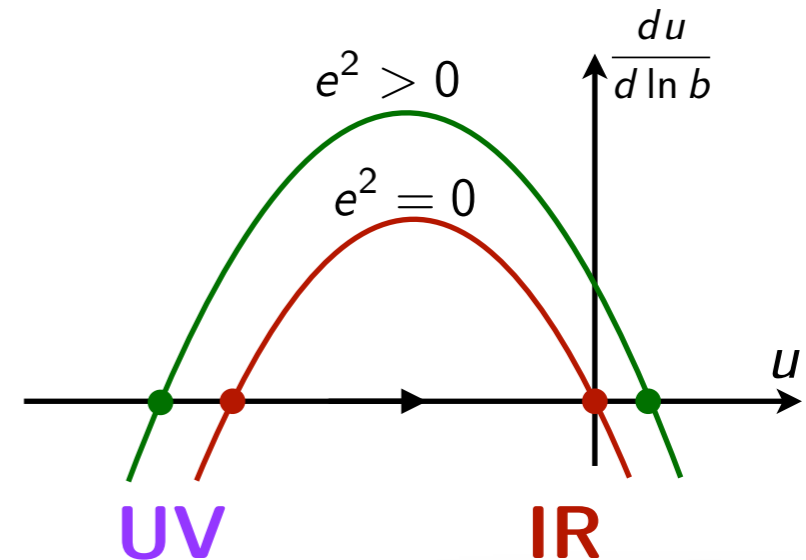
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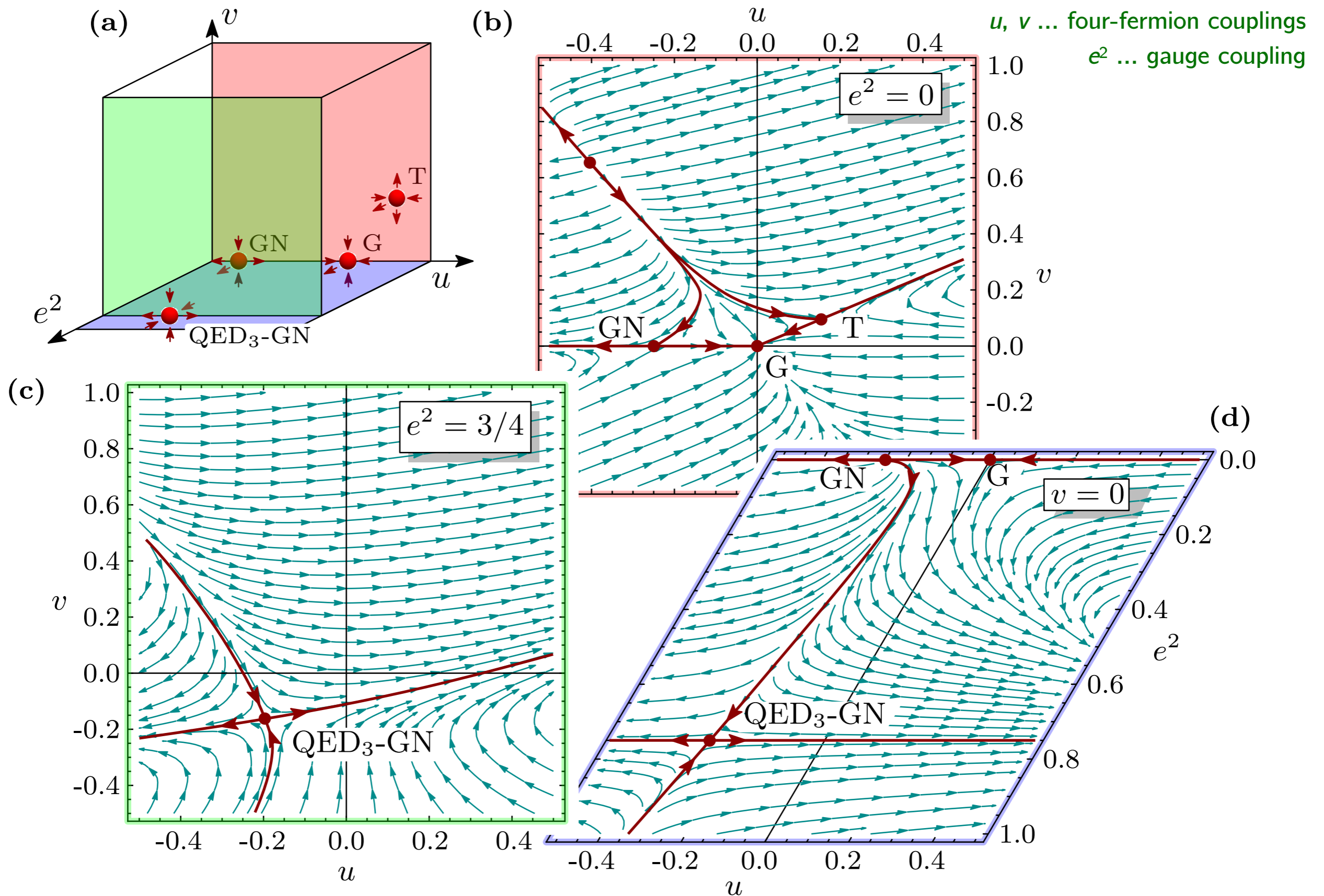


... gauge fluctuations **stabilize** QED₃-GN fixed point!

... in contrast to QED₃-Thirring fixed point

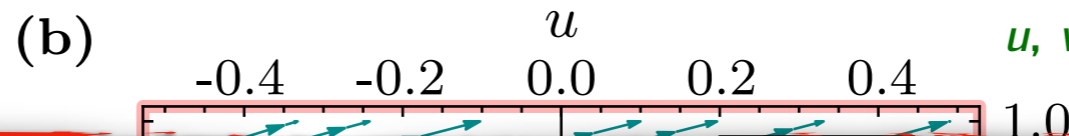
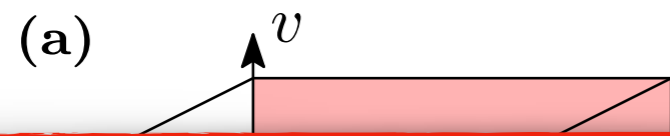
Fermionic RG: Flow diagram

[LJ & Y-C He, PRB '17]



Fermionic RG: Flow diagram

[LJ & Y-C He, PRB '17]



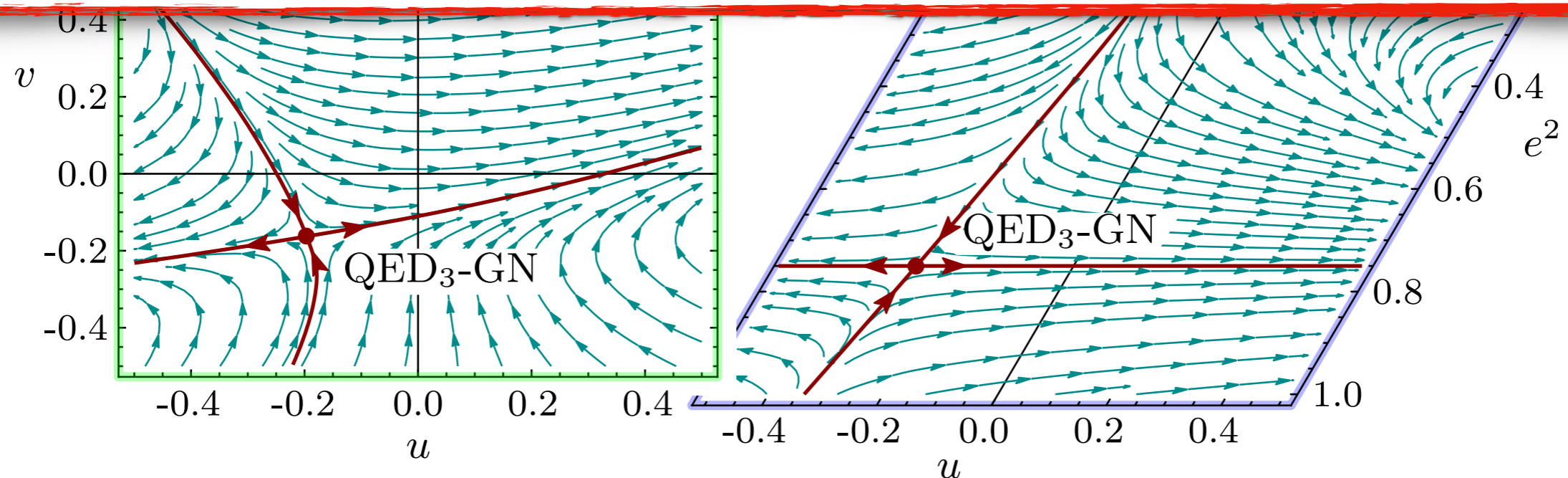
$u, v \dots$ four-fermion couplings
 $e^2 \dots$ gauge coupling

Critical exponents:

$$1/\nu = 1 + \mathcal{O}(1/N)$$

$$[\bar{\psi}\psi] = 1 + \mathcal{O}(1/N) \quad \Rightarrow \quad \eta_\phi = 1 + \mathcal{O}(1/N) \quad \dots \text{large anom. dimension!}$$

$$[\bar{\psi}\sigma^z\psi] = 2 + \mathcal{O}(1/N) \quad \Rightarrow \quad \eta_{\bar{\psi}\sigma^z\psi} = \mathcal{O}(1/N) \quad \dots \text{trivial}$$



QED₃-GN model: 4- ε expansion

Lagrangian:

$$\mathcal{L}_{\psi\phi} = \sum_{i=1,2} [\bar{\psi}_i(\partial_\mu - ie a_\mu)\gamma_\mu\psi_i + g\phi\bar{\psi}_i\psi_i] + \frac{1}{2}\phi(r - \partial_\mu^2)\phi + \lambda\phi^4$$

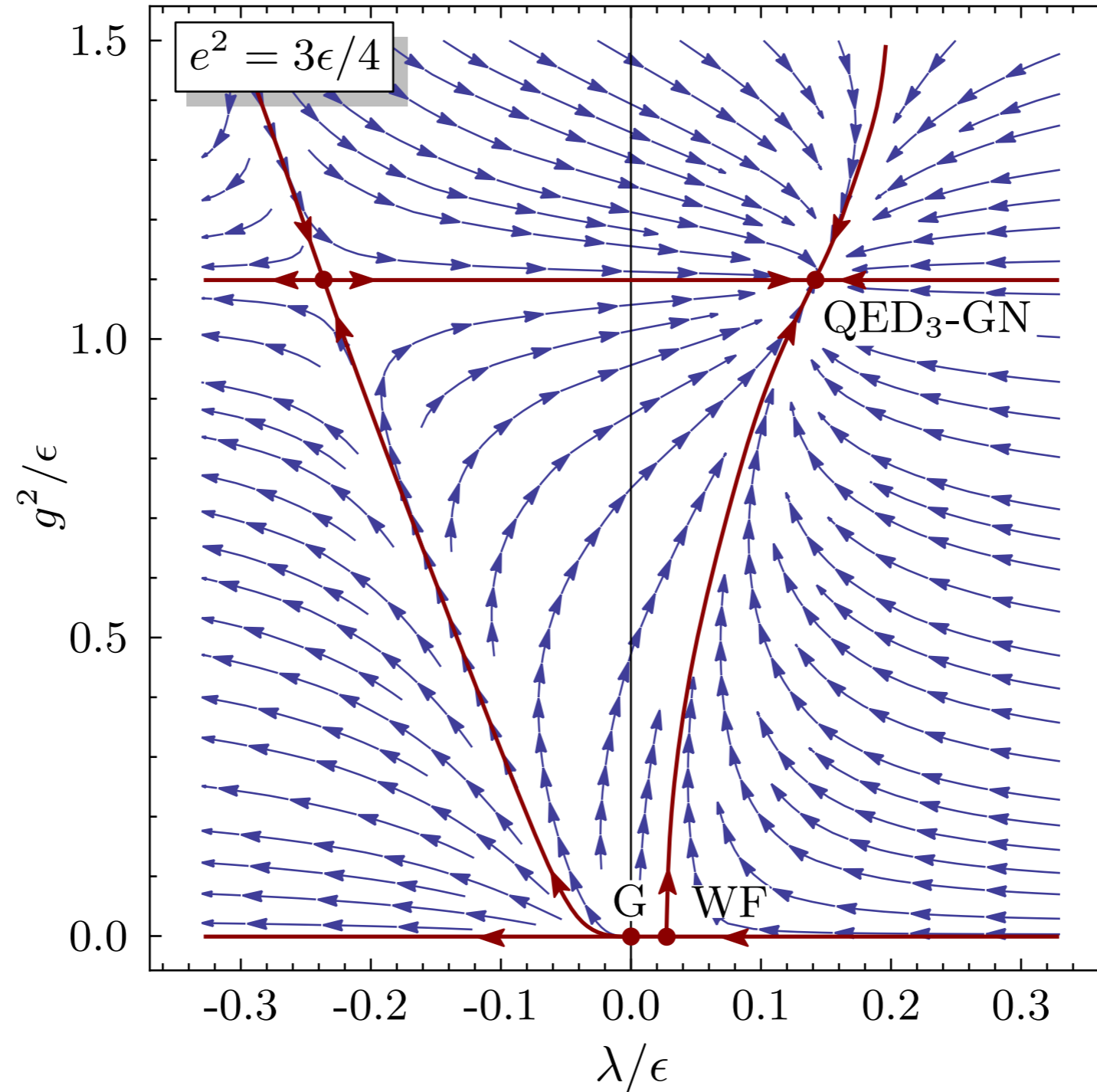
Engineering dimensions:

$$[e^2] = 4 - D, \quad [g] = \frac{4 - D}{2}, \quad [\lambda] = 4 - D$$

... become **simultaneously marginal** near $D = 3+1$!

ε expansion in $D = 4 - \varepsilon$ possible!

QED₃-GN model: Flow diagram in $D = 4 - \epsilon$



... for $N = 1$

... fully IR **stable** fixed point

[LJ & Y-C He, PRB '17]

QED₃-GN model: Critical exponents

$$D = 4 - \epsilon :$$

$$\eta_a = \epsilon$$

$$\eta_\phi = \frac{2N + 9}{2N + 3} \epsilon + \mathcal{O}(\epsilon^2)$$

$$\nu = \frac{1}{2} + \frac{10N^2 + 39N + f(N)}{24N(2N + 3)} \epsilon + \mathcal{O}(\epsilon^2)$$

... with $f(N) \equiv \sqrt{4N^4 + 204N^3 + 1521N^2 + 2916N}$

$$[\bar{\psi}\sigma^z\psi] = 3 - \frac{2N + 6}{2N + 3} \epsilon + \mathcal{O}(\epsilon^2)$$

... large $\mathcal{O}(\epsilon)$ corrections

Combine with results from $D = 2 + \epsilon$:

$$\eta_\phi = 2 - (D - 2) + \mathcal{O}(1/N, (D - 2)^2)$$

$$1/\nu = (D - 2) + \mathcal{O}(1/N, (D - 2)^2)$$

$$[\bar{\psi}\sigma^z\psi] = 1 + (D - 2) + \mathcal{O}(1/N, (D - 2)^2)$$

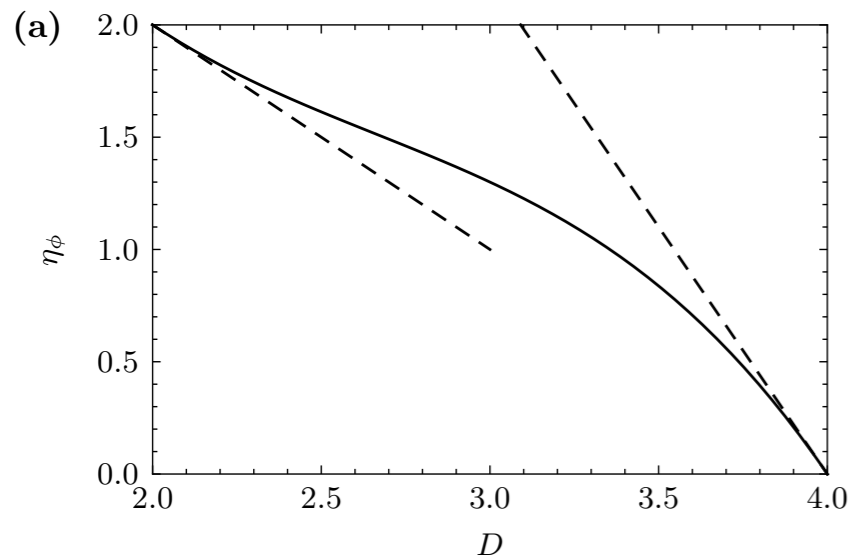
QED₃-GN model: Critical exponents

[LJ & Y-C He, PRB '17]

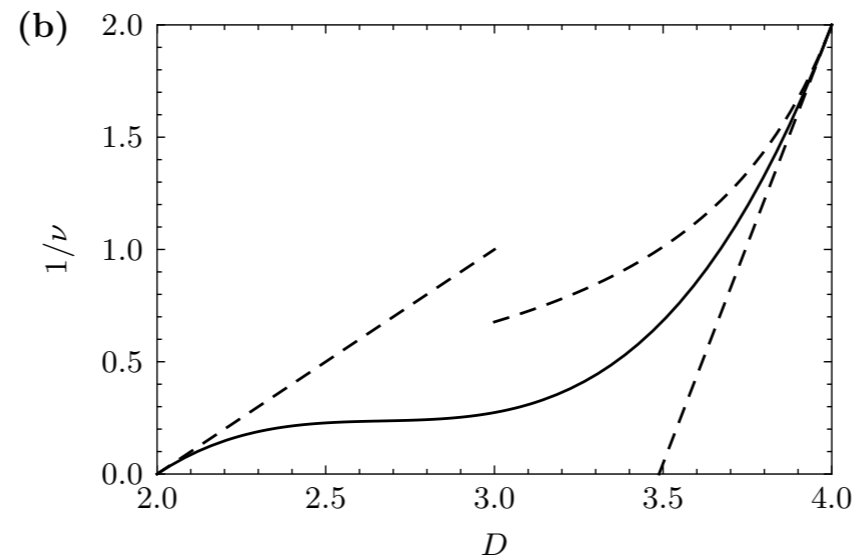
$N = 1$:

dashed: ϵ -expansion results

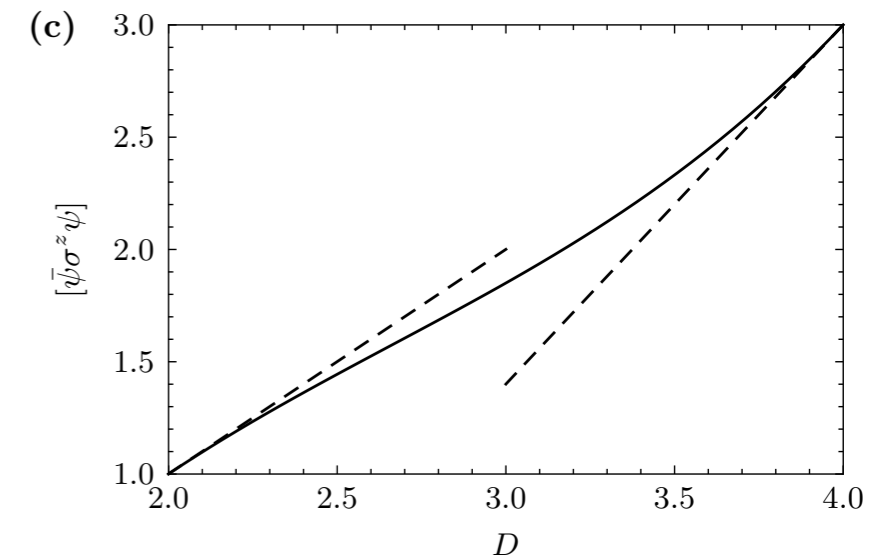
solid: interpolation



$$\eta_\phi \approx 1.3(9)$$



$$1/\nu \approx 0.3(4)$$



$$[\bar{\psi}\sigma^z\psi] \approx 1.8(5)$$

... error: difference to plain extrapolation

Comparison: QED₃-GN vs. NCCP¹

QED ₃ -GN	SU(2) NCCP ¹	
$[\phi] \approx (1 + 1.3(9))/2$	$[z^\dagger \sigma^z z] \approx (1 + 0.26(3))/2$	[Sandvik, PRL '07]
	$\approx (1 + 0.35(3))/2$	[Melko & Kaul, PRL '08]
	$\approx (1 + 0.25(3))/2$	[Nahum <i>et al.</i> , PRX '15]
	$\approx (1 + 0.22)/2$	[Bartosch, PRB '13]
$[\bar{\psi} \sigma^z \psi] \approx 3 - 1.2(5)$	$[z^\dagger z] \approx 3 - 1.28(5)$	[Sandvik, PRL '07]
	$\approx 3 - 1.47(9)$	[Melko & Kaul, PRL '08]
	$\approx 3 - 1.99(4)$	[Nahum <i>et al.</i> , PRX '15]
	$\approx 3 - 1.79$	[Bartosch, PRB '13]
$[\phi^2] \approx 3 - 0.3(4)$	$[z^\dagger z] \approx$	—see above—

$$\dots [\phi] \sim [z^\dagger \sigma^z z] = (1 + \eta)/2$$

$$\dots [\bar{\psi} \sigma^z \psi] \sim [\phi^2] = 3 - 1/\nu$$

... $[\bar{\psi} \sigma^z \psi]$ not inconsistent, but large **deviations** for $[\phi]$ and $[\phi^2]$

Conclusions

QED₃-Gross-Neveu model ...

... interesting due to possible duality with NCCP¹

... i.e., theory of Néel-VBS deconfined critical point

... has a stable fixed point

... even when cQED₃ collides with another QCP

... prerequisite for duality to hold

... critical behavior computable within 4- ϵ expansion

... all couplings simultaneously marginal

... but higher orders necessary to test of duality

... large anomalous dimension η_ϕ

... **however**: large η_ϕ necessary for emergent SO(5)

[Nakayama & Ohtsuki, PRL '16]

→ poster by B. Ihrig @ SIFT17