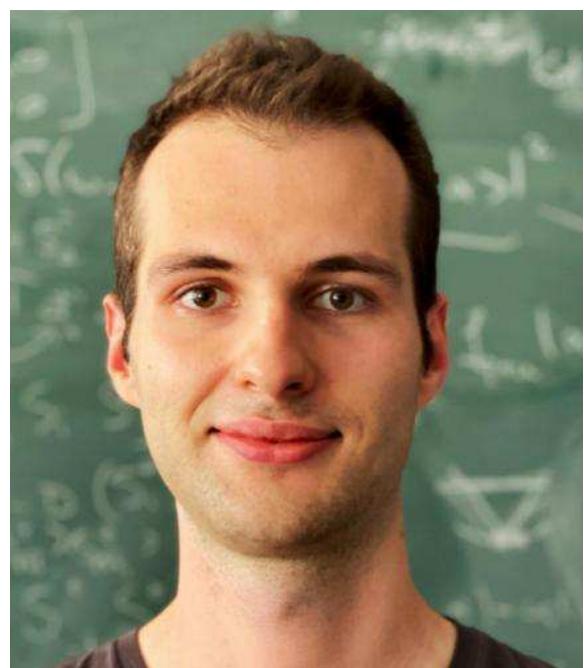
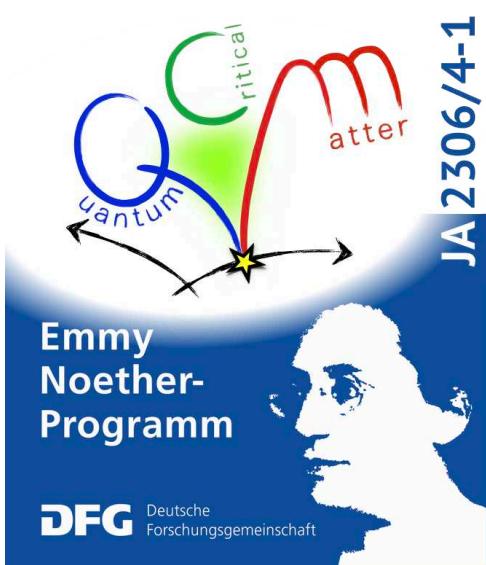


# Interacting Majorana fermions

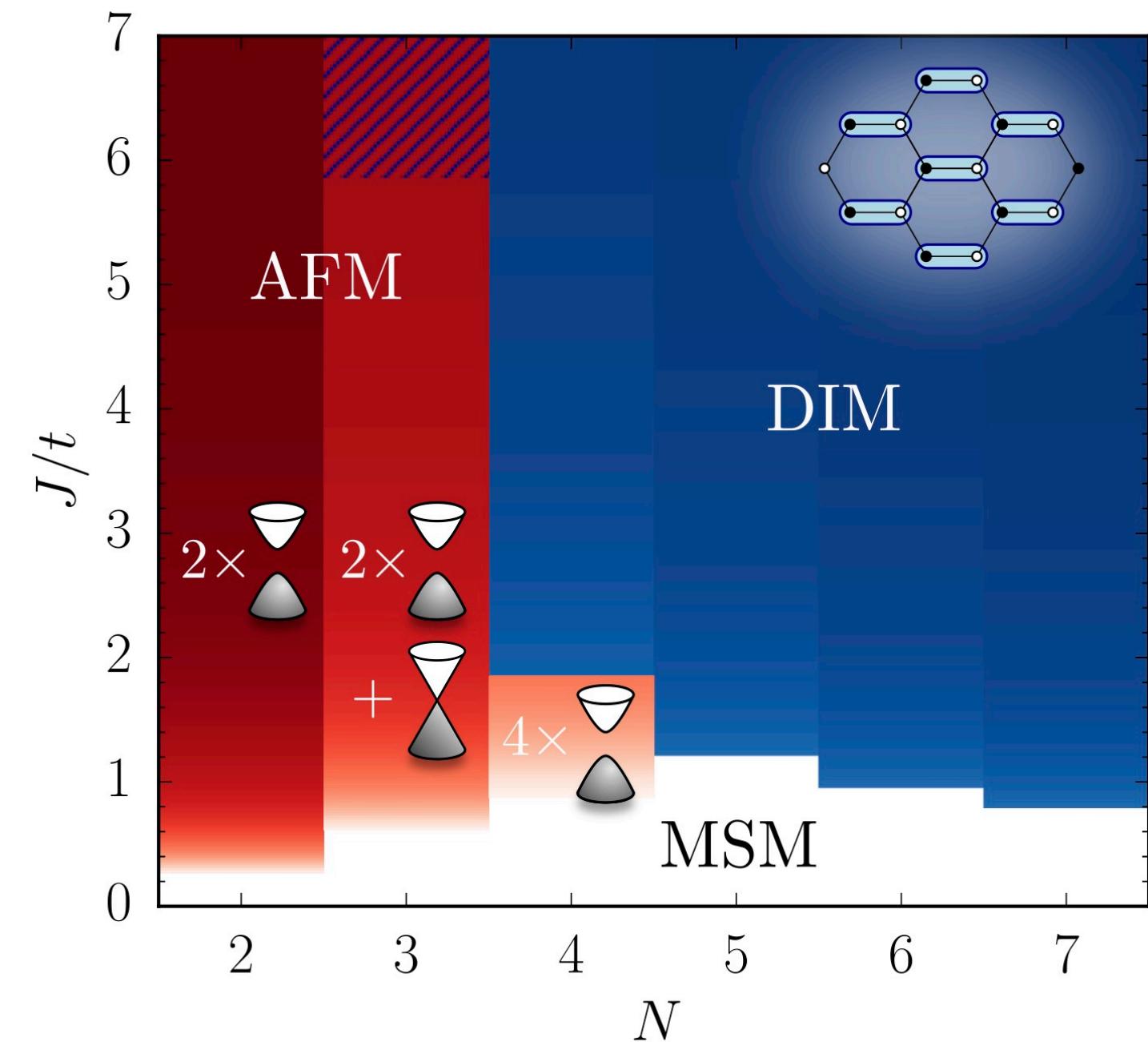
Lukas Janssen  
TU Dresden



Urban Seifert (UCSB)



 **ct.qmat**  
Complexity and Topology  
in Quantum Matter  
Würzburg-Dresden Cluster of Excellence



Sreejith Chulliparambil (TUD)  
Hong-Hao Tu (TUD)  
Matthias Vojta (TUD)  
Xiao-Yu Dong (Ghent)

Shouryya Ray (TUD)  
John Gracey (Liverpool)  
Bernhard Ihrig (Cologne)  
Daniel Kruti (Cologne)  
Michael Scherer (Bochum)



# Outline

- (1) Introduction
- (2)  $\text{SO}(N)$  Majoranas in frustrated magnets
- (3)  $\text{SO}(N)$  Majorana-Hubbard models
- (4) Conclusions

Slides available on <https://tu-dresden.de/physik/qcm/vortraege>



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# Motivation: $SU(N)$ Hubbard-Heisenberg models

Hamiltonian:

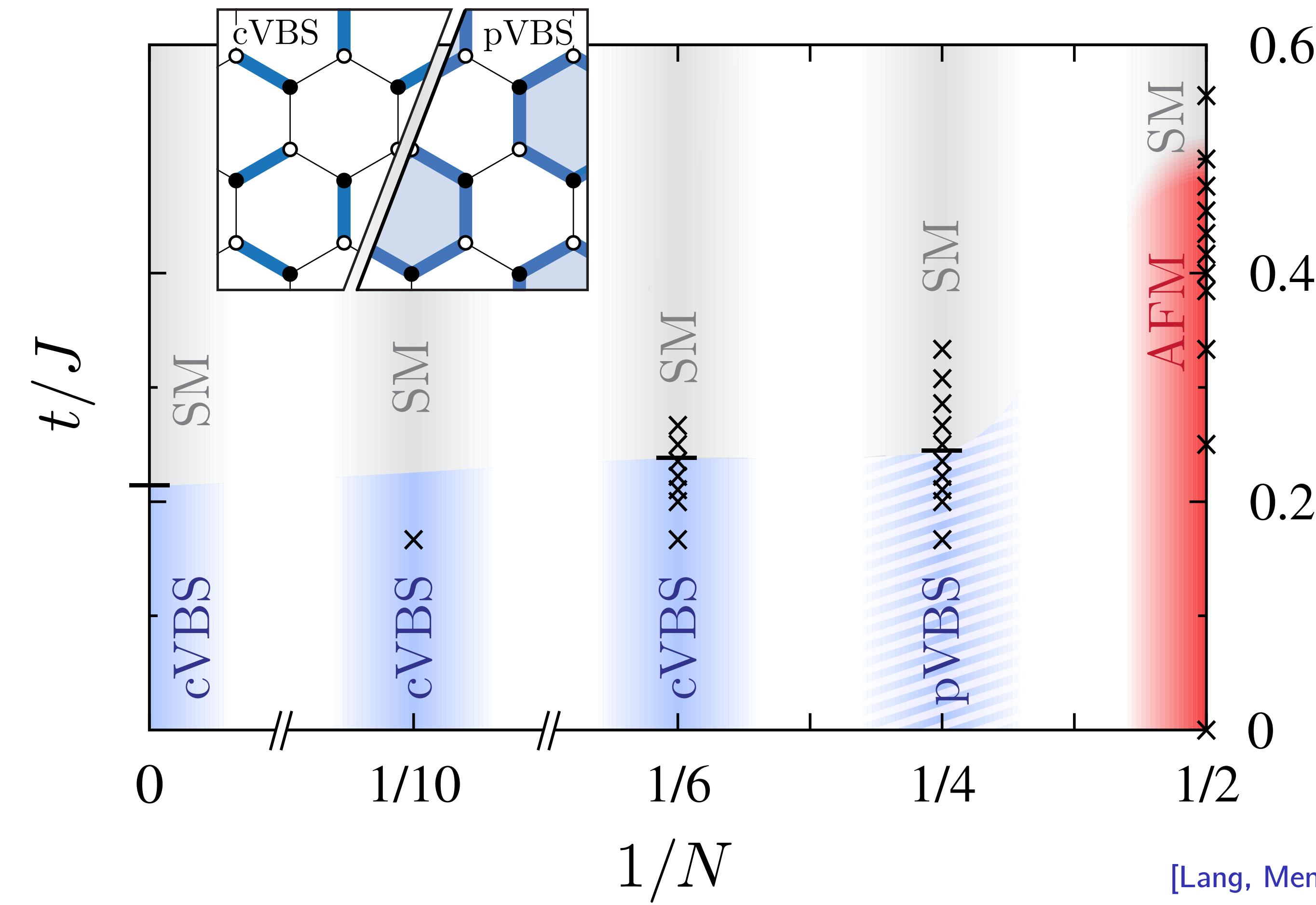
$$H = -t \sum_{\langle ij \rangle, \alpha} (c_{i\alpha}^\dagger c_{j\alpha} + \text{H.c.}) - \frac{J}{2N} \sum_{\langle ij \rangle, \alpha, \beta} (c_{i\alpha}^\dagger c_{j\alpha} c_{j\beta}^\dagger c_{i\beta} + c_{j\alpha}^\dagger c_{i\alpha} c_{i\beta}^\dagger c_{j\beta})$$

$\alpha, \beta = 1, \dots, N$

[Affleck & Marston, PRB '88]

[Read & Sachdev, NPB '89]

Phase diagram:



... on honeycomb lattice

[Lang, Meng, Muramatsu, Wessel, Assaad, PRL '13]

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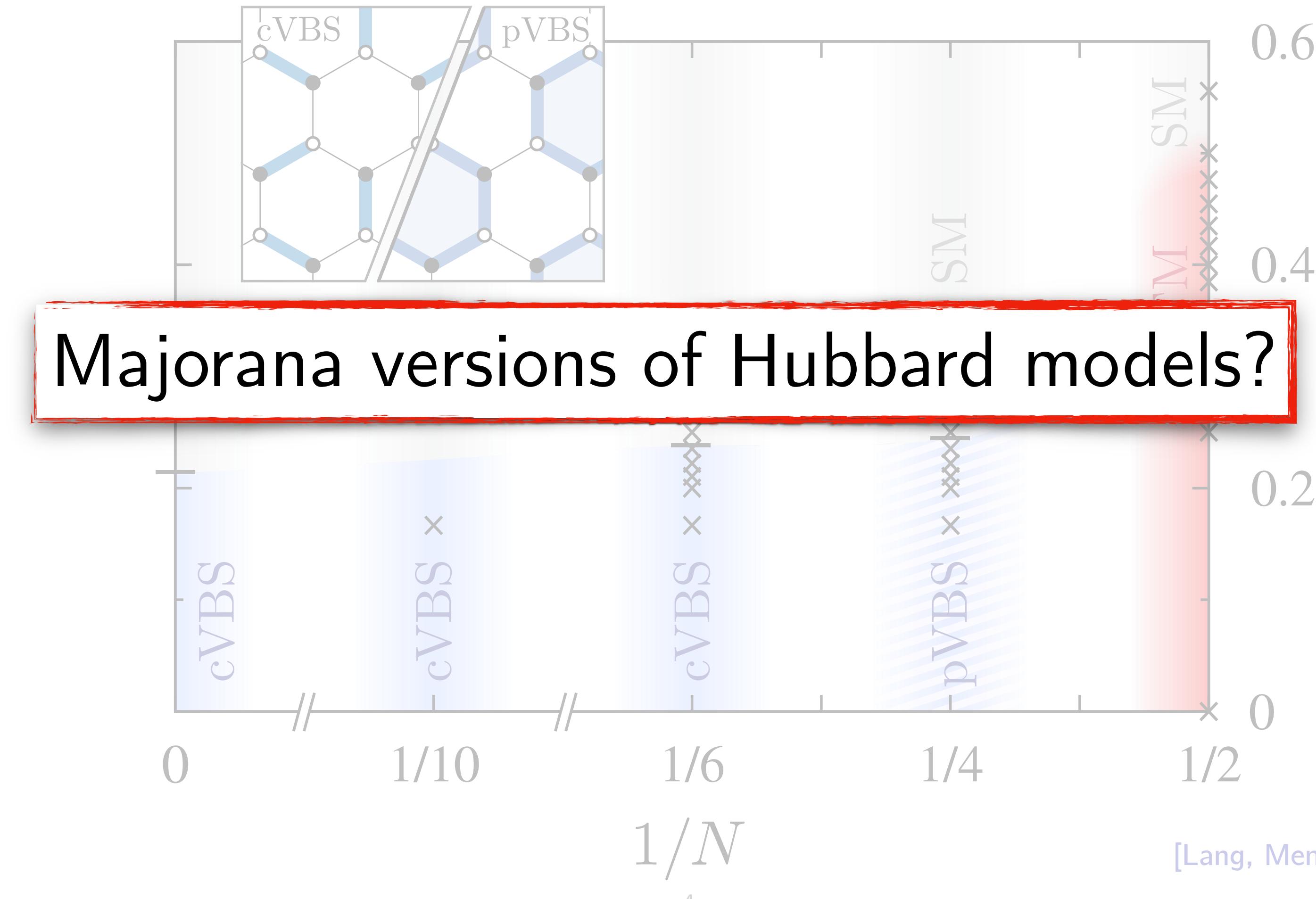
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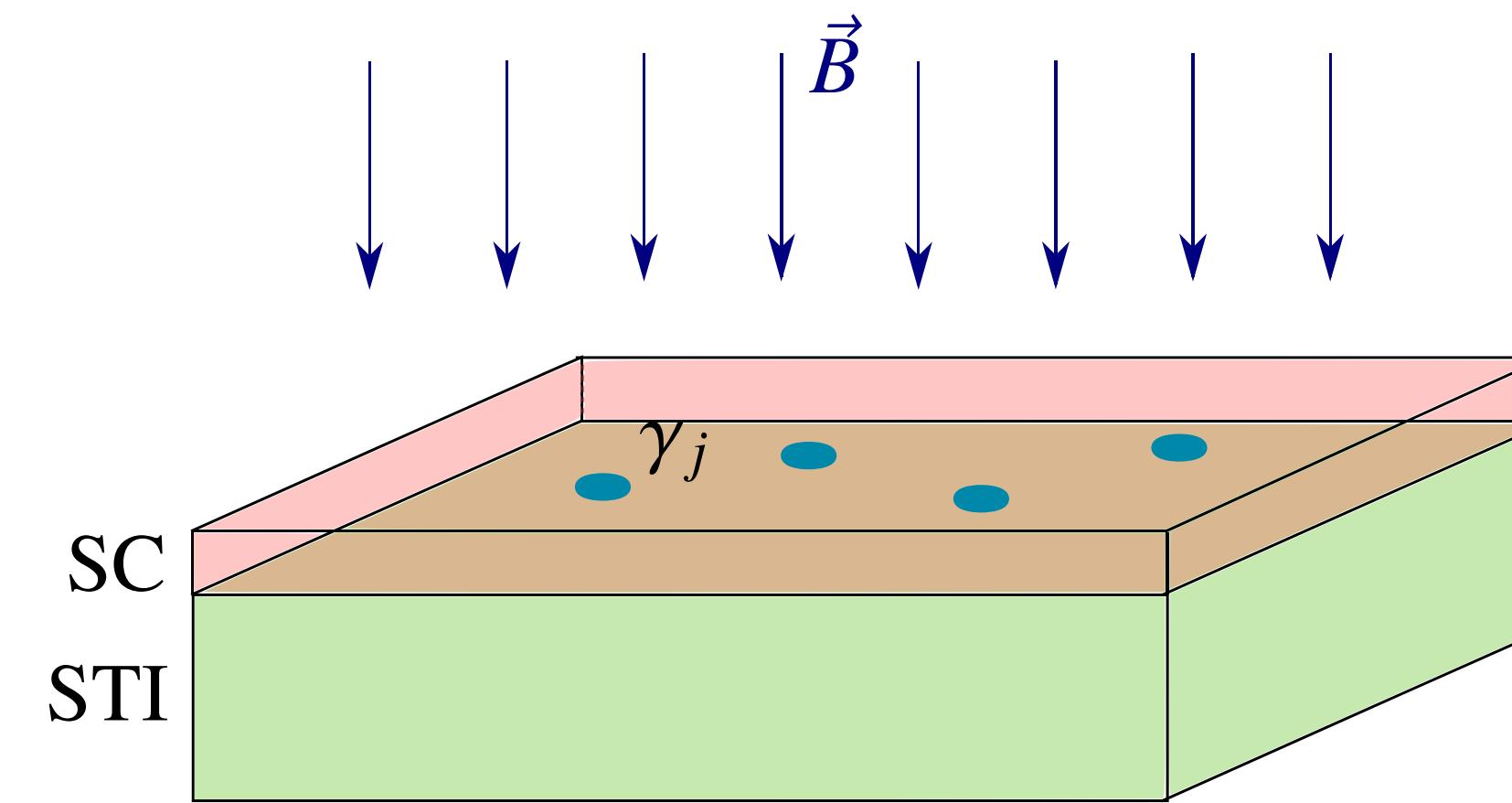
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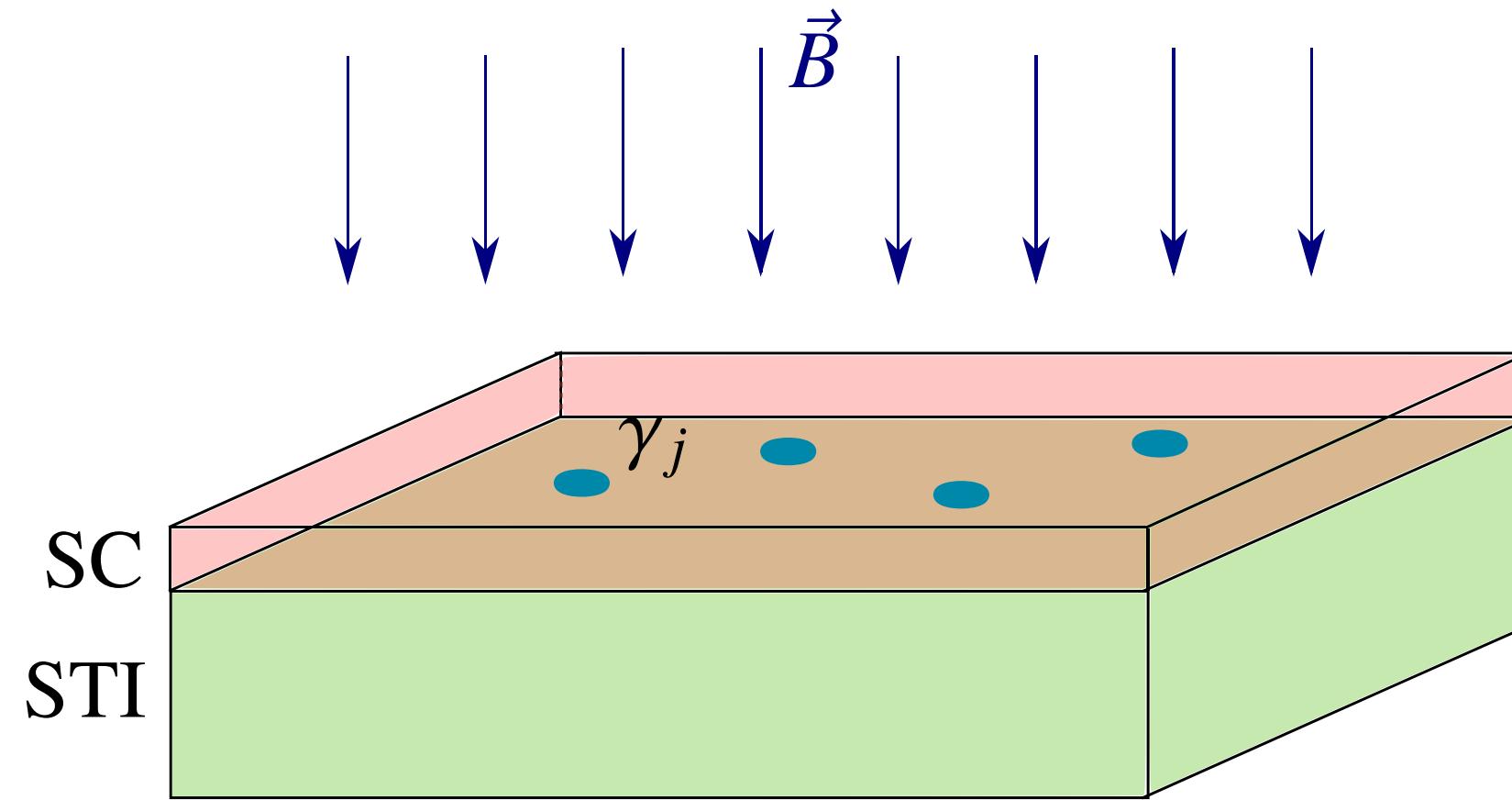
# Realization #1: Superconductor / topological insulator heterostructures



[Fu & Kane, PRL '08]

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Effective model:

$$H = \sum_{ij} i t_{ij} \gamma_i \gamma_j + \sum_{ijkl} g_{ijkl} \gamma_i \gamma_j \gamma_k \gamma_l + \dots$$

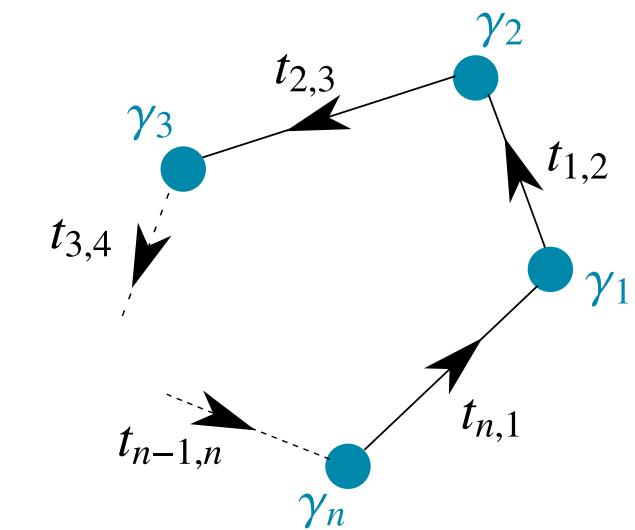
“Majorana-Hubbard models”

Grosfeld-Stern rule:

$$\arg(i^n t_{1,2} \dots t_{n-1,n} t_{n,1}) = \frac{\pi}{2}(n - 2)$$

Square lattice:  $\pi$  flux

Honeycomb lattice: 0 flux



[Grosfeld & Stern, PRB '06]

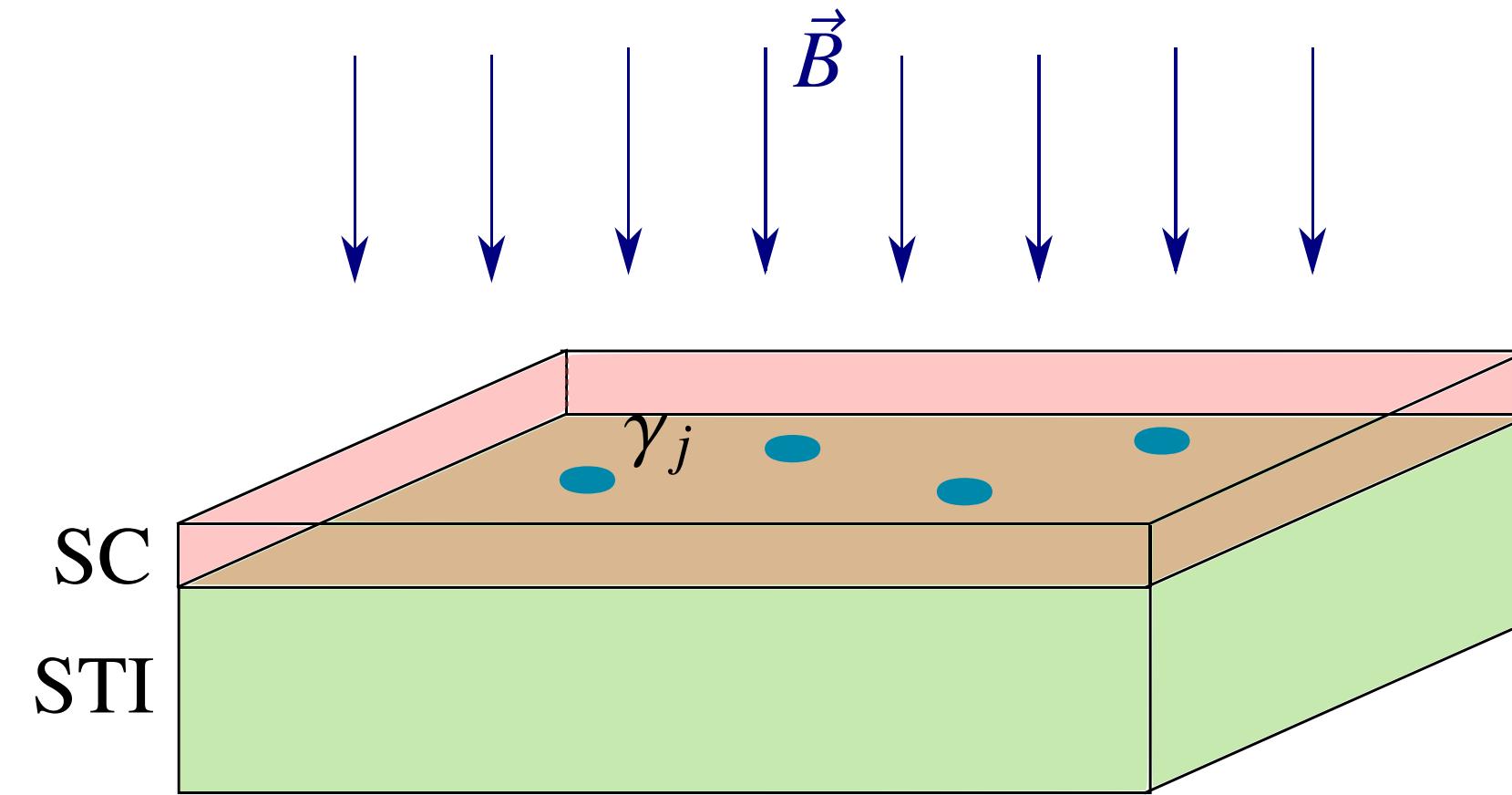
[Liu & Franz, PRB '15]

[Wamer & Affleck, PRB '18]

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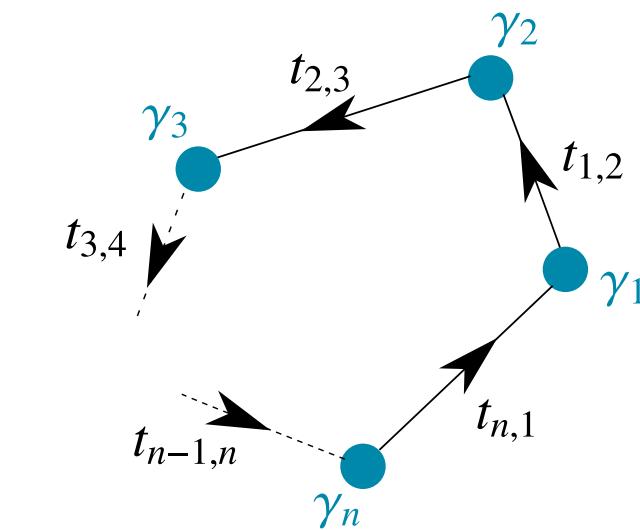
tunable by gate voltage

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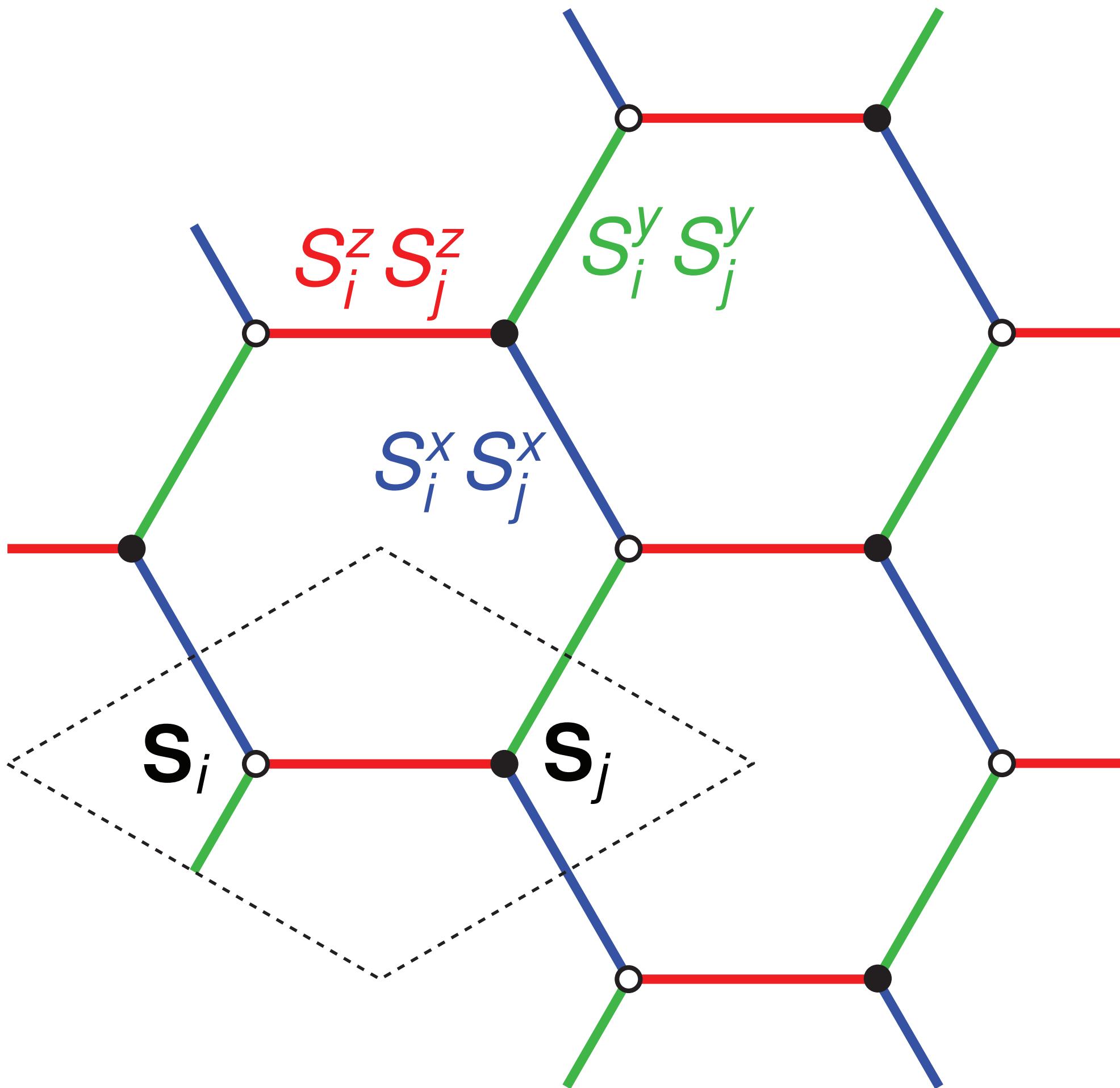
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# Realization #2: Kitaev honeycomb model

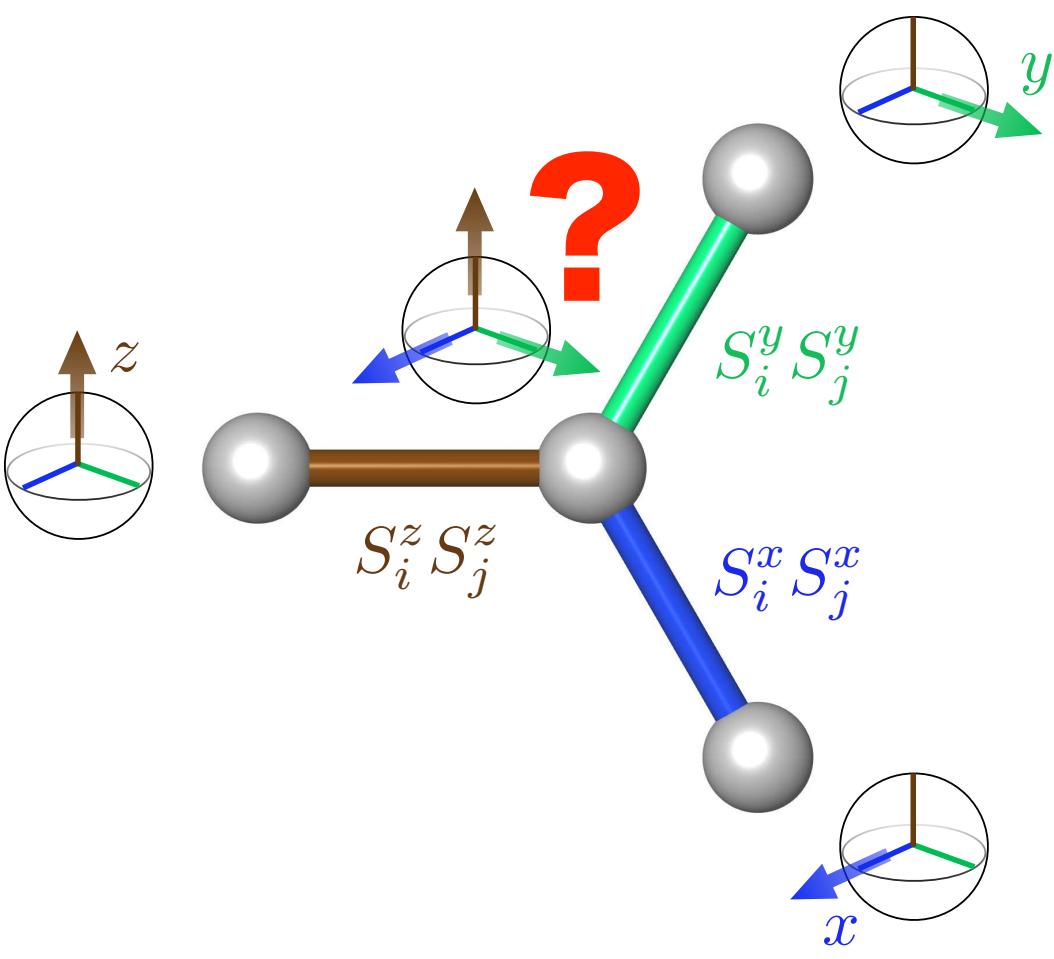
Spin-1/2 on honeycomb lattice:



Hamiltonian:

$$H = -K_x \sum_{\text{blue links}} \sigma_i^x \sigma_j^x - K_y \sum_{\text{green links}} \sigma_i^y \sigma_j^y - K_z \sum_{\text{red links}} \sigma_i^z \sigma_j^z$$

[Kitaev, Ann. Phys. '06]



Exchange frustration

Review: [Trebst, arXiv:1701.07056]



Alexei Kitaev

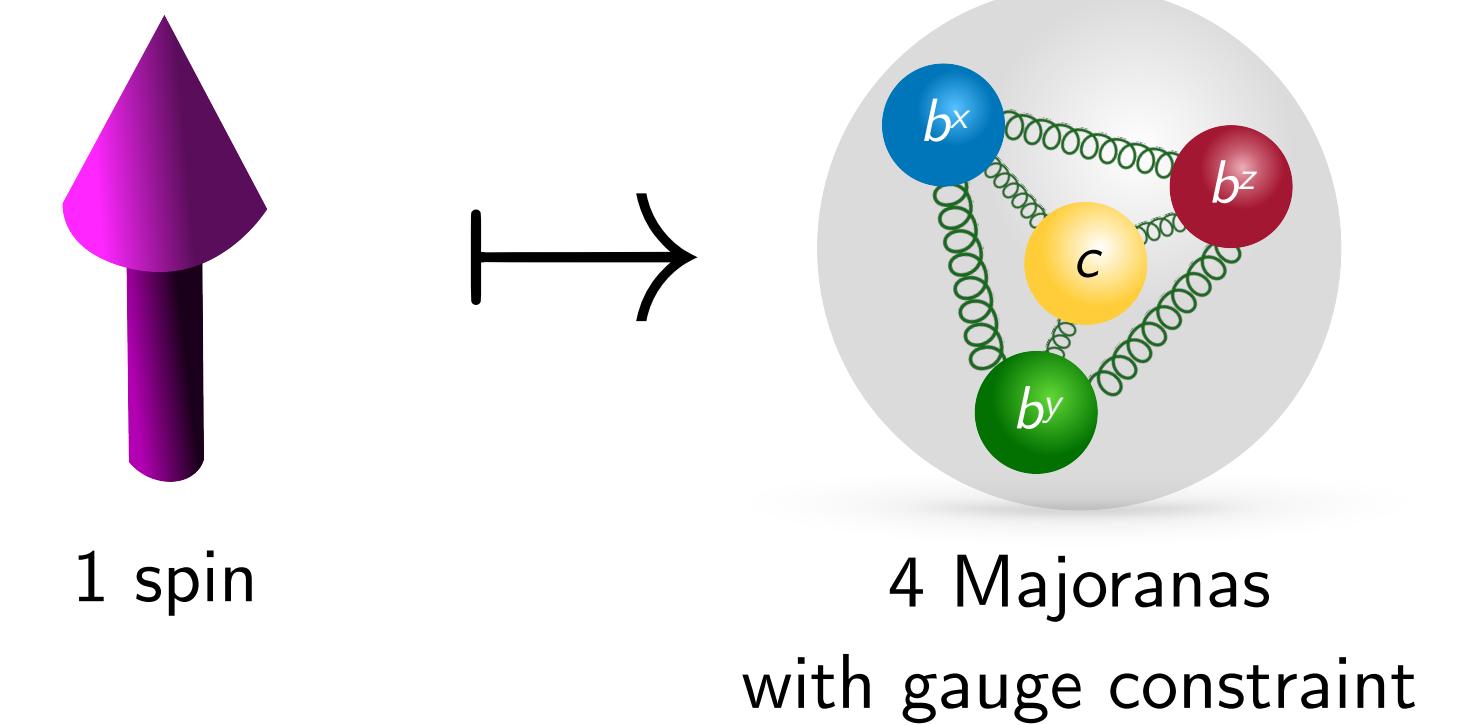
# Parton construction

Majorana representation:

$$\sigma^x \mapsto \tilde{\sigma}^x = i b^x c$$

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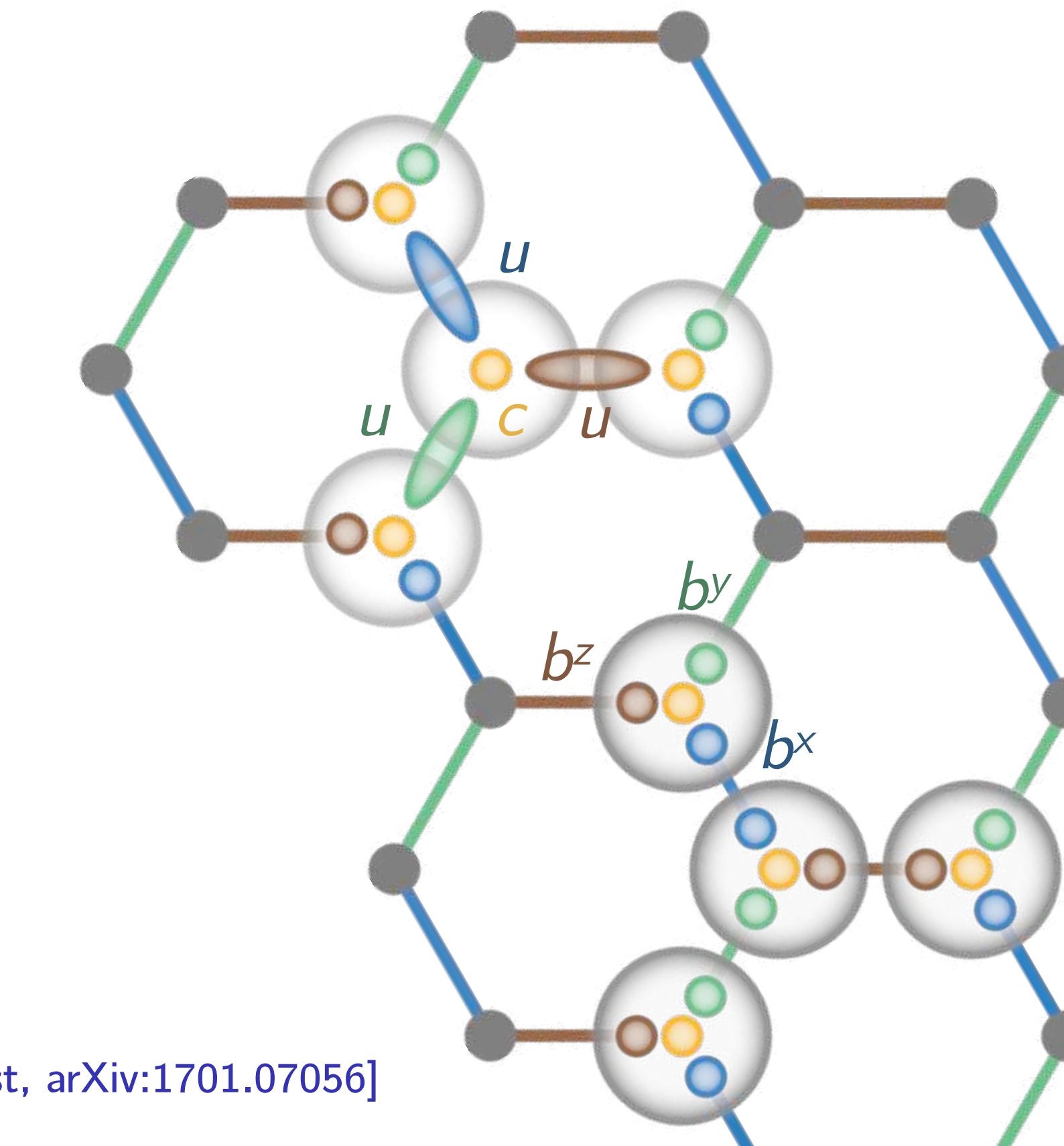
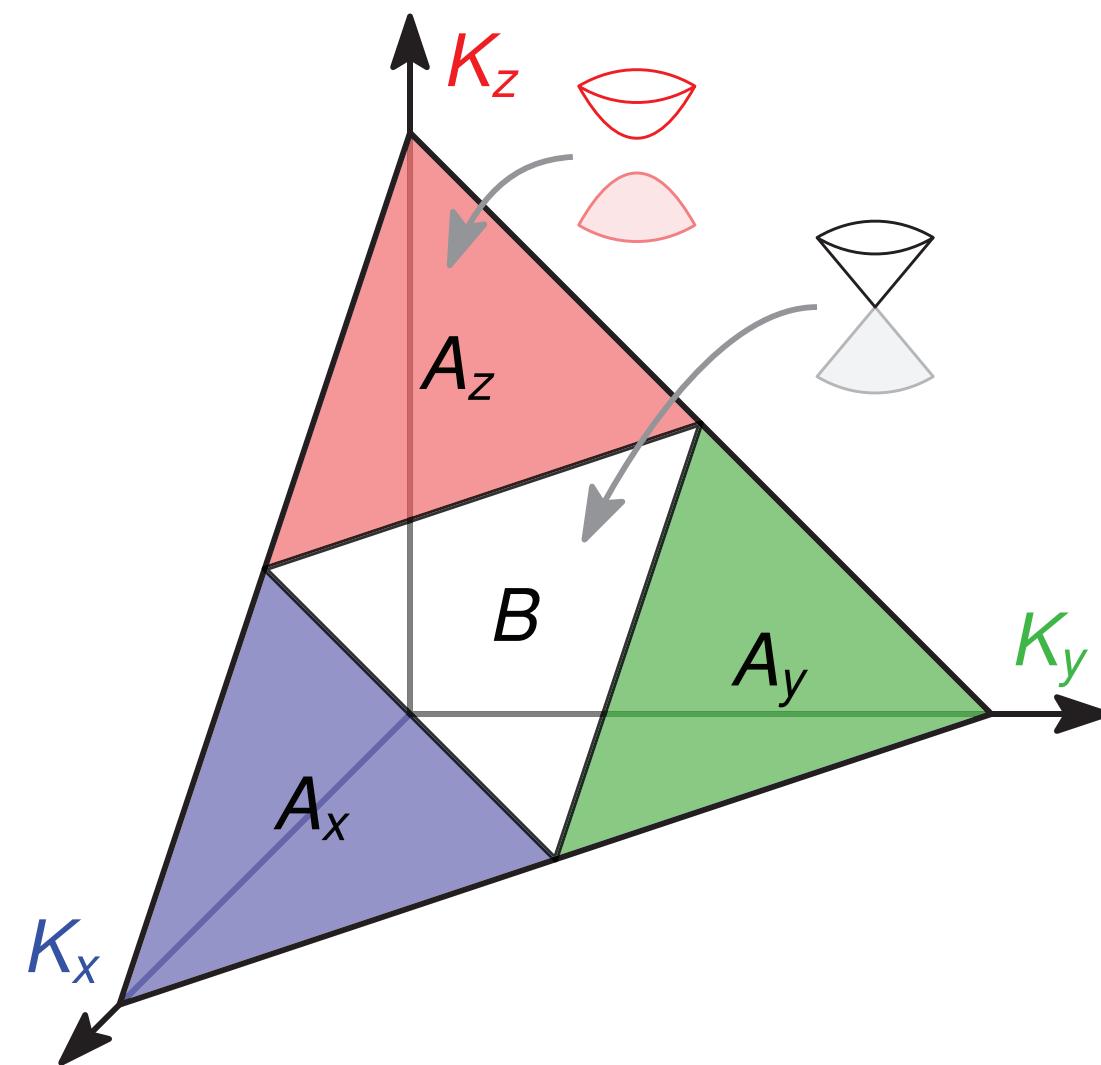
Fractionalization:

$$H \mapsto \tilde{H} = -i \sum_{\langle ij \rangle_\gamma} K_\gamma \underbrace{(ib_i^\gamma b_j^\gamma)}_{\equiv \hat{u}_{ij} = \hat{u}_{ij}^\dagger} c_i c_j$$

static!

Ground-state flux pattern:  $u = 1$   
[Lieb, PRL '94]

Fermion spectrum:



Review: [Trebst, arXiv:1701.07056]

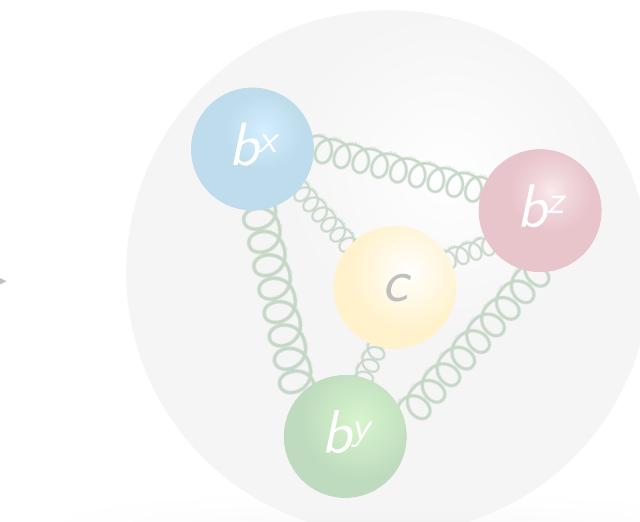
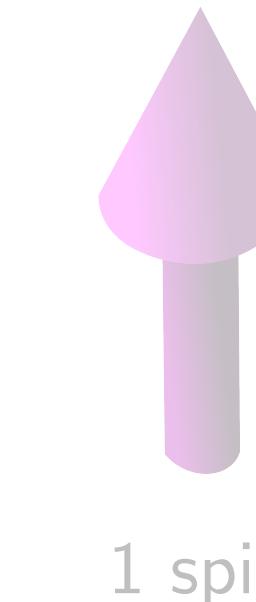
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4 Majoranas  
with gauge constraint

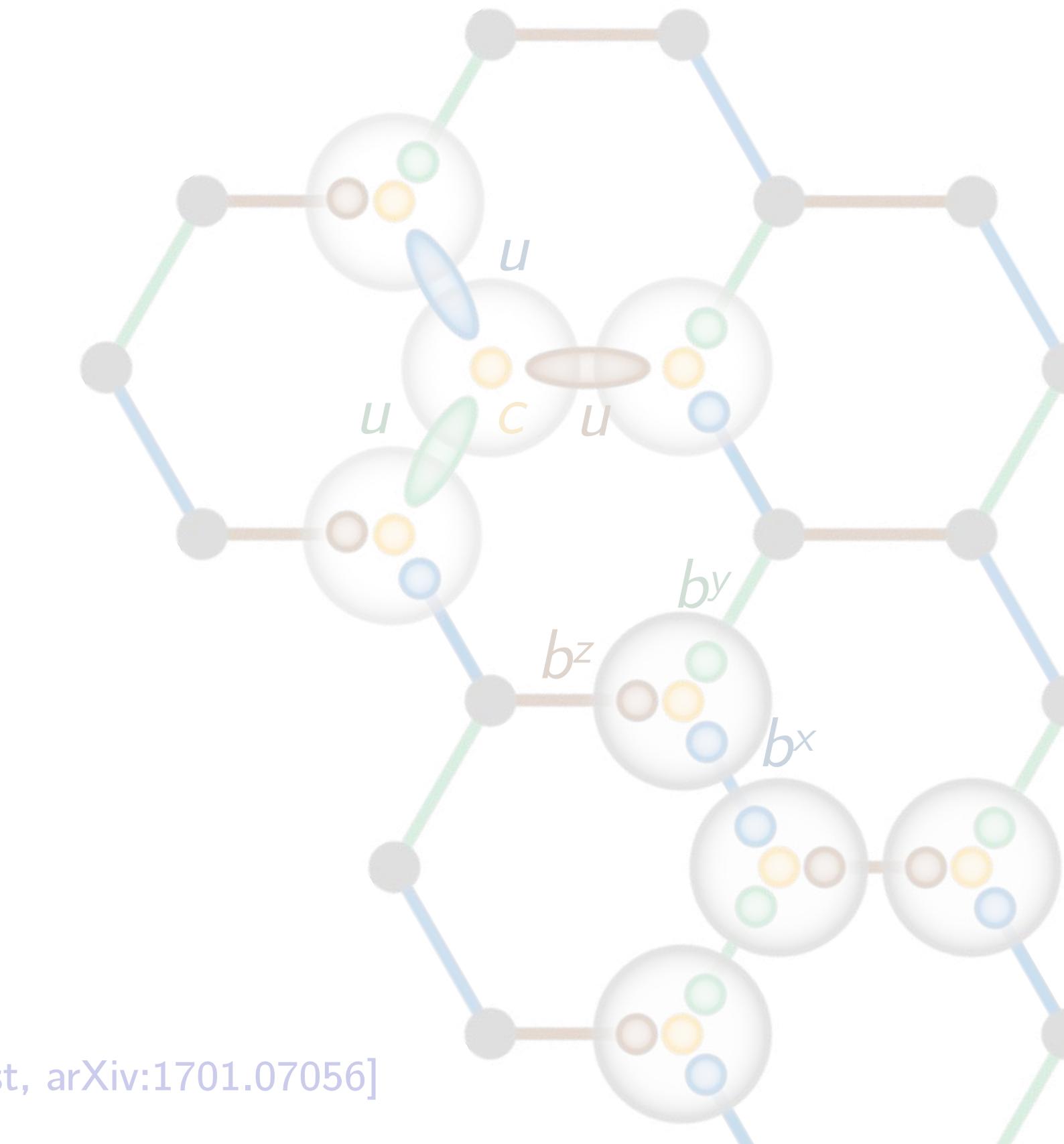
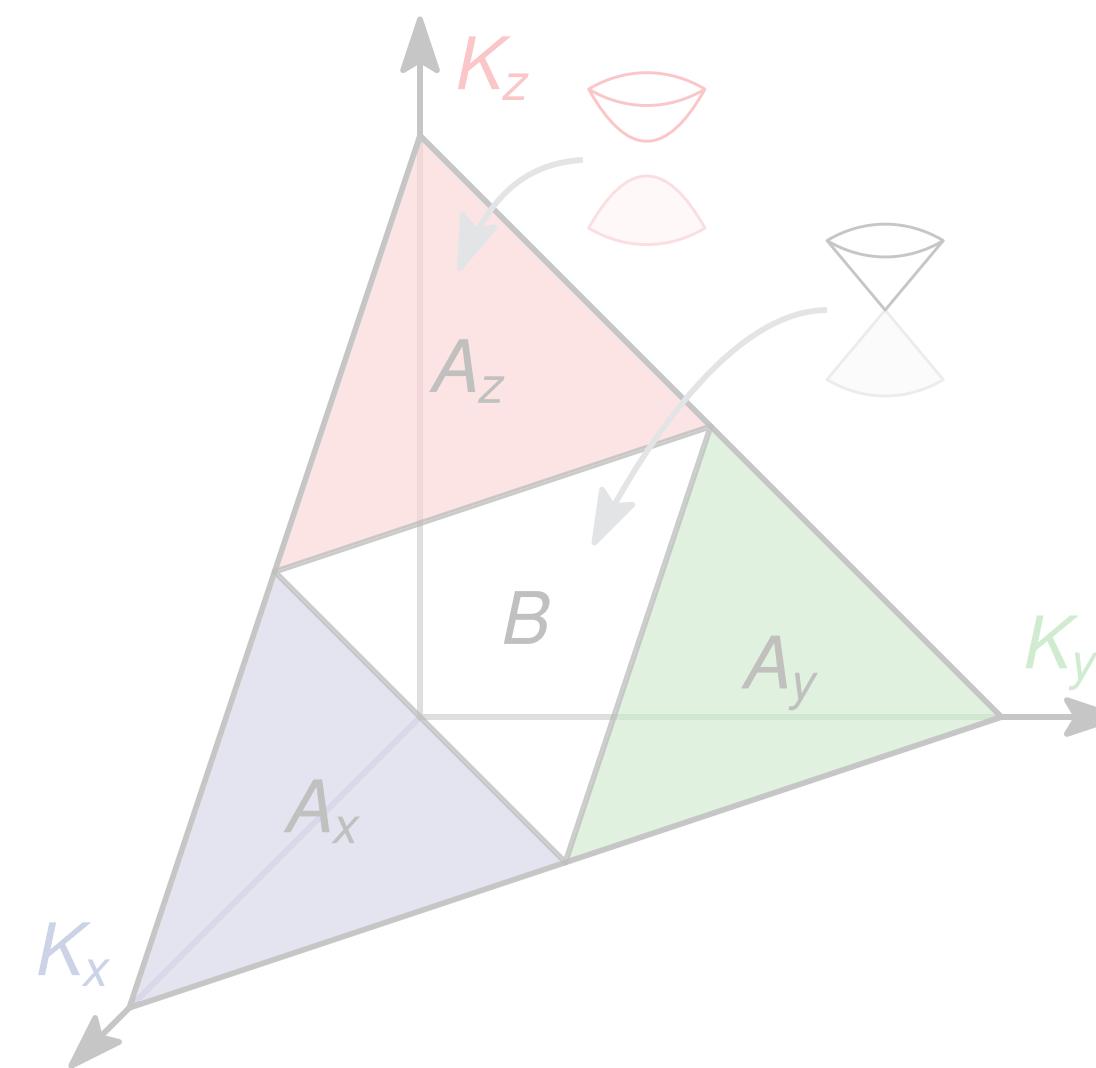
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[Esb, PRL '94] :  $u = 1$

Interactions?

Fermion spectrum:



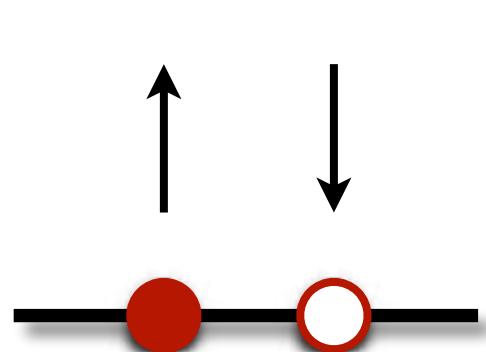
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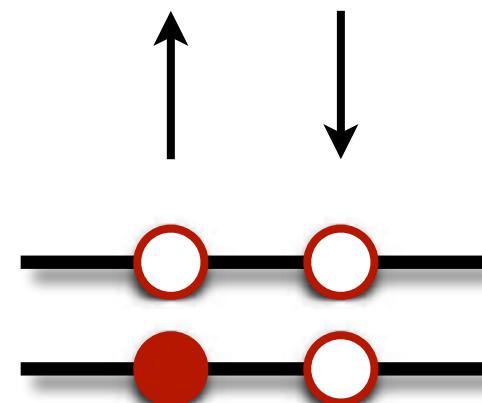
# Generalizations of Kitaev model: Spin-orbital liquids

Spin + orbital + ... degrees of freedom:



$$\sigma^\alpha \quad 2 \times 2$$

$$\mathcal{C} = 0, 1$$



$$\sigma^\alpha \otimes \tau^\beta = \gamma^i \quad 4 \times 4$$

$$\mathcal{C} = 2, 3$$

...

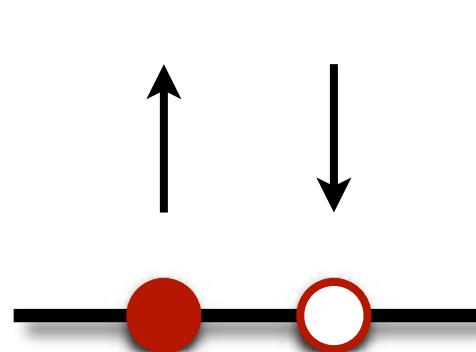
$$\Gamma^\mu \quad 8 \times 8$$

$$\mathcal{C} = 4, 5$$

... can realize all 16 topological superconductors  
[Chulliparambil, ..., LJ, Tu, PRB '20]

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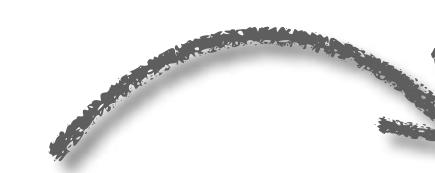
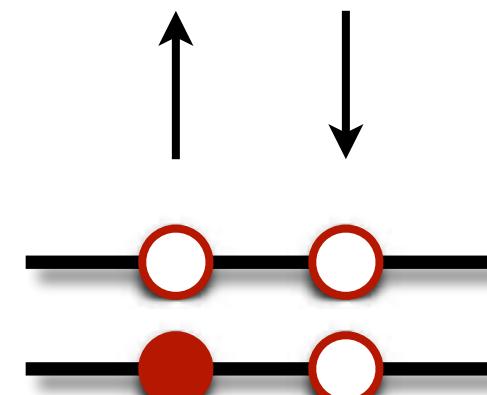
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...

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Example #1 (square lattice):

Majorana representation:

$$\sigma^y \otimes \tau^x = i b^1 c^x$$

$$\sigma^y \otimes \tau^y = i b^2 c^x$$

$$\sigma^y \otimes \tau^z = i b^3 c^x$$

$$\sigma^x \otimes \mathbb{1} = i b^4 c^x$$

$$\sigma^z \otimes \mathbb{1} = i c^y c^x$$

$$H_K = -K \sum_{\langle ij \rangle_\gamma} (\sigma_i^x \sigma_j^x + \sigma_i^y \sigma_j^y) \otimes \tau_i^\gamma \tau_j^\gamma$$

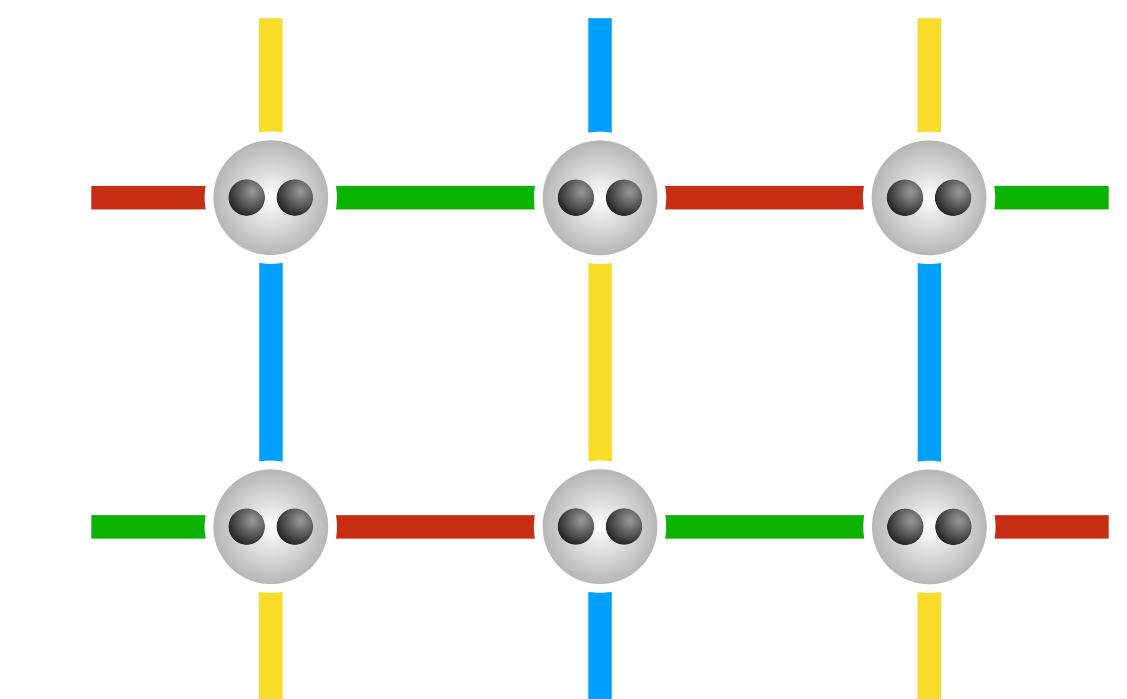
XY spin

Kitaev orbital

... recover known model for  $j = 3/2$  square-lattice spin liquid:

[Yao, Zhang, Kivelson, PRL '09]

[Nakai, Ryu, Furusaki, PRB '12]



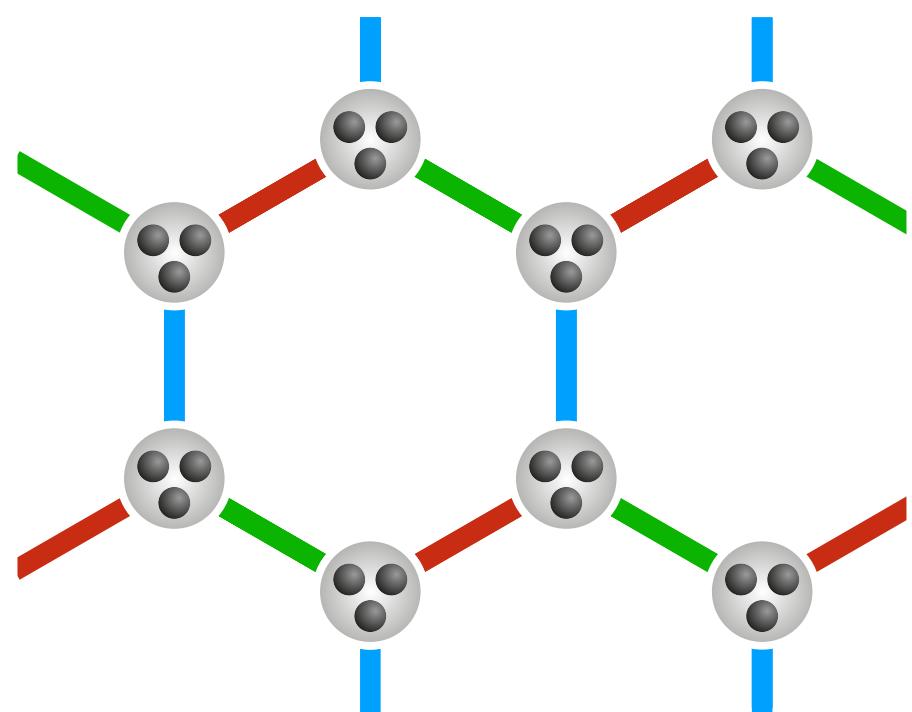
2 itinerant fermions  
 $\mathcal{C} = 2$

... can realize all 16 topological superconductors  
 [Chulliparambil, ..., LJ, Tu, PRB '20]

# Kitaev honeycomb spin-orbital model

Example #2 (honeycomb lattice):

$$H = -K \sum_{\langle ij \rangle_\gamma} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\gamma \tau_j^\gamma$$



3 itinerant fermions  
 $\mathcal{C} = 3$

Majorana representation:

$$H \mapsto K \sum_{\langle ij \rangle} i u_{ij} c_i^\top c_j$$

$c \equiv \begin{pmatrix} c^x \\ c^y \\ c^z \end{pmatrix}$

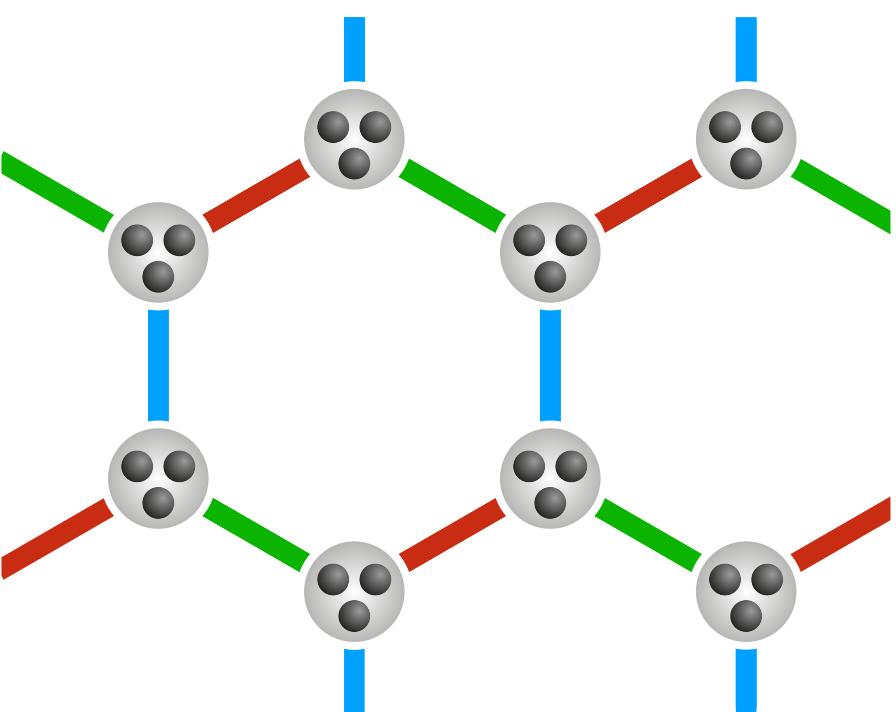
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[Yao & Lee, PRL '11]  
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[Yao & Lee, PRL '11]

[Natori & Knolle, PRL '20]

Can find perturbations that leave gauge field static!

... crucial for controlled analytical approximations  
... allows sign-problem-free QMC

# Flux-preserving perturbations: Heisenberg spin exchange

Kitaev-Heisenberg spin-orbital model:

$$H = -K \sum_{\langle ij \rangle_\gamma} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\gamma \tau_j^\gamma + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \mathbb{1}_i \mathbb{1}_j$$

Majorana representation:

$$H \mapsto \sum_{\langle ij \rangle} \left[ K i u_{ij} c_i^\top c_j + \frac{J}{4} (c_i^\top \vec{L} c_i) \cdot (c_j^\top \vec{L} c_j) \right]$$

“SO(3) Majorana-Hubbard model”

*spin-1 matrices*

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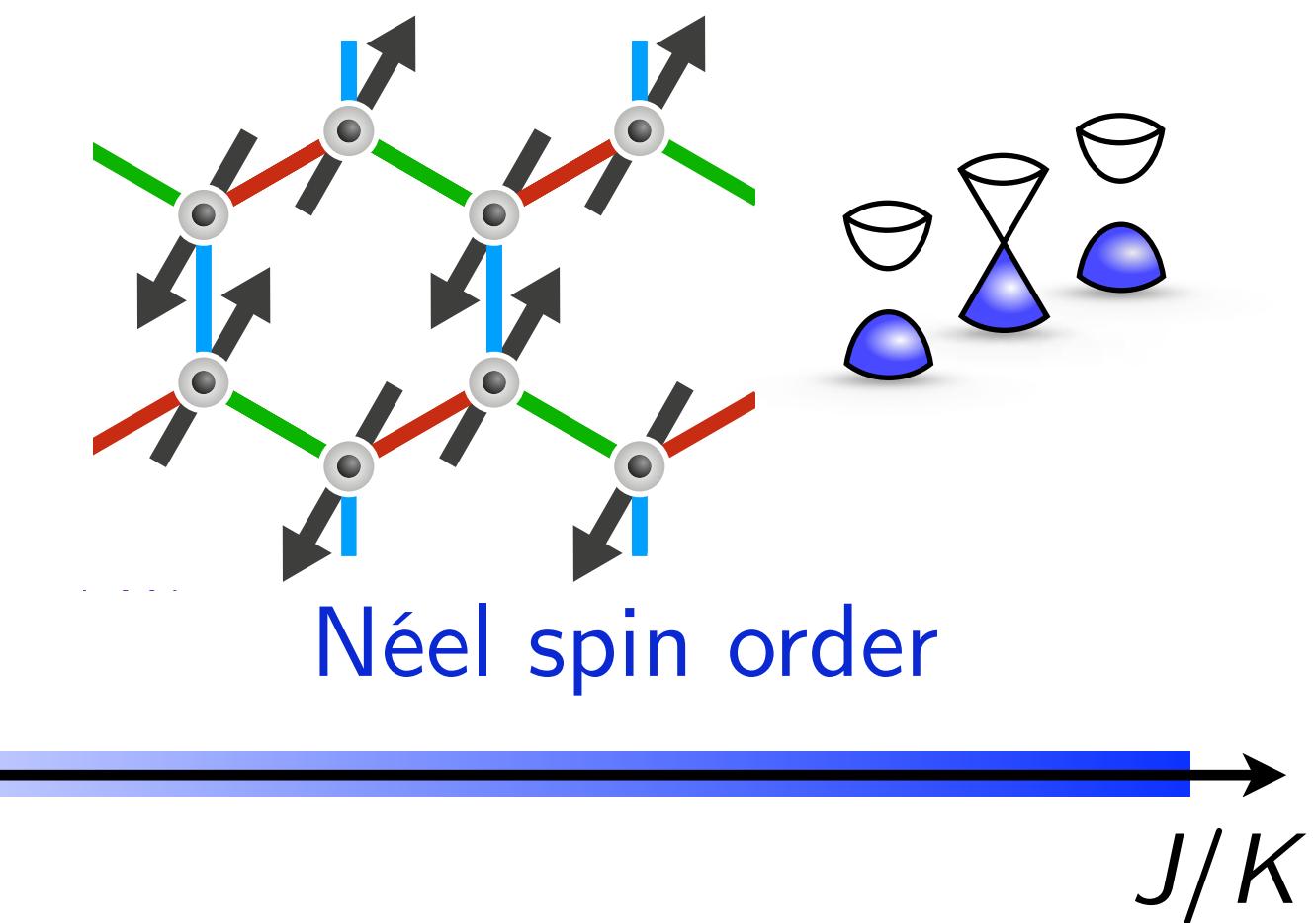
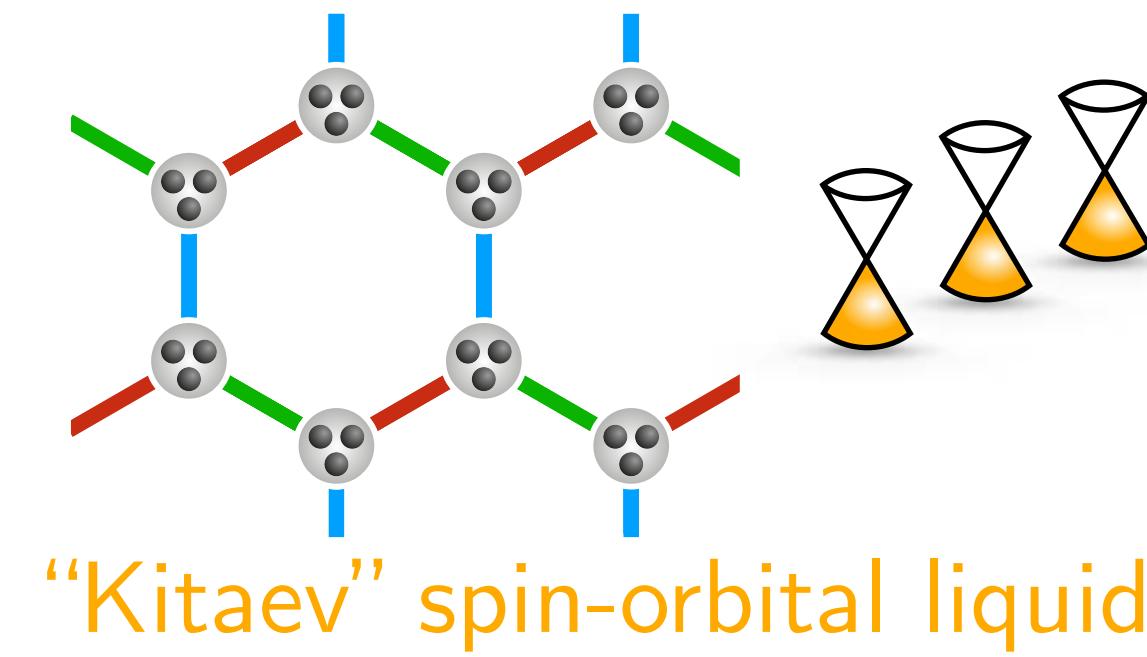
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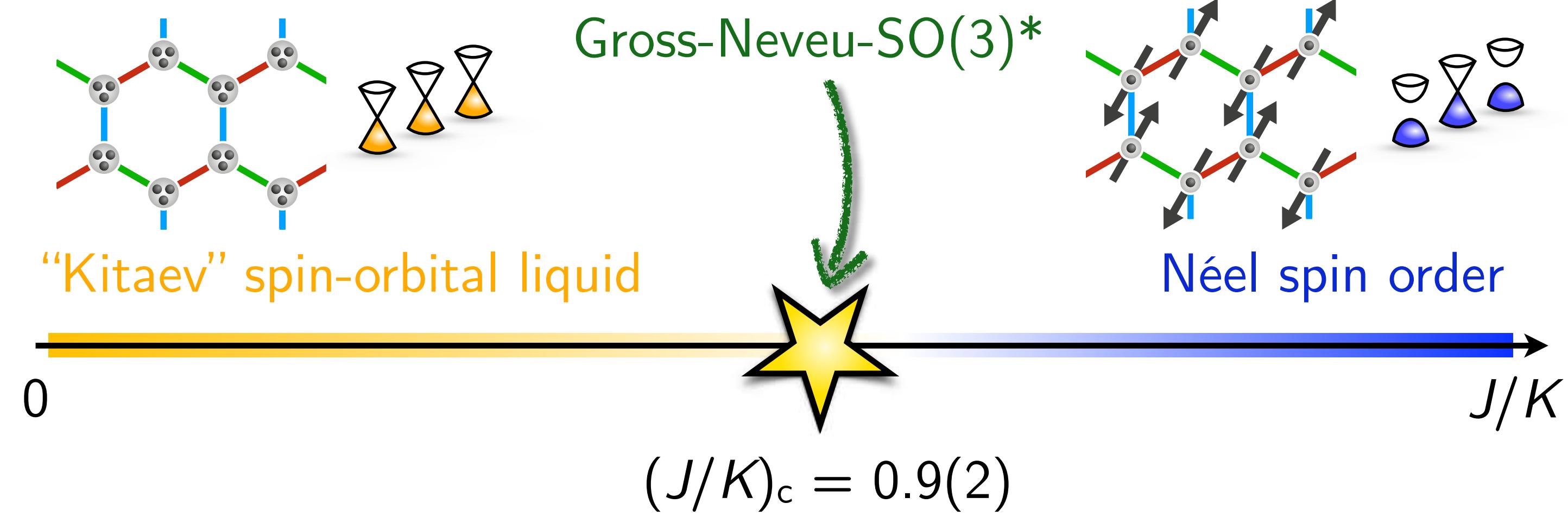
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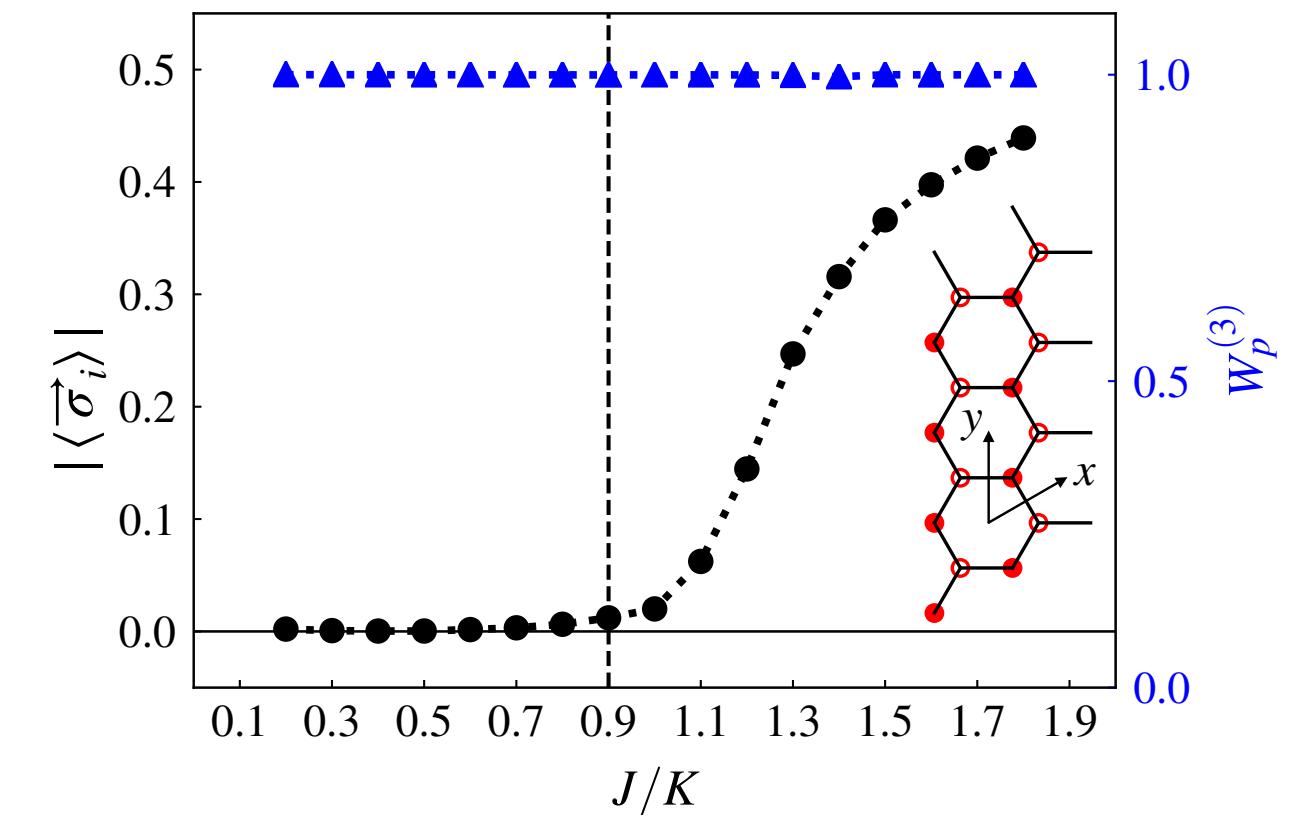


# Gross-Neveu-SO(3)\* quantum criticality

Phase diagram:



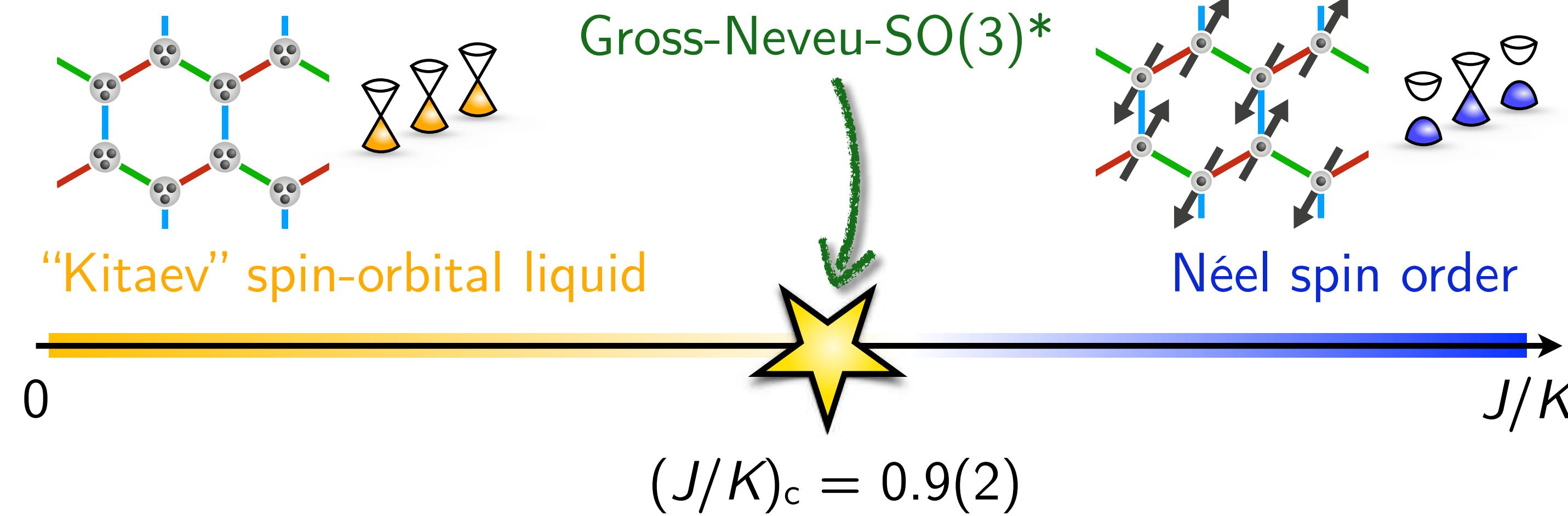
iDMRG:



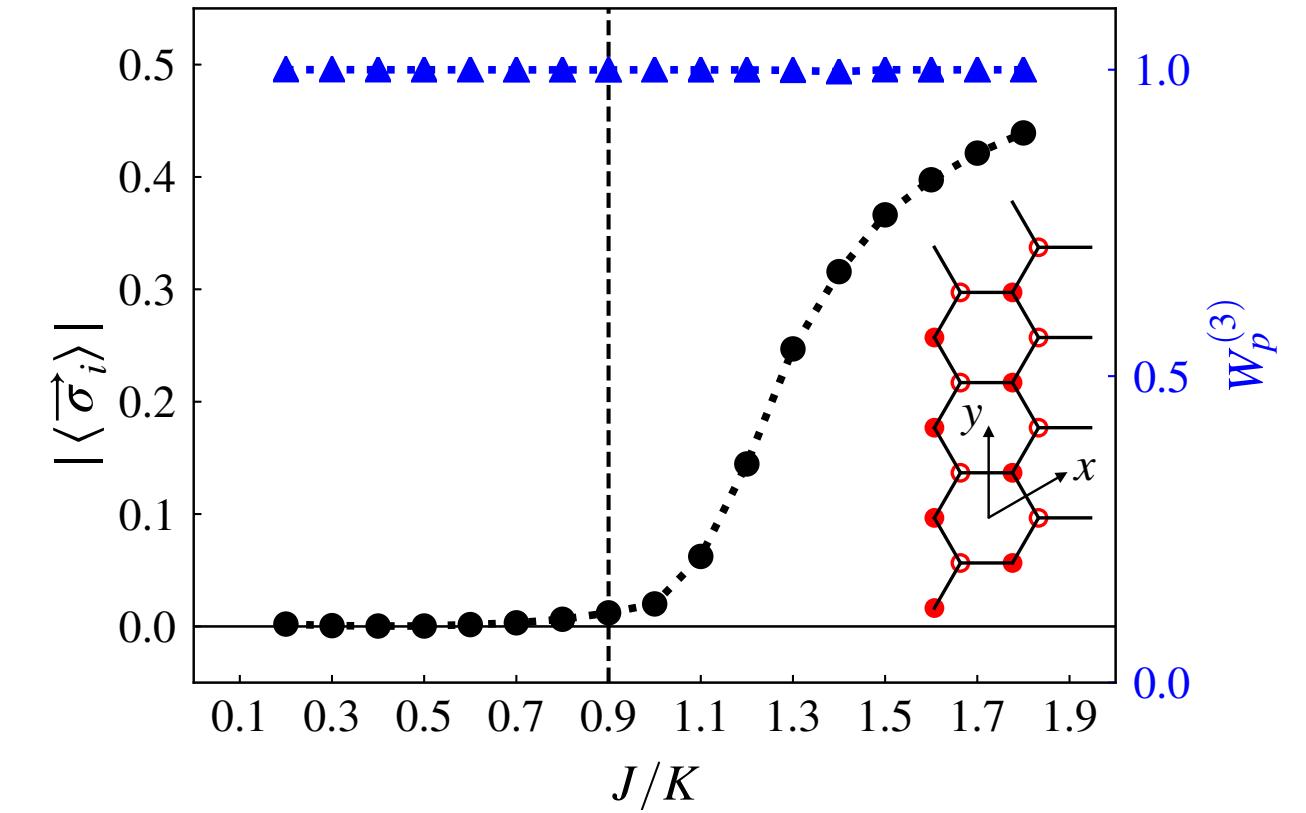
[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]

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iDMRG:



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Effective field theory:

$$\mathcal{S} = \int d^2\vec{x}d\tau \left[ \bar{\psi}\gamma^\mu \partial_\mu \psi + g\vec{\varphi} \cdot \bar{\psi}(\mathbb{1}_2 \otimes \vec{L})\psi \right]$$

"Gross-Neveu-SO(3)"

Critical exponents:

... from:

- large- $N$  expansion @  $O(1/N^2)$
- $4-\varepsilon$  expansion @ 3-loop
- functional RG @ LPA'

$$1/\nu = 1.03(15)$$

$$\eta_\phi = 0.42(7)$$

[Ray, Ihrig, Gracey, Scherer, LJ, PRB '21]

Sign-problem-free QMC: [Liu, Vojta, Assaad, LJ, PRL '22 (in press)]

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# $SO(N)$ Majorana-Hubbard models

Hamiltonian:

$$\mathcal{H} = \sum_{\langle ij \rangle} i t_{ij} c_i^\top c_j + J \sum_{a < b} \sum_{\langle ij \rangle} \left( \frac{1}{2} c_i^\top L^{ab} c_i \right) \left( \frac{1}{2} c_j^\top L^{ab} c_j \right)$$

Generators of  $SO(N)$

... with  $c_i \equiv (c_i^1, \dots, c_i^N)^\top$

$SO(N)$  generators:

$$(L^{ab})_{\alpha\beta} = -i(\delta_a^\alpha \delta_b^\beta - \delta_a^\beta \delta_b^\alpha)$$

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Interaction term:

$$\mathcal{H} \Big|_{t=0} = \frac{J}{2} \sum_{\langle ij \rangle} (c_i^\top c_j)(c_i^\top c_j) + \text{const.}$$

*"Majorana analog of  $SU(N)$  Hubbard-Heisenberg model"*

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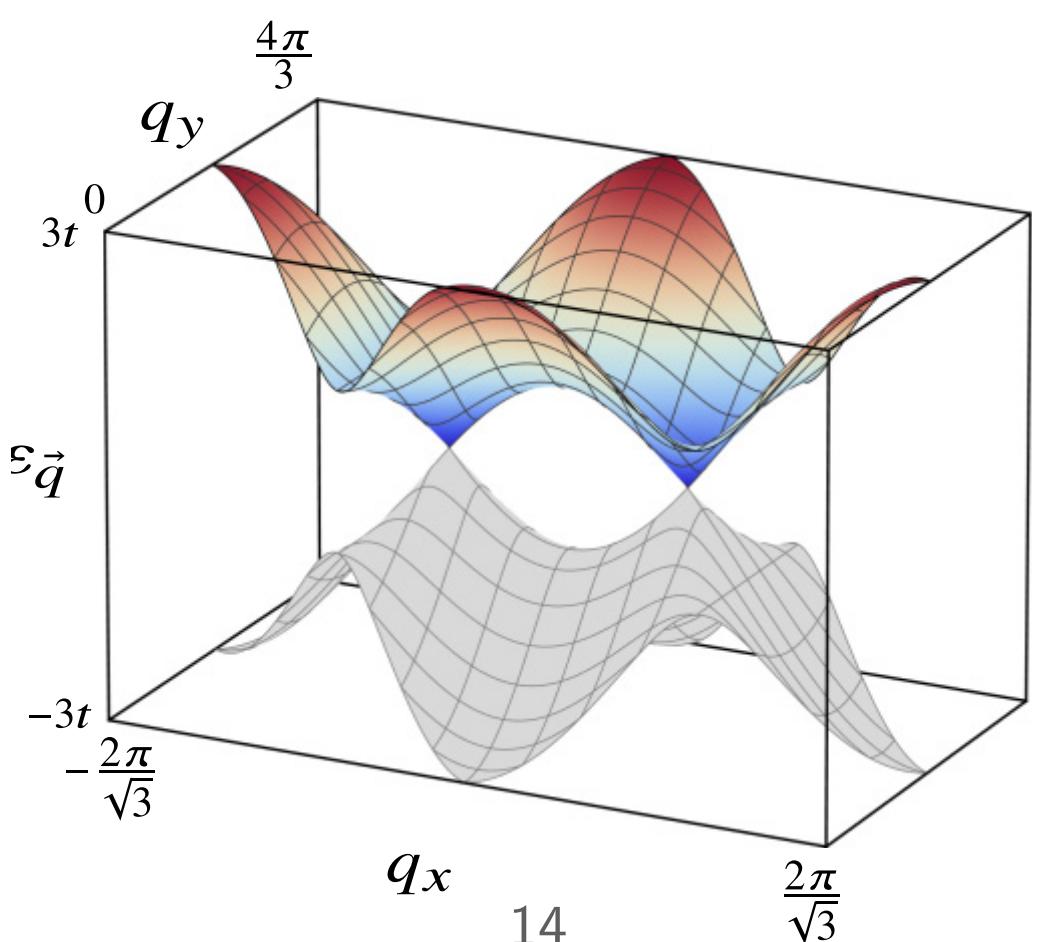
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"Majorana analog of  $SU(N)$   
Hubbard-Heisenberg model"

Single-particle spectrum:



# Zero-temperature phase diagram

Mean-field decoupling:

$$\sum_{a < b} \left( \frac{1}{2} c_i^\top L^{ab} c_i \right) \left( \frac{1}{2} c_j^\top L^{ab} c_j \right) \mapsto \sum_{a < b} \left[ \phi_i^{ab} \left( \frac{1}{2} c_i^\top L^{ab} c_i \right) + \left( \frac{1}{2} c_j^\top L^{ab} c_j \right) \phi_j^{ab} - \phi_i^{ab} \phi_j^{ab} \right] \\ - i(N-1) \chi_{ij} c_i^\top c_j + \frac{N(N-1)}{2} \chi_{ij}^2$$

SO( $N$ ) order parameter:  $\phi_i^{ab} = \langle \frac{1}{2} c_i^\top L^{ab} c_i \rangle$

Dimer order parameter:  $\chi_{ij} = \langle i c_i^\top c_j \rangle / N$

# Zero-temperature phase diagram

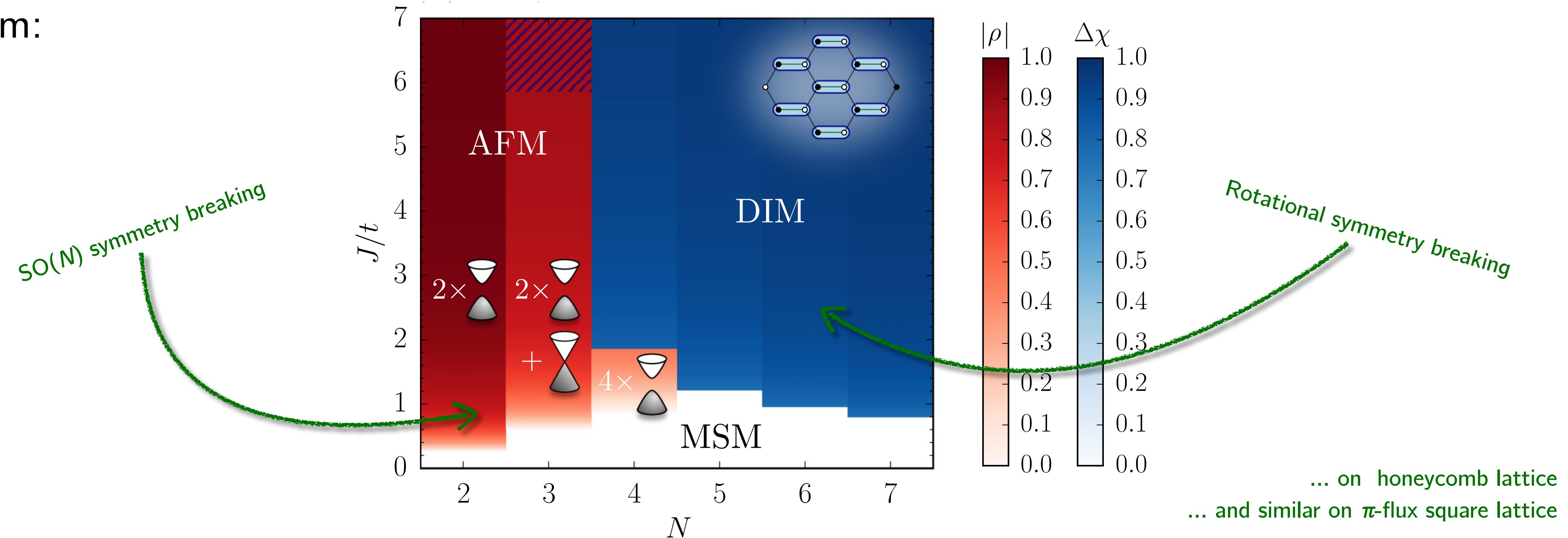
Mean-field decoupling:

$$\sum_{a < b} \left( \frac{1}{2} c_i^\top L^{ab} c_i \right) \left( \frac{1}{2} c_j^\top L^{ab} c_j \right) \mapsto \sum_{a < b} \left[ \phi_i^{ab} \left( \frac{1}{2} c_i^\top L^{ab} c_i \right) + \left( \frac{1}{2} c_j^\top L^{ab} c_j \right) \phi_j^{ab} - \phi_i^{ab} \phi_j^{ab} \right] \\ - i(N-1) \chi_{ij} c_i^\top c_j + \frac{N(N-1)}{2} \chi_{ij}^2$$

$\text{SO}(N)$  order parameter:  $\phi_i^{ab} = \langle \frac{1}{2} c_i^\top L^{ab} c_i \rangle$

Dimer order parameter:  $\chi_{ij} = \langle i c_i^\top c_j \rangle / N$

Phase diagram:



# Quantum phase transitions

Effective model (semimetal-to-antiferromagnet transition):

$$\mathcal{L} = \bar{\psi}_\alpha \gamma_\mu \partial_\mu \psi_\alpha + \frac{1}{4} \phi^{ab} (r - \partial_\mu^2) \phi^{ab} + \frac{g}{2} \phi^{ab} \bar{\psi}_\alpha (L^{ab})_{\alpha\beta} \psi_\beta + \frac{\lambda_1}{4} (\phi^{ab} \phi^{ab})^2 + \lambda_2 \phi^{ab} \phi^{bc} \phi^{cd} \phi^{da}$$

*antisymmetric tensor order parameter*

“Gross-Neveu- $SO(N)$ ”

$N \leq 3$ :

$$\frac{\lambda_1}{4} (\phi^{ab} \phi^{ab})^2 + \lambda_2 \phi^{ab} \phi^{bc} \phi^{cd} \phi^{da} = \frac{\lambda_1 + 2\lambda_2}{2} [\text{Tr}(\phi^2)]^2$$

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$N = 2$ :

$$(\phi^{ab}) = \begin{pmatrix} 0 & \phi \\ -\phi & 0 \end{pmatrix}$$

“Gross-Neveu-Ising”

[Wang, Corboz, Troyer, NJP '14]

[Li, Jiang, Yao, NJP '15]

[Huffman & Chandrasekharan, PRD '17; PRD '20]

...

$N = 3$ :

$$(\phi^{ab}) = \begin{pmatrix} 0 & \phi_3 & \phi_2 \\ -\phi_3 & 0 & \phi_1 \\ -\phi_2 & -\phi_1 & 0 \end{pmatrix}$$

“Gross-Neveu- $SO(3)$ ”

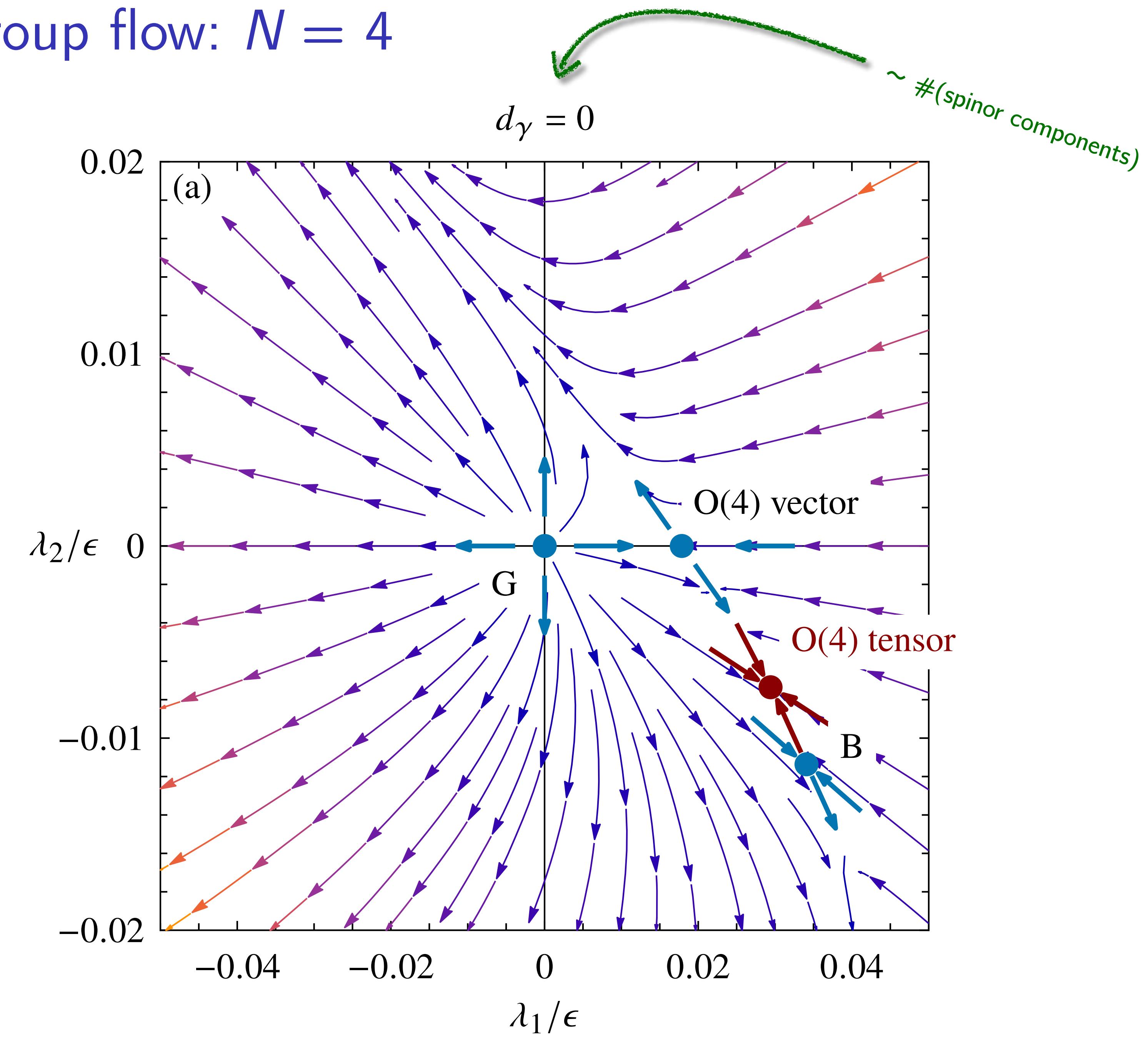
[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]

[Ray, Ihrig, Gracey, Scherer, LJ, PRB '21]

[Liu, Vojta, Assaad, LJ, PRL '22 (in press)]

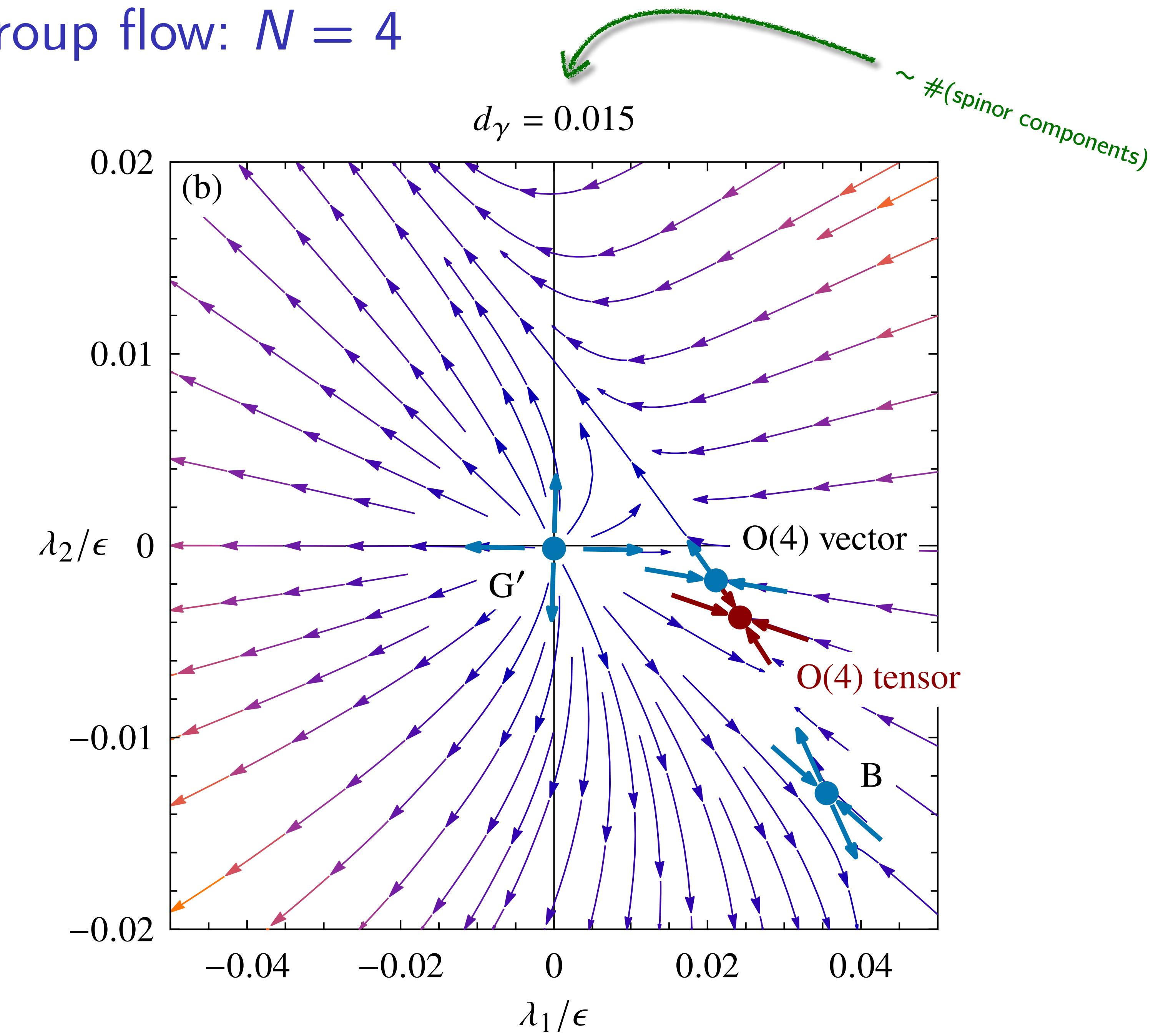
# Renormalization group flow: $N = 4$

Bosons only:



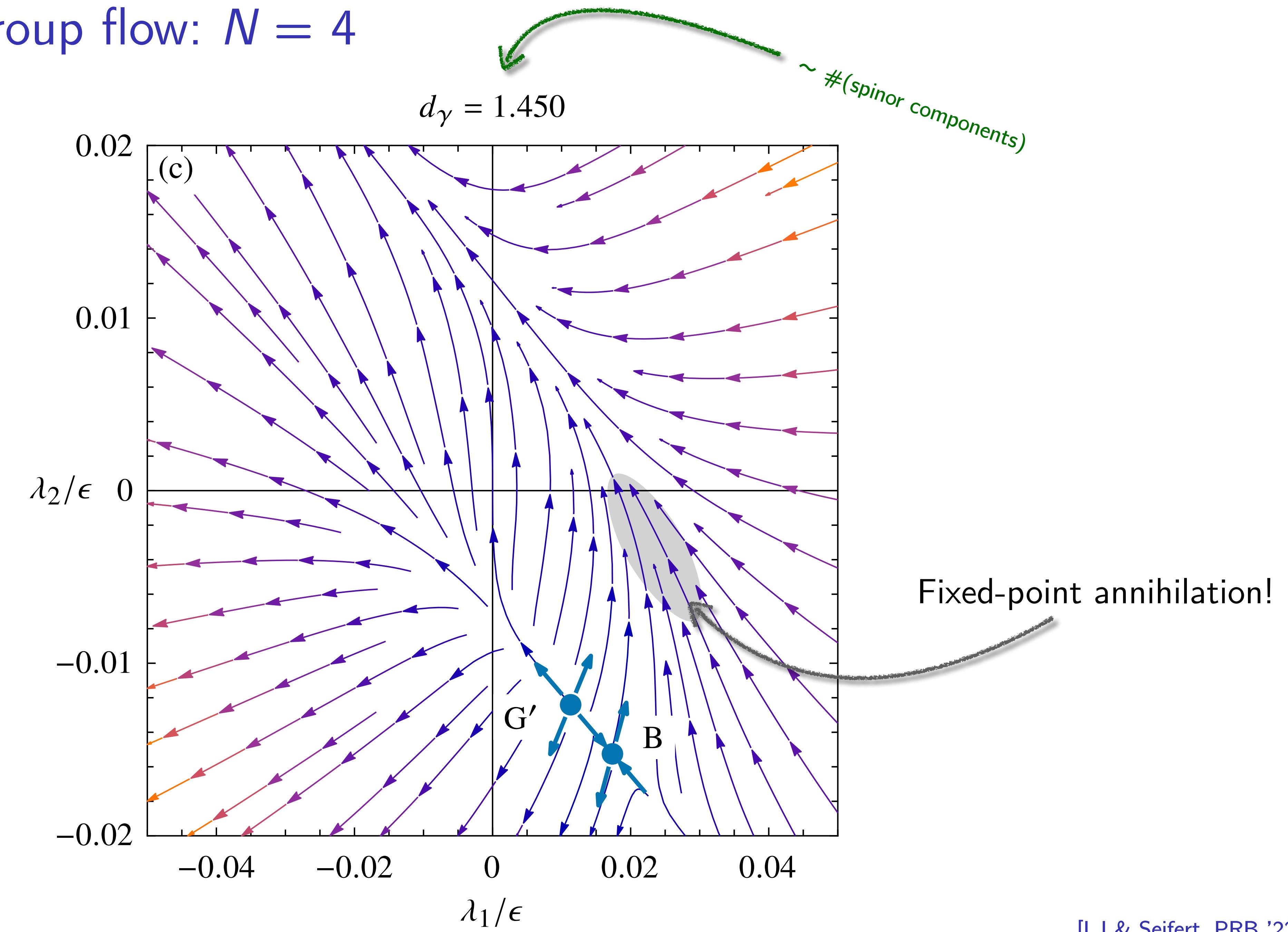
# Renormalization group flow: $N = 4$

Bosons  
+ “few” fermions:



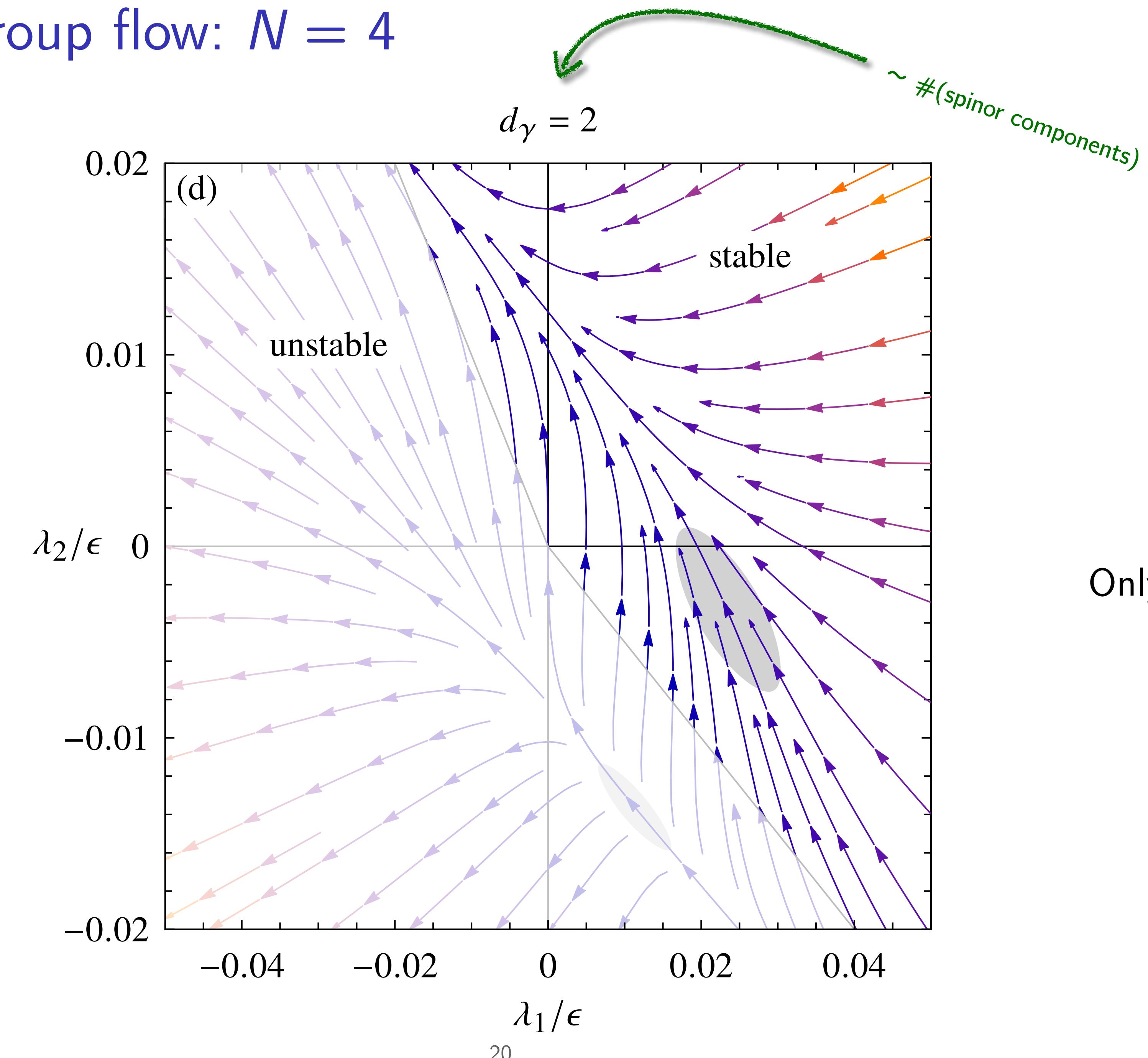
# Renormalization group flow: $N = 4$

Bosons  
+ “few more” fermions:



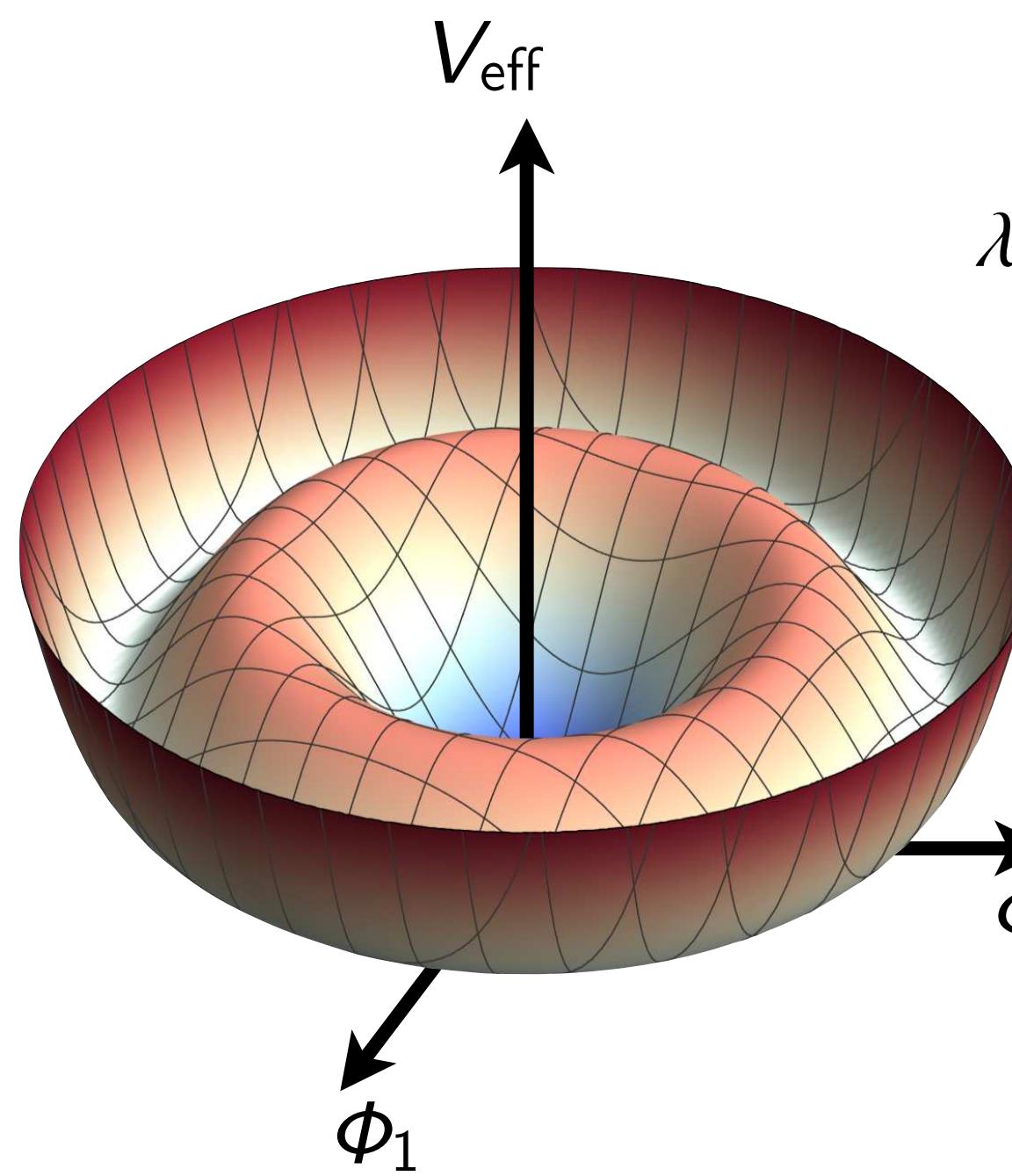
# Renormalization group flow: $N = 4$

Bosons  
+ “all” fermions:

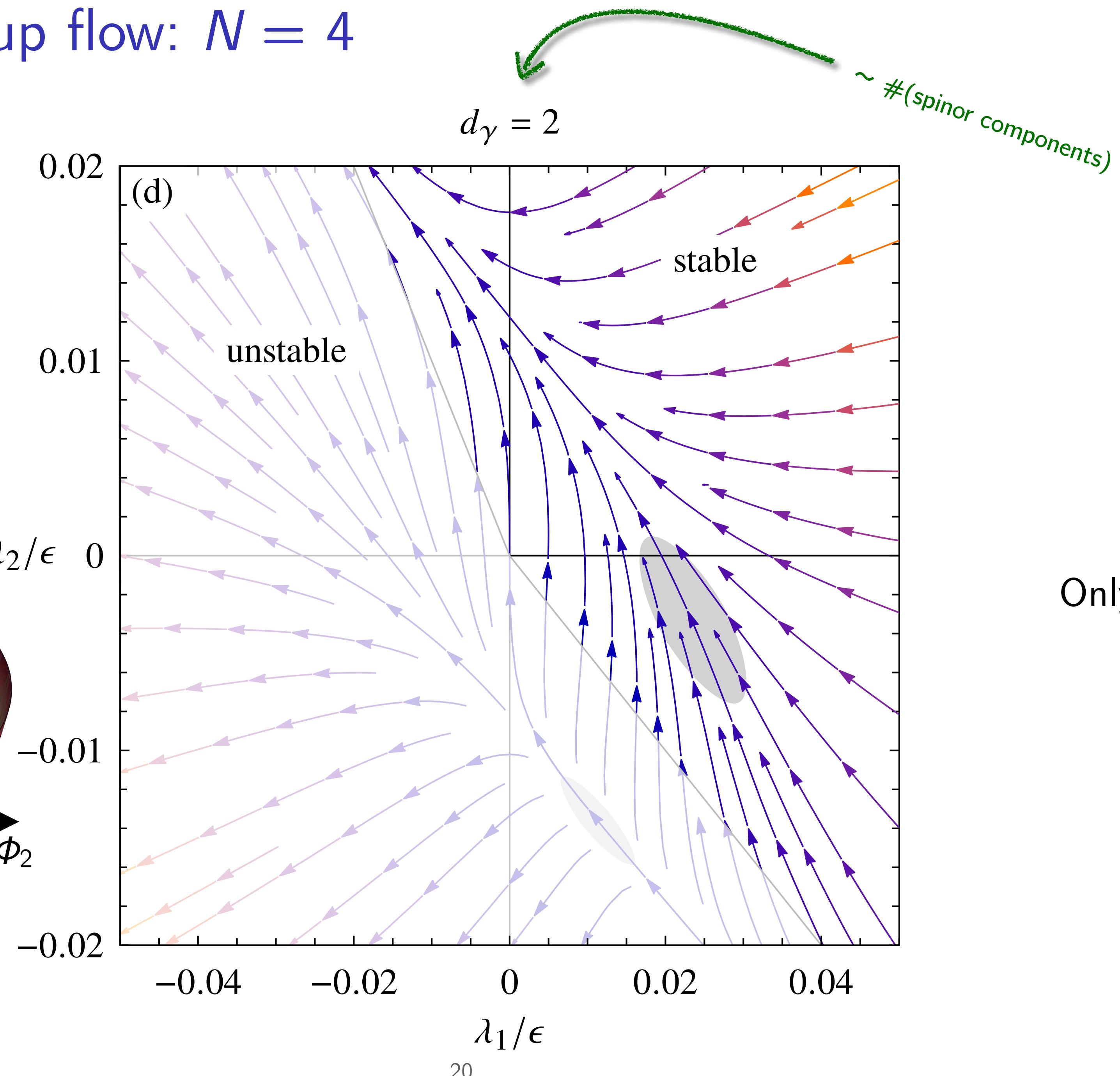


# Renormalization group flow: $N = 4$

Bosons  
+ “all” fermions:



Weak first-order transition!

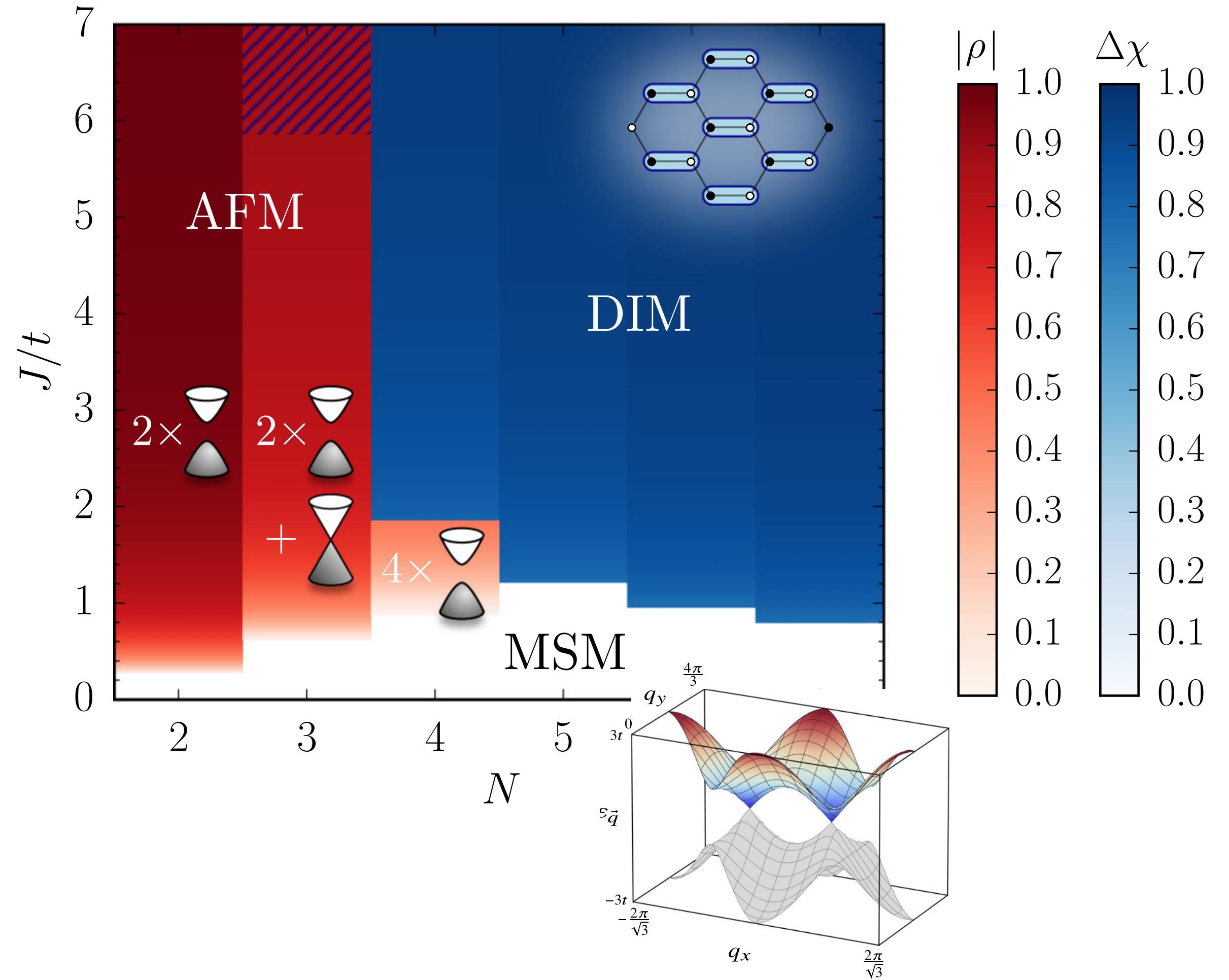


# Outline

- (1) Introduction
- (2)  $\text{SO}(N)$  Majoranas in frustrated magnets
- (3)  $\text{SO}(N)$  Majorana-Hubbard models
- (4) Conclusions

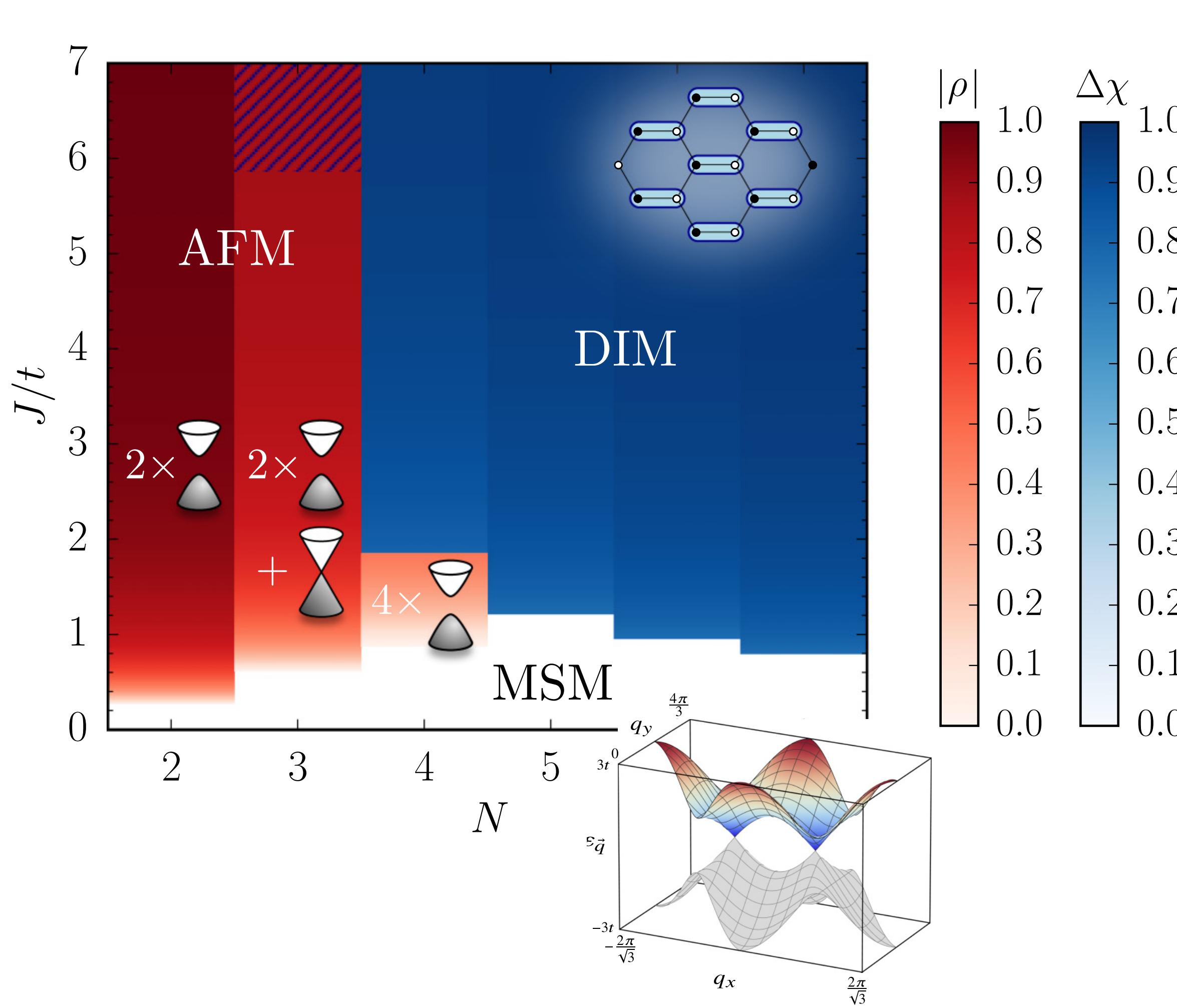
# Conclusions

## $SO(N)$ Majorana-Hubbard models



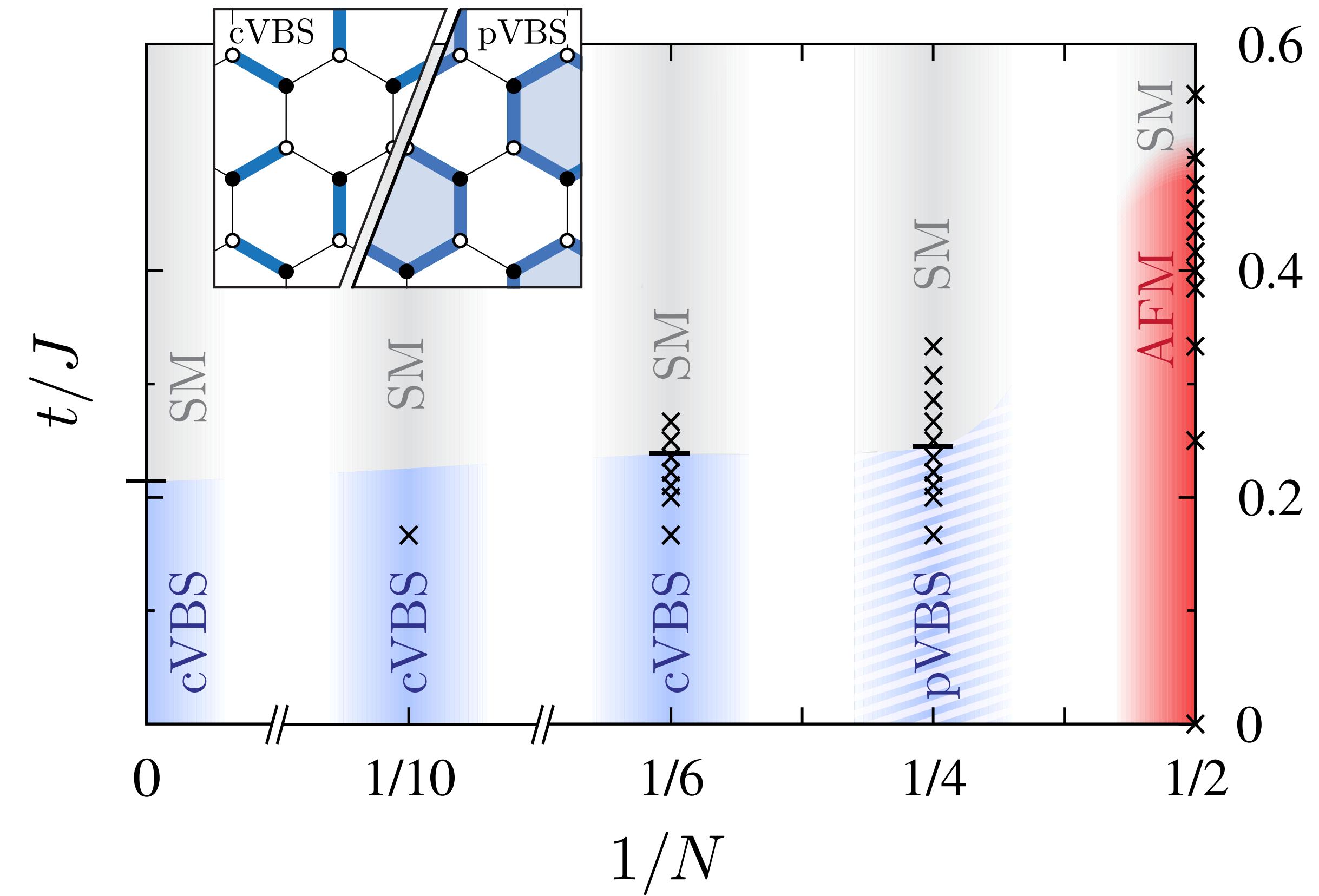
# Conclusions

$\text{SO}(N)$  Majorana-Hubbard models



[LJ & Seifert, PRB '22]

$\text{SU}(N)$  Hubbard-Heisenberg models



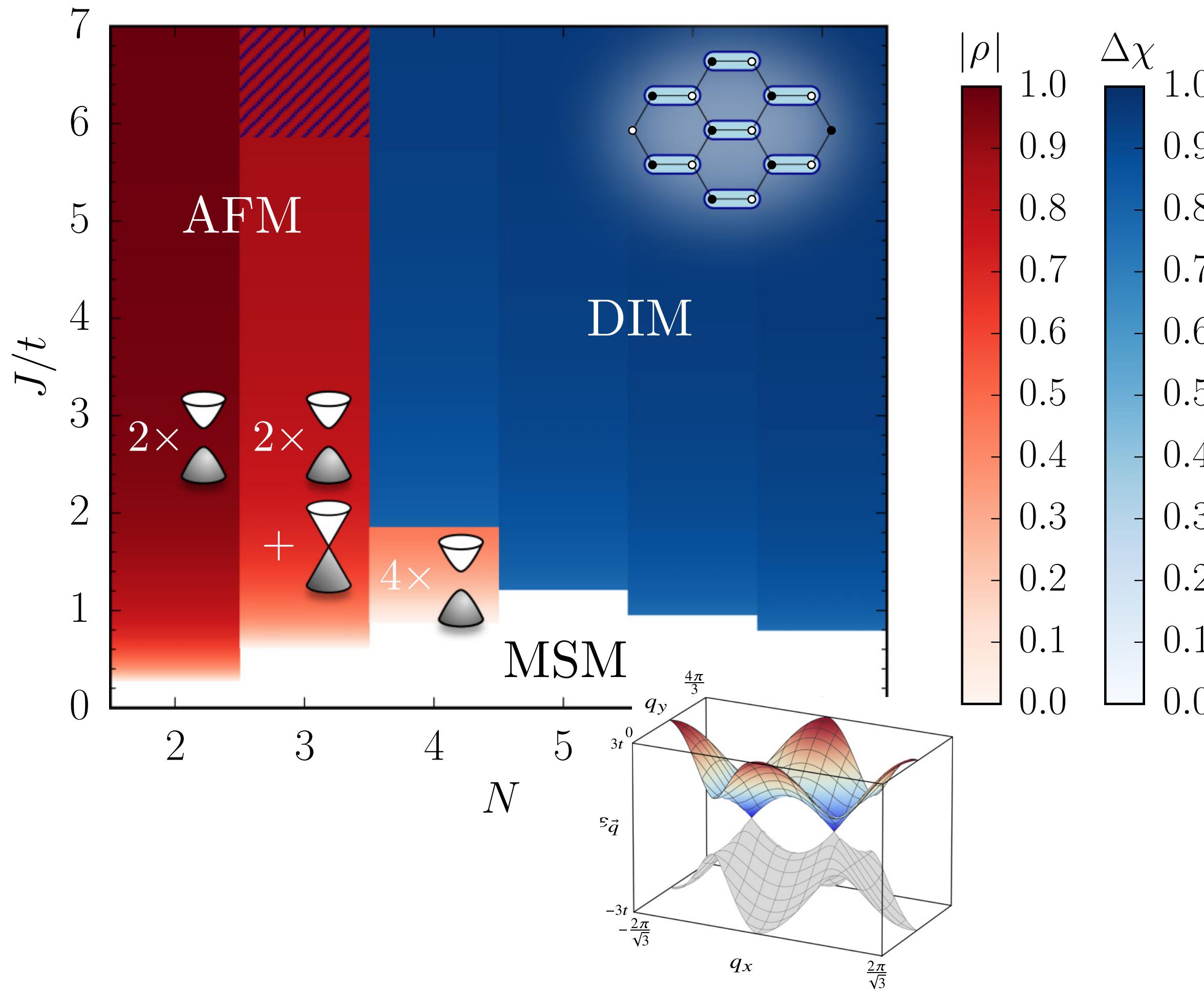
[Affleck & Marston, PRB '88]

[Read & Sachdev, NPB '89]

[Lang, Meng, Muramatsu, Wessel, Assaad, PRL '13]

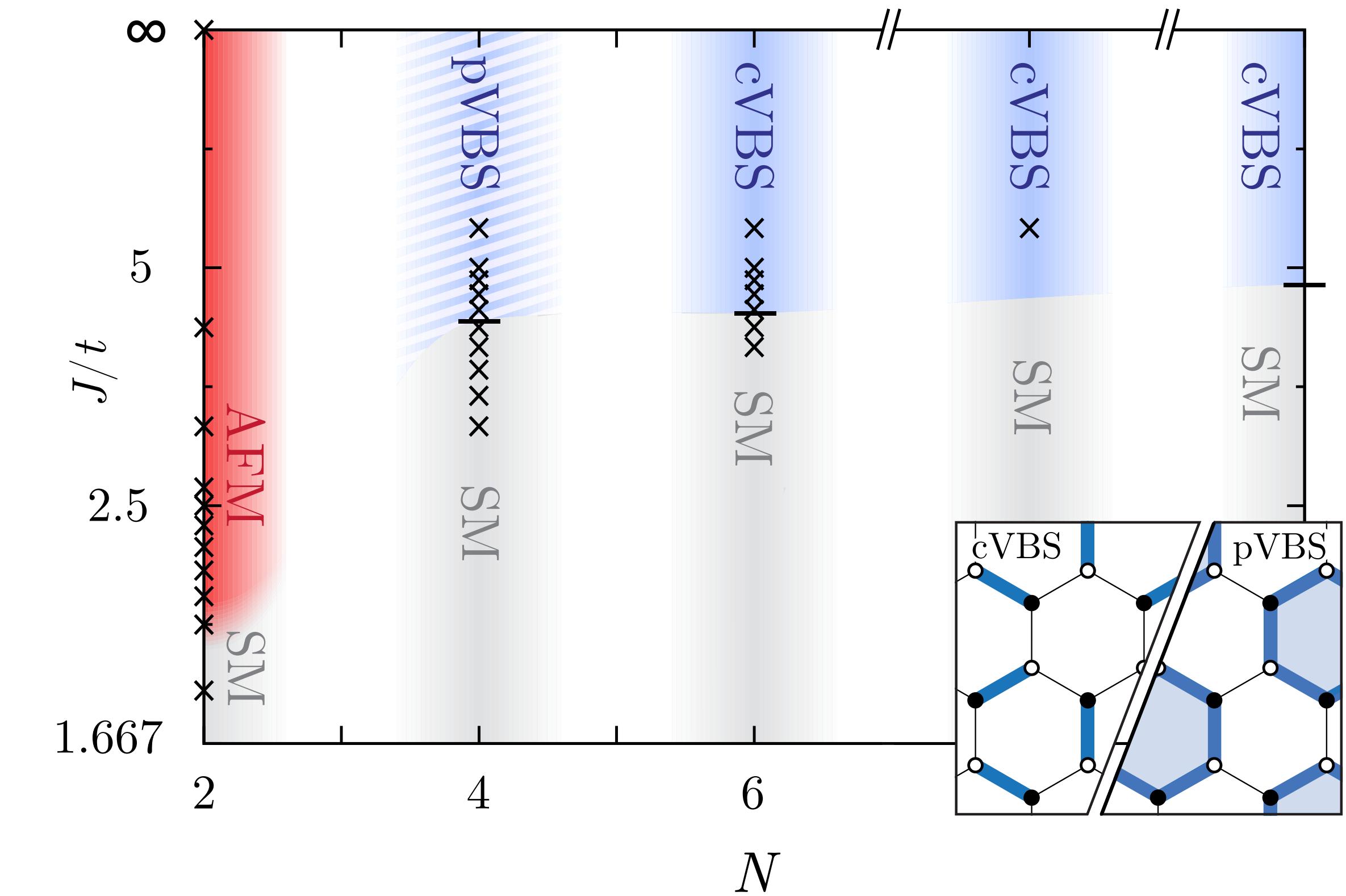
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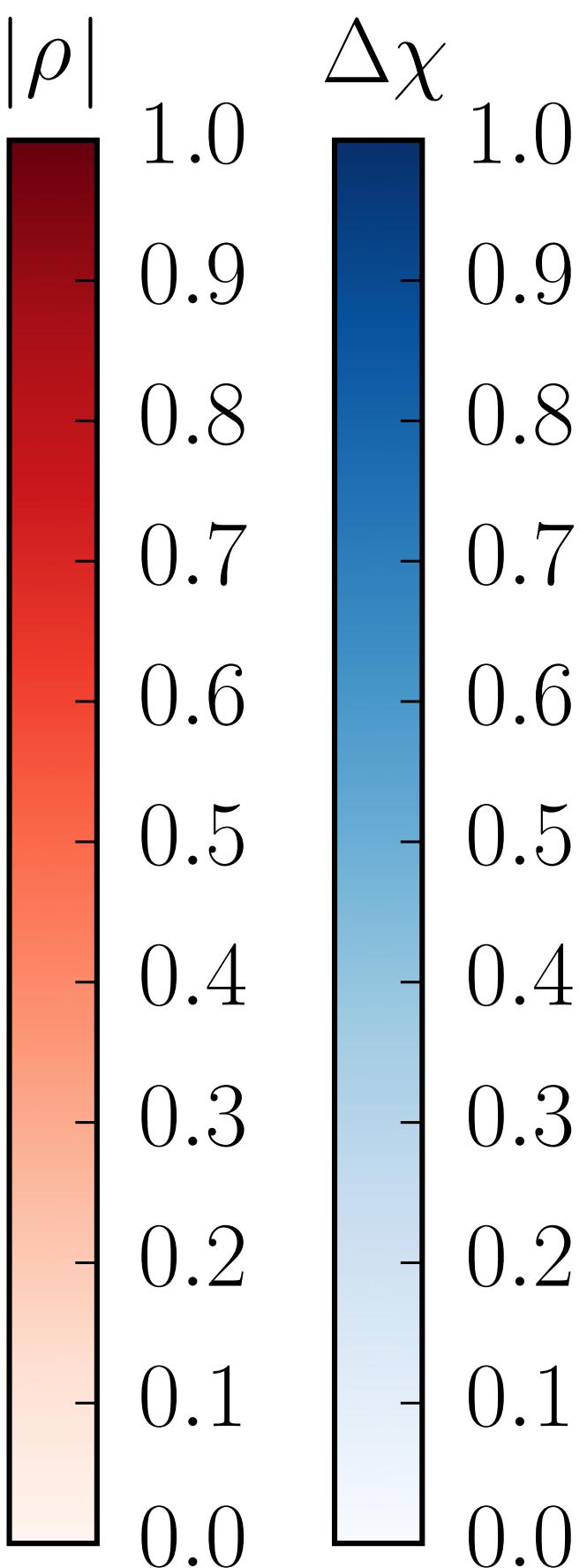
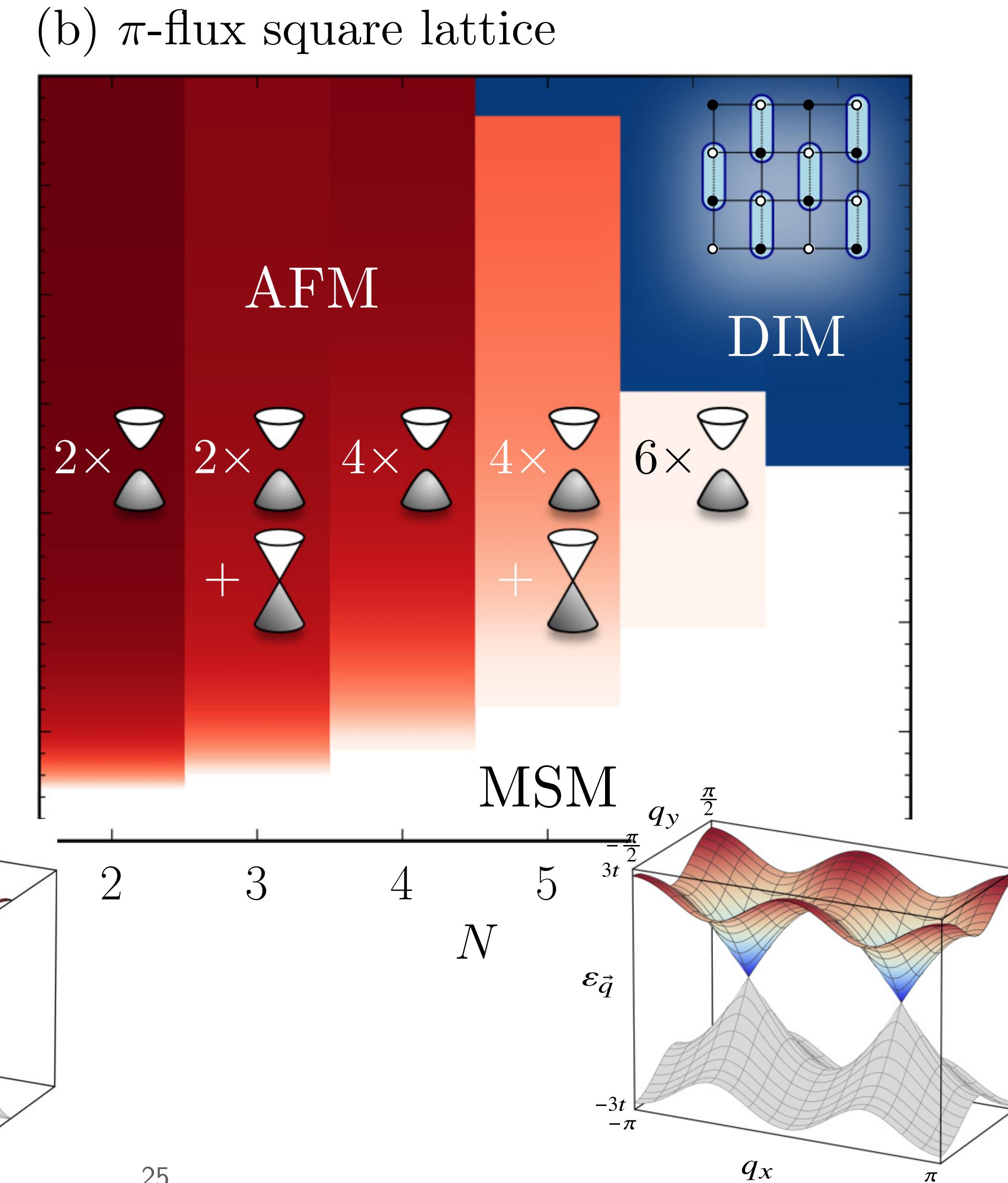
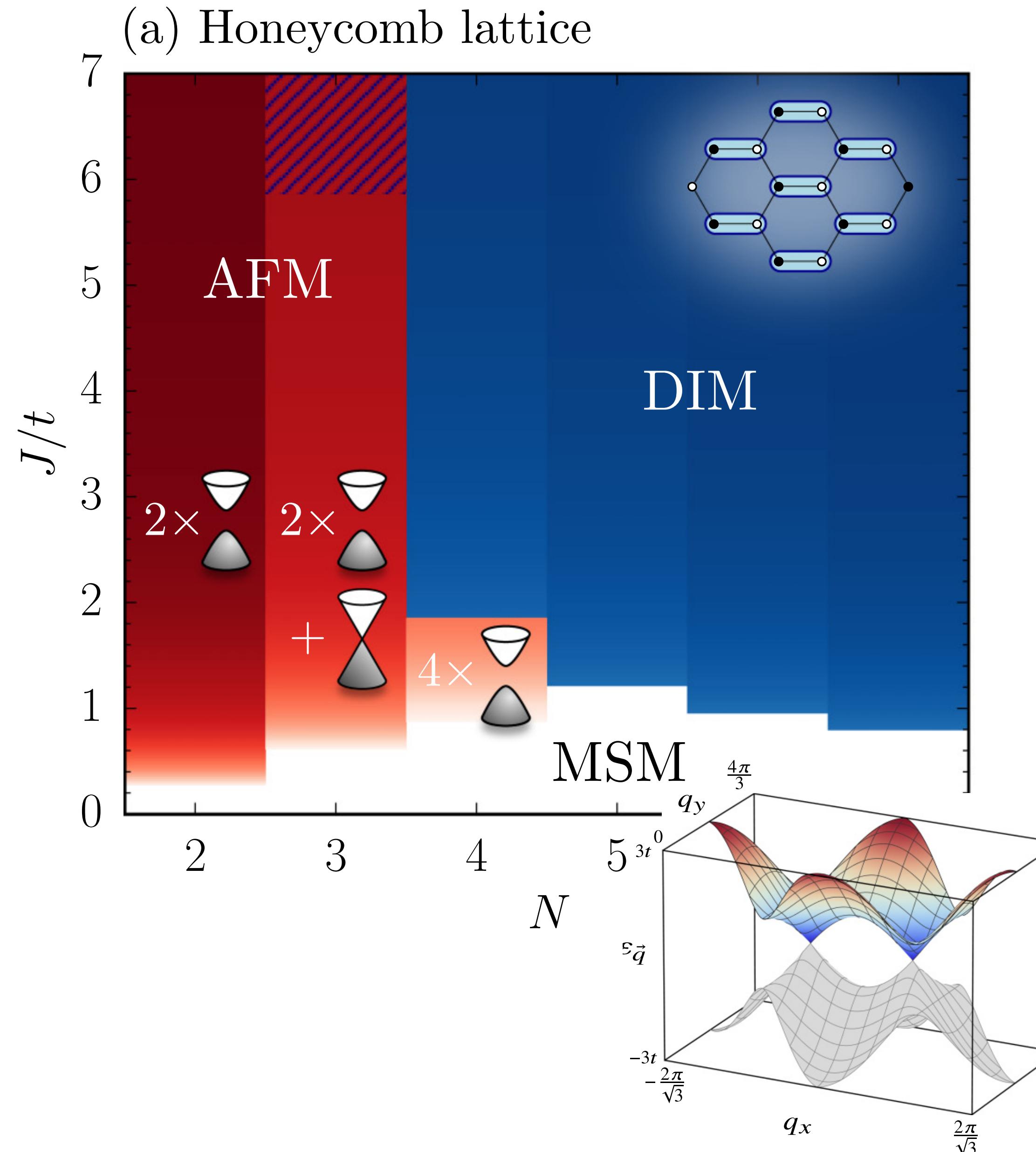
[Lang, Meng, Muramatsu, Wessel, Assaad, PRL '13]

[Affleck & Marston, PRB '88]

[Read & Sachdev, NPB '89]



# SO( $N$ ) Majorana-Hubbard models: Honeycomb vs. square lattices

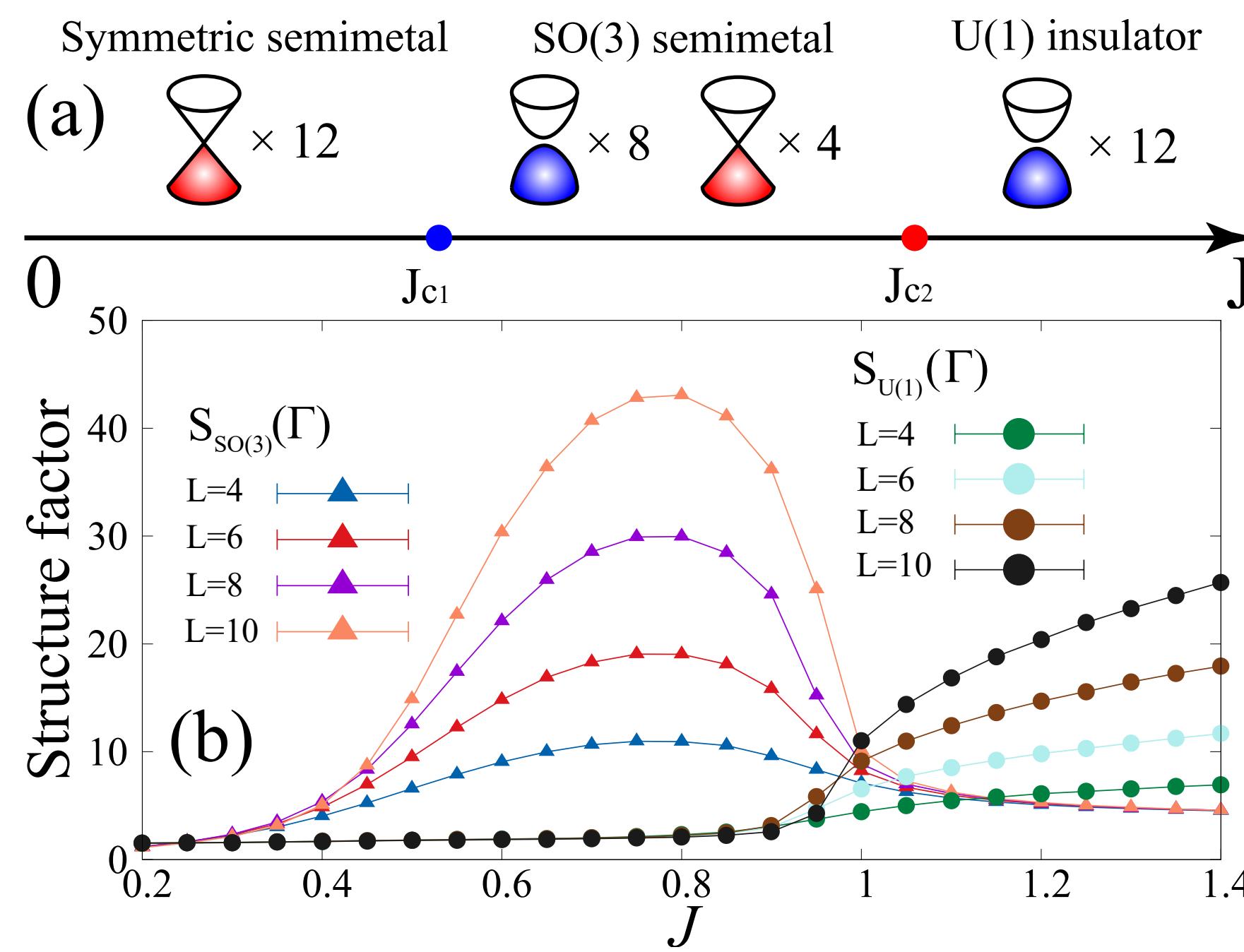


# Gross-Neveu-SO(3): Sign-problem-free QMC

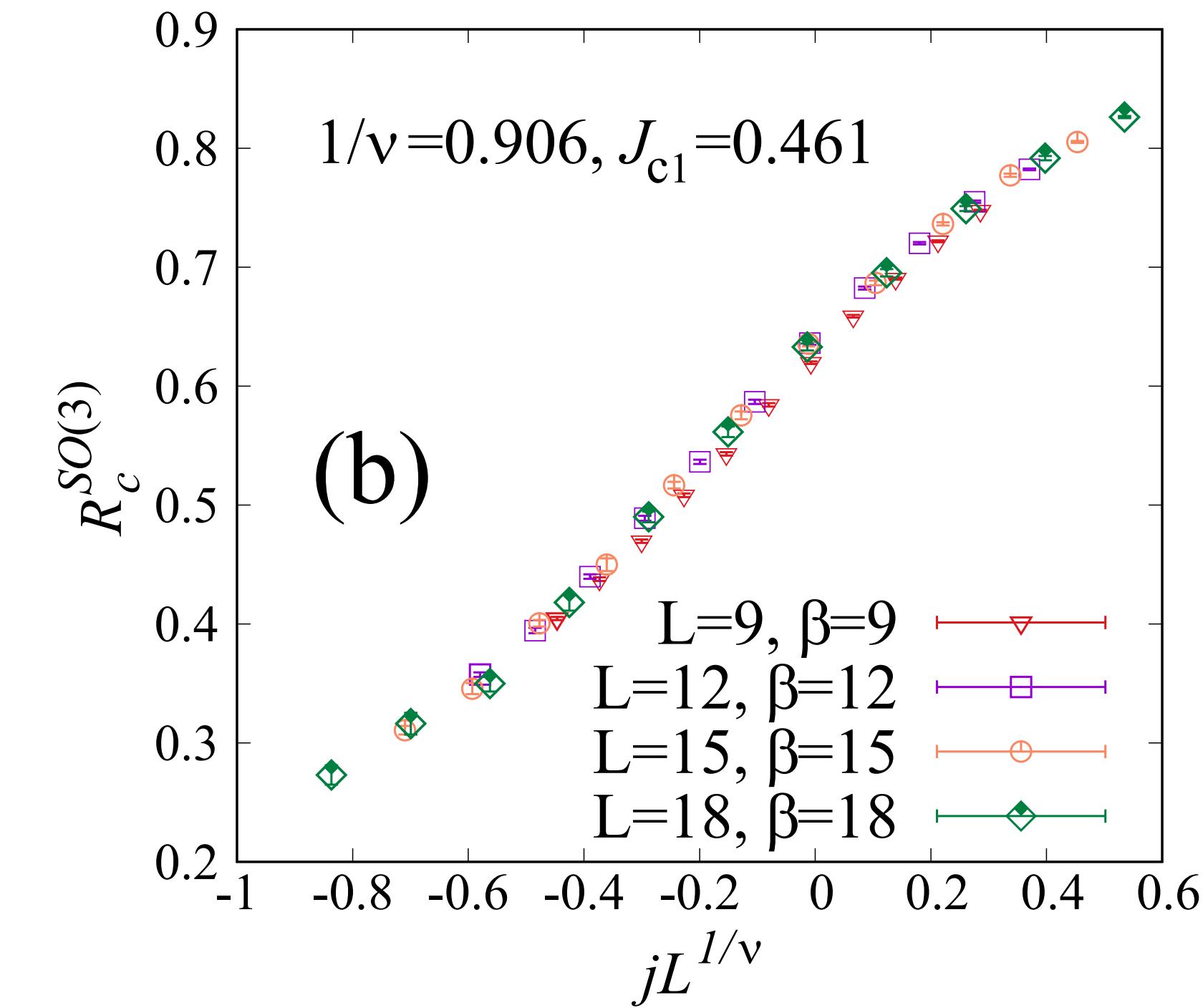
Hamiltonian:

$$H = -t \sum_{\langle i,j \rangle} c_{i\sigma\lambda}^\dagger c_{j\sigma\lambda} - J \sum_{i\alpha} \left( c_{i\sigma\lambda}^\dagger K_{\sigma\sigma'}^\alpha \tau_{\lambda\lambda'}^z c_{i\sigma'\lambda'} \right)^2$$

Phase diagram:



Finite-size scaling collapse:

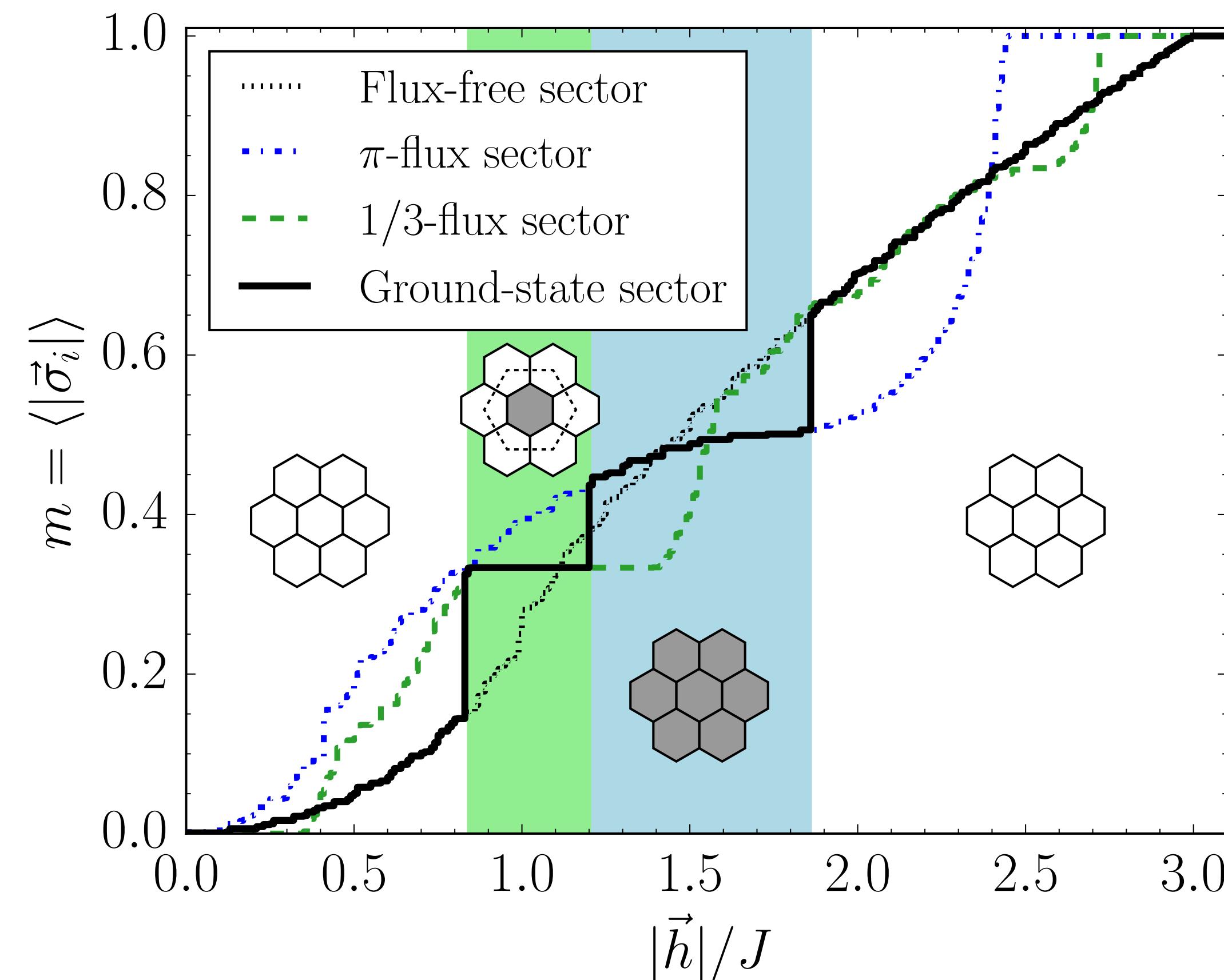


# Spin-orbital model in external magnetic field

Hamiltonian:

$$\mathcal{H} = -K \sum_{\langle ij \rangle_\gamma} (\vec{\sigma}_i \cdot \vec{\sigma}_j) \otimes \tau_i^\gamma \tau_j^\gamma + J \sum_{\langle ij \rangle} (\vec{\sigma}_i \cdot \vec{\sigma}_j) \otimes \mathbb{1}_i \mathbb{1}_j - \vec{h} \cdot \sum_i \vec{\sigma}_i \otimes \mathbb{1}$$

Magnetization:



# Finite-size spectroscopy: Ising vs Ising\*

Transverse-field Ising:

$$H = -J \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z - h \sum_i \sigma_i^x$$

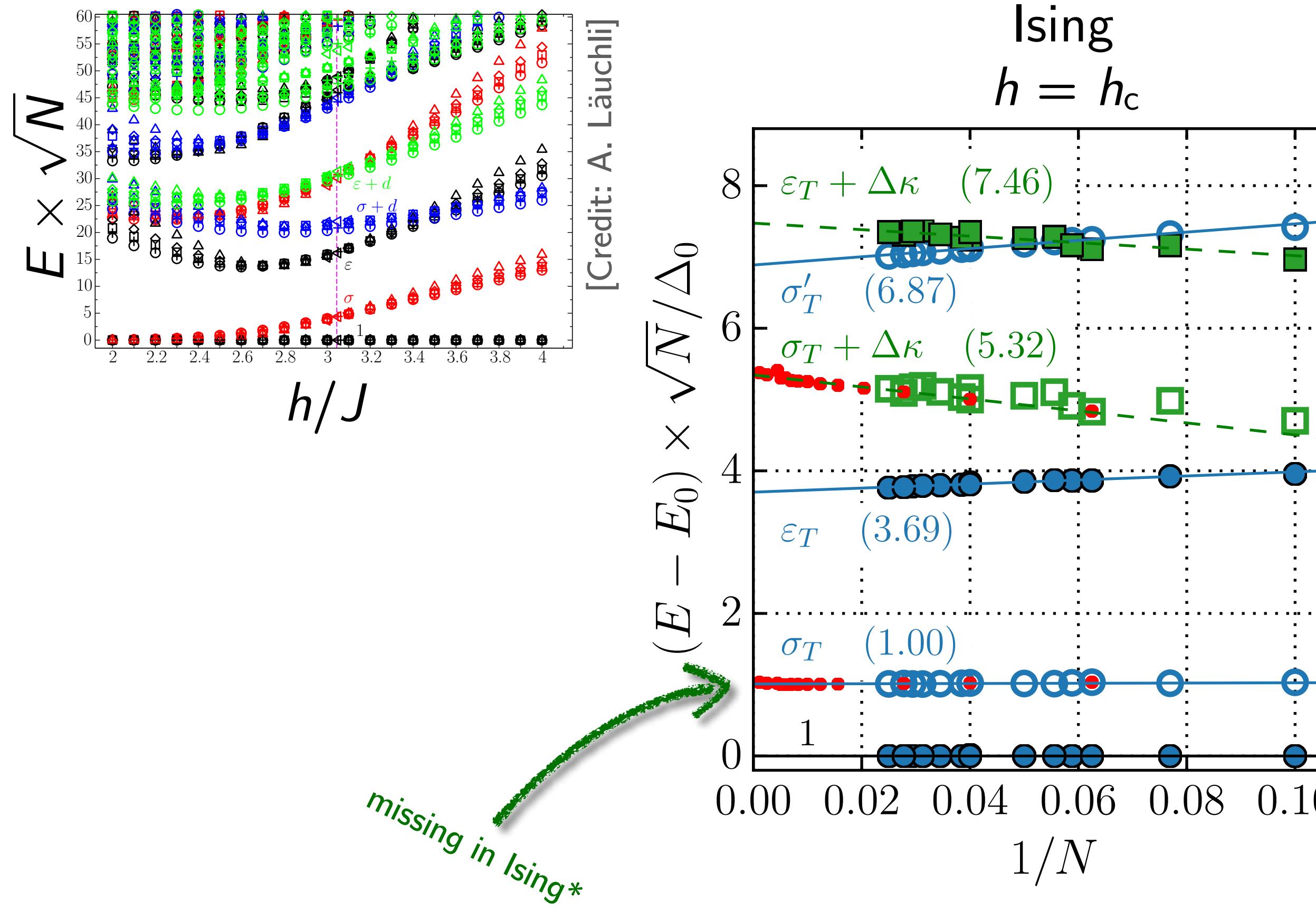
Transverse-field toric code:

$$H = -J \sum_s \prod_{i \in s} \sigma_i^x - J \sum_p \prod_{i \in p} \sigma_i^z - h \sum_i \sigma_i^x$$

# Finite-size spectroscopy: Ising vs Ising\*

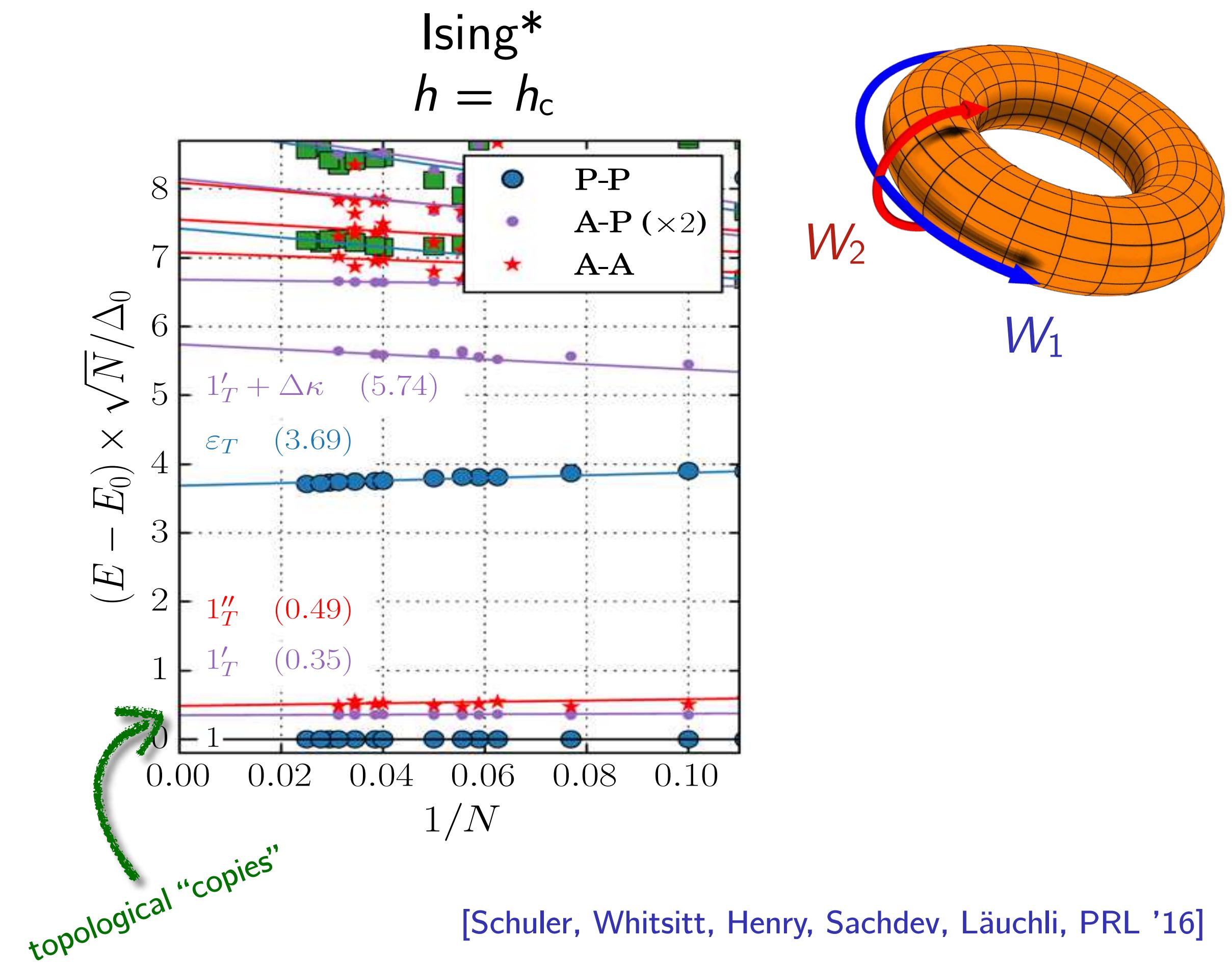
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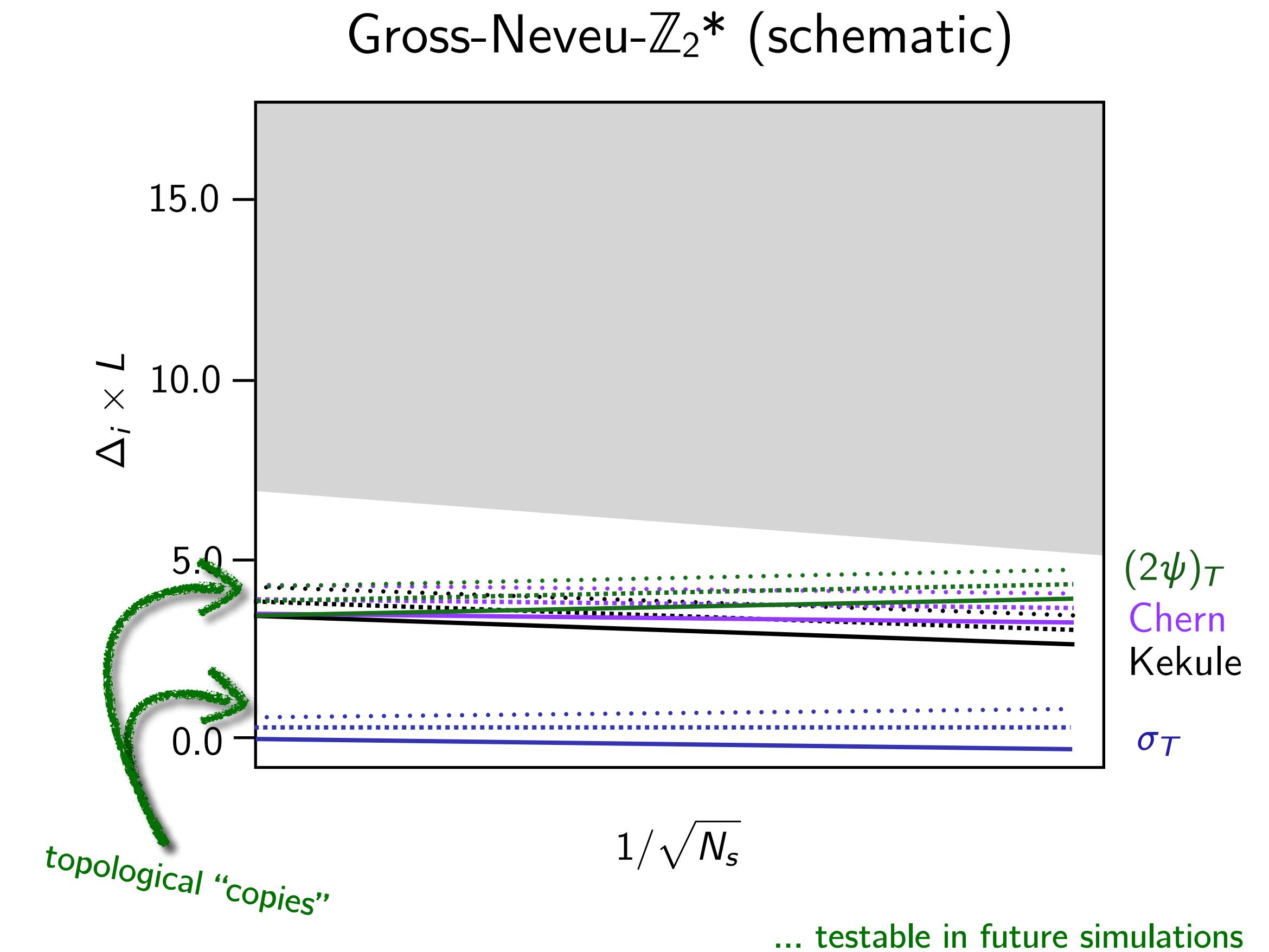
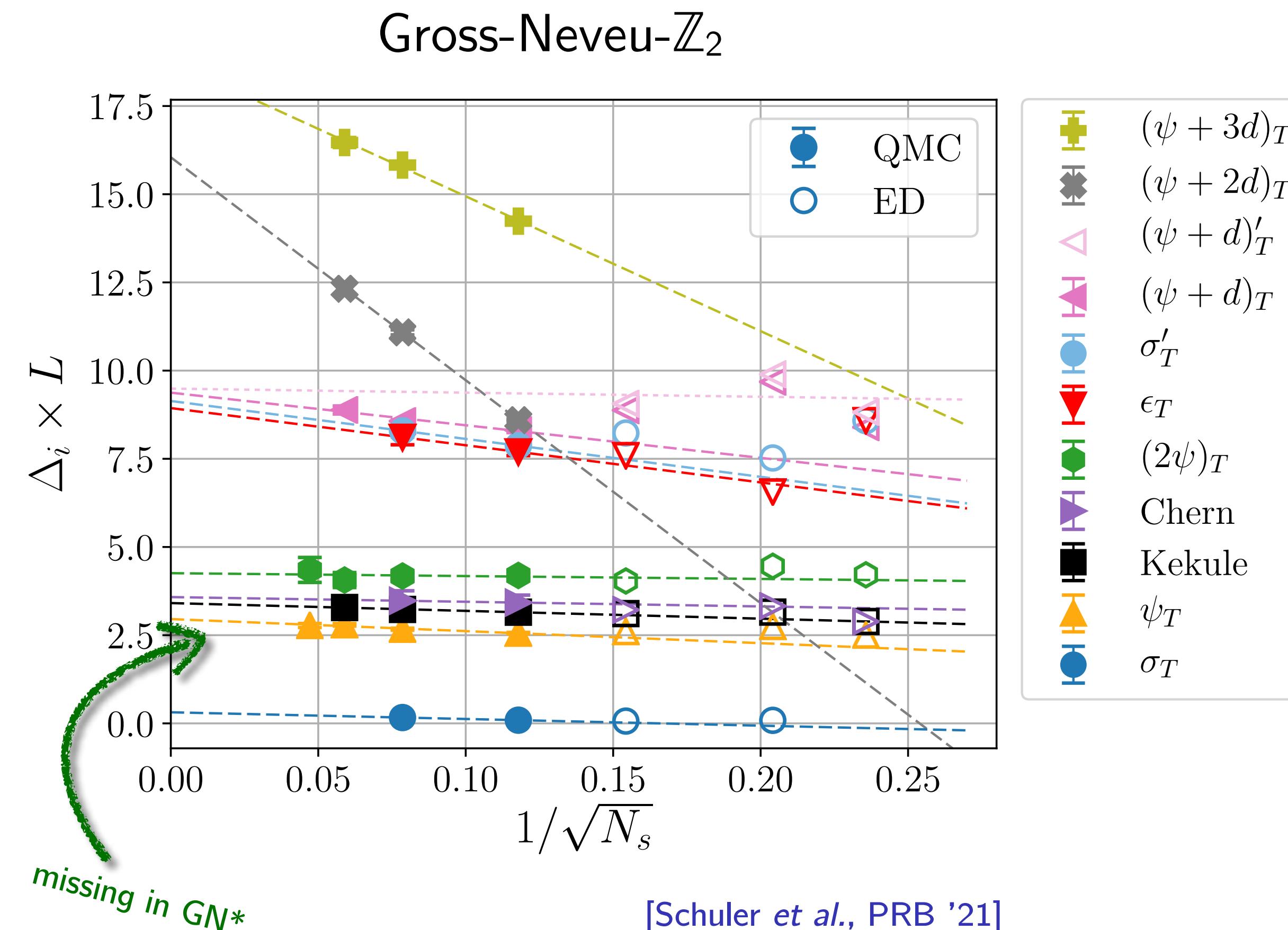
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[Schuler, Whitsitt, Henry, Sachdev, Läuchli, PRL '16]

# Gross-Neveu vs Gross-Neveu\*

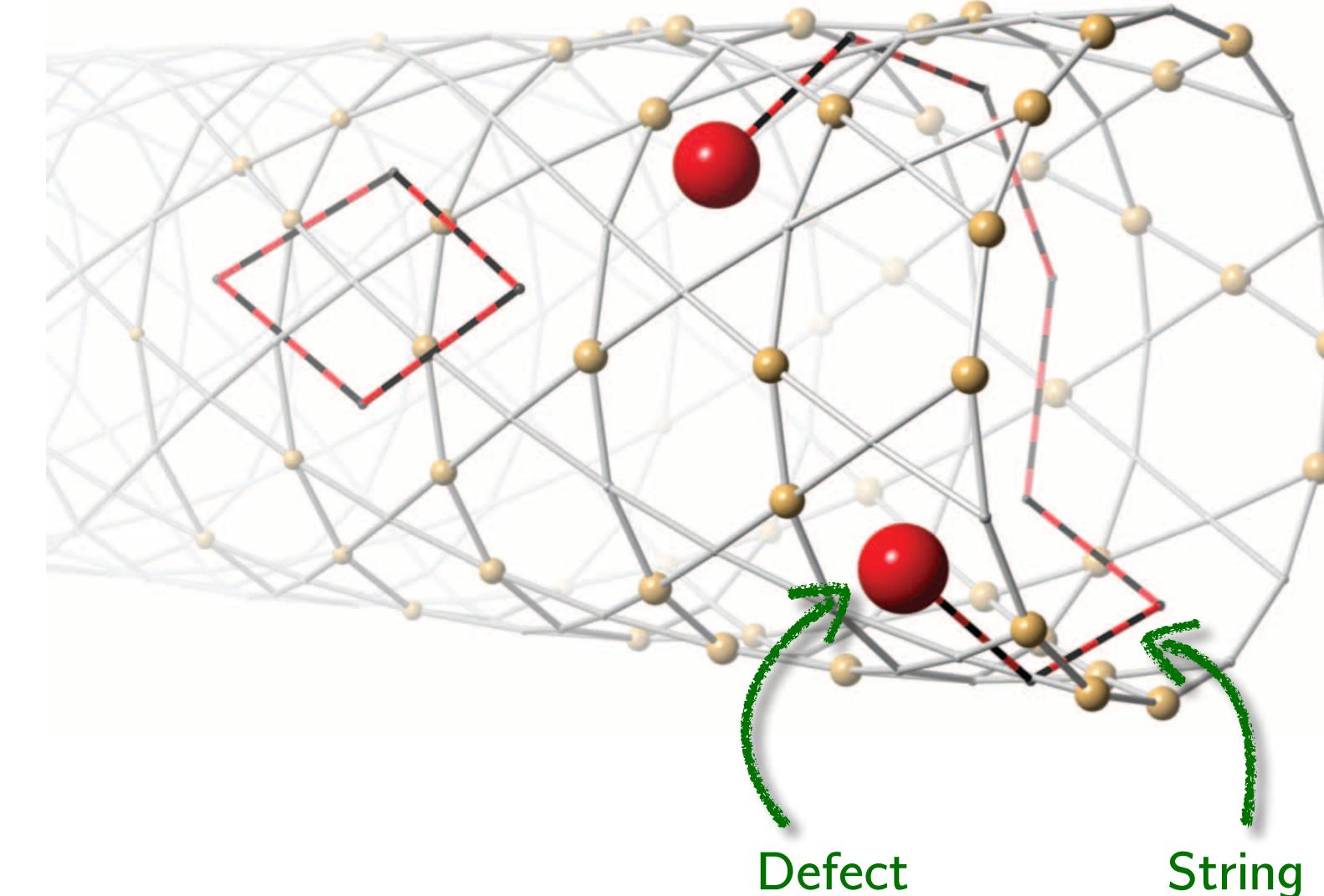


# Fractionalized quantum criticality: XY\*

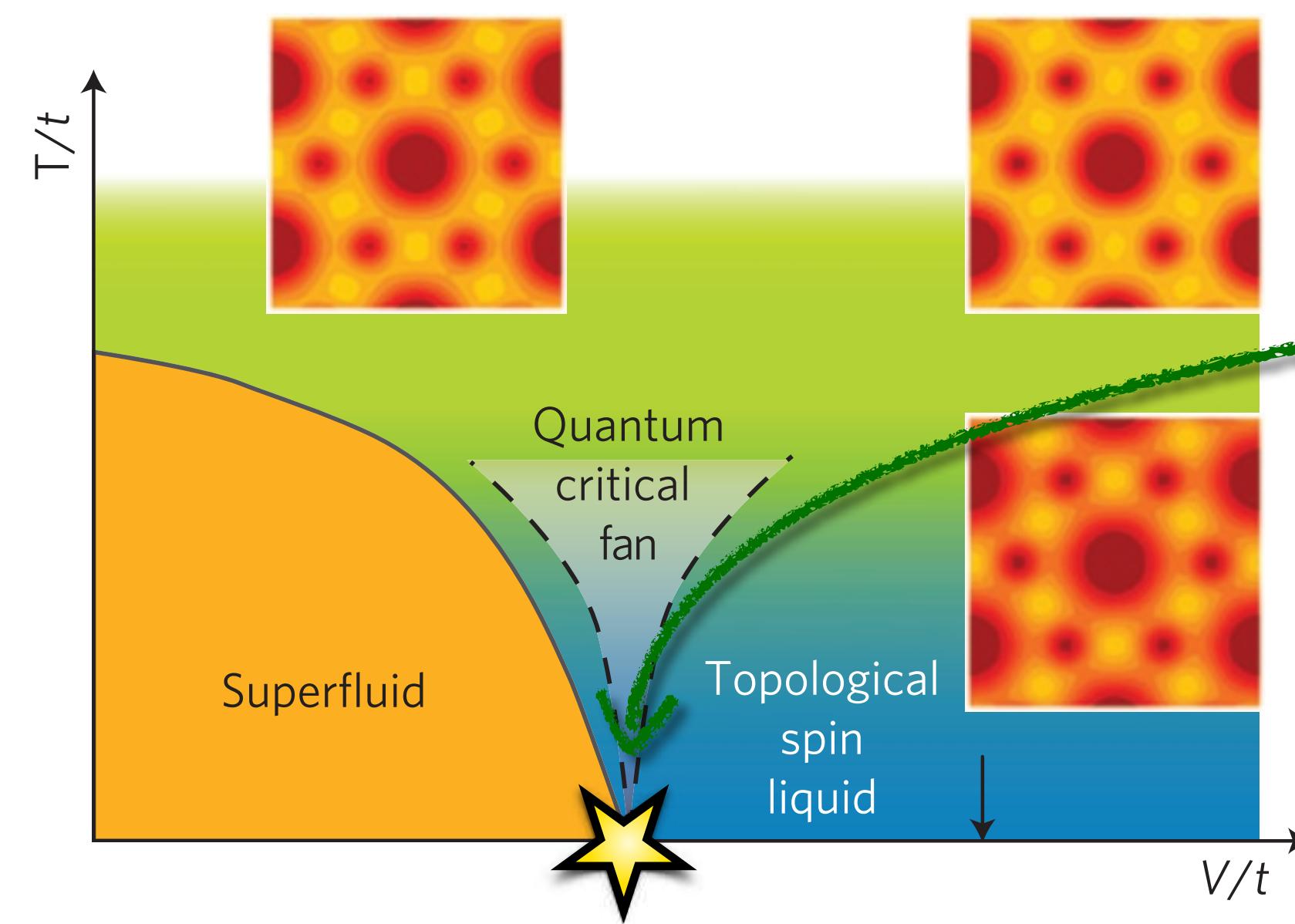
Bose-Hubbard-like model (kagome lattice):

$$\mathcal{H} = -t \sum_{\langle ij \rangle} [b_i^\dagger b_j + b_i b_j^\dagger] + V \sum_{\textcircled{\tiny O}} (n_{\textcircled{\tiny O}})^2$$

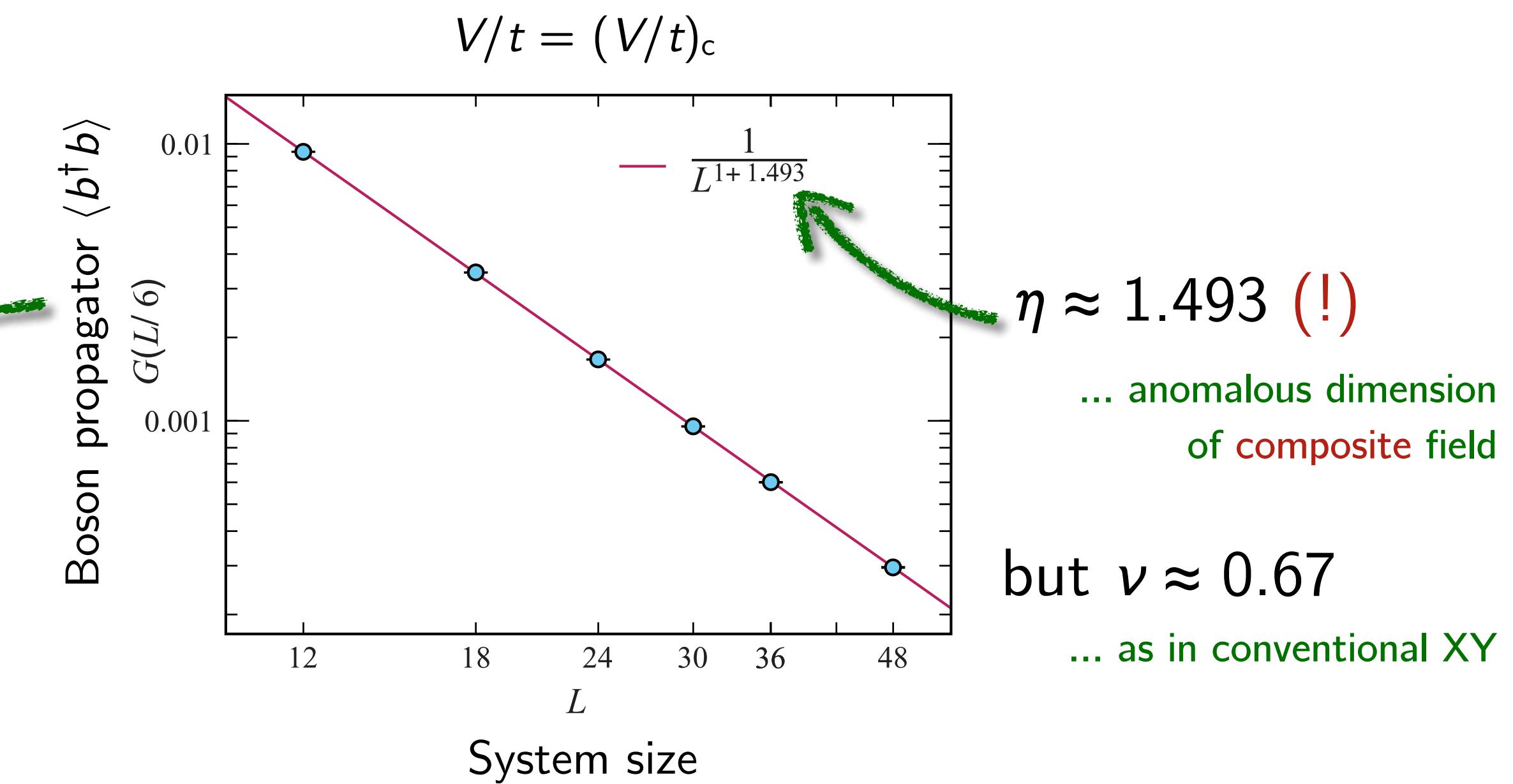
Hopping bosons                              Boson density in plaquette



Phase diagram:



[Isakov, Hastings, Melko, Nat. Phys. '11]

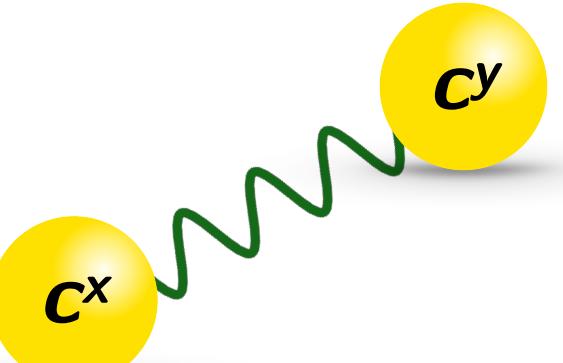


[Isakov, Melko, Hastings, Science '12]  
[Chubukov, Senthil, Sachdev, PRL '94]

# Kitaev-Ising spin-orbital model

Ising perturbation:

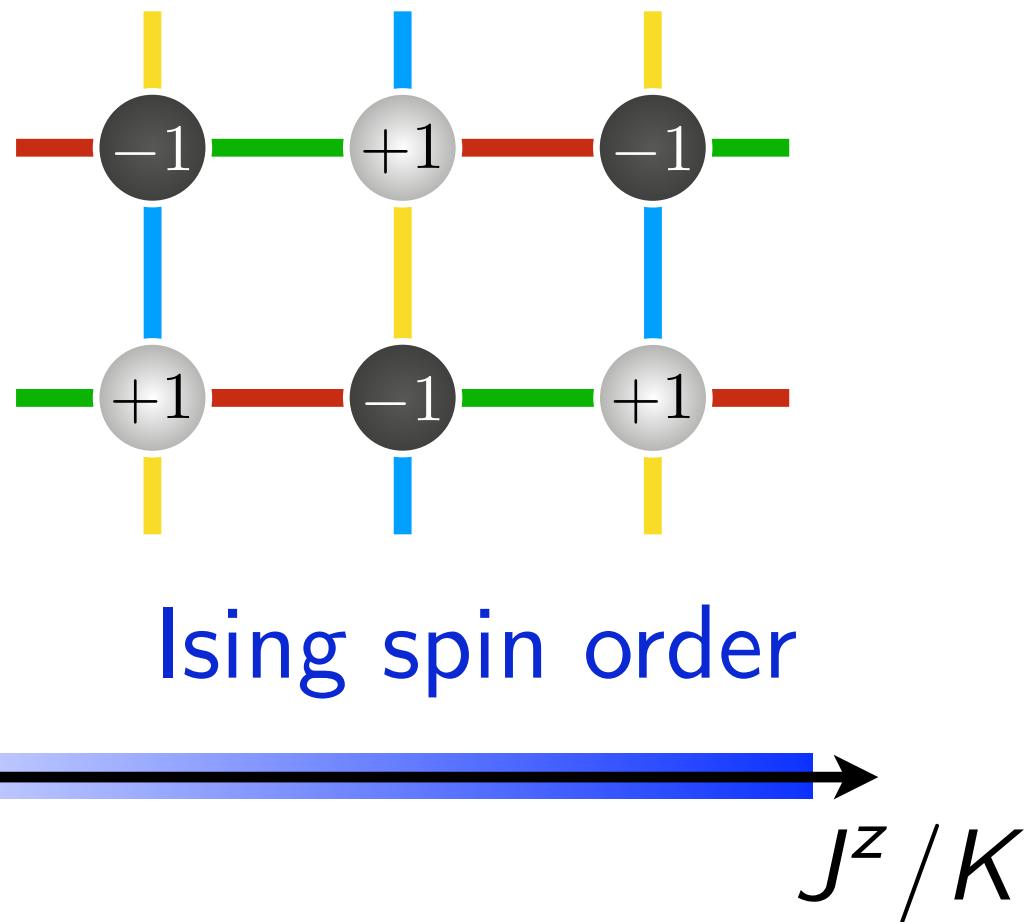
$$H = H_K + J^z \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z \otimes \mathbf{1}_i \mathbf{1}_j$$



“Kitaev” spin-orbital liquid

0

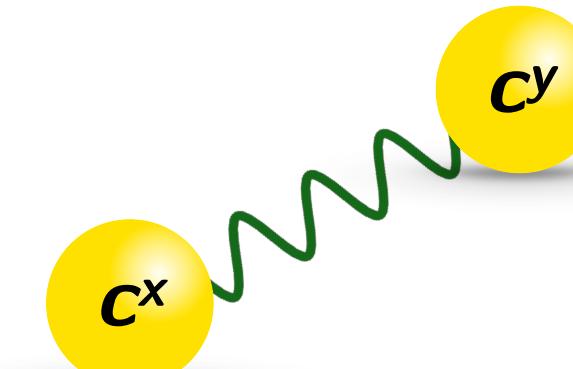
$J^z / K$



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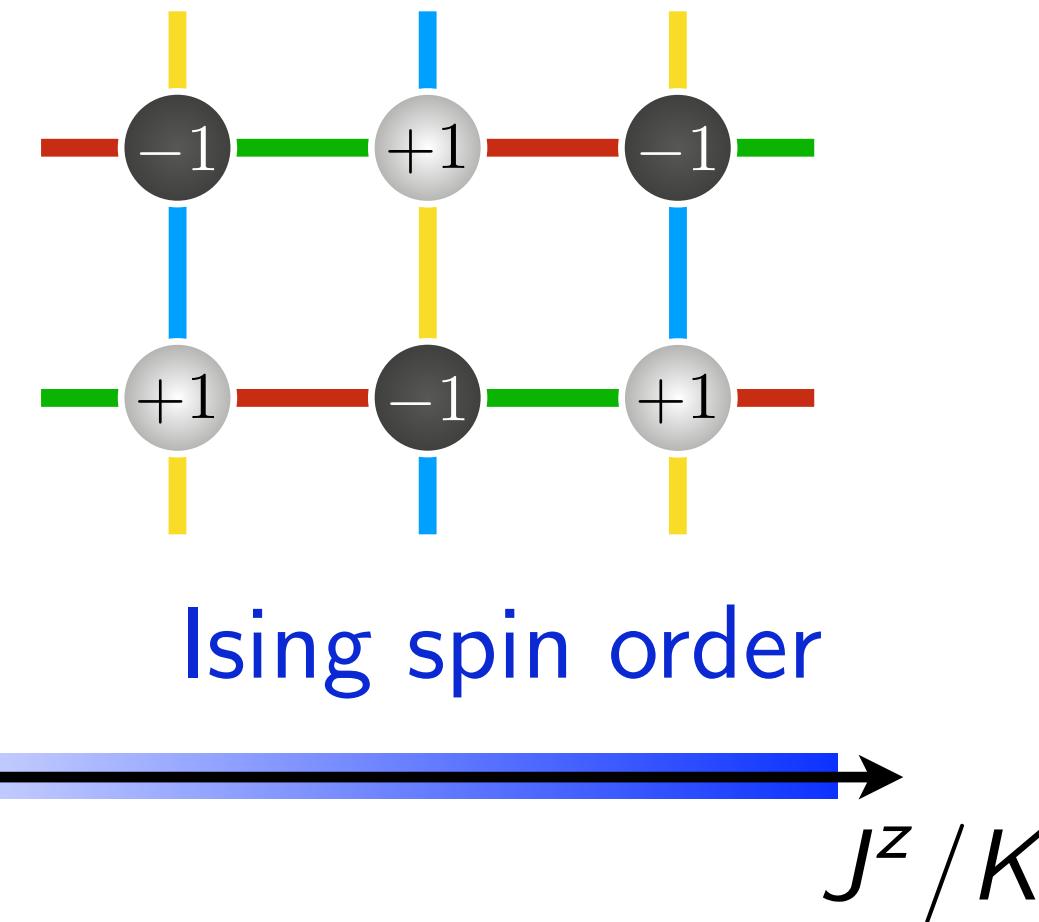
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“Kitaev” spin-orbital liquid

0

$J^z / K$



Parton representation:

$$H \mapsto \sum_{\langle ij \rangle} \left[ 2K u_{ij} (f_i^\dagger f_j + f_j^\dagger f_i) + 4J^z \left( n_i - \frac{1}{2} \right) \left( n_j - \frac{1}{2} \right) \right]$$

hopping parameter  $t = 2K$

$\pi$  flux

nearest-neighbor repulsion  $V = 4J^z$

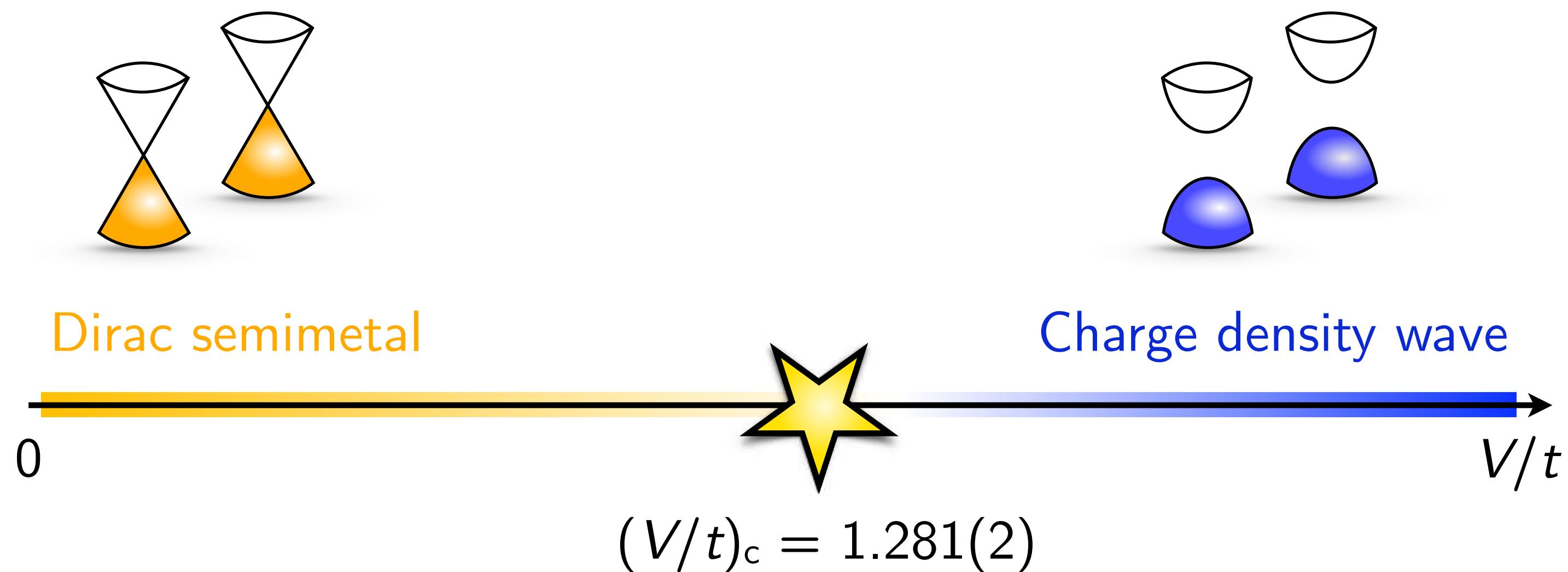
$f = \frac{1}{2}(c^x + i c^y)$

electron density  $f^\dagger f$

Ground-state flux pattern:  
[Lieb, PRL '94]

Spin-orbital model  $\mapsto$  interacting fermions on  $\pi$ -flux lattice

# Spinless fermions on $\pi$ -flux lattice: QMC



Gross-Neveu- $\mathbb{Z}_2$  universality:

$$1/\nu = 1.12(1), \quad \eta_\phi = 0.51(3)$$

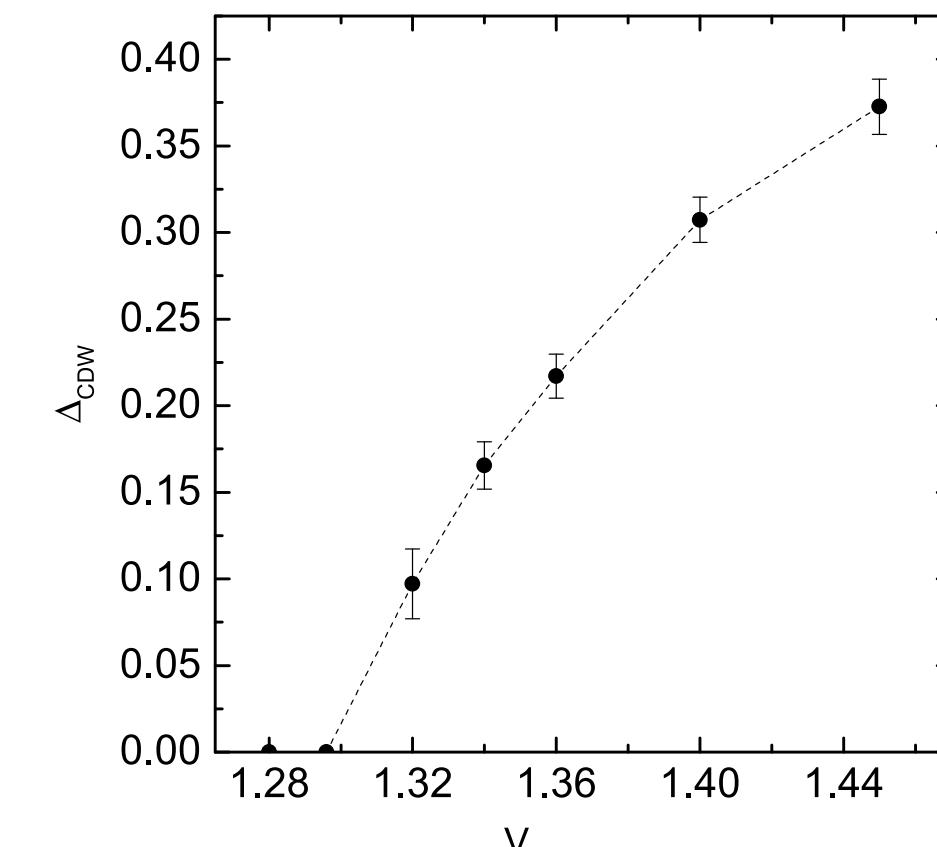
[Gracey, IJMP '94]

[LJ & Herbut, PRB '14]

[Iliesiu *et al.*, JHEP '18]

[Ihrig, Mihaila, Scherer, PRB '18]

...

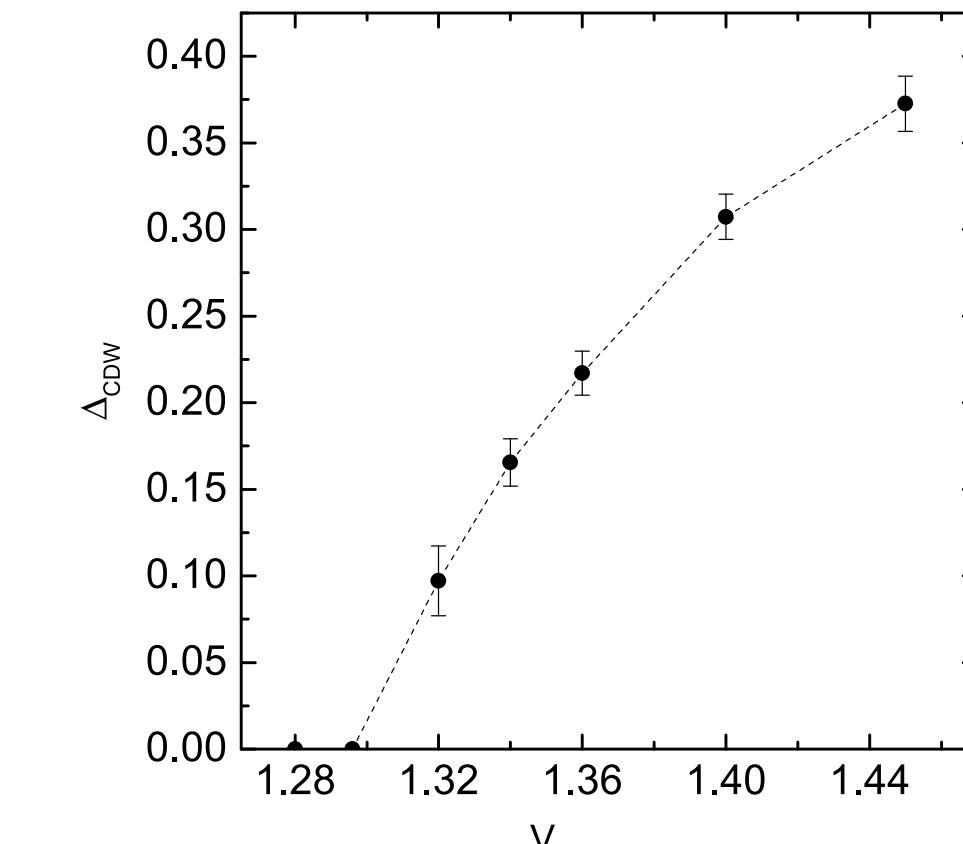
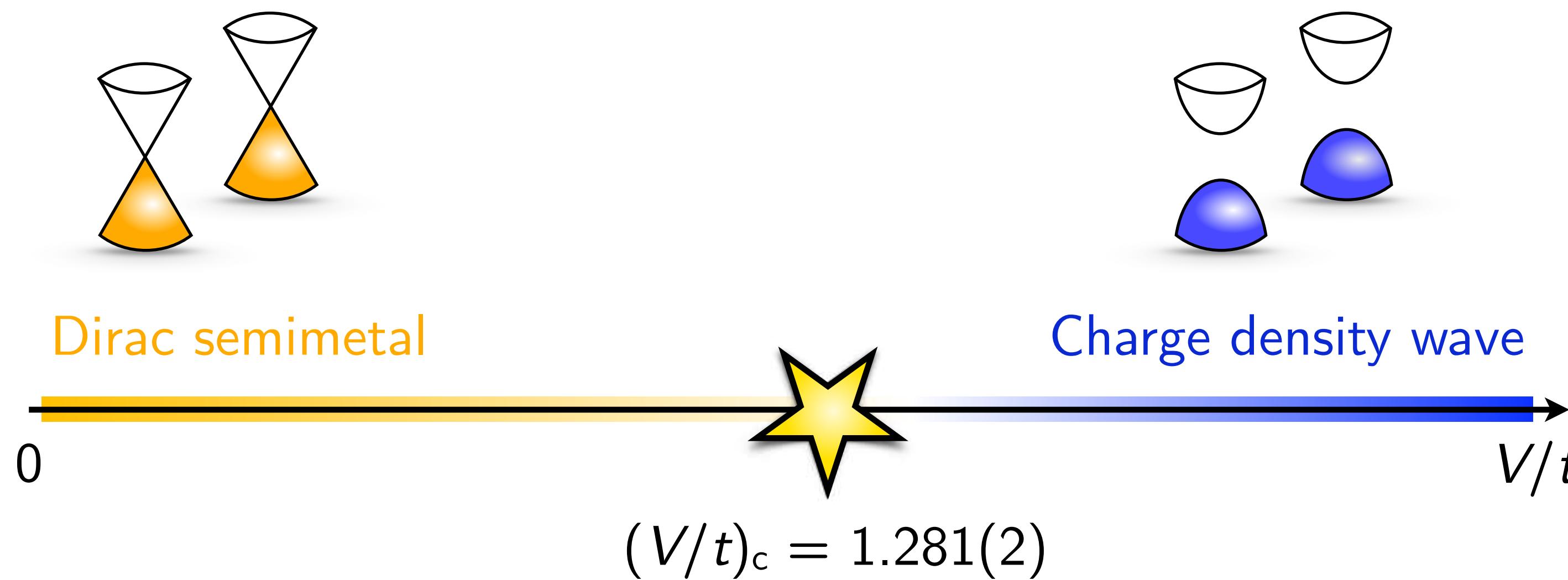


[Wang, Corboz, Troyer, NJP '14]

[Li, Jiang, Yao, NJP '15]

[Huffman & Chandrasekharan, PRD '17; PRD '20]

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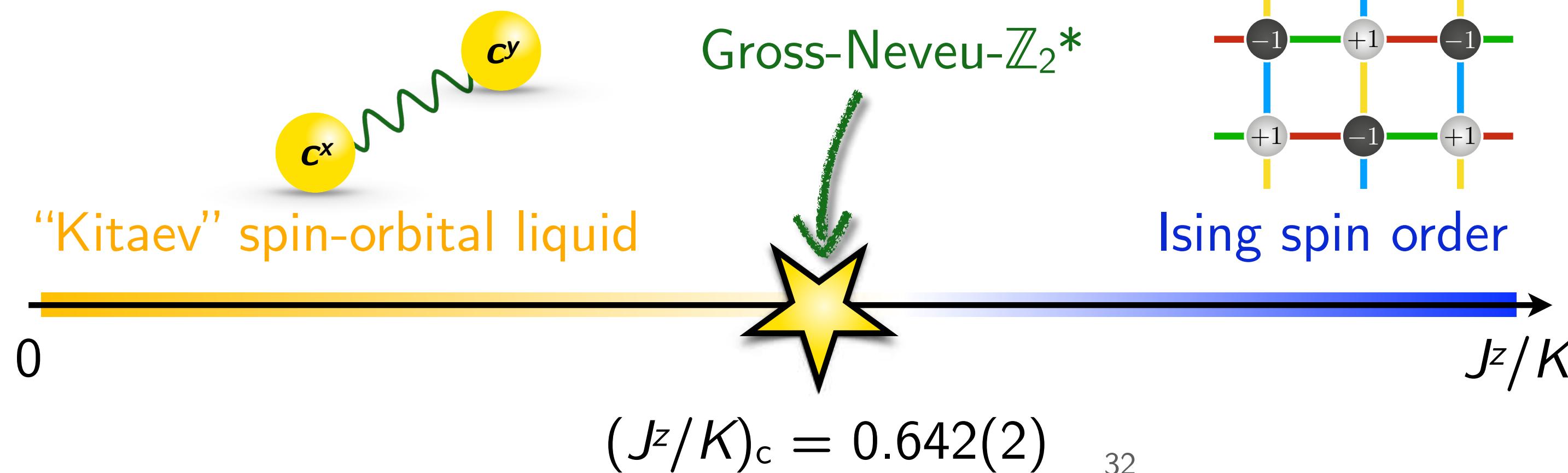
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