

Supporting Online Material for

Preserved Feedforward but Impaired Top-Down Processes in the Vegetative State

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Patients

We compared 22 healthy volunteers (age range 20-81 years, mean age $37 \pm SD$ 19 years, 7 males) with 21 brain damaged patients (13 MCS, 8 VS, age range 16-83 years, mean age $48 \pm SD$ 20 years, 12 males). Patients' data are reported in the Supplementary Table. To ensure the generalizability of our results, we included patients with different etiologies and clinical histories, in the hope of finding a common deficit that underlies changes in conscious level, irrespective of its distal causes. Data were acquired in an un-sedated condition. The study was approved by the Ethics Committee of the Medical School of the University of Liège. Informed consent to participate in the study was obtained from the subjects themselves in the case of healthy subjects, and from the legal surrogate for the patients.

Behavioral assessments of consciousness were performed by trained and experienced neuropsychologists using the French adaptation of the Coma Recovery Scale-Revised (CRS-R) (*S1-2*) that has been specifically developed to disentangle VS from MCS patients but also MCS patients from patients who recovered their ability to communicate functionally (EMCS). The scale comprises six subscales: auditory, visual, motor and oromotor/verbal functions, as well as communication and level of arousal (see Supplementary Table for scores obtained by each patient in the CRS-R subscales). The 23 items are ordered according to their degree of complexity; the lowest item on each subscale represents reflexive activity, while the highest item represents behaviors that are cognitively mediated. Scoring is based on the presence or absence of operationally-defined behavioral responses to specific sensory stimuli (e.g., if visual pursuit of a mirror is present at least two times in the same direction, the patient is then considered to be MCS).

Data acquisition

We recorded auditory evoked potentials using a 60-channel EEG amplifier (Nexstim Ltd, Helsinki FI) (*S3*). To optimize signal quality, the impedance at all electrodes was kept below 5 k Ω . EEG signals were referenced to an additional electrode on the forehead, filtered (0.1-

500 Hz) and sampled at 1450 Hz. Two extra sensors were used to record the electrooculogram (EOG). To avoid a confounding effect due to differences in vigilance, arousal was maintained at a constant level throughout the experiment. During each EEG session, patients were lying on their beds, awake and with their eyes open. If signs of drowsiness appeared, recordings were momentarily interrupted and subjects were stimulated using the CRS-R arousal facilitation protocols. At the end of the experiment, the electrode positions and scalp landmarks (nasion, right and left tragus) were digitized.

Electroencephalographic activity was measured during an auditory roving 'oddball' paradigm (see Fig. 1A). The stimuli comprised a structured sequence of pure sinusoidal tones, with a roving, or sporadically changing tone. This paradigm is similar to that used in previous DCM studies investigating the functional architecture of mismatch negativity response (MMN) in healthy volunteers (S4-5). Within each stimulus train, all tones were of one frequency and were followed by a train of a different frequency. The first tone of a train was a deviant, which eventually became a standard after few repetitions. This paradigm ensures that deviants and standards have exactly the same physical properties, differing only in the number of times they have been presented. This was varied pseudo-randomly between one and eleven. The probability that the same one was presented once or twice was 2.5%; for three and four times the probability was 3.75% and for five to eleven times it was 12.5%. The frequency of the tones varied from 500 to 800 Hz, in random steps with integer multiples of 50 Hz. Stimuli were presented binaurally via headphones for 15 min. The duration of each tone was 70 ms, with 5ms rise and fall times, and the inter-stimulus interval was 500 ms. About 250 deviant trials (first tone) and about 200 standards (eleventh tone) were presented to each subject. The loudness of the tones was set in each subject to a comfortable level, which was maintained throughout the experiment.

Data pre-processing and scalp level analysis

Pre-processing and data analysis were performed with SPM8 (http://www.fil.ion.ucl.ac.uk/spm/). The data were band-pass filtered between 0.5 and 20 Hz and down-sampled to 200 Hz. A correction for eye movements was performed (for each subject) using the Berg algorithm (*S6*) as implemented in SPM. The data were then epoched offline, with a peristimulus window of -100 to 400 ms. Artifacts were removed using robust averaging (*S4*), as described previously (*S7*). Averaged data were prepared for analysis using a second high-pass filtering at 20Hz and a correction for slow drifts via a third order discrete

cosine transform procedure, as implemented in SPM. Trials were sorted in terms of tone repetition (S4). In other words, trials one to eleven corresponded to the responses elicited after one to eleven presentations of the same tone, collapsed across the whole range of frequencies. The first trail in each train (corresponding to the oddball or the deviant) and the eleventh (corresponding to the standard) were retained for further analysis. Data were transformed into scalp-map images (S4), which were then entered in a second level between-subjects random effects analysis. This used an ANCOVA model, with stimulus type (standard versus deviant) and the level of consciousness (3 levels: controls, MCS and VS) as factors. The different observations were assumed to be independent but their variances were assumed to be unequal. As previous studies (S8-9) show a correlation between mismatch negativity amplitude and prognosis, the patients' prognosis at 1 month were entered as a covariate of no interest (in VS patients: values of -2 if death, -1 if stay VS, 1 if evolution towards MCS; in MCS patients: -1 if stay MCS, 1 if emergence from MCS). F-contrasts were specified to test for differences between deviant and standard in controls, MCS and VS populations (simple effects of trial in each group). Finally, we used an F-contrast to search for the interaction with group; i.e., an ERP amplitude difference attributable to differences in the level of consciousness across the three populations. In all the SPM analyses, results were thresholded at p-value < 0.05, corrected for multiple comparisons using Random Field Theory in the usual way (S10). The peak latencies we refer to in the results are those of the local maxima of statistical significance of scalp-time group F-maps, as reported by SPM software.

The Supplementary Fig. shows instantaneous scalp T-maps over time, for the comparison standards versus deviants, in individual MCS and VS patients. These maps were obtained after filtering from 0.5 to 20 Hz, correction for eye movements using the Berg algorithm described above, epoching from -100 to 400 ms, and correction of trials for slow drifts using a third order discrete cosine transform, as implemented in SPM. Individual epoched data were then converted to scalp-time images and a two sample T-test was performed, searching for a differential effect between standards and deviants in each patient. Scalp T-maps are displayed un-thresholded and at p < 0.001, uncorrected. In general, MCS patients show more robust differences at the individual level, although we did not obtain significant individual results in all patients. Note that the statistical criterion we used here is more conservative than commonly employed in ERP reports: a p-value threshold of 0.001 is equivalent to a correction for multiple comparisons over 50 time-points. The Supplementary Fig. also displays averaged ERP time courses in each MCS and VS patient. These were used for all our group analyses.

Dynamic causal modeling

Dynamic causal modeling (DCM) was originally developed for connectivity analysis of fMRI (S11) and M/EEG data (S12). Most approaches to connectivity analysis of M/EEG data use functional connectivity measures such as coherence or temporal correlations, which establish statistical dependencies between two time-series. However, there are certain cases where causal interactions are the focus of interest. In these situations, DCM is particularly useful, because it estimates effective connectivity (the influence one neuronal system has over another), under a perturbation, or stimulus. DCM models the interactions among cortical regions and allows one to make inferences about the parameters of that model and investigate how these parameters are influenced by experimental factors. DCM uses generative or forward models for evoked responses as measured with EEG/MEG (S12-13), and provides an important advance over conventional analyses of evoked responses because it places biophysically plausible constraints on the inversion; namely, activity in one source has to be caused by activity in another. DCMs for MEG/EEG use neural mass models (S14) to explain source activity in terms of the ensemble dynamics of interacting inhibitory and excitatory subpopulations of neurons, based on the model of Jansen and Rit (S15). The active sources are interconnected according to the connectivity rules described in (S16) and conform to a hierarchical model of intrinsic and extrinsic connections within and among multiple sources as described in (S17) and (S18). By taking the marginal likelihood over the conditional density of the model parameters, one can estimate the probability of the data, given a particular model. This is known as the marginal likelihood or evidence and can be used to compare and select the best model amongst alternative models. We have previously used DCM to explain ERPs to standards and deviants using a classical (S19) and roving paradigm (S4-5). Differences in the ERP to standards and deviants were modeled in terms of changes in synaptic connections within and between hierarchically organized cortical sources. Model comparison addressed hierarchical implementations of multiple-level network models ranging from one to three levels. These models allowed for changes in extrinsic connections in combination with changes in intrinsic connections at the different levels of the cortical hierarchy.

Model specification

DCM is usually used in a hypothesis-driven fashion: it does not explore all possible models but tests specific mechanistic hypotheses. Our models attempt to explain the generation of each individual response (i.e., responses to each tone presentation). Therefore, left and right A1 were chosen as cortical input stations for processing the auditory information. Doeller et al. (S20) identified sources for the differential response, with fMRI and EEG measures, in both left and right superior temporal gyrus (STG) and inferior frontal gyrus (IFG). Here, we modeled each active source; i.e., each node in the network, with a single equivalent current dipole (ECD) in a conventional electromagnetic forward model. This electromagnetic model used boundary element head models (S21), with homogeneous and isotropic conductivity as an approximation to the brain, cerebrospinal fluid (CSF), skull and scalp surfaces. Subjectspecific head models were obtained using an inverse spatial normalization of a canonical mesh for each subject. Normalization parameters were obtained using unified segmentation of the subjects' structural images (computerized tomography or T1 MRI) as implemented in the SPM software. Coregistration of electrode position and head model was performed in each subject, prior to forward model computation. After the forward model was computed for each subject, the lead-field mapping cortical sources onto measured signals was parameterized in terms of the location and orientation of each dipole source in the DCM (S4). The coordinates reported by Opitz et al. (S22) (for STG and IFG) and Rademacher et al. (S23) (for left and right primary auditory cortex, A1) were chosen as prior source location means, with a prior variance of 16mm². We converted these coordinates, given in the literature in Talairach space, MNI using the algorithm described to space in http://imaging.mrccbu.cam.ac.uk/imaging/MniTalairach. The moment parameters had prior mean of zero and a variance of 256 mm² in each direction. This is equivalent to assuming uninformative or flat priors on the orientations of the dipole moments. For computational expediency, DCMs (see below) were computed on a reduced form of data that corresponded to eight channel mixtures or spatial modes. These were the eight principal modes of a singular value decomposition (SVD) of the channel data between 0 and 400 ms, over the trial types of interest. These were the standard and deviant trials above.

DCMs

Bayesian model selection considered eleven models specified by their architecture (see Fig. 3). These models covered different levels of hierarchical complexity, both in terms of the number of areas involved, and the extrinsic (between-source) connections. The models started

with the most parsimonious model, Model 1 (a one-level hierarchical model comprising two nodes in the left and right A1) and increased in their complexity, in terms of hierarchical levels, number of sources and extrinsic connections. The inclusion of nodes and connections to the initial model culminated in a non-symmetric three-level hierarchical model that included bilateral A1 and STG, and right IFG. All models can therefore be considered as a sub-model of the last one, Model 11. Our simplest model, Model 1, is a two source network corresponding to the hypothesis that the ERPs are generated by bilateral activity in A1. Models 2 to 5 build on Model 1 through addition of left and right STG sources (connected through forward connections to ipsilateral A1, and in more complex models through backward connections to ipsilateral A1, and/or via lateral connections with each other). A third-level family of models, Models 6 to 11, comprising five sources, included a right IFG source. Right STG was connected with ipsilateral IFG. Models 6 to 11 differ in the successive addition of backward and lateral connections at different levels of the cortical hierarchy. We accommodated differences in the ERP to standard and deviants by condition-specific scaling parameters on every connection in each model. This meant that Models 2 to 11 cover the hypotheses that both local adaptation (S24), within each area, and interactions within a temporo-frontal network (S25-26) underlie the generation of the MMN.

Statistical analysis

Statistical analyses in this paper were based on a two-stage (summary-statistic) approach. In a first stage, Bayesian model comparison was used to optimize the network architecture (DCM) underlying electrophysiological responses to auditory stimulation in controls, MCS and VS patients. In a second stage, a quantitative connectivity analysis was performed, conditioned upon the winning model, searching for differences in connectivity between controls, MCS and VS patients, in response to auditory stimulation. The second stage used the conditional (within-subject) estimates of effective connections from the winning DCM as the dependent variable in a classical (between-subject) ANOVA.

Bayesian model selection (BMS)

DCM inversion involves optimizing a model (*m*) which provides two important quantities: the free-energy bound on the model-evidence p(y|m), used for model comparison, and the posterior or conditional density of the model parameters, $p(\theta|y,m)$. Specifically, inversion of a

DCM corresponds to approximating the posterior probability of the parameters using variational Bayes (S27). The aim is to minimize a free-energy bound on the log-evidence, with respect to a variational density, $q(\theta)$. When the free-energy is minimized; $q(\theta)=p(\theta|y,m)$ and the free-energy $F = -\ln p(y|m)$ approximates the negative marginal log-likelihood or negative log-evidence. After convergence, the variational density is used as an approximation to the desired conditional density and the log-evidence is used for model comparison.

One often wants to compare different models and select the best before making statistical inferences on the basis of the conditional density (see above). The best model, given the data, is the one with the highest log-evidence, $\ln p(y|m)$ (assuming a uniform prior over models). Given two models m_1 and m_2 one can compare them by computing their relative log-evidence $\ln p(y|m_1) - \ln p(y|m_2)$ (S4). One may wish to select the model that best explains multiple data sets, i.e., the best model at the group level. Alternatively, we can make inferences about general characteristics of model architecture using family-based inferences, which compare different groups of models characterized by similar architectural properties (S28). We thus performed family-wise inferences in each population, using a random effects approach, which is robust to potential outliers in the population (S29). A first family-wise inference optimized the number of areas that explained the data of the three populations. We separated the model space into three families: two-area models with bilateral A1 only (Model 1); four-area models with bilateral A1 and STG (Models 2 to 5), and five-area models with bilateral A1 and STG, and right IFG (Models 6 to 11). Bayesian model selection showed that the five-area models were the best. A second family-wise inference addressed the connectivity architecture. Three families were considered: models with no inter-areal connections (Model 1), models with forward connections only (Models 2, 5, 6 and 7), and models with both forward and backward connections (Models 3, 4, 8, 9, 10 and 11). This BMS procedure established the presence of recurrent connections, involving the prefrontal source, and demonstrates the consistency of BMS over the three groups of subjects (Fig. 3 – left panel). The superiority of the full model (model 11) was confirmed with an exhaustive model search over all models using a (random effects) BMS over all subjects (Fig. 3 - right panel). Fig. 3 (lower panel) displays source activity estimates in representative subjects according to this model. These predictions of source activity over time illustrate the preservation of frontal responsiveness in MCS as in VS patients.

Quantitative connectivity analysis

We used the winning model above for final (between-subject) statistical analysis of the conditional estimates of connectivity. In our DCMs, the effects of deviant stimuli (relative to standards) are modeled by scaling the effective connectivity in a trial-specific fashion. Although we tested for group differences in this (MMN-related) scaling, our primary interest was in differences in the underlying connection strengths mediated distributed responses to all stimuli. We exported the connectivity estimates to SPSS, and performed an ANCOVA, using the patients' prognosis as a covariate of no interest (as defined above). We tested each forward and backward connection independently with appropriate contrasts. We first searched for an effect of the level of consciousness on changes in connectivity between standard and deviants. We then tested for group differences in connection strength *per se*. We found a significant difference in the backward connection between frontal and temporal cortices between controls, MCS and VS, at p < 0.05, corrected for multiple comparisons using Bonferroni procedure; i.e., taking into account the number of connections tested.

Supplementary discussion

Previous literature on MMN in healthy volunteers

There is a large literature validating DCM for electrophysiological responses that uses both psychological (*S4, S18-19, S30-38*) and psychopharmacological (*S39-40*) constructs. The choice of the mismatch negativity paradigm in the current study was based on several years of experience with this paradigm and its modeling (with both DCM (*S4, S18-19, S31-32, S41*) and neuronal simulations (*S18, S42*)). In short, we chose the mismatch negativity paradigm not only because it was particularly appropriate for the patients we studied but because we have a wealth of information about the underlying mechanisms and networks from lesions studies (e.g. (*S43-44*)), fMRI studies of normal subjects (e.g. (*S20, S22, S45*)), high-density EEG (e.g. (*S46-48*)) and MEG studies (e.g. (*S48-50*)). The five area model we used, including a right frontal region (*S22, S46*) has been shown to have the greatest evidence in a number of DCM studies; using different variants of the MMN paradigm and different subjects (*S4, S18-19, S31-32, S41*).

Previous MMN studies in MCS and VS patients

Previous studies have used auditory event-related potentials to assess prognosis in severely brain-damaged patients. The presence of MMN is a good predictor of awakening and suggests that comatose patients will not enter a permanent vegetative state (S8, S51-57). In contrast, only a few studies have investigated the correlation between MMN and level of consciousness. A study by Wijnen and collaborators (S9) showed that the amplitude of the MMN increases with recovery from VS to normal consciousness. This study found a significant correlation between the amplitude of the MMN and level of consciousness, when comparing VS with MCS and subsequent emergence from MCS (S9). A recent study by Fischer and collaborators (S58) failed to replicate these results. However, one might argue that a failure to demonstrate MMN differences does not mean they do not exist (classical statistics control type I, not type II errors). While Wijnen's analysis and our study investigated between-condition differences in MMN amplitude, Fischer et al. used an all-or-none (based on some threshold) MMN scoring procedure in individual brain-damaged patients. Finally, these differences may also speak to the distinction between phenomenological (MMN) differences in observed scalp-level responses (in data-space) and a (possibly more sensitive) comparison of their underlying causes (e.g., coupling strengths – see also discussion below).

Technical comments

DCM does not examine coupling among sources following the inversion of a classical electromagnetic forward model. It subsumes the inversion of a classical model and tries to explain the data as observed in channel-space. In other words, DCM is a generalization of classical inversion schemes, not an add-on. The parameters estimated from the DCM and the evaluation of the DCMs per se were based on exactly the same (ERP) data. The SPM (scalp-level) analyses report classical inferences on responses over channels and peristimulus time. They represent a statement about phenomena observed in data-space. Conversely, the DCM analyses pertain to the underlying causes of these phenomena and their group differences. These causes include the hidden source activity and coupling parameters. The parameters of the network (DCM) are estimates of the functional architectures producing observed differences. Group comparisons of these parameter estimates (using simple classical inference) allow one to interpret scalp-level differences mechanistically, by appealing to the neurobiologically grounded DCM. Discrepancies between these complementary (SPM and DCM) analyses are resolved easily by noting that a failure to demonstrate a significant difference cannot be taken as evidence for no difference.

It is important to note that the inference about impaired connectivity was direct and based upon simple classical tests (T-tests). This pre-empts any concerns about the robustness or sensitivity of DCM. Put simply, DCM was used to furnish quantities (coupling parameters) that could be interpreted in relation to an underlying model. However, the inference about these quantities was based upon straightforward classical statistics which, under the null hypothesis of no differences, could not have given significant results. The fact that we obtained selective and significant results could be construed as a validation of the DCM; in the sense that it parameterized something that differed significantly between the groups.

At a conceptual level, the model employed here considers the regions involved in the generation of the individual responses in isolation. However, in EEG some nonspecific state variables can have a systematic effect on stimulus processing, and also on the emergence of a conscious experience. An example of this is provided by studies showing that the conscious visual perception depends on the level of occipital alpha (S59). Conversely, both sensory stimuli and attention may affect physiological states. In short one cannot ignore interactions among brain state, stimulus processing and conscious awareness. In our patients, the classical EEG markers of brain state were drastically altered. However, it is difficult to be definitive about what causes what. In other words, perceptual processing can cause a change in synaptic coupling, which changes the nature of perceptual processing. A simple example here might be attentional increases in postsynaptic gain (mediated by activity-dependent changes in synaptic efficacy) (S60). In our context, this means that a failure of backward connectivity from the frontal region may be a cause or consequence of processing that underlies consciousness. We deliberately chose the mismatch negativity paradigm because it elicits well characterized electrophysiological responses even in the absence of attention and (perhaps) explicit awareness (S68). These responses enable us to estimate the underlying network and distributed processing using DCM. However, there is no supposition that the responses elicited in this paradigm are related to the perception of the stimuli used. Associating changes in backward connections from the frontal cortex with conscious perception is based purely upon the fact that it is changes in these and only these connections that are common to patients that show deficits of perceptual awareness. In short, the key experimental manipulation here was not the standard or deviant stimuli of the mismatch negativity paradigm but the selection of subjects with different levels of consciousness. This allowed us to detect changes in network architecture that are related not to the content (perception of a particular sound), but to the level of consciousness. This approach is used in studies correlating the mismatch negativity component with the level of consciousness during

anesthesia (*S61*) and sleep (*S62*). It is also adopted in experiments using transcranial magnetic stimulation (TMS) (*S63-65*): perturbing the system with TMS allows one to characterize network changes underlying various levels of consciousness (*S66-67*). In short, we were able to establish that a failure of backward effective connectivity is associated with decreased level of consciousness. This failure may or may not be necessary to explain any abnormal perceptual content elicited by the standard and oddball stimuli in our paradigm.

Finally, it would be interesting to determine which EEG frequencies contribute to the interactions among sources. In particular, do long-distance backward fronto-temporal connections employ beta-band frequencies or slower (alpha band) or faster dynamics (gamma band)? As fast rhythms like gamma are prone to corruption by non-neuronal noise in scalplevel EEG recordings (S69), the use of invasive electrophysiological data may be more suited to answer this question. To address this issue, one could use DCM for induced responses (S35). DCM for ERP, as used here, tries to explain evoked as opposed to induced responses. This means that the differences in coupling explain only the evoked data features and do not explain any induced components. It may be that effective connectivity depends upon (or is changed by) high frequency synchronous activity (e.g., communication through coherence or synchronous gain (S60, S70)). In a DCM for ERP (as used here) this would be expressed as increased effective connectivity, provided induced responses are expressed consistently in peristimulus time. To understand the relationship between how different data features (e.g., evoked versus induced) are caused one would have to model both explicitly. DCM for induced responses may provide a more rounded picture on what aspects of brain dynamics mediate the coupling between brain regions and the aberrant coupling reported here.



Supplementary Fig. Spatiotemporal differences between standards and deviants in individual MCS and VS. Individual mean ERPs show responses to standard (t_{11} — green) and deviant (t_1 — red) tones at channel Cz (central) for each patient. Scalp plots represent instantaneous Statistical Parametric Maps for differential responses across time. For display purposes, 2D-scalp topographies were interpolated from the 60 channels and results are displayed unthresholded and thresholded at p < 0.001 (uncorrected). Color scales correspond respectively to T values and to significant (in black) voxels.

	MCS1	MCS2	MCS3	MCS4	MCS5	MCS6	MCS7	MCS8	MCS9
Clinical Features									
Sex (age, years)	Male (27)	Male (20)	Male (38)	Male (46)	Female (60)	Male (28)	Male (71)	Female (38)	Male (19)
Cause	Anoxic	Trauma	Anoxic	Trauma	Haemorrhage	Trauma	Stroke	Haemorrhage	Trauma
Time of EEG	1 month	3 years	13 years	27 years	1 month	6 years	18 days	12 days	1 year
after insult									
Outcome at 1 month	MCS	MCS	MCS	MCS	EMCS	MCS	MCS	MCS	MCS
Paralysis/paresis	Tetraparesis	Tetraparesis	Tetraparesis	Tetraparesis	Right-sided	Tetraparesis	Right-sided	Tetraparesis	Tetraparesis
					hemiparesis		hemiparesis		
Coma Recovery Scale-									
Revised									
Diagnosis at time of	MCS	MCS	MCS						
EEG									
Auditory function	Auditory	Auditory	None	Reproducible	Reproducible	Auditory	Localization to	Reproducible	Reproducible
	startle	startle		movement to	movement to	startle	sound	movement to	movement to
				command	command			command	command

Supplementary Table. Clinical, electrophysiological and structural imaging data of patients.

Visual function	Visual	Visual	Visual	Object	Visual	Visual	Fixation	Visual	Visual
	pursuit	pursuit	pursuit	recognition	startle	pursuit		pursuit	pursuit
Motor function	Flexion	Abnormal	Automatic	Automatic	Abnormal	Flexion	Automatic	Automatic	Abnormal
	withdrawal	posturing	motor response	motor	posturing	withdrawal	motor response	motor response	posturing
				response					
Oromotor/Verbal	None	Oral reflexive	Oral reflexive	Intelligible	None	Vocalization/	Vocalization/	Vocalization/	Oral reflexive
function		movement	movement	verbalization		Oral	Oral	Oral movement	movement
						movement	movement		
Communication	Non-	Non-	None	Non-	None	None	None	Non-	None
	Functional:	Functional:		Functional:				Functional:	
	Intentional	Intentional		Intentional				Intentional	
Arousal	Eye opening	Eye opening	Eye opening	Attention	Eye opening	Eye opening	Eye opening	Eye opening	Eye opening
	without	without	without		with	without	without	without	without
	stimulation	stimulation	stimulation		stimulation	stimulation	stimulation	stimulation	stimulation
Total score	9	9	11	21	7	10	13	15	10
EEG									
Background activity	Bilateral slow	Left hemispheric	Right	Bilateral slow					

	theta-delta	theta-delta	theta	theta-delta	theta-delta	theta-delta	theta-delta	hemispheric	theta-delta
	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia	theta-delta	dysrhythmia
								dysrhythmia	
MRI/CT									
Lesions	Anoxic lesions	Diffuse fronto-	Diffuse bilateral	Major	Bilateral	Bilateral	Left sylvian	Right subdural	Diffuse
	in bilateral	temporal,	leuco-	bilateral	frontal	contusional	territory	haematoma with	bilateral leuco-
	basal ganglia	thalamic and	encephalopathy	thalamic and	hemorrhagic	sequellae in	ischemic lesion	right	encephalopathy
	and	ponto-		cerebral	collections and	frontal and		hemisphere	predominant in
	corticospinal	mesencephalic		atrophy.	right ponto-	temporal		compression	frontal lobes
	tracts	atrophy		External	mesencephalic	lobes		and contusions	
				capsule T2	ischemic				
				hyperintensity	lesion				

	MCS10	MCS11	MCS12	MCS13
Clinical Features				
Sex (age, years)	Male (32)	Female (48)	Female (54)	Female (62)
Cause	Anoxic	Anoxic	Trauma	Haemorrhage
Time of EEG	1 month	2 months	9 years	20 days
(after insult)				
Outcome at 1 month	MCS	MCS	MCS	MCS
Paralysis/paresis	Mild	Mild	Tetraparesis	Tetraparesis
	tetraparesis	tetraparesis		
Coma Recovery				
Scale-Revised				
Diagnosis at time of	MCS	MCS	MCS	MCS
EEG				
Auditory function	Reproducible	Localization to	Auditory	Auditory
	movement to	sound	startle	startle

Supplementary Table (continued). Clinical, electrophysiological and structural imaging data of patients.

	command			
Visual function	Visual	Visual	Visual	Visual
	pursuit	pursuit	pursuit	pursuit
Motor function	Automatic	Automatic	Flexion	Flexion
	motor response	motor response	withdrawal	withdrawal
Oromotor/Verbal	Vocalization/	Vocalization/	None	Oral reflexive
function	Oral movement	Oral		movement
		movement		
Communication	None	Non-	None	None
		Functional:		
		Intentional		
Arousal	Eye opening	Eye opening	Eye opening	Eye opening
	without	without	with stimulation	with
	stimulation	stimulation		stimulation
Total score	15	15	7	8
EEG				
Background activity	Bilateral slow	Bilateral slow	Bilateral slow	Bilateral slow

	theta	delta	theta	theta
	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia
MRI/CT				
Lesions	No acute lesion	Bilateral	Bilateral frontal	Bilateral
	demonstrated	chronic	contusions and	anterior and
		ischemic	bilateral fronto-	sylvian
		lesions in	parietal leuco-	haemorrhage
		cerebral	encephalopathy	With
		hemispheres		ventricular
				inondation

	VS1	VS2	VS3	VS4	VS5	VS6	VS7	VS8
Clinical Features								
Sex (age, years)	Male (67)	Male (16)	Female (77)	Male (43)	Female (83)	Male (34)	Female (60)	Female (60)
Cause	Anoxic	Trauma	Haemorrhage	Anoxic	Trauma	Anoxic	Haemorrhage	Haemorrhage
Time of EEG	9 months	12 days	14 days	22 days	1 month	21 years	15 days	1 month
(days after insult)								
Outcome at 1 month	VS	EMCS	VS	VS	Death	VS	EMCS	EMCS
Paralysis/paresis	Tetraparesis							
Coma Recovery Scale-								
Revised								
Diagnosis at time of	VS							
EEG								
Auditory function	None	None	None	None	None	Auditory	None	None
						startle		
Visual function	None	None	None	None	None	Visual	None	None
						startle		

Supplementary Table (continued). Clinical, electrophysiological and structural imaging data of patients.

Motor function	Abnormal	Flexion	Abnormal	Flexion	None	Abnormal	Flexion	Abnormal
	posturing	withdrawal	posturing	withdrawal		posturing	withdrawal	posturing
Oromotor/Verbal	None	None	Oral reflexive	Oral reflexive	Oral reflexive	Vocalization/	Vocalization/	Oral reflexive
function			movement	movement	movement	Oral	Oral	movement
						movement	movement	
Communication	None	None	None	None	None	None	None	None
Arousal	Eye opening	Eye opening	Eye opening					
	without	with	with	with	with	without	with	with
	stimulation	stimulation	stimulation	stimulation	stimulation	stimulation	stimulation	stimulation
Total score	3	3	3	4	2	7	5	3
EEG								
Background activity	Bilateral slow	Bilateral slow	Bilateral slow					
	theta	theta-delta	theta-delta	theta	theta-delta	theta	theta-delta	theta-delta
	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia	dysrhythmia
MRI/CT								
Lesions	Right fronto-	Left occipital	Bilateral	Bilateral	Bilateral	Major bilateral	Left fronto-	Intraventicular
	parietal	and right	sylvian	insular, basal	frontal	cerebral and	temporo-	haemorrhage

						· · · · · · · · · · · · · · · · · · ·	
hypoxic lesion	temporal and	territory	ganglia and	contusions	cerebellar	parietal stroke	secondary to
	1	2	0 0			1	-
	cerebellar	ischemic	thalamic	and fronto-	atrophy	with secondary	basilar
					1 5	Ĵ	
	peduncle	lesions	ischemic	temporo-		haemorrhage	aneurysm
	1			1		U	5
	contusion		lesions	parietal		involving	rupture
				I		0	
				haematoma		thalamus and	
						unannao ana	
						brainstem	
						orumstern	
						1	1

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