

### 3. Spin-wave theory: Collinear vs. non-collinear states

#### 3.1. Classical limit for Heisenberg models

Classical spins  $\hat{=}^1$  commuting (unit) vectors

For  $SU(2)$  spins, classical limit is reached for  $S \rightarrow \infty$ ,

because  $[S^x, S^y] = i\hbar S^z$

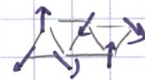
which is  $[\frac{1}{S}S^x, \frac{1}{S}S^y] = \frac{1}{S}i\hbar \frac{S^z}{S}$

This limit is important, because quantum corrections can be calculated in  $1/S$  expansion.

Classical states: Specified by direction of (unit) vector

Ferromagnet  $\uparrow\uparrow\uparrow\uparrow$

Antiferromagnet  $\uparrow\downarrow\uparrow\downarrow$



(frustrated)



(in magnetic field  $\parallel \hat{z}$ )

Theorem:

For classical Heisenberg spins and lattice with single site per unit cell (and no external field), the state with minimal energy is given by a single-Q spiral state, where the ordering wavevector  $\vec{Q}$  minimizes the interaction function  $J(\vec{q})$ .

Single-Q spiral:  $\langle \vec{S}_i \rangle = \text{Re} \left( \vec{n} e^{i\vec{Q} \cdot \vec{R}_i} \right)$   
 $\vec{n} = \vec{n}_1 + i\vec{n}_2$ ;  $\vec{n}_1, \vec{n}_2$  real,  $\vec{n}_1 \cdot \vec{n}_2 = 0$

$\vec{Q} = 0$ :  $\uparrow\uparrow\uparrow\uparrow$

$\vec{Q} = (\pi, \pi)$ :  $\uparrow\downarrow\uparrow\downarrow$  (Néel state)  
 $\downarrow\uparrow\downarrow\uparrow$

$\vec{Q} = \pi/5$ :  $\uparrow \rightarrow \rightarrow \rightarrow \downarrow \leftarrow \leftarrow \leftarrow \uparrow$  (spiral)

Proof:

to avoid double counting of bonds;  $J_{ij} = J_{ji}$

$$H = \frac{1}{2} \sum_{ij} J_{ij} \vec{s}_i \cdot \vec{s}_j$$

Introduce

$$\vec{S}(\vec{q}) = \frac{1}{\sqrt{N}} \sum_i \vec{s}_i e^{-i\vec{q} \cdot \vec{R}_i} \quad (\text{works on Bravais lattice})$$

(with  $N$  sites)

Then

$$H = \frac{1}{2} \sum_{\vec{q}} J(\vec{q}) \vec{S}(\vec{q}) \cdot \vec{S}(-\vec{q})$$

with

$$J(\vec{q}) = \frac{1}{N} \sum_{ij} J_{ij} e^{i\vec{q} \cdot (\vec{R}_j - \vec{R}_i)} = \sum_{\vec{\Delta}} J_{\vec{\Delta}} e^{i\vec{q} \cdot \vec{\Delta}}$$

translation invariance ( $\vec{\Delta} = \vec{R}_j - \vec{R}_i$ )

$$J_{ij} = J_{i, i+\vec{\Delta}} = J_{\vec{\Delta}}$$

Length constraint  $|\vec{s}_i| = 1$

$$\leadsto \sum_{\vec{q}} \vec{S}(\vec{q}) \cdot \vec{S}(-\vec{q}) = N$$

$\leadsto H$  is minimized for  $S(\vec{q}) = \begin{cases} N & \vec{q} = \vec{Q} \\ 0 & \text{otherwise} \end{cases}$  with  $\vec{Q}: J(\vec{q}) \rightarrow \min$

Examples

Cubic lattice  $J(\vec{q}) = 2J (\cos q_x + \cos q_y + \cos q_z)$

$J > 0 \leadsto \vec{Q} = (\pi, \pi, \pi)$  antiferromagnet

$J < 0 \leadsto \vec{Q} = 0$  ferromagnet

Triangular lattice  $J(\vec{q}) = 2J (\cos q_x + 2 \cos \frac{q_x}{2} \cos \frac{\sqrt{3} q_y}{2})$

$J > 0 \leadsto \vec{Q} = (\frac{4}{3}\pi, 0)$   $120^\circ$  state  $\uparrow$

$J < 0 \leadsto \vec{Q} = 0$  ferromagnet

## 3.2. Spin representations and $1/S$ expansion ( $t=1$ )

Many-body calculations are often easier with bosons or fermions

than for spins  $\rightarrow$  represent spins by bosons or fermions (many options)  
(Hilbert space usually enlarged!)

General  $S$ : Represent  $(2S+1)$  states, preserve  $[S^x, S^y] = i \epsilon_{xy} S^z$

Holstein-Primakoff

$$\begin{aligned} S^z &= S - a^\dagger a \\ S^+ &= \sqrt{2S - a^\dagger a} a \\ S^- &= a^\dagger \sqrt{2S - a^\dagger a} \end{aligned}$$

Hilbert-space constraint:  $a^\dagger a \leq 2S$  (for physical Hilbert space)

Approximations rely on  $\langle a^\dagger a \rangle \ll 2S \rightarrow$  good for symmetry-broken states with  $\langle S^z \rangle \neq 0$

$1/S$  expansion: expand all observables in Taylor series in  $1/S$ ,

by expanding in  $a^\dagger a / 2S$  ( $n = a^\dagger a$ ):

$$\sqrt{2S - n} = \sqrt{2S} \left( 1 - \frac{n}{4S} - \frac{n^2}{32S^2} + \dots \right)$$

Holstein-Primakoff representation chooses a reference state for each spin:  $S \parallel \hat{z}$  ( $a^\dagger a = 0 \hat{=} S^z = S$ ). For antiferromagnets different reference direction must be chosen for each spin.

For non-collinear states (e.g.  $\leftarrow \rightarrow \leftarrow \rightarrow$ ) these directions may themselves depend on  $1/S$  (see later).

Dyson-Maleev

$$\begin{aligned} S^z &= S - a^\dagger a \\ S^+ &= \sqrt{2S} \left( 1 - \frac{a^\dagger a}{2S} \right) a \\ S^- &= \sqrt{2S} a^\dagger \end{aligned}$$

Similar to Holstein-Primakoff, with two differences:

-  $(S^-)^\dagger \neq S^+$   $\rightarrow$  awkward calculations

-  $1/S$  expansion does not involve  $\sqrt{\quad}$  expansion  $\rightarrow$  only finite number of terms

Holstein-Primakoff and Dyson-Maleev representations are NOT suitable for symmetric phases. Alternatives:

Schwinger bosons

$$S^z = \frac{1}{2} (a^\dagger a - b^\dagger b)$$

$$S^+ = a^\dagger b$$

$$S^- = b^\dagger a$$

Hilbert-space constraint:  $a^\dagger a + b^\dagger b = 2S$

States  $|S, m\rangle = \frac{1}{\sqrt{(S+m)!}} \frac{1}{\sqrt{(S-m)!}} (a^\dagger)^{S+m} (b^\dagger)^{S-m} |0\rangle$

For  $S = \frac{1}{2}$  more representations have been developed, e.g.

Abrikosov fermions

$$\left. \begin{aligned} S^z &= \frac{1}{2} (c_\uparrow^\dagger c_\uparrow - c_\downarrow^\dagger c_\downarrow) \\ S^+ &= c_\uparrow^\dagger c_\downarrow \\ S^- &= c_\downarrow^\dagger c_\uparrow \end{aligned} \right\} S^\alpha = \frac{1}{2} \sum_{ss'} c_s^\dagger \sigma_{ss'}^\alpha c_{s'}$$

↑  
Pauli

Hilbert-space constraint:  $c_\uparrow^\dagger c_\uparrow + c_\downarrow^\dagger c_\downarrow = 1$

Majorana fermions  
(Kitaev)

$$S^\alpha = b c^\alpha \quad (\alpha = x, y, z)$$

$$b = S^+, \quad c^\alpha = \sigma^{\alpha+}$$

Hilbert-space constraint  $b c^x c^y c^z = 1$  (see later)

### 3.3 Spin-wave theory for ferromagnets

Spin-wave theory: describes properties of ordered magnetic phases, expands about classical reference state, formally is a  $1/s$  expansion

Simplest case: Heisenberg ferromagnet (lattice arbitrary, specified later)

$$H = -J \sum_{\langle ij \rangle} \vec{s}_i \cdot \vec{s}_j - h \sum_i s_i^z$$

↙ pairs of nearest neighbors

Assumption:  $\vec{s}_i \parallel z$   $\rightarrow$   $s_i^z = S - a_i^\dagger a_i$   
 (expand about fully polarized state)  $s_i^+ = \sqrt{2S - a_i^\dagger a_i} a_i$

Insert:  $H = -J \sum_{\langle ij \rangle} (S^2 - S a_i^\dagger a_i - S a_j^\dagger a_j + a_i^\dagger a_i a_j^\dagger a_j + \frac{1}{2} a_i^\dagger \sqrt{2S - a_i^\dagger a_i} \sqrt{2S - a_j^\dagger a_j} a_j + \frac{1}{2} a_j^\dagger \sqrt{2S - a_j^\dagger a_j} \sqrt{2S - a_i^\dagger a_i} a_i)$

$$-h \sum_i (S - a_i^\dagger a_i)$$

Rewrite  $H = -J \sum_{\langle ij \rangle} S^2 - h \sum_i S$   $H_0$

$$-JS \sum_{\langle ij \rangle} (-a_i^\dagger a_i - a_j^\dagger a_j + a_i^\dagger a_j + a_j^\dagger a_i) + h \sum_i a_i^\dagger a_i$$
  $H_2$

$$-J \sum_{\langle ij \rangle} (a_i^\dagger a_i a_j^\dagger a_j - \frac{1}{4} (a_i^\dagger a_i a_j^\dagger a_j + a_i^\dagger a_j^\dagger a_j a_i + a_j^\dagger a_j^\dagger a_i a_i + a_j^\dagger a_i^\dagger a_i a_i))$$
  $H_4$

$$-J \frac{1}{S} \sum_{\langle ij \rangle} [6 \text{ } a^\dagger a \text{ operators}]$$
  $H_6$

$$+ \mathcal{O}(1/S^2)$$

$H_n$  contains  $n$   $a^\dagger a$  operators

- Observations:
- organized in powers of  $1/s$ , higher powers  $\hat{=}$  more operators
  - equal number of  $a^\dagger$  &  $a \hat{=}$   $S^z$  conserved
  - since leading term in energy is  $\mathcal{O}(S^2)$ , external field should be taken  $\mathcal{O}(S)$  such that  $h S^z$  is also  $\mathcal{O}(S^2)$ .

The re-writing of  $H$  is exact, but represents a strongly interacting problem of bosons. Strategy: Work at large  $S$ , such that higher-order terms are small.

$H_0$  is classical ground-state energy (i.e. energy of ideal ferromagnet in field)

$H_2$  is bilinear in bosons and can be solved — This is linear spin-wave theory.

To this end:

Define

$$a_{\vec{k}} = \frac{1}{\sqrt{N}} \sum_i e^{i\vec{k} \cdot \vec{r}_i} a_i, \quad \vec{k} \in \text{first Brillouin zone}$$

$$a_i = \frac{1}{\sqrt{N}} \sum_{\vec{k}} e^{-i\vec{k} \cdot \vec{r}_i} a_{\vec{k}}, \quad N = \text{no. of lattice sites}$$

and

$$J(\vec{k}) = \frac{2}{N} \sum_{\langle ij \rangle} J e^{i\vec{k} \cdot (\vec{r}_j - \vec{r}_i)} = J \sum_{\vec{\Delta}} e^{i\vec{k} \cdot \vec{\Delta}}$$

↑  
nearest-neighbor vectors

(For general interactions  $H = \frac{1}{2} \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$ ;  $J(\vec{k}) = \frac{1}{N} \sum_{ij} J_{ij} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$ )

Insert:

$$H_2 = \sum_{\vec{k} \in BZ} \omega_{\vec{k}} a_{\vec{k}}^\dagger a_{\vec{k}}$$

with

$$\omega_{\vec{k}} = S [J(0) - J(\vec{k})] + h = JSz(1 - \gamma_{\vec{k}}) + h$$

↑  
 $J(\vec{k}) =: Jz\gamma_{\vec{k}}$

Interpretation of  $H_2$ :

Excitations of classical ground state are bosons, created by  $a_{\vec{k}}^\dagger$ , these are spin waves with momentum  $\vec{k}$ .

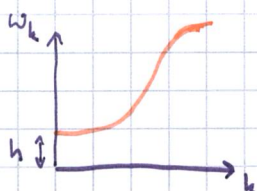
$\omega_{\vec{k}}$  is energy (dispersion) of spin waves.

For  $h=0$ :  $\omega_{\vec{k}=0} = 0$  and  $\omega_{\vec{k}} = Dk^2$  for small  $\vec{k} \hat{=} \text{Goldstone mode}$

E.g. cubic lattice ( $z=6$ )

$$J(\vec{k}) = 2J (\cos k_x + \cos k_y + \cos k_z) = J \sum_{\vec{\Delta}} e^{i\vec{k} \cdot \vec{\Delta}}$$

$$\gamma_{\vec{k}} = \frac{1}{3} (\cos k_x + \cos k_y + \cos k_z)$$



Note: Ground state of  $H_2$  is vacuum of  $a_k$ ,  
 this is state with  $a_i^\dagger a_i = 0 \quad \forall i$

→ fully polarized ferrimagnet  $|\uparrow\uparrow\uparrow\dots\rangle$

This is in fact the exact ground state of  $H$ .

Note: One-magnon state is  $a_k^\dagger |\uparrow\uparrow\uparrow\dots\rangle$ ;

this is an exact eigenstate of  $H$ .

Observables can now be calculated from  $H_0 + H_2$ .

Example: Magnetization

$$M = \frac{1}{N} \sum_i S_i^z = S - \frac{1}{N} \sum_k a_k^\dagger a_k$$

Classical value

$\Delta M$  quantum correction

Within linear sph-wave theory, the  $a_k$  are independent bosons.

Thermal occupation from Bose gas:

$$n_k = \langle a_k^\dagger a_k \rangle = \frac{1}{e^{\beta \omega_k} - 1}$$

$$\sim \Delta M = \frac{1}{N} \sum_k \frac{1}{e^{\beta \omega_k} - 1}$$

$$\beta = \frac{1}{k_B T}$$

For small  $T$  ( $T \ll J$ )  $n_k$  is only sizeable for  $k \ll 1$ .

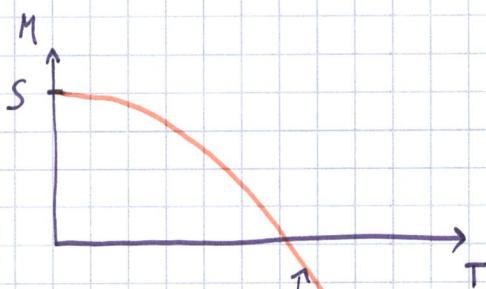
$$\sim \Delta M \underset{\substack{\approx \\ \uparrow \\ \text{no field}}}{\sim} \int \frac{d^d k}{(2\pi)^d} \frac{1}{e^{\beta D k^2} - 1} \propto T^{d/2} \int_0^{x_{\max}} dx \frac{1}{e^x - 1} x^{d/2}$$

divergent for  $d \leq 2$

→ ordered state is destroyed by fluctuations at any finite  $T$

(Mermin-Wagner theorem)

$d=3$ :



$M < 0$  unphysical → approximation (i.e. assumption of ordered state) breaks down

$T_{\text{cross}}$  is estimate for Curie temperature.

Effect of interactions:  $H_4, H_6, \dots$  Perturbation theory!



finite magnon lifetime for  $T > 0$



corrections to free energy (energy exact!)

⋮



### 3.4. Spin-wave theory for collinear antiferromagnets

Bipartite lattice, nearest-neighbor AF interaction  $\rightsquigarrow \uparrow\downarrow\uparrow\downarrow$  (Néel state)

Expand around classical AF state  $\parallel \hat{z}$

$$H = \underbrace{J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j - h \sum_i S_i^z - \frac{D}{2} \sum_i S_i^z^2}$$

Single-ion anisotropy

Two options:

a) Two sublattices  $\rightsquigarrow$  two sets of operators

b) Rotate every second spin  $\rightsquigarrow$  classical AF state is  $\uparrow\uparrow\uparrow$   $\rightsquigarrow$  one set of ops (not useful for  $h \neq 0$ )  
(results identical, but care is needed w/ Brillouin zone)

Here a)

A sublattice

$$S_i^z = s - a_i^\dagger a_i$$

$$\uparrow_A \downarrow_B \uparrow_A \downarrow_B$$

$$S_i^+ = \sqrt{s} a_i$$

B sublattice

$$S_j^z = -s + b_j^\dagger b_j$$

$$S_j^- = \sqrt{s} b_j$$

Insert:

$$\begin{aligned}
H = & -J N \frac{z}{2} s^2 - \frac{D}{2} N s^2 \\
& + J s \sum_{\langle ij \rangle} (a_i^\dagger a_i + b_j^\dagger b_j + a_i b_j + a_i^\dagger b_j^\dagger) + h \left( \sum_i a_i^\dagger a_i - \sum_j b_j^\dagger b_j \right) \\
& + D s \left( \sum_i a_i^\dagger a_i + \sum_j b_j^\dagger b_j \right) \\
& + J \sum_{\langle ij \rangle} \left( -a_i^\dagger a_i b_j^\dagger b_j + \frac{1}{4} (a_i^\dagger b_j^\dagger b_j^\dagger a_i + \dots) \right) \\
& + \mathcal{O}(1/s)
\end{aligned}$$

- Observations:
- Particle number <sup>total</sup> not conserved! Vacuum is not eigenstate!
  - $S^z$  conservation is  $n_a - n_b = \text{const}$
  - fields act asymmetrically, on both sublattices

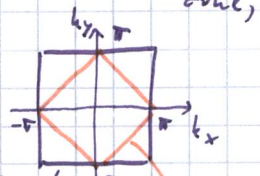
$H_2$  is again bilinear and can be solved.

Introduce Fourier-transformed boson operators on both sublattices:

$$a_{\vec{k}} = \frac{1}{\sqrt{2N}} \sum_i e^{i\vec{k}\cdot\vec{r}_i} a_i, \quad i \in A$$

$$b_{\vec{k}} = \frac{1}{\sqrt{2N}} \sum_j e^{i\vec{k}\cdot\vec{r}_j} a_j, \quad j \in B$$

Here,  $N/2$  is number of sites per sublattice, and  $\vec{k} \in$  "antiferromagnetic" Brillouin zone, i.e. the Brillouin zone of one sublattice. E.g. square lattice:



Insert:

$$H_2 = J_{\pm} S \sum_{\vec{k} \in \text{AF BZ}} \left[ (1 + \tilde{h} + \tilde{d}) a_{\vec{k}}^{\dagger} a_{\vec{k}} + (1 - \tilde{h} + \tilde{d}) b_{\vec{k}}^{\dagger} b_{\vec{k}} + \gamma_{\vec{k}} (a_{\vec{k}} b_{-\vec{k}} + a_{\vec{k}}^{\dagger} b_{-\vec{k}}^{\dagger}) \right]$$

where

$$\tilde{d} = \frac{D}{J_{\pm}}, \quad \tilde{h} = \frac{h}{J_{\pm} S}, \quad \gamma_{\vec{k}} = J(\vec{k})/J_{\pm}$$

Particle number not conserved! Solve by Bogoliubov transformation (see BCS theory).

Introduce new bosonic operators  $\alpha_{\vec{k}}, \beta_{\vec{k}}$  to eliminate anomalous terms.

$$\begin{aligned} a_{\vec{k}}^{\dagger} &= u_{\vec{k}} \alpha_{\vec{k}}^{\dagger} - v_{\vec{k}} \beta_{-\vec{k}}^{\dagger} \\ b_{-\vec{k}} &= -v_{\vec{k}} \alpha_{\vec{k}}^{\dagger} + u_{\vec{k}} \beta_{-\vec{k}} \end{aligned} \quad u_{\vec{k}}, v_{\vec{k}} \text{ real}$$

$[\alpha_{\vec{k}}, \alpha_{\vec{k}'}^{\dagger}] = \delta_{\vec{k}\vec{k}'}$  and  $[\beta_{\vec{k}}, \beta_{\vec{k}'}^{\dagger}] = \delta_{\vec{k}\vec{k}'}$  require  $u_{\vec{k}}^2 - v_{\vec{k}}^2 = 1$

→ parametrize  $u_{\vec{k}} = \cosh \vartheta_{\vec{k}}, v_{\vec{k}} = \sinh \vartheta_{\vec{k}}$ .

Anomalous terms  $\alpha_{\vec{k}} \beta_{-\vec{k}}$  are eliminated by choice  $\tanh 2\vartheta_{\vec{k}} = \frac{\gamma_{\vec{k}}}{1 + \tilde{d}}$ .

Then

$$H_2 = J_{\pm} S \sum_{\vec{k} \in \text{AF BZ}} \left[ \omega_{\vec{k}}^{\dagger} \alpha_{\vec{k}}^{\dagger} \alpha_{\vec{k}} + \omega_{\vec{k}}^{-} \beta_{\vec{k}}^{\dagger} \beta_{\vec{k}} + \frac{1}{2} (\omega_{\vec{k}}^{\dagger} + \omega_{\vec{k}}^{-} - 2(1 + \tilde{h} + \tilde{d})) \right]$$

quantum correction to ground-state energy

with mode energies

$$\omega_{\vec{k}}^{\pm} = \sqrt{(J_{\pm} S)^2 (1 - \gamma_{\vec{k}}^2) + 2 J_{\pm} S^2 D + S^2 D^2} \pm h$$

## Discussion of spectrum $\omega_k^\pm$

- $h=0, D=0$ :  $\omega_k^+ = \omega_k^- = JzS \sqrt{1-\gamma_k^2}$   
 $\omega_k^\pm = 0$  for  $|\gamma_k| = 1$  (recall  $\gamma_k = \frac{1}{z} \sum_{\Delta} e^{i\vec{k}\cdot\Delta}$ )  
 $\gamma_k = 1$  for  $k=0 \leadsto$  expand  $\gamma_k = 1 - \frac{1}{2}k^2$

$\leadsto$   $\omega_k^\pm = c|k|$  for small  $k$ , with velocity  $c = Jz\sqrt{2z}$   
 Goldstone mode

(Square lattice: ordering wavevector  $Q = (\pi, \pi)$ ,  
 but recall  $k \in \text{AF BZ}$  ( $(0,0) \equiv (\pi, \pi)$ ).  
 $c = Jz\sqrt{2}$ )

- $h=0, D>0$ :  $\omega_k^+ = \omega_k^-$  gapped ( $\hat{=}$  anisotropy gap)  
 $\omega_{k=0} = S \sqrt{2JzD + D^2} \propto \sqrt{JD}$  for small  $D$

- $h \neq 0, D=0$ :  $\omega_k^- < 0 \leadsto$  unstable  
 Collinear order || field cannot exist;  
 canted state is realized instead.  $\leftarrow \nearrow \leftarrow \nearrow$

- $h \neq 0, D>0$ :  $\omega_k^\pm \geq 0$  for  $h < h_c$ , unstable for  $h > h_c$   
 $h_c = S \sqrt{JzD + D^2}$  Spin-flop field  
 $h < h_c$ :  $\uparrow \downarrow \uparrow \downarrow$ ,  $h > h_c$ :  $\leftarrow \nearrow \leftarrow \nearrow$

Staggered magnetization: (order parameter of collinear AFM)

$$\vec{m}_{\text{stagg}} = \sum_{i \in A} \langle \vec{S}_i \rangle - \sum_{j \in B} \langle \vec{S}_j \rangle$$

Here  $\vec{m}_{\text{stagg}} \parallel \hat{z}$ .

$$m_{\text{stagg}} = NS - \sum_i \langle a_i^\dagger a_i \rangle - \sum_j \langle b_j^\dagger b_j \rangle$$

$$= NS - 2 \sum_{k \in \text{AFBZ}} \left[ \cosh^2 \mathcal{J}_k \langle \alpha_k^\dagger \alpha_k \rangle + \sinh^2 \mathcal{J}_k \langle \beta_k \beta_k^\dagger \rangle - \sinh \mathcal{J}_k \cosh \mathcal{J}_k (\langle \alpha_k^\dagger \beta_{-k}^\dagger \rangle + \text{h.c.}) \right]$$

$T=0$ : Ground state is vacuum of  $\alpha, \beta$  (not of  $a, b$ ).

$$\leadsto m_{\text{stagg}} = NS - 2 \sum_k \sinh^2 \mathcal{J}_k$$

$$= NS \left( 1 - \frac{1}{S} \left( \frac{1}{N} \sum_k \frac{1}{\sqrt{1-\gamma_k^2}} - 1 \right) \right)$$

hyper cubic	$d=1$ :	$\int \frac{d^d k}{(2\pi)^d} \frac{1}{\sqrt{1-\gamma_k^2}}$ IR divergent	$\leadsto$ no order
	$d=2$ :	0.197	
	$d=3$ :	0.078	

$S = \frac{1}{2}$  square lattice  $m_{\text{stagg}} = 0.60$  mean (agrees w/ numerics !!)

Ground-state wavefunction

Néel state is not eigenstate of  $H$ , because  $S_i^- S_j^+$  change state  
 $\uparrow$   
 vacuum of  $a, b$ .

Ground state is vacuum of  $\alpha, \beta$ :  $\alpha|0\rangle = \beta|0\rangle = 0$

One can show that  $|0\rangle = \exp\left(\frac{u_k}{v_k} a_k^\dagger b_{-k}^\dagger\right) |\text{Néel}\rangle$

(in linear spin-wave approximation)

### 3.5. Spin-wave theory for non-collinear antiferromagnets

Non-collinear classical states  $\left\{ \begin{array}{l} \text{non-bipartite lattice, eg. triangular} \\ \text{AF + field} \end{array} \right.$

Expand around classical non-collinear state ( $\sim 1/s$  expansion)

ATTN: Directions of  $\langle \vec{S}_i \rangle$  can be function of  $1/s$   
 $\rightarrow$  need  $1/s$  <sup>expansion</sup> for directions/angles

Here: Simplest example of triangular lattice AF

$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j$$

Follow option (b): rotate spins to obtain ferromagnetic reference state.

Coplanar states: one angle

Non-coplanar states: two angles

Here: coplanar in  $xz$  plane  $\leftarrow \searrow \uparrow \swarrow \downarrow \uparrow \dots$

$$S_i^{z_0} = S_i^z \cos \theta_i - S_i^x \sin \theta_i$$

$$S_i^{x_0} = S_i^z \sin \theta_i + S_i^x \cos \theta_i$$

"lab frame"

rotating frame,  $\theta_i = \vec{Q} \cdot \vec{r}_i$   
 $\vec{Q} = (4/3 \pi, 0)$

$$H = J \sum_{\langle ij \rangle} \left( S_i^y S_j^y + \cos(\theta_i - \theta_j) (S_i^z S_j^z + S_i^x S_j^x) + \sin(\theta_i - \theta_j) (S_i^z S_j^x - S_i^x S_j^z) \right)$$

follow Chernyshev / Zhitomirsky PRB 73, 144416 (2005)

$$S_i^z = S - a_i^\dagger a_i$$

$$S_i^x = \sqrt{a_i}$$

$$H = H_0 + H_1 + H_2 + H_3 + H_4 + \dots$$

$$H_n \propto S^{2-n/2}, \quad n \text{ boson operators}$$

Presence of odd- $N$  terms is main difference to collinear case!

$$H_0 = -\frac{3}{2} J S^2 N \quad \text{classical ground-state energy}$$

$$H_1 = S^{3/2} \sum_i \# (a_i + a_i^\dagger) = 0$$

must vanish for reference state which minimizes classical energy

(non-zero  $a, a^\dagger$  terms  $\hat{=}$  spin rotation)

(alternative interpretation: for classical minimum, the linear term of Taylor expansion vanishes)

$H_2$ : bilinear spin-wave piece

$$H_2 = \sum_k \left[ A_k a_k^\dagger a_k - \frac{1}{2} B_k (a_k a_{-k} + a_k^\dagger a_{-k}^\dagger) \right]$$

with  $A_k = 3JS \left(1 + \gamma_k^2/2\right)$ ,  $B_k = JS \frac{9}{2} \gamma_k$

and  $\gamma_k = \frac{1}{6} \sum_{\Delta} e^{i\vec{k} \cdot \vec{\Delta}} = \frac{1}{3} \left( \cos k_x + 2 \cos \frac{k_x}{2} \cos \frac{\sqrt{3}}{2} k_y \right)$   
6 NN vectors



Bogoliubov trafo  $a_k = u_k \alpha_k + v_k \alpha_{-k}^\dagger$ ,  $u_k^2 - v_k^2 = 1$

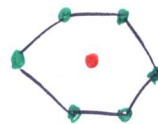
$$\leadsto H_2 = \sum_k \left[ \omega_k \alpha_k^\dagger \alpha_k + \frac{1}{2} (\omega_k - A_k) \right]$$

with mode energy

$$\omega_k = \sqrt{A_k^2 - B_k^2} = 3JS \sqrt{(1 - \gamma_k)(2\gamma_k + 1)}$$

Discussion of spectrum: ( $k \in$  full BZ!)

$\omega_k$  vanishes for  $\underline{k} = 0$  ( $\gamma_k = 1$ )  
 $\underline{k} = \pm \vec{Q}$  ( $\gamma_k = -\frac{1}{2}$ )



Near  $k=0$ :  $\omega_k = c_1 |k|$   $c_1 > c_2$

$k = Q$ :  $\omega_k = c_2 |q|$  for  $\vec{k} = \vec{Q} + \vec{q}$

Two different Goldstone modes:  $\left\{ \begin{array}{l} k=0 \hat{=} \text{in-plane rotation of spins} \\ k=\pm Q \hat{=} \text{out-of-plane rotation} \end{array} \right.$

Staggered magnetization: (in rotated frame simply  $m_{\text{stagg}} = \sum_i \langle S_i^z \rangle$ )

$$m_{\text{stagg}} = SN - \underbrace{\sum_i \langle a_i^+ a_i \rangle}_{N \cdot 0.261 \text{ at } T=0}$$

For  $S = \frac{1}{2}$ :  $m_{\text{stagg}} = 0.48 m_{\text{class}}$ , numeric 0.41

(recall square lattice: 0.60 0.60)

Higher-order corrections ( $H_3, H_4 \dots$ )

— angle corrections (not for triangular lattice)

— spectrum corrections

— lifetimes etc.

(all in  $1/S$  expansion)

Spin-wave theory for canted AFZhitomirsky / Nikuni  
PRB 57, 5013 (1998)

(as example for non-trivial angles)

Square-lattice <sup>AF</sup> with uniform field

$$H = J \sum_{\langle ij \rangle} \vec{s}_i \cdot \vec{s}_j - h \sum_i s_i^z$$

Assume spins in  $x-z$  plane, two sublattices.

Rotate:

$$s_i^{x_0} = s_i^z e^{i\vec{Q} \cdot \vec{R}_i} \cos \theta - s_i^x \sin \theta$$

$$s_i^{z_0} = s_i^z \sin \theta + s_i^x e^{i\vec{Q} \cdot \vec{R}_i} \cos \theta, \quad \vec{Q} = (\pi, \pi)$$

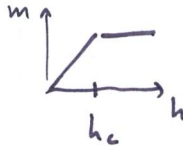
 $H-P$  representation

$$s_i^z = s - a_i^\dagger a_i$$

$$s_i^x = \sqrt{1 - s^2} a_i$$

Classical ground state  $\sin \theta = h/h_c$  with  $h_c = h_{sat} = 85J$ .

Classical magnetization curve

Insert in  $H$ :

$$H = H_0(\theta) + H_1(\theta) + H_2(\theta) + H_3(\theta) + \dots$$

 $\theta$  will have non-trivial  $1/s$  expansion (!):

$$\theta = \theta^{(0)} + \frac{1}{s} \theta^{(1)} + \frac{1}{s^2} \theta^{(2)} + \dots$$

$$\leadsto H_0 = \underbrace{H_0(\theta^{(0)})}_{\sigma(s^2)} + \underbrace{\frac{1}{s} \theta^{(1)} \frac{\partial H_0}{\partial \theta} \Big|_{\theta^{(0)}}}_{\sigma(s)} + \dots$$



$\Theta$  is determined from demanding that no linear terms in  $a, a^\dagger$  appear (linear term  $\hat{=}$  a condensate  $\hat{=}$  rotated spin)

Correct classical angles  $\leadsto H_1(\Theta^{(0)}) = 0 \quad (\mathcal{O}(S^{3/2}))$

Higher-order corrections arise from  $H_3, H_5, \dots$  as follows:

$H_3$  contains  $a_i^\dagger a_j^\dagger a_j, a_i a_j^\dagger a_j$  etc. These contribute linear terms which can be obtained by normal ordering in terms of  $\alpha$  operators ( $\alpha^\dagger \alpha^\dagger \alpha + \# \alpha^\dagger + \# \alpha$ ) or by mean-field decoupling ( $a_i^\dagger a_j^\dagger a_j \rightarrow \langle a_i^\dagger a_j^\dagger \rangle a_j + \dots$ ). The resulting linear  $a, a^\dagger$  terms are  $\mathcal{O}(S^{1/2})$  and need to be cancelled against  $\frac{1}{S} \Theta^{(1)} \partial H_1 / \partial \Theta |_{\Theta^{(0)}} \leadsto \Theta^{(1)}$ .

$H_2$  yields spin-wave spectrum as before.

Uniform magnetization is now non-trivial.

Classical result  $m = S \sin \Theta^{(0)} = S h / h_c$  for  $h < h_c$ .

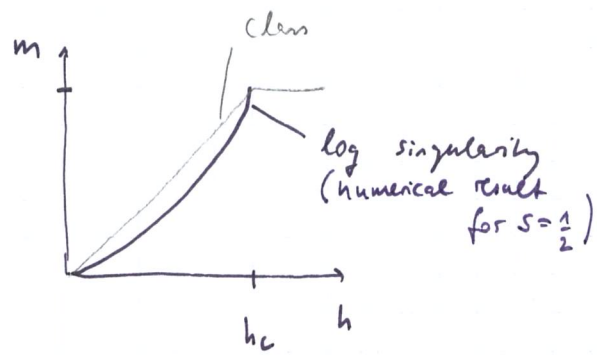
$\frac{1}{S}$  Corrections from  $\left\{ \begin{array}{l} \text{quantum fluct } \langle a^\dagger a \rangle \\ \text{angle corrections} \end{array} \right. \quad \left( \begin{array}{l} | \\ \cdot \end{array} \right) \hat{=} \text{amplitude corrections} \\ \hat{=} \text{direction corrections}$

$\leadsto m = S \left( 1 - \frac{1}{S} \langle a_i^\dagger a_i \rangle \right) \left( \sin \Theta^{(0)} + \frac{1}{S} \Theta^{(1)} \cos \Theta^{(0)} \right) + \mathcal{O}(S^{-1})$

Result for  $S = \frac{1}{2}$ :

ATTN:  $h_c = 8 S J$  does not receive quantum corrections

(high-field state is exact, one-magnon state at high fields is exact)



### 3.6. Magnon decay

→ Zhitomirsky / Chernyshev,  
Rev Mod Phys 85,  
219 (2013)

AF magnons acquire finite lifetime  
even at  $T=0$  (leading term  $\propto S^0$ )



(recall: cubic  
vertices exist  
for non-collinear  
states only)

Near  $k=0$ ,  $k=\Omega$  decay rate  $\Gamma$  is small (Goldstone).

Details depend on problem (multiple modes, phase space)

E.g. hypercubic lattice  $\Gamma \sim k^2$  ( $d \geq 2$ )

triangular  $\begin{cases} \sim k^2 & k \rightarrow 0 \\ \sim k^{3/2} & k \rightarrow \Omega \end{cases}$

ATTN: 2d  $T_N = 0 \leadsto$  finite  $\int$ . (need  $k \gg \xi^{-1}$  ...  
decay rate  $\propto k T^2 \ln T$ )

Problem:  $1/S$  expansion can break down.  
(Limit  $S \rightarrow \infty$  for lifetime singular.)

In practice: result to certain order in  $1/S$  diverges.  
(Example: square-lattice AF in fields  $h^* < H < h_{sat}$ )  
(related to curvature of spin-wave spectrum;  
usual  $\sphericalangle$ ; singular  $\sphericalangle$  w/cubic vertex)

Need self-consistent calc for fixed  $S$ .

Result Conventional ordered magnets can have  
strongly broadened (ill-defined) magnons  
(typically requires cubic vertices)